Practical strategies for SARS-CoV-2 RT-PCR testing in resource-

constrained settings

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- Running Title: SARS-CoV-2 testing strategies

32 ABSTRACT

- 33 Background Standard nasopharyngeal swab testing for SARS-CoV-2 detection by PCR is not
- 34 always feasible due to limitations in trained personnel, personal protective equipment, swabs,
- 35 PCR reagents, and access to cold chain and biosafety hoods.
- 36 **Methods** We piloted the collection of nasal mid-turbinate swabs amenable to self-testing,
- 37 including both standard polyester flocked swabs as well as 3D printed plastic lattice swabs,
- 38 placed into either viral transport media or an RNA stabilization agent. Quantitative SARS-CoV-2
- 39 viral detection by RT-qPCR was compared to that obtained by nasopharyngeal sampling as the
- 40 reference standard. Pooling specimens in the lab versus pooling swabs at the point of collection
- 41 was also evaluated.
- 42 **Results** Among 275 participants, flocked nasal swabs identified 104/121 individuals who were
- 43 PCR-positive for SARS-CoV-2 by nasopharyngeal sampling (sensitivity 87%, 95% CI 79-92%),
- 44 mostly missing those with low viral load (<10^3 viral copies/uL). 3D-printed nasal swabs
- 45 showed similar sensitivity. When nasal swabs were placed directly into an RNA stabilizer, the
- 46 mean 1.4 log decrease in viral copies/uL compared to nasopharyngeal samples was reduced to
- 47 <1 log, even when samples were left at room temperature for up to 7 days. Pooling sample
- 48 specimens or swabs both successfully detected samples $>10^2$ viral copies/uL.
- 49 **Conclusions** Nasal swabs are likely adequate for clinical diagnosis of acute infections to help
- 50 expand testing capacity in resource-constrained settings. When collected into an RNA
- 51 preservative that also inactivates infectious virus, nasal swabs yielded quantitative viral loads
- 52 approximating those obtained by nasopharyngeal sampling.
- 53

54 BACKGROUND

- 55 Since the start of the SARS-CoV-2 pandemic, testing has been a cornerstone of the public health
- 56 response. The de facto standard for clinical testing is PCR from nasopharyngeal (NP) swabs.
- 57 However, nasopharyngeal sampling must be performed by trained staff using personal
- 58 protective equipment (PPE). Shortages in both, as well as NP swabs themselves, often manifest
- 59 when case counts climb. A wide array of strategies amenable to self-collection have been
- 60 piloted to expand testing capacity, including the collection of nasal swabs, oropharyngeal and
- 61 tongue swabs, saliva, and oral rinses (1–3). The volume of tests conducted can also become
- 62 burdensome and lengthen turnaround time, spurring interest in pooled testing strategies in low

prevalence and settings (4–8). Finally, regarding sample storage and transport, viral specimens
are typically placed in viral transport medium, and CDC recommends maintenance of cold chain
prior to processing (1), but this may not be possible in all settings.

66 In order to implement a household transmission study in the early phases of the epidemic in

67 North Carolina, when shortages of PPE and swabs were prevalent, we adopted a strategy of

- 68 self-collected nasal swabs from household members during follow-up. Here we compare this
- 69 strategy to concurrently collected nasopharyngeal swabs at enrollment in our study population.
- 70 We piloted different types of swabs stored in different media. Given interest in pooling
- strategies for high throughput testing, we also used our cohort to test two different pooling
- strategies: pooling swabs at the point of collection or pooling sample lysate in the lab. Our
- 73 findings provide confidence in using self-collected nasal swabs, preferably stored in an RNA
- 74 stabilizer, when nasopharyngeal sampling is not feasible.
- 75

76 METHODS

77 Clinical samples

78 Clinical samples were collected as part of a SARS-CoV-2 household transmission study 79 conducted in the Piedmont region of North Carolina. The study received ethical approval from 80 the Institutional Review Board at the University of North Carolina-Chapel Hill and is registered 81 at clinicaltrials.gov (NCT04445233). Participants were enrolled if they were adults that tested 82 positive for SARS-CoV-2 by PCR at the UNC Respiratory Diagnostic Center and shared a living 83 space with one or more persons who also agreed to participate. At enrollment, a standard clinician-collected nasopharyngeal (NP) swab was performed, followed by up to two other nasal 84 85 swabs (on different sides) that were either collected by study staff or self-collected by the 86 participant or their guardian with guidance from study staff (**Figure S1**). For nasal sampling, 87 participants were instructed to insert the swab about 1-2 inches into one nostril, then swirl 5 88 times while slowly withdrawing the swab before placing it into the collection tube. All samples 89 were placed into a cooler on ice prior to processing in a BSL2+ laboratory space.

