COOLING CITIES FOR HEALTH THROUGH URBAN GREEN INFRASTRUCTURE: A HEALTH IMPACT ASSESSMENT FOR EUROPEAN CITIES

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Research in context

Evidence before this study

We conducted two different literature searches in the PubMed, Scopus and Google Scholar databases, without language or publication date restrictions. The first one searched for estimates of the impacts of the urban heat island on health while the second one searched for health impacts that could be avoided by increasing the urban green infrastructure. For both cases we only considered studies carried out in European cities. Our search revealed that there are only a few studies conducted in this realm, which are only restricted to a small number of European cities. We found a large body of evidence based on time-series studies, studying the impacts of suboptimal temperatures on mortality, but only a couple of studies focused on the mortality fraction attributable to the urban heat island, all of them occurring during heat-wave events. We found only a few studies that assessed the potential preventable mortality burden of urban green interventions, however, all studies focused on extreme heat episodes.

Added value of this study

To our knowledge, this is the first study to estimate the mortality burden attributable to the urban heat island and the mortality burden that could be prevented by increasing the tree cover in European cities. The added value of the study is mainly constituted by the extent (covering 93 European cities) and the resolution (250 m cell size) of the health impact assessment of urban heat islands, which is unprecedented. The spatially explicit analysis of urban heat exposure and its interaction with urban vegetation informs future realistic city-specific scenarios that can help mitigate adverse heat-related health impacts.

Implication of all available evidence

Our results showed that considerable mortality impacts can be attributed to the urban heat island in European cities. Most importantly, these impacts could be considerably reduced by increasing the tree cover and thereby providing cooling in urban environments. This evidence together with the spatial information of the areas that would benefit the most from increasing the tree cover is valuable to policymakers in view of targeted green interventions to maximize population health benefits while promoting more sustainable and climate-resilient cities.

ABSTRACT

BACKGROUND: High ambient temperatures are associated with many health effects including premature mortality. Given the current warming trend due to climate change and the global built environment expansion, the intensification of urban heat islands (UHI) is expected, accompanied by adverse impacts on population health. Urban green infrastructure can reduce local temperatures. We aimed to estimate the mortality burden that could be attributed to the UHI and the mortality burden that would be prevented by increasing the urban tree cover (TC) in 93 European cities.

METHODS: We conducted a quantitative health impact assessment (HIA) for the summer (June-August) of 2015 to estimate the impact of the UHI, on all-cause mortality for adult residents (\geq 20 years old) in 93 European cities. In addition, we estimated the temperature reduction resulting by increasing the TC to 30% for each city and estimated the number of deaths that could be potentially prevented as a result with the aim of providing decision-makers with usable evidence to promote greener cities. We performed all analyses at a high-resolution grid-cell level (250m x 250m).

FINDINGS: The population-weighted-city-average UHI from June to August was 1.5°C (city range 0.5°C - 3.0°C). Overall, 6,700 (95% CI 5,254 - 8,162) premature deaths could be attributable to the UHI (ie, 4.3%, city range 0.0%–14.8% of summer mortality, 1.8%, city range 0.0%–2.8% of annual mortality). Increasing the TC up to 30% at 250m resolution resulted in an average city cooling of 0.4°C (city range 0.0°C-1.3°C). We estimated that 2,644 (95% CI 2,445-2,824) premature deaths (ie, 1.8%, city range 0.0%–10.8% of summer mortality, 0.4%, city range 0.0%–2.0% of annual mortality) could be prevented by increasing the average TC in cities to 30%.

INTERPRETATION: Our results showed the impacts on mortality of the UHI and highlight the health benefits of green infrastructure to cool urban environments, while promoting more sustainable and climate-resilient cities.

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Keywords: urban heat island, urban green infrastructure, tree cover, cooling, mortality, health impact assessment

INTRODUCTION

Many epidemiological studies have provided evidence on how extreme temperature affects human health and mortality. Exposure to high ambient temperatures has been associated with premature mortality (1,2), cardiorespiratory morbidity (3,4), hospital admissions (5) and children's mortality and hospitalization (6). Temperature and mortality are related not only during extreme hot temperature events, such as heat waves, but also under moderately warm temperatures (2,7). Small changes at mild or moderate temperatures may occur more frequently, and therefore can have significant health impacts (2,8,9).

The urban heat island (UHI) phenomenon refers to the temperature difference between the city and its surrounding areas and it is considered as one of the most striking climatic manifestations of urbanization (10). The UHI originates from the anthropogenic modification of natural landscapes such as changes in the pattern of vegetation and water bodies through fragmentation and conversion into impermeable surfaces (11). The increased absorption and trapping of solar radiation in built-up urban fabrics, increasing population density and the absence of green areas are the main factors that have been associated with the UHI formation (12). The UHI may intensify the impact on health of high temperatures, increasing health risks for the most vulnerable populations (13). A study in the West Midlands, UK estimated that the UHI contributed around 50% of the total heat-related mortality during the 2003 heatwave (14). Another study in Ho Chi Minh City, Vietnam, compared the heat related mortality between the central and outer districts and estimated that the attributable fraction resulting from the UHI was 0.42% (15).

Previous studies have reported a nonlinear association between temperature and mortality, characterized by U- or J- shaped association (1–3). These associations vary dramatically between populations due to differences in susceptibility, age distribution, access to resources, adaptability and local public policies (e.g. extreme heat warning systems, healthcare system preparedness, etc)(1). The modelling of such complex patterns requires a sophisticated statistical approach and the collection of large historical data (2). Masselot et al (forthcoming) have provided mortality risk estimates for 801 European cities by age group accounting for a large list of city-level socio-economic, climatic, and environmental characteristics (16), enabling the performance of Health Impact Assessment (HIA) studies for estimating the impacts of potential temperature variations, for instance, using a comparative risk assessment (CRA) approach.

The CRA HIA approach evaluates the potential changes on the population health that would result from shifting baseline exposure levels to an alternative, counterfactual exposure level scenario (17). This approach serves as a decision-making framework with robust and usable

evidence on the implication of health-promoting scenarios that could be achieved through specific urban planning strategies (18). The CRA HIA approach can be applied at high spatial resolution level, and therefore, can capture spatial variability, which carry important environmental justice and health equity implications.

