

1 **Title**

2 **Exposure to PM_{2.5} and lung function growth in pre- and early adolescent schoolchildren**

3 A longitudinal study involving repeated lung function measurements in Japan

4

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28 **Abstract**

29 Rationale: Epidemiological evidence indicates ambient exposure to PM_{2.5} have adverse effects on lung
30 function growth in children, but it is not actually clear whether exposure to low level PM_{2.5} results in
31 long-term decrements in lung function growth in pre- to early adolescent schoolchildren.

32 Objectives: To examine long-term effects of PM_{2.5} within the 4-yr average concentration range of 10
33 to 19 µg/m³ on lung function growth with repeated measurements of lung function tests.

34 Methods: Longitudinal analysis of 6,233 lung function measurements in 1,466 participants aged 8 to
35 12 from 16 school communities in 10 cities around Japan, covering a board area of the country to
36 represent concentration range of PM_{2.5}, was done with multilevel linear regression model. Forced
37 expiratory volume in 1 second (FEV1), forced vital capacity (FVC), and maximal expiratory flow at
38 50% of FVC (V50) were used as lung function indicators to examine effects of 10-µg/m³ increases in
39 PM_{2.5} concentration on relative growth per 10-cm increase in height.

40 Measurements and Main Results: Overall annual mean PM_{2.5} concentration was 13.5 µg/m³ (range:
41 10.4 to 19.0 µg /m³). We found no association between any of the lung function growth indicators and
42 increases in PM_{2.5} levels in children of either sex, even after controlling for potential confounders.

43 Analysis with two-pollutant models with O₃ or NO₂ did not change the null results.

44 Conclusions: This nationwide longitudinal study suggests that concurrent, long-term exposure to
45 PM_{2.5} at concentrations ranging from 10.4 to 19.0 µg/m³ has little effect on lung function growth in
46 pre-adolescent boys and pre- to early adolescent girls.

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50 **Introduction**

51 A growing body of epidemiological evidence has been accumulated regarding the effects of PM_{2.5}
52 exposure on lung health in children (1-19), and studies employing a longitudinal design with repeated
53 measurements of lung function have been particularly useful in improving our understanding of the
54 effects of PM_{2.5} exposure on lung function growth (5-11, 17-19). Evaluating the impact of air pollution
55 exposure on lung function development in school-age and adolescent children is essential, because
56 lung function growth is closely linked with the adolescent growth spurt that occurs at about 10 years
57 of age in girls and 12 years of age in boys (20). Recent longitudinal studies demonstrated that lung
58 health during pre-adolescent and young adulthood is important to predict middle-age lung function or
59 occurrence of respiratory disorders in later life. Trajectories of FEV1 from 7 to 53 years of age in the
60 Tasmanian Longitudinal study identified 6 distinct trajectories and showed that not only ‘persistently
61 low’ but also ‘below average’ trajectory had increased risk of developing chronic obstructive
62 pulmonary disease (COPD) by middle age (21). In the same cohort, respiratory risk profiles at age 7
63 such as parental smoking or frequent asthma, bronchitis, allergy were associated with future decline
64 in FEV1 and FVC at age 53 and with increased risk of COPD at age 53 (22). In the study from UK
65 cohorts, 44 cytosine-phosphate-guanine dinucleotide (CPG) sites of DNA methylation measured in
66 peripheral blood at age 10 was associated with lung function trajectories from age 10 to age 26, also
67 indicating the importance of environmental insults during pre-adolescent period for lung health (23).

68 A key evidence with wider range of PM_{2.5} levels has been reported from the Southern California
69 Children’s Health Study (CHS), and the latest results of the CHS showed that improvements in air
70 quality resulted in improvements in 4-year growth of FEV1 and FVC in children between the ages of
71 11 and 15 across the 3 cohorts. In fact, the 3-year mean PM_{2.5} levels improved significantly from
72 cohort to cohort: in the 1994 cohort the levels ranged from 21.3 to 31.5 µg/m³, in the 1997 cohort they
73 ranged from 19.9 to 27.6 µg/m³, and in the 2007 cohort the range was 11.9 to 17.8 µg/m³ (10).

74 The preceding epidemiological evidence suggests that reductions in lung function growth could be
75 observed in adolescents aged 10 and over when PM_{2.5} levels exceed 20 µg/m³. However, information
76 is quite limited regarding the long-term effects of exposure to PM_{2.5} within the range of 10 to 19 µg/m³

77 on lung function growth as measured with repeated lung function tests in pre-adolescents and
78 adolescents. Therefore, we carried out repeated lung functions tests in a longitudinal study of pre- and
79 early adolescent schoolchildren living in Japanese communities where annual PM_{2.5} levels ranged
80 from 10 to 19 µg/m³, and we report herein on the association between exposure to PM_{2.5} and lung
81 function growth in these children.