90

91 Sample collection strategies

Flocked NP swabs were collected into 3mL of Becton Dickinson's co-packaged universal viral
 transport system. Two types of nasal swabs designed for mid-turbinate sampling (NMT) were

94 used: flocked NMT swabs (COPAN, Murrietta CA) and 3D-printed lattice NMT swabs (Resolution 95 Medical, Fridley MN) (Figures S1). Both were collected into 3mL viral transport media (VTM) 96 prepared using CDC SOP# DSR-052-05. Upon sample receipt in the laboratory, 1mL of the 97 collected sample was combined with 1mL 2X DNA/RNA Shield, a nucleic acid preservation agent 98 and lysis buffer (Zymo Research), and stored at -80°C until extraction. RNA was extracted from 99 200uL of the lysate using the Quick-RNA Viral 96 Kit (Zymo Research) and eluted in 20uL of 100 water. We also evaluated the effect of storage media by collecting flocked NMT swabs directly 101 into 3mL of 1X DNA/RNA Shield (Shield), with aliquots either frozen immediately upon return to 102 the lab or left at room temperature for 4 or 7 days before being stored at -80°C. RNA was 103 extracted from 100 uL of the lysate using the same extraction and elution protocols.

104

105 **qRT-PCR viral quantification**

106 Samples were tested using a CDC RT-qPCR protocol authorized for emergency use that consists 107 of three unique assays: two targeting regions of the virus' nucleocapsid gene (N1, N2) and one 108 targeting human RNase P gene (RP) (Catalog # 2019-nCoVEUA-01, Integrated DNA 109 Technologies) (9). 5uL of extracted RNA was added to 15uL of each assay's reaction mixture 110 containing TagPath 1-Step RT-gPCR Master Mix, CG (Thermofisher Scientific) and the 111 corresponding primer-probe set (IDT), followed by the recommended thermocycler protocol. 112 Plasmid DNA containing the human RPP30 gene and SARS-CoV-2 in vitro transcribed RNA 113 control (nCoVPC, IDT) were used as positive controls. Water was used as a negative extraction 114 control. Samples were designated positive if all three PCRs were positive (N1 and N2 for virus, 115 RP for adequate sampling). If the N1 and N2 PCRs were negative, but the RP assay had a Ct 116 value \geq 30 or was negative, suggesting inadequate sampling, then the sample was re-extracted. 117 The second result was reported if the RP Ct value was <30 or if both N1 and N2 PCRs were 118 positive regardless of RP Ct value. 119 The viral load of each sample, in copies/uL, was extrapolated from standard curves generated

120 for each viral assay (N1 and N2) using serial dilutions of nCoVPC (2 to 100,000 viral RNA

121 copies/uL). The average copies/uL between the N1 and N2 assays was used as the final

122 quantitative viral load. Based on the sample collection and RNA extraction volumes as well as

volume of template RNA used in the RT-qPCR (5uL), this viral load represents the number of

124 viral RNA copies per 5 uL of VTM or Shield sample.

125 **Pooling strategies**

126 The efficacy of pooling NMT samples was examined through two different approaches: pooling 127 swabs at the point of care into the same collection vessel and pooling individual sample lysates 128 prior to extraction. For the first strategy, self-collected 3D-printed lattice NMT swabs from each 129 member of a household of three or more were collected and pooled together in 5mL of VTM. 130 This was done at one or more of the study visits for each household. 200uL of the sample lysate 131 was extracted and quantified as above. Results were compared to the self-collected individual 132 flocked NMT swab collected at the same visit. In the second pooling strategy, one qRT-PCR 133 positive sample lysate from a flocked NMT swab (pre-RNA extraction) was pooled with sample 134 lysate from negative individuals to construct pool sizes of 5, 10, 15, and 20. The Ct values of twelve samples with viral copies/uL ranging from 10¹ to 10⁷ were compared to the Ct values of 135 136 their corresponding pools. 137