There are a few known planning and design strategies to mitigate urban heat: (1) Introducing green roofs or facades (19–22); (2) enhancing the reflective properties (ie, albedo) of buildings by using light colours for roof and wall surfaces (20,23); (3) replacing impervious surfaces with permeable or vegetated areas (24–26); and (4) increasing the tree cover (TC) (27–30). Urban trees may offer an important opportunity to mitigate high temperatures while constituting a relatively simple and cost-effective solution (28). Marando et al (2021) have estimated the cooling capacity of trees in more than 600 European cities (27). The authors simulated the temperature difference between a baseline and a no-vegetation scenario, extrapolating the role of trees in mitigating UHI in different urban contexts. Urban trees were found to cool European cities by about 1.07 °C on average, and up to 2.9 °C (27). A recent evidence-based guideline has recommended a 30% TC goal per neighbourhood for cooling, improving the microclimate, mitigating air and noise pollution and improving mental and physical health (31), and many cities have already set a 30% of TC as a target (32–36). Furthermore, previous epidemiological studies have reported health benefits of exposure to 30% or more TC including lower odds of incident psychological distress (37) and non-communicable diseases (NCD) such as diabetes, hypertension and cardiovascular disease (CVD) (38).

Given the ongoing global warming and the urban sprawl and development of natural lands, the intensification of UHIs is expected (6,39,40). While the benefits of global mitigation strategies have been well discussed, the health benefits of improving local climate through improving the urban planning in cities are still unknown. Furthermore, compared with global efforts, some local actions to improve urban climate offer the advantages of being politically easier to implement and of having short-term benefits (41).

We conducted a quantitative HIA in 93 European cities to estimate the annual mortality burden that could be attributed to the UHI. We also estimated the mortality burden that could be prevented if reduction in temperature is achieved by increasing the TC to 30%, following the target already adopted by many cities. Our ultimate goal is to inform local policy and decision-makers on the benefits of strategically integrating urban green infrastructure (UGI) into urban planning in order to promote more sustainable, resilient and healthy urban environments and contribute to climate change adaptation and mitigation.

METHODS

Cities selection

European cities and their boundaries were defined from the Urban Audit 2018 dataset of Eurostat (42). This database includes data for all European cities with more than 50,000 inhabitants, also including greater cities (Supplement A). We selected the cities based on the Urban Climate (UrbClim) model temperature data availability (43). The dataset includes 100 cities, six of which were not included in the Urban Audit dataset (ie, Belgrade, Novi Sad, Podgorica, Sarajevo, Skopje and Tirana). We also excluded Reykjavic, Iceland, due to lack of exposure-response function (ERF), therefore analysed the remaining 93 cities. Given that the City of London is more of an economic centre rather than a residential place (ie, with only 8,200 inhabitants living by 2015), we decided to include London Greater City instead (Supplement A), hereafter referred to as city and increase the coverage in terms of city size and population.

Population data

We retrieved demographic data following the procedure well described by previous HIA studies for European cities (44–46). Briefly, we retrieved total population counts for each city from the Global Human Settlement Layer (GHSL) for 2015 (47), which was the latest available population layer in a high resolution (ie, 250 m × 250 m). We excluded from the baseline GHSL dataset the non-residential areas (ie, industrial zones, port areas and water bodies, airports, parks) to better represent population distribution, based on land use data from European Urban Atlas 2012 (48). We reallocated the population from the removed grid cells among the dataset according to the GHSL population distribution to maintain the total city population counts (Supplement B). We retrieved the population age distribution for 2015 from Eurostat at the Nomenclature of Territorial Units for Statistics (NUTS) 3 level (42)). We calculated the proportion of population in each 5- year age group by NUTS3 and estimated the population distribution by age group. We aggregated the groups as 20-44, 45-64, 65-74, 75-84 and 85 years and older to fit them with ERFs (Supplement B).

All-cause mortality

We retrieved weekly all-cause mortality counts by age group for 2015 from Eurostat (42), available for 81 cities at NUTS3 level. We estimated the daily mortality rates per age group per city assuming the same distribution as the NUTS3 and a homogeneous distribution of deaths over the same week and applied the rates to each grid cell.

For cities without weekly deaths counts available (n = 12) (Supplementary Table 1), we retrieved annual city-specific all-cause mortality counts for 2015 from Eurostat (42). We estimated the mortality rates per age group and applied the rates to each grid cell. We retrieved monthly country mortality counts (42) and estimated the proportion of deaths per month. We assumed a homogeneous distribution of deaths over the same month and estimated the daily deaths per grid cell.

The daily mortality counts estimated correlated strongly between the two methods for the 81 cities for which data was available (Pearson correlation=0.98), however with an overestimation of the annual city-specific mortality counts (17%). Therefore, we calibrated it (Supplement B).

Baseline exposure to heat

We defined the baseline exposure scenario as the daily mean temperature for the corresponding baseline 2015 TC of each city. We retrieved daily mean temperature from the Urban Climate (UrbClim) model for 93 cities at 100m x 100m resolution (43). The model combines large-scale meteorological data on surface, sea, precipitation, soil, and vertical profile, and a description of the terrain that includes land use, vegetation (Normalized Difference Vegetation Index, NDVI), and soil sealing. Temperature series were created by averaging the 100m grid cells with centroids within the spatial boundaries of each 250m grid cell.

Health Impact Assessment (HIA)

We conducted a quantitative HIA at 250 m by 250 m grid cell level for the year 2015, for the adult population > 20 years old residing in the 93 cities (n = 57,896,852) based on the GHSL residential population (47). We considered the summer period from June 1st to August 31st, based on previous seasonality studies on temperature-attributable mortality (49). Year 2015 was found typical of the current climate temperature-wise (Supplement C). We followed a quantitative HIA approach based on CRA methodology (44–46). We conducted two main analyses. The first analysis estimated the impact of the exposure to the UHI effect on mortality, therefore, we compared the baseline temperature exposure with a counterfactual exposure, although non-realistic, without UHI. The second analysis estimated the mortality impact of increasing the TC to 30%, as recommended, and the subsequent temperature reductions.