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83

84 **Methods**

85 **Study design and participants**

86 We initiated a prospective study of 3rd grade elementary school children aged 8-9 recruited from 9
87 public elementary schools of 6 cities in 2011 and 7 public elementary schools of 4 cities in 2012, in
88 total 16 school communities in 10 cities in 2011-2012, covering a broad area of the country to represent
89 wide concentration range of PM_{2.5} in Japan to maximize geographical variability of PM_{2.5}
90 concentration over Japan (Fig. 1). Prior to the recruitment process, we evaluated the feasibility of
91 conducting a longitudinal study that would be to maximize statistical power to increase the probability
92 of detecting the effects on annual average of FEV1 growth comparable to those in the CHS 1993
93 cohort (4) under the PM_{2.5} concentration distributions from April 2001 to March 2005 in Japan (24),
94 indicating expected number of enrolled communities would be 10 with 100 to 150 participants per
95 community. At one school, the study was postponed from 2011 to 2012 after obtaining informed
96 consent from 3rd grade children in 2011 due to 2011 Great East Japan Earthquake, and we decided to
97 enroll both 3rd- and 4th-grade children in 2012. Follow-up was planned annually until the children
98 were in the 5th grade (aged 10-11), and twice when they were in the 6th grade (aged 12).

99 To enroll as many children as possible, details of the study were given to parents at parent
100 meetings and through information materials distributed at every school. Written informed consent was
101 obtained from the parents of all participants. One thousand three hundred seven children out of 1381
102 were participated from the start year, and then 51 were enrolled in at later years while 131 were moved
103 out to different school districts during study period. Additional 108 children were entered the study
104 schools and participated thereafter (sFigure 1). About 80% (N=86) of the 108 children, were
105 participated in the first half of the study, and attended the lung function testing 3 times or more.

106 The study was approved by the Ethical Committee of the Ministry of the Environment (approval
107 number:11021001) centrally, and also approved at each regional study center.

108

109 **Exposure measurement to selected air pollutants**

110

111 Ambient PM_{2.5}, ozone (O₃) and nitrogen dioxide (NO₂) were measured continuously over the study
112 period at or near each school. The place to set the measuring equipment was decided so that the
113 measurement data avoid the exhaust gases of heavy traffic and so on directly. Note that the
114 measurement regarding O and P school was carried out near the schools (within 2 km) due to difficulty
115 in setting the equipment at the schools. PM_{2.5} was measured by beta ray attenuation method using PM-
116 712 (Kimoto electric co. Ltd., Osaka, Japan). O₃ and NO₂ concentrations were measured by ultraviolet
117 absorption and chemiluminescence methods using OA-781 and NA-721 (Kimoto electric co. Ltd.),
118 respectively. The automated measurement of these air pollutants including quality assurance
119 of measured data was in accordance with the manual for continuous monitoring of air
120 pollution prepared by Ministry of the Environment, Japan (25).

121 Annual concentrations of suspended particulate matter (SPM), O₃, and NO₂ before the
122 study period (i.e. when the study pupils were under 8 years old) and during it were calculated as 5-
123 year means and range of yearly means on the basis of data obtained from the National Institute of
124 Environmental Studies' environmental values database. SPM in Japan is classified as particulates
125 whose aerodynamic diameter is less than 10 µm with a 100% cut-off level. Concentrations in the
126 database were measured in accordance with the methods given in the Manual for Continuous
127 Monitoring of Air Pollution, and the monitoring stations whose data were used were those closest to
128 each elementary school.

129

130 **Lung function tests and questionnaire on asthma and allergies**

131 Lung function testing of the children in the 3rd to 6th grades was scheduled in the morning hours
132 during the same season every year to minimize seasonal effects such as temperature and pollution
133 levels; the same spirometers with Lilly type pneumotach sensors (Chest HI801, CHEST M.I., Inc.,
134 Tokyo) were used for testing at all locations. Except in 2 schools, pupils in the 6th grade were given
135 an additional lung function test in February or March (i.e. a month before they moved on to junior
136 high school): after having their height and weight measured, they were asked to perform a maximum
137 forced expiratory maneuver. The tests were conducted by trained technicians following the testing

138 protocol of the American Thoracic Society standards (26) modified for school-age children, in which
139 no more than 6 blows are attempted, and FEV1, FVC, and maximal expiratory flow rate at 50% of
140 FVC (V50) are determined from 3 satisfactory blows delivered by 2 pediatric pulmonologists.

141 A baseline questionnaire on asthmatic and allergic symptoms as classified in the Japanese version
142 of the International Study of Asthma and Allergies in Childhood (ISAAC) questionnaire (27) was
143 distributed to the participants' parents and returned on the day of the lung function testing. The
144 questionnaire also contained questions on medical history, food allergies, exercise habits, exposure to
145 environmental tobacco smoke (ETS), type of heating appliance used, and household pets in each
146 household. A follow-up questionnaire was administered every time lung function testing was done.

147

148 **Statistical analysis**

149 The proxy measure of long-term exposure to PM_{2.5} was defined as the arithmetic mean of the annual
150 average of daily PM_{2.5} concentrations over the study period at each school site. Most of the pupils
151 lived within a 10-km radius of their school. Because O₃ and NO₂ are short-lived pollutants, their proxy
152 measures were defined as the arithmetic mean of the annual average of hourly concentrations over the
153 study period.