138 Statistical analysis

139 A probit analysis of results from the nCoVPC plasmid control concentrations (ranging from 2 to 140 100,000 copies/uL as part of standard curves generated in every RT-qPCR run) by parametric 141 curve fitting to hit rate data was used to determine the limit of detection (LOD) of the N1 and 142 N2 gRT-PCR assays. Samples that were positive in both N1 and N2 assays, but with an average 143 viral load that fell below the LOD were categorized as indeterminate. The sensitivity and 144 specificity of different swab types for RT-qPCR detection of SARS-CoV-2 was calculated using 145 flocked NP swabs as the reference standard. Additionally, the difference in the quantitative viral load was compared for different collection strategies. Comparisons were made on the log 146 147 scale and analyzed using Wilcoxon matched-pairs signed rank testing with a p-value<0.05 148 considered significant. Statistical analyses were performed using GraphPad Prism 8 and SAS 9.4 149 (Cary, NC).

150

151 **RESULTS**

152 We report data from 644 swab samples collected from 275 participants (91 households) at

enrollment, 24 pools collected at follow-up or enrollment, and 44 pools constructed from

154 participant samples in the lab. Participants ranged in age from 1-77 years old, with 71% >18

155 years of age.

156 Limit of detection of RT-qPCR assay

- 157 Probit analysis of nCoVPC plasmid control concentrations tested in 33 RT-qPCR runs yielded a
- limit of detection (LOD) for the N1 and N2 assays of 9 and 13 copies/uL, respectively (**Table S1**).
- 159 The average LOD between the two assays, 11 copies/uL, was used as the cutoff for sample
- 160 positivity. A sample was deemed positive if the average viral load derived from the cycle
- 161 threshold (Ct) values of N1 and N2 corresponded to a concentration ≥11 copies/uL,
- 162 indeterminate if <11 copies/uL, and negative if either assay failed to amplify. Altogether,
- 163 21/702 (3.0%) samples tested fell into the indeterminate category. Another 33 (4.7%) samples
- 164 only amplified in one assay (N1 or N2 assay), but with a Ct value corresponding to a viral load
- that fell below the LOD. Only 2 samples (0.3%) were discordant between the N1 and N2 assays
- 166 (positive in one but not the other).
- 167

168 Comparison of collection swabs and storage medium

- 169 Compared to
- 170 nasopharyngeal sampling,
- 171 flocked nasal mid-
- 172 turbinate (NMT) swabs
- 173 displayed slightly
- 174 decreased sensitivity, but
- 175 were well-accepted by the
- 176 participants and yielded
- 177 adequate sampling.
- 178 Altogether, at enrollment,
- 179 275 study participants
- 180 completed 226 NP swabs
- 181 and 418 NMT swabs (255
- 182 flocked and 51 3D-printed
- in VTM, 112 flocked in
- 184 Shield) (Figure S1). Of the
- 185 49 participants that
- 186 declined to do NP swabs,



Figure 1. Concordance and comparison of SARS-CoV-2 viral loads from paired nasopharyngeal (NP) and nasal mid-turbinate (NMT) swabs. Paired NP and NMT swabs from 173 participants showed overall good concordance, with most discordances (15/16) arising from positive NP/ negative NMT samples. Quantititative viral loads derived from the average of N1 and N2 qRT-PCR assays favored NP swabs compared to NMT swabs. A y=x dashed line is drawn for reference.