We retrieved city and age group-specific exposure-response functions (ERFs) from Masselot et al (16). We estimated the daily baseline temperature exposure levels and we calculated the Population Attributable Fraction (PAF) for each daily mean and age group. We estimated the attributable premature mortality burden combining the PAF and the daily all-cause mortality

(Supplement C). We repeated the same procedure for each of the counterfactual scenarios and we calculated the difference with the baseline scenario. The obtained result is the premature mortality burden attributed to shifting baseline exposure levels to the specific counterfactual exposure level scenario (ie, UHI effect or 30% TC) (Figure S1).

We added up the results by city and estimated the preventable age-standardized mortality per 100,000 population, based on European Standard Population (ESP) (50) and the percentage of preventable annual and summer all-cause deaths. Additionally, we calculated the Years of Life Lost (YLL) due to the premature deaths (Supplement C).

We performed the analysis considering the sources of uncertainty. The parameters considered were: the ERFs, the UrbClim temperature data error, the temperature adjustment model error, the UHI data error and the cooling model error, accordingly. We constructed the uncertainty distribution for each parameter and estimated the point estimates and 95% confidence intervals performing 500 Monte Carlo iterations by sampling from the built uncertainty range, considering all the parameters uncertainties at the same time in order to have the cumulative uncertainty.

Finally, we ran Pearson correlations assessing the association between the outcomes from the UHI scenario and the 30% TC scenario.

Exposure response functions (ERFs)

We retrieved the ERFs quantifying the association between temperature exposure and all-causes mortality by city and age group (ie, 20-44, 45-64, 65-74, 75-84 and 85 years and older) from Masselot et al (forthcoming) (16), which considers a comprehensive list of city-level characteristics making the ERFs the best evidence available in the literature.

Given that the risk estimates were built under the ERA5-LAND temperature dataset with a resolution of approximately 9 km, therefore covering rural areas, it was expected that the ERF temperature range was lower than the UrbClim temperature range. For that reason, we applied a city-specific correction to the UrbClim dataset (Supplement D).

Counterfactual levels of exposure to heat

Urban Heat Island. We retrieved the mean day-time UHI and mean night-time UHI data at 100 m x 100 m resolution for 2015 summer season (ie, June - August) from the Copernicus UrbClim model application (43). The UHI is estimated as the difference between the mean rural temperature (ie, represented by the rural classes of CORINE) and each of the urban grid cells

(43). We estimated the 250m grid cell 24-h daily mean UHI by averaging the day and night UHI 100 m grid cells with centroids within the spatial boundaries of each 250 m grid cell (Supplement E, a). In spite of the known differences between day-time and night-time UHI we averaged them given that the available ERFs consider 24 hours of exposure to a daily mean temperature. For the grids with negative values we considered a null UHI (Supplement E, a).

TC 30%. We estimated the decrease in temperature, ie cooling effect, as the result of increasing the TC up to 30% at a grid cell level. The Copernicus HRL Forest defines TC as the vertical projection of tree crowns to a horizontal earth's surface (51). For each city, we analyzed the feasibility of achieving this counterfactual by estimating the percentage of open space where potentially trees could be planted according to the corresponding land use. On average, cities presented a mean difference between the open space and the 30% target at a grid-cell level of 2.9%, ranging from 0.1% to 7.7%, indicating the reasonable target for European cities (Supplement E,b).

As an additional analysis, we set a more attainable scenario of 25% TC, based also on previous studies' translations of the WHO recommendation on access to green spaces (52,53); and a more ambitious of 40% TC, based on a previous research suggesting a 40% TC for having significantly reduced daytime air temperature (29).

We followed the Marando et al (2021) and Heris et al's (2021) approach (27,54), which determined the best fitted models through Machine Learning techniques were linear regressions. Briefly, (i) first, we retrieved Landsat-8 Images (30m x 30m resolution) (55) and estimated the median Land Surface Temperature (LST) (June-August, 2015) for each grid cell. (ii) Then, for each city, we developed a linear regression model with an ordinary least square algorithm trained by the LST (°C) dataset, the TC (retrieved from Copernicus at 100m x 100m resolution) (51) (Supplement D, b) and the amount of water evaporated from trees at 500m x 500m resolution (Etree, mm day–1), which is the sum of transpiration and vaporization of intercepted rainfall from vegetation (from PML V2 evapotranspiration product, based on the Penman-Monteith-Leuning canopy conductance model, (56,57)) to estimate the impact of trees on surface temperature reduction at grid-cell level (Eq. 1).

Eq. (1) LST = $\beta_{0e4} + \beta_{1e4}TC + \beta_{2e4}Etree$

(iii) After that, we built a second ordinary least squares model, trained with an air temperature dataset for predicting the maximum air temperature (Tair, °C) as a function of LST and latitude (Eq. 2). The existing network of weather stations in Europe has insufficient coverage and

therefore cannot be used for the aforementioned purposes, so we used a US air temperature dataset (Supplement E, b).

Eq. (2) Tair = $\beta_{0e5} + \beta_{1e5}LST + \beta_{2e5}Latitude$

We validated the model through a linear regression between the predicted values and the UrbClim values with an adjusted R2 equal to 0.66 and a RMSE% of 2.03 (Supplement E, b).

iv) In order to estimate the LST corresponding to TC equal to 30%, 40% and 25%, we estimated the city-average Etree considering the grid cells with: (1) TC=28-32% (Etree30) and, (2) TC=38-44% (Etree40), (3) TC=23-27% (Etree25), respectively. We considered an interval plus-minus 2^o for avoiding low counts.

v) Finally, we set the counterfactuals as 30% (main analysis), 40% and 25% TC (additional analyses) and estimated the respective LSTs by replacing in Eq.2 with the corresponding TC and Etree. We estimated the Tair with the obtained LST with the Eq. 2 and we calculated the difference between the baseline Tair and the counterfactual Tair. This difference is the cooling we would obtain if increasing the TC from baseline to 30%, 40% and 25%, accordingly, at grid-cell level and is the temperature reduction we used as our counterfactual in the HIA. All of the grids with negative cooling values were set to Null (ie, 16%) (Supplement E,b). In addition, 3.6% of the grid cells, covering 3.4% of the total population, were excluded from the analysis due to missing values of any of the parameters required for running the model. The error of the model has been estimated by calculating the propagated error of the two regressions, for each city, as described by Marando et al (2021) (27) (Supplement E, b). The city-average R squared was of 0.41 (city range 0.07 – 0.79).