154 Of the participants, 834 underwent lung function testing 5 times, 358 were tested 4 times, 134 were
155 tested 3 times, 89 were tested 2 times, and 51 were tested 1 time; all available data were utilized to
156 construct a statistical model. It is known that this method is valid under the missing at random
157 assumption (28). Lung function indicators were log-transformed in the following models because they
158 were more linearly associated with height in both boys and girls in our preliminary analysis.

159 To assess the association between PM_{2.5} concentrations and FVC, FEV1, and V50, the following
160 multilevel linear regression model (29) was used for each sex:

161

$$162 \quad \log Y_{cih} = a_{ci} + b_{ci}h + \gamma^T z_{ci} + e_{cih} \quad (\text{A})$$

$$163 \quad b_{ci} = B_c + e'_{ci} \quad (\text{B})$$

$$164 \quad B_c = \beta_0 + \beta_1 x_c + e''_c \quad (\text{C})$$

165

166 where c denotes school community, i denotes individual, h denotes height, Y_{cih} denotes the value of
167 each lung function test, z_{ci} denotes confounding factors, x_c denotes the $PM_{2.5}$ concentration, and
168 e_{cih} , e'_{ci} , e''_c denotes the independent random error terms following normal distributions. We note that
169 unlike the model used in CHS (29), we used height instead of age in the first-stage model (A).

170 The first-stage model (A) was a linear regression of each lung function test (values were log-
171 transformed) on height adjusting for confounders to assess community-specific associations between
172 height and lung functions in both sexes. We assumed the association was linear after using fractional
173 polynomials with 1 degree of freedom to check model fitting (30). We used the following confounders
174 determined a priori in the main analysis: wheezing (yes/no) defined as the presence of wheezing or
175 whistling in the chest more than once in the previous 12 months, sneezing (yes/no), itchy rash (yes/no)
176 defined as having had at least 1 rash in the previous 6 months, exposure to ETS at home (yes/no)
177 defined as either parent smoking in the same room as the child, household pet ownership (yes/no)
178 defined as having a dog or a cat over the previous 12 months, habitual exercise (none/once or twice a
179 week/more than twice a week) defined as doing vigorous exercise, and the Rohrer index (weight in kg
180 divided by cubed height [m^3] multiplied by 10) used as an anthropometric index.

181 The second-stage model (B) was a linear regression of community and individual specific estimates
182 of lung function growth per 1-unit increase of height on communities. The third-stage model (C) was
183 a linear regression of community-specific average growth on the $PM_{2.5}$ concentrations.

184 The main parameter of interest in the above model was the effect of $10\text{-}\mu\text{g}/\text{m}^3$ increase in $PM_{2.5}$
185 on the relative growth of lung function per 10-cm increase in height, $\exp(\beta_1 \times 10^2)$. We calculated
186 estimates and 95% confidence intervals, and the p-values of $\exp(\beta_1 \times 10^2)$.

187 As sensitivity/additional analyses, we also fitted the following models: (1) a 2-pollutant model
188 with O_3 or NO_2 in addition to $PM_{2.5}$ in the third-stage model; (2) a model with additional covariates
189 as potential confounders, namely ETS, household pet ownership, and indoor heating modality; (3) a
190 subgroup (stratified) analysis to assess the effect modification by asthma and ETS; and (4) models
191 excluding obese children with a Rohrer index greater than $160\text{ kg}/\text{m}^3$ as extreme obesity could affect

192 lung function testing. Furthermore, to ensure that inclusion of the later stage participants did not distort
193 the study results, additional analysis was done by excluding those who participated in the latter half
194 of the study (N=20).

195

196 **Results**

197 Table 1 shows the baseline characteristics of the participants in the first-year survey (N=1,307). The
198 mean age and height of the 3rd- and 4th-grade children were 9.05 years and 130.83 cm, and 9.96 years
199 and 137.4, cm respectively.

200 Exposure estimates for the major pollutants are shown in Table 2, Figure 2 and sTable 1. The
201 overall annual mean concentration of PM_{2.5} over the study period was 13.5 µg/m³ (range: 10.4 µg/m³–
202 19.0 µg/m³). Annual mean PM_{2.5} concentrations at 8 schools with 568 participants were below 12
203 µg/m³, while those at 7 schools with 546 participants were above 15 µg/m³.

204 In the boys, the relative effect of 10-µg/m³ increase in PM_{2.5} on the relative growth of lung
205 function per 10-cm increase in height for FEV1, FVC and V50 was 1.00054 (95% CI: 0.99969–
206 0.139%), 1.00038 (95% CI: 0.99958–1.00118), and 1.00071 (95% CI: 0.99900–1.00242); in the girls,
207 it was 1.00012 (95% CI: 0.99916–1.00109), 1.00004 (95% CI: 0.99899–1.00108) and 0.99989 (95%
208 CI: 0.99813–1.00165). These results indicate no associations in either sex between PM_{2.5} exposure
209 and relative growth in lung function, even after controlling for potential confounders (Table 3 and Fig.
210 3). These null results were not affected by analysis of our 2-pollutant models with O₃ or NO₂ (Table
211 3). Nor did sensitivity analyses change the results, including fully adjusted modeling for ETS,
212 household pet ownership, use of indoor heating appliances, and sneezing; nor did stratified analysis
213 for asthmatic and non-asthmatic participants, stratified analysis with or without ETS, or exclusion of
214 extremely obese participants or later stage participants (data not shown).