187 46 agreed to at least one type of NMT swab. Inadequate sampling, as defined by negative N1

NP+

104

16

NP+

23

1

N = 32 swab pairs (0 indeterminate swabs)

NMTVTM+

24

4

N = 48 swab pairs (3 Indeterminate swabs)

Sensitivity 86% (95% CI, 67 - 96%)

Specificity 80 (95% CI, 56 - 94%)

Sensitivity 96% (95% CI, 79 - 100%)

Specificity 100 (95% CI, 63 - 100%)

N = 185 swab pairs, (12 indeterminate swabs)

Sensitivity 87% (95% CI, 79 - 92%)

Specificity 98% (95% CI, 90 - 100%)

188 and N2 PCRs in concert with a negative human RP PCR or Ct \geq 30, occurred in small numbers of

NF

NP -

0

8

NMT VTM -

4

16

- 189 flocked
- 190 swabs, but a

Α

NMTVTM +

NMTVTM -

3D VTM +

3D VTM -

3D VTM+

3D VTM-

- 191 substantial
- 192 proportion of
- 193 3D-printed
- 194 plastic lattice
- 195 swabs: 1/226
- 196 (0.4%) of NP
- 197 swabs,
- 198 14/343 (4.1%)
- 199 of flocked
- 200 NMT swabs,
- 201 and 11/51
- (21.6%) of 202
- 203 3D-printed
- 204 plastic lattice
- 205 swabs.
- 206
- 207 Using NP
- 208 swabs as the
- 209 reference
- 210 standard,
- 211 flocked NMT
- 212 swabs
- 213 showed excellent specificity (98%, 95% CI 90-100%) but slightly decreased sensitivity (87%, 95%
- 214 CI 79-92%) for SARS-CoV-2 detection by RT-qPCR (Figures 1&2). Of 173 NP-NMT swab pairs, 104
- were both positive, 52 both negative, and 10% (17/173) were discordant. Three of these 215
- 216 discordances were likely due to inadequate sampling (1 NP, 2 NMT swabs with RP Ct value \geq 30),
- 217 while 71% of the rest (10/14) occurred in samples with low viral loads (<10³ viral copies

В			
NP -			NP+
1		NMT D1 shield +	19
52		NMT D1 shield -	3
()		Sancitivity 96 40	

Sensitivity 86.4% (95% Cl, 65 - 97%) Specificity 100% (95% CI, 79 - 100%) N = 40 pairs (2 indeterminate samples)

	NP+	NP -
NMT D4 shield +	29	0
NMT D4 shield -	7	18

NP ·

0

16

Sensitivity 81% (95% CI, 64 - 92%) Specificity 100% (95% CI, 82 - 100%)

N = 56 pairs (2 Indeterminate samples)

	NP+	NP -
NMT D7 shield +	40	0
NMT D7 shield -	3	23

Sensitivity 93% (95% CI, 81 - 99%) Specificity 100% (95% CI, 85 - 100%) N = 68 pairs (2 indeterminate samples)

Figure 2. Concordance of SARS-CoV-2 RT-qPCR detection between nasopharyngeal (NP) swabs and two different nasal mid-turbinate (NMT) swab types, stored in viral transport media (VTM) or 1x DNA/RNA shield (Shield). In (A), sensitivity and specificity of standard flocked (NMT) or 3D-printed (3D) nasal swabs collected into VTM are shown using NP swabs with co-packaged universal viral transport system as the reference standard. Concordance of flocked vs. 3D nasal swabs is also shown. In (B), flocked NMT swabs were stored in Shield, and sample aliquots were directly frozen on day 1 (D1) or kept at room temperature before being stored at -80C on day 4 (D4) or day 7 (D7). Note that samples with indeterminate viral load (<11 copies/ul) were not included in the sensitivity/specificity analyses.

- 218 detected in the NP swab). In the 104 positive swab pairs, NMT samples displayed lower average
- viral loads (Spearman correlation coefficient=0.67, Figure 1), with a mean 1.3 log decrease in
- viral copies/uL (IQR 0.6 2.1 log viral copies/ul) compared to NP sampling (p<0.0001) (Figure
- 3A). This was at least partly due to a sampling difference, as NMT swabs also showed on

А

- average 3.1 cycles higher Ct values in the human RP PCR (Figure S2).
- 223
- 224 Though the



- 227 lattice NMT
- 228 swabs were
- 229 more likely
- 230 to lead to
- ____
- 231 inadequate
- 232 sampling,
- 233 positive
- 234 samples
- 235 showed
- 236 guantitative
- 237 viral loads
- 238 similar to
- 239 flocked
- 240 NMT swabs



В

NMT or 3D NMT swabs (A) as well as NMT swabs collected into 1x DNA/RNA Shield and stored for different intervals (B). The distribution of the difference in log viral load is depicted for each comparison. Median log-fold changes are indicated by a solid line with interquartile values indicated by dotted lines. The number of sample pairs is indicated for each comparison.