Sensitivity analyses

We conducted sensitivity analyses to assess the effects of changes in the HIA input variables on the magnitude of our mortality estimations. We evaluated for both HIA scenarios (i.e. UHI effect and 30% TC) the effects of using Martinez-Solanas et al (2021) ERFs, available for 147 European regions (NUTS2) covering 66 cities (58). For the UHI scenario, we assessed the effects of using the adjusted and the non-adjusted annual city-specific mortality datasets, the impact of using the grid-average summer UHI as well as the city-average summer UHI. For the 30% TC scenario, we assessed the effects of using the city-average cooling. In addition, we conducted a sensitivity analysis of the cooling model by changing the Etree30 estimation. We ran linear regression by city between the TC and the Etree and predicted the Etree when TC was 30%. A second approach was to run the regressions between the TC and the Etree grouping by biome, given that the Etree is associated with the vegetation and climate of the region (56,59). In this way, we increased the counts and avoided poor adjustments. We evaluated the effects on the city-average cooling as well as on the 30% TC (Supplement F).

Uncertainty analysis

In order to understand the uncertainty contribution of each parameter in our confidence interval, we performed an uncertainty analysis for 6 selected cities for both HIA scenarios (UHI effect and 30% TC). For this, we ran 500 Monte Carlo simulations considering each of the parameter's uncertainty separately. We selected the cities in order to have two cities with high mortality impacts (ie, Barcelona and Budapest), two cities with moderate mortality impacts (ie, Munich and Lodz) and two cities with low mortality impacts (ie, Riga and Rotterdam) (Supplement G).

Cooling effort Index

We created an indicator of the TC increment efforts needed to cool down cities, which is the ratio between the cooling effect of TC at 30% and the average increase in TC to reach the target of 30%, hereafter refer to as *Cooling Effort Index*. It can be interpreted as the cooling we could obtain per 1% of TC increment.

RESULTS

Overall, 57,896,852 inhabitants over 20 years old resided in the 93 studied cities in 2015. City population counts ranged from 95,242 (Tartu, Estonia) to 8,011,216 (London Greater City, UK), with a median population size of 624,495 inhabitants. In total 555,215 deaths from all causes were reported for the same year with 23.1% (n=128,269) having occurred from June to August. Overall, summer average temperatures ranged between 14.2°C in Glasgow, UK, and 29.7°C in Sevilla, Spain, with average maximum temperatures ranging between 22.7°C in Tallín, Estonia, and 36.8°C in Sevilla, Spain. The population-weighted-city- average daily UHI from June to August was 1.5°C (city range 0.5°C -3.0°C) (Figure 1) with maximum grid-cell values reaching 4.1°C in Cluj-Napoca, Romania (Supplementary Table 1).

The city-average TC was 14.9% (city range 2.1%-34.6%), whereas the grid-cell-populationweighted-average was 10.9% (city range 1.8%-29.9%). We estimated that increasing the TC up to 30% at 250m resolution would result in an average city cooling of 0.4°C (city range 0.0°C -1.3°C) (Figure 1) with maximum grid-cell values of 5.9°C (Supplementary Table 1). Increasing the TC to 30% at a grid-cell level would lead to a city-average increase of 17.7% (city range 3.8%-28.8%) (Supplementary Table 1).

Across all examined cities, almost 75% and 20% of the total population (57,089,394 and 14,491,628 inhabitants) lived in areas with an average-summer UHI greater than 1°C and 2°C, respectively. Overall, 6,700 (95% CI 5,254 - 8,162) premature deaths could be attributed to the UHI during the summer months (ie, 4.3%, city range 0.0%-14.8% of summer mortality, 1.8%, city range 0.0%–2.8% of annual mortality) and 2,644 (95% CI 2,444-2,824) premature deaths could be prevented by increasing the TC up to 30% (ie, 1.8%, city range 0.0%-10.8% of summer mortality, 0.4%, city range 0.0%–2.0% of annual mortality) (Table 1, Figure 2). This corresponds, on average, to 39.5% of the deaths attributable to the UHI.

A great variability in the attributable mortality burden was observed among the cities. The UHI was associated with a range of 0 (Göteborg, Sweden) and 32 (Cluj-Napoca, Romania) premature deaths per 100,000 age-standardized population, with an average of 10 deaths per 100,000 age-standardized population (Table 2, Figure 2). The increase in the TC to 30% could prevent between 0 (Oslo, Norway) and 22 (Palma de Mallorca, Spain) premature deaths per 100,000 age-standardized population (Table 3, Figure 2).

Overall, cities with the highest mortality rates attributable to the UHI were in Southern and Eastern Europe, particularly in Spain, Italy, Hungary, Croatia and Romania. While cities with the lower UHI attributable mortality rates were mainly located in Northern Europe including Sweden, Estonia, UK, and northern France (Table 2, Figure 2). A similar pattern was observed for the mortality rates that could be prevented by increasing the TC (Table 3, Figure 2). Indeed, the number of deaths attributable to the UHI and the number of preventable deaths for increasing the TC to 30% were strongly linearly correlated (r=0.89), as well as the attributable mortality rates (r=0.75), the percentage of annual attributable mortality (r=0.73) and the attributable YLL (r=0.89) (Supplement C).

For the UHI scenario, the sensitivity analyses indicated that the largest variations in the final estimates were due to the use of the non-adjusted city-specific annual mortality dataset (+20%), followed by the use of the average city UHI (-18%), followed by the change in the ERF. For the 66 cities covered, the use of the Martínez Solanas ERF represented a 17% decrease in the impacts on the estimated preventable mortality burden. The use of the adjusted city-specific annual mortality dataset and the average-summer UHI by grid resulted in slightly higher estimates (ie, +3% and +2%, accordingly) (Table 1).

We observed great changes in the mortality burden estimations under alternative counterfactual scenarios. The more ambitious TC counterfactual scenario equal to 40% would lead to a 41% increase in the mortality burden with an average city cooling of 0.5°C, whereas

the more attainable TC counterfactual scenario equal to 25% would lead to a 21% decrease in the mortality burden with an average city cooling of 0.3°C. The use of Martinez-Solanas et al (2021) ERF supposed a decrease of 21% followed by the use of the average cooling by city (-19%) (Table 1). Finally, the changes in the cooling estimations resulted in minor differences in the estimates (+1% and +3% for using linear regressions by city and by biome, respectively) (Table 1). Taken as a whole, the sensitivity analyses showed high robustness of our results, as the observed changes correlated strongly with our main estimations (Supplement F).