215

216 **Discussion**

217 This longitudinal analysis of the effects of PM_{2.5} on lung function growth in pre-adolescent boys and
218 pre- to early-adolescent girls aged 8-12 years involved 6,233 measurements in 1,466 participants, but
219 did not support clear evidence of an association between PM_{2.5} and relative growth of lung function
220 measured by FEV1, FVC and V50 per 10cm growth of height both in male participants with pre-
221 adolescent age and in female participants with pre- to early-adolescent age. Mean PM_{2.5} concentrations
222 over the study period ranged from 10 µg/m³ to 19 µg/m³ in the school communities studied. Our results
223 were consistent even after control for the major co-pollutants NO₂ or O₃, and for additional potential
224 confounders at the individual level. Nor were any effect modifications observed in association with
225 the participants' asthmatic status or ETS at home.

226 Previous reports from the CHS have indicated significant associations between PM_{2.5} and lung
227 function growth, but the results were based on PM_{2.5} concentrations as high as around 30 µg/m³. In
228 the 4th-grade participants of the 1993 cohort (10 years of age), no significant reductions in lung
229 function were observed in association with PM_{2.5} levels during a 4-year follow-up (4), but an extended
230 follow-up until the participants were 18 years old indicated a significant difference in average growth
231 as measured by FEV1 over the 8-year period between those from the most and least polluted
232 communities (which had average annual PM_{2.5} levels of 30 and 5 µg/m³, respectively) (6). Further
233 CHS data show improving 4-year growth as measured by FEV1 and FVC (aged 11-15) in line with
234 improving PM_{2.5} levels across the 3 cohorts: the 3-year mean PM_{2.5} in the 5 participating communities
235 ranged from 21.3 to 31.5 µg/m³ in the 1994-1998 cohort, from 19.9 to 27.6 µg/m³ in the 1997-2001
236 cohort, and from 11.9 to 17.8 µg/m³ in the 2007-2011 cohort (10). This strongly suggests that exposure
237 to PM_{2.5} at annual average concentrations exceeding 20 µg/m³ reduces lung function growth in
238 children between the ages of 10 and 18 years. However, it remains unclear from the CHS whether
239 long-term exposure to PM_{2.5} at levels below 20 µg/m³ causes reduced lung function growth in pre-
240 adolescent children.

241 Birth cohort studies have provided useful data on lung function growth, as they included participants
242 of pre-adolescent age living in places with relatively low annual PM_{2.5} levels. The PIAMA birth cohort

243 study, for example, provided a longitudinal analysis of lung function tests carried out on 555
244 participants at the ages of 8 and 12 years, which indicated no significant association between growth
245 in FEV1 and FVC and PM_{2.5} at birth or during follow-up (31). This supported our results, but further
246 analysis of 915 participants who attended at least 2 lung function tests at ages 8, 12 and 16 indicated
247 that exposure to PM_{2.5} at age 0-4 years resulted in reduced FEV1 growth from ages 8 to 16 with an
248 adjusted difference of -0.26% per 1.2- $\mu\text{g}/\text{m}^3$ increase in PM_{2.5}. However, the only exposure variable
249 was PM_{2.5} concentrations near the time of the participants' birth, and no analysis was reported using
250 exposure levels at the age of 4 years or later, or concurrent exposure from ages 8 to 16 (18). PM_{2.5}
251 concentrations in the PIAMA study were estimated from a land-use regression model extrapolated
252 back from monitoring data collected between 2008 and 2010; the median (range of) PM_{2.5} used in the
253 further analysis were 16.4 (15.2-19.4) $\mu\text{g}/\text{m}^3$ for participants in the 0-4 age range, 16.4 (14.9-19.4)
254 $\mu\text{g}/\text{m}^3$ for those aged 5-12, and 16.4 (14.9-18.6) $\mu\text{g}/\text{m}^3$ for those aged 13-16. In the BAMSE birth
255 cohort study, no association was observed in 2,278 participants between longitudinal changes in FEV1
256 and FVC from the age of 8 to 16 and exposure to PM₁₀ and NO_x at the age of 0-1, 1-8 or 8-16 (17).
257 Thus, the results are mixed when it comes to the effects of long-term exposure to PM_{2.5} in the 10 to
258 19 $\mu\text{g}/\text{m}^3$ range on lung function growth in pre-adolescence to early adolescence.

259 Mechanistic understanding of what level of PM_{2.5} during the childhood may or may not influence
260 lung growth trajectories and other lung health outcomes is also of value to be elucidated through
261 pathophysiological or molecular approaches. Although it remains speculative, airway dysanapysis, a
262 physiological incongruence between the growth of the lung parenchyma and the caliber of the airway
263 (32) (33) (34) , or epigenetic modification assessed by DNA methylation (23) (35, 36) may mediate
264 the effects of PM_{2.5} on lung growth during pre-adolescent and adolescent period.