(Figure 3A). This was true despite on average 1.2 higher Ct values for the human RP assay in the
3D vs. flocked swabs. Compared to NP sampling, 3D-printed NMT swabs displayed 95.7%

- 243 sensitivity (95% CI 78.1%-99.9%) and 100% specificity (95% CI 63.1-100%) among 48 swab pairs
- 244 (Figure 2).
- 245
- 246 Placing flocked NMT swabs directly into 1x DNA/RNA Shield did not improve the sensitivity of
- 247 detection, but did result in viral loads comparable to those obtained by NP sampling. Aliquots
- of Shield samples were either directly stored at -80C (similar to other samples collected on day

- 249 1), or left out at room temperature for 4 or 7 days prior to freezing and processing. All NMT
- 250 Shield samples showed a specificity of 100% compared to NP swabs, while sensitivity ranged
- 251 86%, 78%, and 91% for the samples frozen at day 1, 4, and 7, respectively (Figure 2).
- 252 Altogether, regardless of how many days the Shield samples were left out, the overall
- sensitivity was 85% (95% CI 77-92%). While sensitivity for detection was slightly diminished,
- 254 quantitative viral loads derived from NMT Shield aliquots frozen on day 1 were comparable to
- 255 NP viral loads (mean decrease of 0.5 log viral copies/uL (IQR -0.3-1.4), p=0.09) (Figure 3B). For
- aliquots left at room temperature until day 4 and day 7, we observed a mean decrease that was
- still <1 log viral copies/uL compared to NP sampling (mean 0.8 and 0.8 log viral copies/ul,
- 258 respectively (p=0.001 and p=0.0002) (Figure 3B).
- 259

260 Pooling strategies

- 261 The pooling strategies
- 262 implemented were sufficient
- 263 for detecting samples with
- viral loads >10^2 copies/uL
- 265 but were not as sensitive as
- 266 individual swabs for detecting
- 267 samples with lower viral loads.
- 268 Of the 24 pools of 3D-NMT
- 269 swabs pooled at the point of
- 270 care, 3 were indeterminate,
- and 2 (8%) yielded discordant
- 272 results (depicted as red stars
- in Figure 4). Under the
- assumption that the
- 275 concurrently collected
- 276 individual flocked NMT swabs
- 277 were accurate, the two



Figure 4. Comparison of Ct values from nasal mid-turbinate (NMT) swabs pooled from households of 3-5 persons at the point of care vs. concurrently collected individual NMT swabs. Among the pools collected from 24 households (listed along the x axis in order of decreasing viral loads), 2 pools with discordant results from individual swabs are depicted as red stars. Viral loads derived from the Ct values for each sample and the corresponding pool are found in Table S2.

- 278 discordant results were false
- 279 negative pools where the
- 280 individual swab had a viral
- 281 load <100 copies/uL, close to
- the limit of detection (**Table**
- 283 **S2**). Of the 22 concordant
- 284 pools, 8 were negative and 11
- 285 were positive, mostly with
- 286 individual swab viral loads
- 287 ≥10^2 copies/uL.
- 288

291

- 289 Similarly, when individual
- 290 sample lysates were pooled

in the lab at varying pool





- 292 sizes, none of the 2 sets of experimental pools containing a sample with a viral load of 10¹ 293 copies/uL were positive (Figure 5). Of the 3 sets of pools containing a sample with a viral load of 294 10^2 copies/uL, 2 were positive at every pool size, while the remaining set was positive within 295 pools of 5 and 10 samples, but indeterminate when the pool size was increased to 15 and 20 296 samples. The remaining pools constructed with samples with a viral load >10^2 copies/uL were 297 positive across all pool sizes. The average total Ct value increase for the pools that remained 298 positive at a pool size of 20 samples was 5.1 cycles, close to the expected 4.3 cycle increase for 299 a sample diluted 1:20 using a PCR with 100% amplification efficiency.
- 300

301 **DISCUSSION**

- 302 In a highly exposed outpatient cohort, we found nasal swabs to be reasonably sensitive,
- 303 capturing 87% of SARS-CoV-2 infections diagnosed by nasopharyngeal sampling. This estimate
- 304 is similar to most other outpatient studies showing >85% concordance between self-collected
- 305 nasal swabs (either nasal mid-turbinate or anterior nasal swabs) and clinician-collected
- nasopharyngeal sampling (2,10–13). Not all studies are consistent however, likely due to
- 307 heterogeneity in testing environments, and inclusion of non-acute samples collected during
- 308 follow-up (14,15).