Uncertainty analysis of the UHI scenario showed that the UHI was the primary contribution of uncertainty, followed by the baseline temperature, the ERF and the temperature adjustment to ERA5. For the 30% TC scenario, the baseline temperature was the primary source of uncertainty, followed by the ERF, the cooling model and finally, the temperature adjustment to ERA5. (Supplement G).

Cities with higher *Cooling Effort Index* were mainly located in Northern Europe (ie, Oslo, Edinburgh, Göteborg, Tallin) but were also geographically-dispersed and included Sofía, Liège, Krakow, Graz, Nantes and some cities in northern Italy (ie, Torino, Bologna, Genova). Whereas cities with lower *Cooling Effort Index* were mostly located in the Southern Europe (ie. Athens, Thessaloniki, Bari, Varna, Valencia, Porto), they were also dispersed across Central Europe (ie, Zurich, Padova, Milano, Leipzig, Munich) (Figure 1).

DISCUSSION

This is the first study to estimate the mortality burden attributable to the UHI and the mortality burden that could be prevented by increasing the TC in European cities. Our results show that a large number of deaths (6,700, 95% CI 5,254 - 8,162) could be attributed each summer to the UHI and that 39.5% of these deaths can be avoided by increasing the TC in cities to 30%.

Our results align with prior studies estimating the cooling obtained from UGI strategies. Sailor et al (2003) estimated that a 10% increase in the TC, could reduce urban temperatures in Philadelphia, U.S., by 0.22°C (60), while another study for New York City, U.S, estimated a potential 0.6°C reduction at 3 p.m. if 31% of the city area were covered with trees and green roofs (22). In addition, a recent systematic review on cooling modelling showed that street trees can reduce urban air temperature on average 0.3°C per each 10% TC increase (61). We estimated that a city-average increase of 17.7% (ie, for reaching TC=30%) would cool European

cities by 0.4°C on average (city range 0.0°C -1.3°C). Nevertheless, according to Marando et al (2021), temperatures could be reduced by 1°C on average in an European Functional Urban Area (FUA) with a TC of 16% (27). Despite of having used a similar methodology, our estimates are notably lower. The differences obtained can probably be explained by the area of scope of the study. While we developed the model at a city level, Marando et al (2021) did it at a FUA level, which is constituted by a core city and its commuting zone, often including greener areas (ie, peri-urban forests). This has two main consequences, particularly regarding the Etree layer. First, since this layer has a rather coarse spatial resolution (500m x 500m) it might not well capture spatial heterogeneity at city level, especially in the case of scattered trees (27). Second, a different transpiration rate of trees in highly urbanized settings, compared to peri-urban areas, has been previously reported (62), and might explain the lower performance of trees observed in our study. In fact, urban trees are often exposed to harsh conditions (i.e. paved soils, air pollution) which can limit transpiration and, therefore, their cooling capacity (63). However, it should be noted that the cooling effect of street trees, despite being small, is important to alleviate the UHI effect in highly urbanized areas (64).

Most of the cities that presented high UHI, were also the most densely populated (ie, Paris, Thessaloniki, Athens, Lyon, among others), with population densities ranging between 10,722 and 20,934 inhabitats per 1 km². Indeed, this association between population density and UHI has been well described in previous studies (10,12). Furthermore, these cities also had low TC, which indicates the potential for improving urban microclimate by increasing the urban tree layer. However, UHI formations is a complex phenomenon that have been associated with many factors. Moreover, various drivers of the UHI have differential day-time and night-time effects. While vegetation is the dominant factor for UHI intensity during day-time, the urban canyon more strongly drives UHI at night (65). On top of this, the night-time UHI intensity is on average three-fold the day-time UHI (ie, 0.6°C and 1.9°C, respectively). Therefore, UGI strategies need to be accompanied by other interventions that especially reduce night-time UHI to achieve larger health benefits, such as changing the ground surface materials (ie, asphalt to granite) and more structural interventions that involve changes in the sky view factor (ie, fraction of visible sky as the result of the street geometry and building density) (65). Indeed, our results show that, on average, 39.5% of the attributable deaths due to UHI could be avoided by increasing the TC to 30%. Evidently, and in line with other studies (66,67), this intervention should be combined with others in order to reach a greater temperature reduction and greater preventable impacts, particularly, for those cities for which increasing the TC would not reduce the temperature significantly.

Just as the characterization of the UHI is specific to each city, so is the TC cooling capacity. Our cooling estimates were not only determined by the TC cooling capacity, but by the baseline TC. In other words, if the cooling capacity is high and the baseline TC is already close to 30%, the potential for reducing temperatures through UGI would be low. In turn, if both the vegetation cooling capacity and the TC are low, the resulting potential for cooling might be higher than expected. For this reason, to improve the interpretability of our results, we built the *Cooling Efforts Index*. Notably, most cities with higher *Cooling Efforts Index* are also the ones with lower UHI attributable impacts (ie, Glasgow, Edinburg, Oslo, Göteborg, Tallin and Helsinki). On the other hand, several Mediterranean cities presented lower *Cooling Efforts Index* and tended to have, on average, greater attributable mortality impacts (ie, Athens, Valencia, Sevilla, Palermo, Málaga and Madrid). This implies that greater efforts are required for these cities, in order to achieve temperature reduction due to the combination of low baseline TC and low TC cooling capacity.

Some of the cities in semi-arid conditions also presented low or even negative UHI, however this is not due to optimal urban planning practices. In dry regions, rural land surfaces can be warmer than urban areas, particularly if the vegetation is not irrigated (68,69). Also, droughts can limit the evapotranspiration rate (62). On the other hand, urban centers with tall buildings can provide shading amplifying this negative temperature difference (70). In spite of presenting relatively low UHI intensity, in some cities (ie, Palma de Mallorca, Alicante, Porto, Roma and Napoli) the attributable mortality impacts were high. One possible explanation for this is the already high baseline temperature which poses a baseline elevated risk for the population combined with the specific association between exposure to heat and mortality (ie. ERF). For this reason, the UHI should not be the best indicator to address excess heat in these cases, as actions to mitigate general high temperatures are still needed to reduce the associated mortality impacts. Still in these settings, UGI can have an increase cooling effect if urban irrigation is used (56,71). Therefore, TC cooling capacity could be increased and would constitute a partial solution for mitigating excessive heat. However, a pitfall to consider is that urban irrigation may cause water scarcity that could be exacerbated as a result of climate change (72).