265 One important question is whether a phase of lung function development during adolescent growth
266 spurt is a critical time period vulnerable to air pollution exposure. Longitudinal analysis in a
267 population-based British birth cohort study indicated that pubertal age defined by median age (IQR)
268 at peak higher velocity of height growth was 13.5 (13.0-13.9) years for males and 11.7 (11.2-12.1)
269 years for females, respectively (37). The study also showed that effect of pubertal growth was

270 manifested by an increase in both FEV1 and FVC, indicating the importance of lung function growth
271 in the pre-adolescent to adolescent age range. Extended follow-up of our cohort until adolescent age
272 is expected to add to the epidemiological evidence of non-linear growth period of height and lung at
273 relatively low levels of annual PM_{2.5} concentration. In the ESCAPE project, random-effects meta-
274 analysis combining lung function data on 5 European birth cohorts at the ages of 6 and 8 showed a
275 small but statistically significant decrease in FEV1 per 5- $\mu\text{g}/\text{m}^3$ increase in estimated PM_{2.5} levels in
276 a participant's local area (PM_{2.5} range: 7.4 to 17.3 $\mu\text{g}/\text{m}^3$), but no association was observed when the
277 PM_{2.5} at birth was applied (14). In a US birth cohort study, a 2- $\mu\text{g}/\text{m}^3$ increase in PM_{2.5} 1 year prior to
278 lung function testing at age 7 was also shown to result in a significant decrease in FEV1 (PM_{2.5} range:
279 4.08 to 16.23 $\mu\text{g}/\text{m}^3$). However, neither PM_{2.5} levels when participants were aged 0-1 nor average
280 levels throughout their lifetime were associated with reductions in FEV1 (16). In contrast, the PIAMA
281 birth cohort study indicated that exposure to PM_{2.5} in early life (from birth to the age of 4) resulted in
282 reduced FEV1 growth from ages 8 to 16 (median PM_{2.5}: 16.4 $\mu\text{g}/\text{m}^3$) (18). Also, in the Oslo birth
283 cohort study, peak expiratory flow, forced expiratory flow at 25% and 50% of FVC measured in
284 participants aged 9-10 years were significantly associated with exposure to PM_{2.5}, PM₁₀ and NO₂ in
285 the 1st year of life or throughout their lifetime (mean PM_{2.5}: 16.4 at age 0-1, and 14.5 $\mu\text{g}/\text{m}^3$ for
286 lifetime), although no associations were observed for FEV1 or FVC (13). Relatively high levels of
287 PM_{2.5} in early life might relate to such effect. In our cohort setting, air pollutant levels before the study
288 period were not directly measured, but historical data on SPM, O₃, and NO₂ levels were collected from
289 the monitoring station closest to each study school. These showed steadily decreasing levels of SPM
290 and NO₂, and increasing levels of O₃ over the years, although the degree of change varied from area
291 to area (sTable2). For PM_{2.5}, annual PM_{2.5} concentrations in Japan had downward trend since 2009
292 when the national air quality standard was introduced, and it had been reported as 20-25 $\mu\text{g}/\text{m}^3$ in a
293 large metropolitan area such as Tokyo and Fukuoka in 2005 from the survey data by the Ministry of
294 the Environment (24).

295 On the multilevel regression model used in this study, we used height rather than age as a factor
296 to describe children's overall growth. We believe this is reasonable because lung function is expected

297 to grow with the child's height. In fact, in our preliminary data analysis, height was more strongly
298 associated with the results of each lung function test than age (data not shown). Furthermore, we also
299 conducted an additional unplanned analysis using age instead of height in the model (A). The results
300 were essentially the same as in our main analysis (sTable 3).

301 There are several strengths and limitations with this study. First, we secured a representative
302 sample of the Japanese population with a wide range of PM_{2.5} by closely following up the participants
303 and maintaining a high participation rate. More than 95% of the participants underwent lung function
304 tests at least twice, 81.3% were tested 4 or 5 times. Only 3.5% was moved out after single testing. The
305 relatively large sample size (6,233 measurements in 1,466 participants) reduced the risk of random
306 errors. Use of pollutant concentrations monitored at each school site during the whole study period is
307 another strength, although school-based community level concentration instead of individual level
308 could lead to increased chance of getting null results due to random misclassification of exposure.
309 Lack of at-home or indoor measurement also could contribute to random misclassification of exposure.
310 Lung function testing is not easy to perform on children, especially young ones, but all testing was
311 carried out by trained technicians following a standardized protocol. The tests were strictly scheduled
312 throughout the study in the morning hours during the same season every year, and they were all
313 performed with the same spirometers equipped with Lilly type pneumotach sensors to reduce the
314 possibility of measurement errors. Unmeasured confounding factors may distort the study results
315 naturally although known, major ones were already considered in the analysis. The result of this study
316 could be implicative to examine the adequacy of air quality standard of PM_{2.5} although generalizability
317 issue must be taken into account for applying our study results to a different population due to
318 differences in ethnicity or composition of PM_{2.5}. Range of exposure in this study is equivalent to annual
319 air quality standard levels of various countries including US (12 µg/m³), Japan (15 µg/m³), and the EU
320 (20 µg/m³ 3-yr Average Exposure Indicator goals).