309 By calculating quantitative SARS-CoV-2 viral loads, our study gives clarity on where sensitivity is 310 diminished (16). For the majority of participants in which nasal sampling failed to detect virus, 311 the NP viral load was <1000 copies/uL, at a level that is likely non-infectious. Of these 312 participants, 7/11 were antibody-positive at the time of sampling (unpublished data), and for 313 the 8/11 participants still reporting symptoms, the average duration of reported symptoms was 314 6.5 days. Thus nasal samples are likely adequate for clinical diagnosis of acute infections to help 315 expand testing capacity, but insensitivity to low viral load infections should be taken into 316 consideration. On average, the decreased sensitivity of NMT swabs led to a little over a log 317 decrease in viral copies/uL compared to NP swabs.

318

Our pragmatic approach of "show one, then do one" meant that nasal swabs were both clinician and self-collected. Also, since we often collected two nasal swabs per person, one from each nostril, our sampling strategy may have slightly underperformed relative to other studies that sample both nostrils with the same swab. It should be noted that we tested flocked and 3D-printed lattice swabs, but did not test dry swabs or non-flocked cotton swabs. Where high quality swabs are not available, but other swab types are plentiful, a strategy of combining oral and nasal samples appears promising (17).

326

327 3D-printed plastic swabs may also help address supply chain shortages (18,19). We first 328 acquired prototype NMT lattice swabs from Resolution Medical in anticipation of shortage of 329 supplies for our research study. In our limited testing, the prototype 3D-printed NMT lattice 330 swabs showed high categorical concordance with NP swabs and also yielded similar viral loads 331 compared to flocked NMT swabs. Similar high concordance has been demonstrated for 3D-332 printed nasopharyngeal swabs (18–20). Anecdotally, the prototype 3D-printed were observed 333 to be more uncomfortable for study participants compared to flocked NMT swabs, a sentiment 334 shared by other studies (18). This may have contributed to the higher proportion of samples 335 deemed as inadequate sampling.

336

Labs also face VTM shortages requiring alternate transport media (21,22). Reagents which can
 inactivate virus and also keep samples stable at ambient temperature may be particularly apt
 substitutes (23). We used 1xDNA/RNA shield (Zymogen), an RNA preservation agent that has

been widely used to inactivate SARS-CoV-2 and other respiratory viruses in various sample

341 types and is now part of saliva and NMT Shield collection kits that have received FDA

emergency use authorization (24–26). In our hands, storage of nasal swab samples in Shield did

not improve their overall diagnostic sensitivity, but positive NMT swabs stored in Shield

344 maintained quantitative viral loads more similar to those detected in concurrently collected NP

345 swabs.

346

Pooling specimens in the lab is a well-documented strategy to accelerate SARS-CoV-2 testing in high-throughput settings (4–6). As in previous studies, we found that although Ct values do increase with pooling, the strategy can be broadly successful (27–31). Samples with viral loads at or near the limit of detection (31), or <10³ viral copies/uL in the CDC EUA assay we adopted, may go undetected as pool sizes increase. This was even more apparent when pooling swabs at the point of collection, which we piloted as unsupervised self-collection of 3D-printed swabs into the same conical tube containing 5mL of VTM.

354

Our findings add to the evidence base for nasal swabs as an adequate substitute for PCR-based clinical diagnosis of SARS-CoV-2 infection in outpatient settings where nasopharyngeal sampling is challenging. Viral recovery can be maintained even when immediate cold chain is not possible by storing swabs in an RNA preservation agent that also deactivates infectious virus. Combined with pooling specimens in the lab, these practical strategies can help expand testing in resource-constrained settings.