On top of this, there is the question of affordability given that trees maintenance has a greater cost under dry conditions (73). Therefore, it is important to local policy and decision-makers to consider the complete range of costs and benefits. However, in spite of the overall positive balance obtained in individual studies assessing the benefits-cost ratio of urban trees, there is no general conclusive evidence due to high variation in values, methodological differences and

the limited number of studies (74). Economic valuation is important for justifying investment in urban tree planting, therefore further studies are needed in this realm (74). Furthermore, the economic valuation should also incorporate the health and social impacts which should be integrated into the decision-making framework and would probably increase the economic benefits

Urban trees provide substantial public health and public environmental benefits. However, some factors should be considered in order to maximize their potential. First, their distribution. The population-weighted-city-average TC was, on average 22% lower than the average TC without considering the population distribution, meaning that the most populated areas have less TC. In addition, previous studies have shown that urban trees are often unevenly distributed across the population, and that socioeconomically disadvantaged groups may be deprived of environmental benefits, constituting a form of environmental injustice (75). This is a reason why the intervention is proposed at a small scale enabling us to consider urban tree distribution in addition to total coverage. Nevertheless, we acknowledge that it is not always possible to meet the target in the scale used, therefore depending on the urban design, the scale of the intervention should vary. Second, planting trees in green areas (ie, parks, squares, community gardens) or grouped in central tree-lined gardens with permeable surfaces, rather than isolated street trees, may have synergic positive effects, improving not only the trees' cooling capacity but also the green spaces' quality and aesthetics, among others, hence maximizing the population health benefits (76).

The sensitivity analyses showed that the greater changes were obtained when using Martinez-Solanas et al (2021) ERFs (-17% and 21%, for the UHI scenario and 30% TC scenario respectively), which were modelled using a broader level of aggregation (ie, NUTS3), considering the entire population and with the E-obs dataset. We used an age and city-specific ERFs (16) in our main analysis, which can better reflect the population's adaptability to ambient temperature. This is particularly important in line with evidence showing differential susceptibility associated with different age groups (ie, older adults and children have a higher risk of dying or becoming ill) (9). In addition, the ERFs also account for some socioeconomic variables, which is crucial considering that vulnerable subpopulations face greater risks of suffering from adverse health effects due to high temperatures (9). Nevertheless, we should note that we applied the same ERF across the whole city, while socioeconomic inequalities are often highly pronounced within each city population (77).

We also obtained great changes when using the city-average UHI (-18%), which were not observed, when using the summer grid-cell average UHI (+2%). This denotes that not considering the spatial variability of the UHI would lead to an underestimation of the real impacts given that often the most densely populated areas are also those with greater UHI intensity (10), which is also reflected in the mean 41% of increase obtained on the populationweighted-UHI compared to the average UHI. A similar outcome was obtained when conducting the analysis considering the city-average cooling instead of the grid-cell level cooling (-19%), emphasizing the importance of accounting for cooling spatial heterogeneity. In such a context, our analysis aims to provide spatial information of the areas that would benefit the most from targeted greening intervention in order to reduce temperatures and ameliorate living conditions of urban dwellers.

Results with alternative scenarios (-21% and +41%, for TC=25% and TC=40%, respectively) suggested a linear association between these values, which facilitates the UGI planification considering that the feasibility of the intervention should be adapted to each local setting. In fact, for cities with low availability of open public space, achieving the 30% TC target can be very challenging. Tree planting programmes will need to target private owned industrial, commercial or institutional spaces beyond publicly managed spaces (ie, streets and parks). We encourage city planners to choose a 30% TC target, however, a 25% TC could be set for compact cities facing space difficulties. In this way, a 25% TC target could also be combined with other strategies beyond tree planting, such as green roofs to reduce local temperature.

The main strengths of our study include the use of a fine spatial scale of 250 m covering 93 European cities, enabling the generation of high-resolution maps that can be used for identifying where interventions are most urgently needed, the use of city and age specific ERFs, the analysis of the attributable impacts to the UHI conducted on a daily basis and the building of a realistic city-specific counterfactual scenario that can partially mitigate the UHI impacts. Likewise, the considerable number of sensitivity analyses and the high correlation obtained between the two main analyses show the robustness of ours results.

Nevertheless, our study also has several limitations that need to be addressed. First, regarding data availability, population data was only available for 2015, which is why we could not conduct the analysis for a more recent year. Also, the mortality data was available at NUTS3 level and on weekly basis, and the age structure at a city level, which made the analysis less sensitive to within city variability and also ignore the potential weekend effects (ie, greater mortality than during weekdays) (78). Moreover, we were not able to build the uncertainty

ranges for both population counts and mortality due to lack of reported errors in the published data resulting in narrower CIs. In spite of this, we were able to consider the exposure spatial variability and uncertainty in both main analyses.

We acknowledge also that this is a study for the summer 2015 meaning that the exact mortality estimations are only attributable for the reference year. However, similar mortality impacts, or even greater could be expected in the near future given that 2015 had summer temperatures similar to other years and that ongoing global warming and the intensification of UHIs might increase the impacts on health due to heat stress (40,58). Our ultimate goal is to generate a broad idea of the health benefits that could be achieved through UGI.

Moreover, we based our analysis on the resident population exposure not considering the daily commuting of people for work or study, which may lead to a misclassification of the exposure. Nevertheless, as shown in this study, night-time UHI is considerably greater than day-time UHI, therefore we consider this limitation may not represent substantial changes on the mortality impacts.