321 In conclusion, our nation-wide large longitudinal cohort study of pre-adolescent males and pre- to
322 early adolescent females in Japan with repeated measurements of lung function suggests that
323 concurrent, exposure to PM_{2.5} in the range of 10.4 -19.0 µg/m³ during pre-adolescent and early

324 adolescent period has little effect on lung function growth. Further studies with extended follow-up of
325 this population until pubertal age is needed to elucidate the effects of PM_{2.5} exposure on lung function
326 growth.

327

328 Acknowledgement

329 We are grateful to all the participants of this study and to all individuals involved in data collection.

330 The study was funded by the Ministry of the Environment, Japan. The findings and conclusions of this

331 article are solely the responsibility of the authors, and do not represent the official views of the above

332 government.

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458

Table 1. Participant characteristics at the time of the first survey

School	City	Prefecture	# of participants at baseline	Male (%)	Age in years (SD)	Height in cm (SD)	Rohrer index (SD)	ETS (%)	Pets (%)	Wheezing (%)	Sneezing (%)	Itchy rash (%)	Exercise (%)
A	Sapporo	Hokkaido	138	74 (53.6)	9.15 (0.61)	132.0 (6.2)	121.8 (14.9)	21 (15.2)	20 (14.5)	20 (14.5)	75 (54.3)	35 (25.4)	20 (14.5)
B	Sendai	Miyagi	109	50 (45.9)	8.95 (0.58)	131.2 (6.5)	122.6 (14.7)	12 (11.0)	8 (7.3)	14 (12.8)	59 (54.1)	28 (25.7)	17 (15.6)
B' a)			92	49 (53.3)	9.96 (0.60)	137.4 (7.4)	121.8 (14.2)	8 (8.7)	6 (6.5)	11 (12.0)	51 (55.4)	20 (21.7)	11 (12.0)
C			42	21 (50.0)	9.12 (0.54)	131.8 (5.8)	128.6 (20.1)	9 (21.4)	5 (11.9)	4 (9.5)	17 (40.5)	7 (16.7)	10 (23.8)
D	Sakata	Yamagata	37	23 (62.2)	9.01 (0.46)	130.2 (6.4)	127.8 (17.0)	6 (16.2)	0 (0)	3 (8.1)	14 (37.8)	3 (8.1)	12 (32.4)
E			58	33 (56.9)	9.10 (0.42)	132.1 (6.3)	125.6 (15.6)	16 (27.6)	9 (15.5)	3 (5.2)	22 (37.9)	6 (10.3)	21 (36.2)
F			14	8 (57.1)	9.07 (0.39)	134.3 (6.0)	121.8 (14.2)	3 (21.4)	3 (21.4)	0 (0)	8 (57.1)	5 (35.7)	6 (42.9)
G	Itabashi		91	52 (57.1)	9.01 (0.67)	129.1 (5.8)	123.4 (14.5)	27 (29.7)	10 (11.0)	6 (6.6)	46 (50.5)	23 (25.3)	17 (18.7)
H		Tokyo	35	17 (48.6)	8.92 (0.66)	129.8 (8.1)	126.0 (14.4)	8 (22.9)	7 (20.0)	6 (17.1)	19 (54.3)	11 (31.4)	5 (14.3)
I	Shibuya		37	17 (45.9)	9.05 (0.89)	131.7 (7.3)	122.4 (14.9)	3 (8.1)	6 (16.2)	3 (8.1)	22 (59.5)	6 (16.2)	4 (10.8)
J			56	26 (46.4)	9.14 (0.68)	132.1 (6.0)	119.4 (14.7)	12 (21.4)	7 (12.5)	8 (14.3)	30 (53.6)	13 (23.2)	8 (14.3)
K	Nagano	Nagano	81	45 (55.6)	9.22 (0.62)	131.5 (7.0)	123.7 (16.7)	9 (11.1)	10 (12.3)	10 (12.3)	42 (51.9)	20 (24.7)	14 (17.3)
L	Matsumoto		78	29 (37.2)	9.36 (0.69)	132.4 (6.8)	120.4 (13.3)	13 (16.7)	12 (15.4)	5 (6.4)	36 (46.2)	18 (23.1)	12 (15.4)
M	Okayama	Okayama	101	50 (49.5)	8.61 (0.36)	128.3 (5.4)	124.9 (12.7)	8 (7.9)	17 (16.8)	7 (6.9)	36 (35.6)	11 (10.9)	9 (8.9)
N	Fukuoka	Fukuoka	82	35 (42.7)	8.98 (0.66)	131.2 (6.9)	120.5 (14.5)	21 (25.6)	11 (13.4)	8 (9.8)	40 (48.8)	20 (24.4)	7 (8.5)
O			144	75 (52.1)	9.04 (0.76)	129.8 (7.3)	125.6 (16.3)	39 (27.1)	19 (13.2)	12 (8.3)	69 (47.9)	30 (20.8)	15 (10.4)
P	Amami	Kagoshima	112	63 (56.3)	9.17 (0.80)	130.5 (7.3)	129.0 (17.1)	37 (33.0)	13 (11.6)	23 (20.5)	52 (46.4)	19 (17.0)	12 (10.7)

a): B indicates 3rd-grade students and B' indicates 4th-grade students, as both 3rd- and 4th-grade children were enrolled in 2012.