361

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476 FIGURE LEGENDS

- 477 Figure 1. Concordance and comparison of SARS-CoV-2 viral loads from paired nasopharyngeal
- 478 (NP) and nasal mid-turbinate (NMT) swabs. Paired NP and NMT swabs from 173 participants
- 479 showed overall good concordance, with most discordances (15/16) arising from positive
- 480 NP/negative NMT samples. Quantitative viral loads derived from the average of N1 and N2 qRT-
- 481 PCR assays favored NP swabs compared to NMT swabs. A y=x dashed line is drawn for
- 482 reference.
- 483
- 484 Figure 2. Concordance of SARS-CoV-2 RT-qPCR detection between nasopharyngeal (NP) swabs
- 485 and two different nasal mid-turbinate (NMT) swab types, stored in viral transport media
- 486 (VTM) or 1x DNA/RNA shield (Shield). In (A), sensitivity and specificity of standard flocked

487 (NMT) or 3D-printed (3D) nasal swabs collected into VTM are shown using NP swabs with co-

- 488 packaged universal viral transport system as the reference standard. Concordance of flocked vs.
- 489 3D nasal swabs is also shown. In (B), flocked NMT swabs were stored in Shield, and sample
- 490 aliquots were directly frozen on day 1 (D1) or kept at room temperature before being stored at
- 491 -80C on day 4 (D4) or day 7 (D7). Note that samples with indeterminate viral load (<11
- 492 copies/ul) were not included in the sensitivity/specificity analyses.
- 493

494 Figure 3. Comparison of SARS-CoV-2 viral loads between standard NP swabs and NMT or 3D
 495 NMT swabs (A) as well as NMT swabs collected into 1x DNA/RNA Shield and stored for

496 **different intervals (B).** The distribution of the difference in log viral load is depicted for each

497 comparison. Median log-fold changes are indicated by a solid line with interguartile values

- indicated by dotted lines. The number of sample pairs is indicated for each comparison.
- 499

500 Figure 4. Comparison of Ct values from nasal mid-turbinate (NMT) swabs pooled from

501 households of 3-5 persons at the point of care vs. concurrently collected individual NMT

502 **swabs.** Among the pools collected from 24 households (listed along the x axis in order of

503 decreasing viral loads), 2 pools with discordant results from individual swabs are depicted as

- red stars. Viral loads derived from the Ct values for each sample and the corresponding pool arefound in Table S2.
- 506

507 Figure 5. Ct values of increasing pool sizes constructed containing a single positive sample

508 with varying viral loads. Viral transport media from a single positive sample with a viral load

ranging from 10^1 to 10^7 were used to construct pool sizes of 5, 10, 15,



NP viral copies/uL

Α

	NP +	NP -
NMT VTM +	104	1
NMT VTM -	16	52

Sensitivity 87% (95% Cl, 79 - 92%)

Specificity 98% (95% Cl, 90 - 100%)

N = 185 swab pairs, (12 indeterminate swabs)

	NP +	NP -
3D VTM +	23	0
3D VTM -	1	8

Sensitivity 96% (95% CI, 79 - 100%) Specificity 100 (95% CI, 63 - 100%)

N = 32 swab pairs (0 indeterminate swabs)

	NMT VTM +	NMT VTM -
3D VTM+	24	4
3D VTM-	4	16

Sensitivity 86% (95% CI, 67 - 96%)

Specificity 80 (95% CI, 56 - 94%)

N = 48 swab pairs (3 Indeterminate swabs)

В

	NP +	NP -
NMT D1 shield +	19	0
NMT D1 shield -	3	16

Sensitivity 86.4% (95% CI, 65 - 97%)

Specificity 100% (95% CI, 79 - 100%)

N = 40 pairs (2 indeterminate samples)

	NP+	NP -
NMT D4 shield +	29	0
NMT D4 shield -	7	18

Sensitivity 81% (95% CI, 64 - 92%)

Specificity 100% (95% CI, 82 - 100%)

N = 56 pairs (2 Indeterminate samples)

	NP+	NP -
NMT D7 shield +	40	0
NMT D7 shield -	3	23

Sensitivity 93% (95% CI, 81 - 99%)

Specificity 100% (95% CI, 85 - 100%)

N = 68 pairs (2 indeterminate samples)