There are further limitations regarding the cooling model. First, we used an U.S dataset to build a predictive model of the relationship between surface temperature and air temperature in EU cities. Although a European dataset would have been ideal, the US one was the best option available given the insufficient coverage of the existing European weather stations network and the wide range of variables covered by the dataset. Furthermore, the model has proven to be reliable when comparing the estimated average temperature with the Urbclim temperature. A second limitation is the weak adjustment the cooling model had for some cities, which may also reflect the weak association between TC and ambient temperature. However, at the same time it enabled us to predict air temperature reduction in a simple and straightforward scalable manner through a wide spatial area. Additionally, the TC cooling capacity may depend also on other variables that were not considered in the model, such as type of trees planted (ie, leaf size and shape (79,80), height and crown width (81)). We also acknowledge that we did not account for the uncertainties each inputs of the models brought, specifically the Etree data which was obtained from another model (56,57). On top of that, a further source of uncertainties is given by the Etree₃₀ estimation. Although probably none of the methods used can accurately estimate evapotranspiration when TC is equal to 30%, we performed sensitivity analyses that revealed there were no significant differences between the methods used in its estimation. In spite of the cooling model limitations, the coarse-grained

approach here can provide a first order guideline on expected cooling effects that is valid across the European region and that may be adjusted to specific city-settings.

We focused on the analysis of the impacts on health of high temperature, yet we need to note the potential role UHI has as low temperatures mitigator (82). Specifically, considering the current greater health impacts of cold in relation to heat in the European region (2,16,58). Nevertheless, under the global warming scenarios, the number of monthly heat records are projected to rise as well as the average temperatures. Therefore, health impacts attributable to heat are projected to exceed cold attributable health impacts in the future under high emission scenarios (58).

Finally, despite achieving a relatively low temperature reduction with the proposed UGI, the cooling obtained can prevent a considerable number of premature deaths. Here, we only estimated the preventable impacts associated with temperature reduction, whereas the full extent of urban greening health benefits should not be assessed on the basis of air cooling alone. Indeed, a previous HIA study by Pereira-Barboza et al (2021) estimated that 20 deaths per 100,000 inhabitants could be prevented annually if European cities complied with the WHO recommendation of access to green space (ie, 300m of distance to a green space from residency; using the NDVI as a proxy of greenness) (45). In spite of not using the same indicator, undoubtedly, our study and Pereira-Barboza et al. (2021) complement each other and indicate an urgent need to carry out actions to green cities for health. Urban greening also mitigates air and noise pollution (83–85), provides biodiversity, promotes population physical activity (76) and has direct impacts on physical and mental health (76,86). Further studies considering all the co-benefits of incorporating UGI in urban areas are necessary in order to demonstrate the full potential of UGI to improve environmental quality and make cities healthier, sustainable and more climate change resilient.

CONCLUSIONS

Our results showed large impacts on mortality due to the UHI in cities, and that these impacts could be partially reduced by increasing the TC in order to cool urban environments. We encourage city planners and decision-makers to incorporate the UGI adapted to each local setting whilst combining with other interventions in order to maximize the health benefits while promoting more sustainable and resilient cities.

Contributors

MN conceptualised the study idea. TI and MC worked on the study design and data collection. TI did the data analysis. TI, MC, MF, SK, EP-B, MQ-Z, and MN contributed to data interpretation. NM and MT provided input on the health impact assessment methods. MF, MH and MC contributed to the development of the Cooling model. JU and MQ-Z provided help with the R script and data management. TI wrote the manuscript. TI, SK, MC, and EP-B accessed and verified the data. All authors reviewed the manuscript and provided feedback on the study design, data analysis, and interpretation of results.

Declaration of interest

We declare no competing interests.

Data Sharing

All the data collected is routinely collected data with no information on specific people. All the data is available upon request to the corresponding author (<u>mark.nieuwenhuijsen@isglobal.org</u>) and with agreement of the steering group.

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(A) Urban heat island

(B) Tree cover density







(B) Preventable mortality rates (for TC=30%)



Figure 2. Distribution of average standardized mortality rates attributable to UHI and preventable under the urban green interventions.

	Exposure- response function (ERF)	Summer preventable deaths (n; 95% Cl)	Summer preventable age-standardized mortality rate (deaths/100,000 inhabitants, 95% Cl)	Summer preventable impact on deaths (%; 95% Cl)	Annual preventable impact on deaths (%; 95% Cl)	Year of life lost (per 100,000 inhaitants. 95% Cl)	Change (%)
Urban Heat Island							
Main	Masselot et al (Forthcoming)	6,700 (5,254 - 8,162)	9.91 (7.71 - 12.07)	4.33 (3.37 - 5.28)	0.90 (0.67 - 1.11)	166.42 (128.47 - 201.98)	-
Sensitivity							
Using mean summer UHI per grid cell	Masselot et al (Forthcoming)	6,854 (6,196 - 7,494)	10.10 (9.08 - 11.00)	4.42 (3.98 - 4.82)	0.90 (0.76 - 0.99)	169.78 (148.98 - 185.44)	+2%
Using mean UHI per city	Masselot et al (Forthcoming)	5,478 (0 - 11,742.28)	8.08 (0.00 - 17.45)	3.51 (0.00 - 7.68)	0.72 (0.00 - 1.66)	135.90 (0.00 - 288.61)	-18%
Using the adjusted annual city mortality dataset	Masselot et al (Forthcoming)	6,933 (5,434 - 8,483)	10.09 (7.80 - 12.33)	4.46 (3.43 - 5.48)	0.93 (0.68 - 1.15)	142.68 (111.95 - 171.82)	+3%
Using the non-adjusted annual city mortality dataset	Masselot et al (Forthcoming)	8,061 (6,319 - 9,864)	11.73 (9.08 - 14.33)	5.19 (3.99 - 6.37)	0.86 (0.65 - 1.04)	165.91 (130.18 - 199.79)	+20%
Using another ERF ¹	Martinez-Solanas et al (2021)	4,401 (3,779 - 5,056)	10.18 (8.75 - 11.65)	4.86 (4.18 - 5.56)	1.17 (0.99 - 1.37)	185.76 (159.65 - 211.70)	-17%
Cooling							
Main	Masselot et al (Forthcoming)	2,644 (2,444 - 2,824)	4.17 (3.83 - 4.49)	1.84 (1.69 - 1.97)	0.37 (0.32 - 0.41)	69.85 (62.36 - 75.67)	-
Sensitivity							
Using mean cooling per city	Masselot et al (Forthcoming)	2,148 (792 - 3,472)	3.21 (0.77 - 5.54)	1.42 (0.38 - 2.43)	0.29 (0.06 - 0.50)	54.06 (15.05 - 91.53)	-19%
Using another ERF ¹	Martinez-Solanas et al (2021)	1,694 (1,580 - 1,811)	3.96 (3.68 - 4.23)	1.87 (1.74 - 2.00)	0.46 (0.42 - 0.50)	72.49 (67.56 - 77.59)	-21%
Using a linerar regression by city for the Etree ₃₀ estimation	Masselot et al (Forthcoming)	2,667 (2,466 - 2,861)	4.19 (3.86 - 4.51)	1.84 (1.70 - 1.98)	0.37 (0.32 - 0.40)	70.24 (62.91 - 76.05)	+1%
Using a linerar regression by biome for the Etree ₃₀ estimation	Masselot et al (Forthcoming)	2,687 (2,477 - 2,888)	4.21 (3.85 - 4.54)	1.87 (1.72 - 2.02)	0.38 (0.32 - 0.41)	71.17 (63.30 - 76.90)	+3%
Additional analysis							
Using as counterfactual Tc=25%	Masselot et al (Forthcoming)	2,092 (1,933 - 2,241)	3.32 (3.05 - 3.58)	1.46 (1.34 - 1.57)	0.29 (0.25 - 0.32)	55.62 (49.46 - 60.18)	-21%
Using as counterfactual Tc=40%	Masselot et al (Forthcoming)	3,727 (3,462 - 3,992)	5.83 (5.38 - 6.26)	2.58 (2.38 - 2.76)	0.51 (0.44 - 0.56)	97.85 (87.77 - 105.99)	+41%