ETS: exposure to environmental tobacco smoke at home; Pets: household pet ownership over the previous 12 months; wheezing, sneezing, and itchy rash are defined according to the Japanese version of the International Study of Asthma and Allergies in Childhood (ISAAC) questionnaire; Exercise: habitual exercise 3 times a week or more

Table. 2 4-year average concentrations of PM_{2.5} (left), ozone (center) and NO₂ (right) during the study period

City	School	4-year average concentration		
		PM _{2.5} (μg/m ³)	Ozone (ppb)	NO ₂ (ppb)
Sapporo	A	10.4	30	11
Sendai	B	10.8	32	11
	B'	10.8	32	11
Sakata	C	11	36	3
	D	10.5	38	5
	E	11.4	38	3
	F	10.6	36	3
Itabashi	G	16.4	27	21
Shibuya	H	16.5	26	20
	I	15.4	29	20
	J	16.2	26	23
	K	12.9	29	9
Nagano	K	12.9	29	9
Matsumoto	L	11.4	30	9
Okayama	M	16.4	32	11
Fukuoka	N	19	31	15
	O	16.5	33	10
Amami	P	12.5	35	3

Table 3. Effect of 10 $\mu\text{g}/\text{m}^3$ increase in $\text{PM}_{2.5}$ on relative growth of lung function indicators (FEV1, FVC and V50) per 10cm growth of height: stratified analysis by sex.

Sex	Outcome	Adjusted estimate of $\exp(\beta_1 \times 10^2)^*$	95% CI		p-value
			Lower	Upper	
Single pollutant model					
Male	FVC	1.00038	0.99958	1.00118	0.351
	FEV1	1.00054	0.99969	1.00139	0.211
	V50	1.00071	0.99900	1.00242	0.417
Female	FVC	1.00004	0.99899	1.00108	0.944
	FEV1	1.00012	0.99916	1.00109	0.803
	V50	0.99989	0.99813	1.00165	0.905
2-pollutant model					
+ O ₃					
Male	FVC	1.00005	0.99997	1.00014	0.230
	FEV1	1.00007	0.99997	1.00016	0.166
	V50	1.00006	0.99986	1.00025	0.570
Female	FVC	1.00005	0.99995	1.00015	0.364
	FEV1	1.00004	0.99994	1.00014	0.432
	V50	1.00000	0.99980	1.00020	0.997
+ NO ₂					
Male	FVC	1.00004	0.99994	1.00014	0.392
	FEV1	1.00006	0.99995	1.00017	0.279
	V50	1.00006	0.99984	1.00027	0.604
Female	FVC	1.00008	0.99997	1.00019	0.162
	FEV1	1.00007	0.99996	1.00018	0.207
	V50	1.00003	0.99981	1.00026	0.765

*adjusted for Rohrer index, allergic symptoms (wheezing, itchy rash), habitual exercise, exposure to environmental tobacco smoke at home

sTable 1. Annual average concentrations of PM_{2.5} (figures in the upper line for each location), ozone (middle line) and NO₂ (lower line) by year during the study period ($\mu\text{g}/\text{m}^3$ for PM_{2.5}; ppb for ozone and NO₂)

School	City	2011	2012	2013	2014	2015	Average
A	Sapporo	-	10.4	10.1	11.5	9.5	10.4
			29	29	31	29	30
			12	11	11	11	11
B	Sendai	-	10.3	10.6	11.6	10.7	10.8
			32	29	34	33	32
			12	12	11	10	11
B'	Sendai	-	10.3	10.6	11.6	-	10.8
			32	29	34	-	32
			12	12	11	-	11
C		-	11.3	11.2	10.7	10.8	11.0
			36	36	36	36	36
			4	3	3	3	3
D	Sakata	-	10.3	9.5	11.3	10.7	10.5
			38	37	38	38	38
			6	5	4	4	5
E	Sakata	-	11.5	10.4	12.4	11.1	11.4
			39	36	39	39	38
			4	3	3	3	3
F		-	9.8	10.5	11.1	10.8	10.6
			37	35	35	36	36
			3	3	3	3	3
G	Itabashi	17.8	15.4	16.5	15.9	-	16.4
		23	27	28	28	-	27
		22	21	21	21	-	21
H		15.9	14.6	17.7	17.7	-	16.5
		22	25	27	28	-	26
		21	20	20	20	-	20
I	Shibuya	16.3	14.1	15.2	16.1	-	15.4
		25	29	31	31	-	29
		21	20	20	18	-	20
J		16.4	15.4	17.0	16.0	-	16.2
		22	26	28	27	-	26
		23	23	22	22	-	23
K	Nagano	12.1	12.5	14.0	12.9	-	12.9
		25	31	29	31	-	29
		10	10	9	8	-	9
L	Matsumoto	10.6	11.0	12.3	11.5	-	11.4
		24	32	32	31	-	30
		10	9	8	8	-	9
M	Okayama	-	17.7	17.4	15.8	14.7	16.4
			31	31	32	32	32
			11	11	10	10	11
N	Fukuoka	17.0	18.8	20.1	19.9	-	19.0
		25	32	32	34	-	31
		16	15	14	14	-	15
O	Fukuoka	16.9	16.1	17.1	15.8	-	16.5
		29	34	34	36	-	33
		10	9	11	9	-	10
P	Amami	11.9	11.5	12.9	13.5	-	12.5
		31	36	35	37	-	35
		3	3	3	2	-	3

sTable 2. Concentration distributions (5-year means and range of yearly means) of suspended particulate matter (SPM, $\mu\text{g}/\text{m}^3$), ozone (O_3 , ppb) and nitrogen oxide (NO_2 , ppb) before the study period, as measured at the monitoring station closest to each study site

School	City	pollutant	2001-2005	2006-2010	2011-2015
A	Sapporo	SPM	16 (14 - 18)	14 (13 - 16)	12 (10 - 14)
		O_3	25 (23 - 27)	29 (26 - 31)	28 (26 - 29)
		NO_2	20 (18 - 21)	15 (13 - 18)	13 (11 - 14)
B, B'	Sendai	SPM	20 (12 - 23)	19 (16 - 21)	13 (12 - 14)
		O_3	27 (24 - 30)	32 (31 - 33)	31 (29 - 35)
		NO_2	17 (15 - 18)	13 (11 - 15)	10 (9 - 11)
C - F	Sakata	SPM	17 (16 - 19)	15 (14 - 15)	13 (13 - 14)
		O_3	37 (33 - 39)	36 (32 - 39)	36 (33 - 42)
		NO_2	9 (8 - 9)	6 (5 - 7)	4 (3 - 6)
G	Itabashi	SPM	32 (30 - 34)	25 (22 - 30)	22 (20 - 23)
		O_3	29 (27 - 30)	30 (29 - 33)	31 (29 - 32)
		NO_2	29 (27 - 30)	24 (22 - 27)	19 (19 - 21)
H - J	Shibuya	SPM	41 (34 - 48)	31 (27 - 32)	23 (21 - 26)
		O_3	26 (24 - 28)	29 (27 - 31)	31 (28 - 35)
		NO_2	29 (27 - 31)	24 (22 - 27)	19 (18 - 20)
K	Nagano	SPM	21 (19 - 23)	16 (14 - 18)	15 (14 - 16)
		O_3	34 (31 - 37)	34 (32 - 36)	35 (33 - 37)
		NO_2	11 (9 - 12)	8 (7 - 10)	6 (6 - 7)
L	Matsumoto	SPM	21 (19 - 23)	17 (15 - 20)	16 (15 - 17)
		O_3	28 (25 - 31)	33 (30 - 35)	31 (27 - 32)
		NO_2	15 (14 - 17)	11 (8 - 13)	9 (9 - 10)
M	Okayama	SPM	31 (29 - 35)	26 (19 - 31)	18 (17 - 19)
		O_3	27 (6 - 28)	30 (7 - 33)	29 (27 - 31)
		NO_2	16 (16 - 17)	12 (11 - 14)	9 (8 - 11)
N	Fukuoka	SPM	29 (26 - 38)	34 (31 - 37)	26 (24 - 29)
		O_3	26 (24 - 27)	30 (27 - 32)	30 (26 - 32)
		NO_2	25 (23 - 27)	21 (20 - 23)	17 (15 - 19)
O	Fukuoka	SPM	36 (34 - 38)	28 (16 - 37)	17 (16 - 18)
		O_3	30 (30 - 31)	34 (27 - 38)	32 (30 - 35)
		NO_2	19 (17 - 21)	14 (10 - 17)	12 (12 - 13)
P	Amami	SPM	34 (33 - 37)	43 (40 - 48)	21 (20 - 22)
		O_3	23 (20 - 27)	23 (20 - 31)	27 (24 - 30)
		NO_2	13 (12 - 15)	10 (4 - 13)	6 (3 - 8)

sTable 3. Effect of 10 $\mu\text{g}/\text{m}^3$ increase in $\text{PM}_{2.5}$ on relative growth of lung function indicators (FEV1, FVC and V50) per 1 year growth of age: stratified analysis by sex.

Sex	Outcome	Adjusted estimate of $\exp(\beta_1 \times 10)^*$	95% CI		p-value
			Lower	Upper	
Single pollutant model					
Male	FVC	0.99954	0.99731	1.00177	0.6833
	FEV1	0.99994	0.99751	1.00238	0.9631
	V50	1.00017	0.99682	1.00352	0.9221
Female	FVC	0.99917	0.99763	1.00071	0.2926
	FEV1	0.99904	0.99751	1.00058	0.2211
	V50	0.99883	0.99667	1.00100	0.2901

*adjusted for Rohrer index, allergic symptoms (wheezing, itchy rash), habitual exercise, exposure to environmental tobacco smoke at home