Table 1. Results of the health impact assessment for the main analyses and sensitivity analyses

¹ For the 66 cities covered

City Name	Mean summer temperature (ºC)	Average Urban Heat Island (ºC)	Population- weighted average Urban Heat Island (ºC)	Percentage of population exposed to more than 1º of UHI	Summer attributable deaths (n; 95% CI)	Attributable age- standardized mortality rate (deaths/100,000 inhabitants, 95% CI)	Summer preventable impact on deaths (%; 95% Cl)
Stockholm	16.68	0.34	0.49	0.11	0.00 (-10.00 - 8.72)	0.00 (-1.73 - 1.48)	0.00 (-0.84 - 0.73)
Göteborg	15.93	0.44	0.63	6.84	0.00 (-4.03 - 2.69)	0.00 (-0.88 - 0.59)	0.00 (-0.47 - 0.32)
Newcastle	15.13	0.72	0.78	23.54	0.89 (-2.51 - 4.72)	0.38 (-1.11 - 2.05)	0.16 (-0.46 - 0.86)
Leeds	15.08	0.42	0.63	14.29	3.32 (-6.23 - 13.92)	0.63 (-1.31 - 2.76)	0.28 (-0.53 - 1.19)
Tallinn	16.38	0.95	1.11	75.19	2.13 (-0.56 - 4.30)	0.73 (-0.17 - 1.44)	0.29 (-0.08 - 0.60)
Cluj-Napoca	23.09	2.43	3.00	95.67	71.12 (65.49 - 77.05)	32.49 (29.89 - 35.14)	10.36 (9.54 - 11.23)
Málaga	27.75	1.91	2.42	98.76	112.69 (100.53 - 124.59)	27.29 (24.32 - 30.20)	12.39 (11.05 - 13.70)
Barcelona	25.82	1.09	1.47	76.70	362.96 (312.73 - 405.94)	26.69 (22.91 - 30.02)	14.82 (12.77 - 16.58)
Budapest	24.82	1.60	1.90	93.95	378.10 (316.06 - 425.43)	25.71 (21.34 - 28.92)	8.77 (7.33 - 9.86)
Palma de Mallorca	27.06	0.88	1.17	73.21	69.50 (57.37 - 81.00)	23.87 (19.57 - 27.94)	11.99 (9.90 - 13.97)

Table 2. Main health impact assessment results of the urban heat island in ten European cities with the lowest (top) and the highest (bottom) attributable mortality impacts.

City Name	Average tree cover density (%)	Population- weighted average tree cover density (%)	Average tree cover density increment (%)	Average cooling (ºC)	Maximum cooling (ºC)	Summer preventable deaths (n; 95% CI)	Annual preventable age-standardized mortality rate (deaths/100,000 inhabitants, 95% CI)	Summer preventable impact on deaths (%; 95% Cl)
Oslo	34.62	29.42	3.76	0.10	0.81	0.01 (-0.56 - 0.67)	0.00 (-0.15 - 0.17)	0.00 (-0.07 - 0.09)
Bari	15.83	8.99	14.08	-0.02	0.47	0.26 (0.01 - 0.45)	0.09 (0.01 - 0.16)	0.05 (0.00 - 0.09)
Glasgow	19.02	17.29	11.97	0.04	0.24	0.61 (0.42 - 0.77)	0.15 (0.11 - 0.19)	0.05 (0.03 - 0.06)
Lille	12.97	15.26	16.11	0.01	0.22	0.90 (0.72 - 1.08)	0.17 (0.14 - 0.20)	0.07 (0.06 - 0.09)
Edinburgh	25.36	25.48	5.40	0.02	0.33	0.62 (0.43 - 0.80)	0.18 (0.12 - 0.23)	0.08 (0.05 - 0.10)
Palma de								
Mallorca	8.03	5.15	23.03	0.68	1.04	62.56 (61.31 - 63.72)	21.60 (21.19 - 22.00)	1.95 (1.91 - 1.99)
Barcelona	8.41	5.39	23.31	0.70	0.89	214.52 (205.60 - 220.98)	15.84 (15.16 - 16.33)	1.69 (1.62 - 1.74)
Split	5.40	1.79	25.93	0.79	1.04	14.72 (13.95 - 15.38)	12.44 (11.80 - 12.99)	0.71 (0.67 - 0.74)
Naples	13.05	6.37	19.67	0.64	1.00	75.77 (72.14 - 79.34)	11.28 (10.72 - 11.81)	0.98 (0.93 - 1.02)
Murcia	10.31	8.85	20.83	0.66	1.25	29.85 (29.04 - 30.60)	10.60 (10.31 - 10.86)	0.96 (0.93 - 0.98)

Table 3. Main health impact assessment results of the TC=30% scenario in ten European cities with the lowest (top) and the highest (bottom) preventable mortality impacts.