

1 **Cataract Surgical Services in Palestine**

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35 BMC health services research were unable to obtain an appropriate referee for our
36 manuscript in a timely manner. The Lancet public health journal decided not to
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39

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49 Authors contribution list:

50 Ranad Maswadi: Performed literature review, composed the study methodology and
51 data collection tools, performed data collection, analysis and interpretation, manuscript
52 writing, and figures and tables composition.

53 Covadonga Bascaran: suggested the study idea, contributed to the methodology and
54 study design, helped obtaining ethical approval, and acquisition of funding, provided
55 guidance in data analysis and interpretation, composed and edited tables and figures,
56 and revised the manuscript drafts.

57 Gerry Clare: Contributed to the methodology and study design, facilitated data
58 collection from participants at eye health centres, composed and edited figures, and
59 revised the manuscript draft.

60 Maged Abu Ramadan: Provided preliminary data on cataract services in Gaza strip,
61 ensured participant centres cooperation in the study, facilitated the data collection from
62 cataract centres in Gaza strip, and revised manuscript draft.

63 Alaa AlTalbishi: Provided preliminary data on cataract services in the West Bank,
64 provided the contacts of the stakeholders at the cataract surgical centres involved in the
65 study, facilitated data collection in West Bank; and revised manuscript draft.

66 Allen Foster: Contributed to the methodology and study design and revised the
67 manuscript draft.

68 All authors approved the final paper draft and agreed to be accountable for all aspects of
69 the work.

70

71 **Abstract**

72 **Purpose**

73 Cataract surgery, quantity and quality, is an indicator of ophthalmic care.
74 A comprehensive assessment of cataract surgical services has never been carried
75 out in Palestine, including West Bank, Gaza Strip and East Jerusalem. The
76 objective of this study was to estimate the cataract surgical rate in 2015 to and to
77 explore the modes of payment and referral systems.

78 **Methods**

79 A cross- sectional study conducted between June and August 2016.
80 Medical Directors from Cataract Surgical Centres in Palestine were interviewed
81 using a structured questionnaire to extract data on cataract output and surgical
82 techniques. Additionally, data were collected on modes of payment for cataract
83 services. The cataract surgical rate was calculated by dividing the total cataract
84 output in 2015 by the estimated population of Palestine in millions.

85 **Results**

86 In 2015, 9908 cataract surgeries were carried out in 22 centres. The
87 cataract surgical rate was 2,117 operations per million population.
88 Phacoemulsification was the most common technique (73.4%), however in
89 government centres 67% were performed by extracapsular cataract extraction.
90 In the Gaza Strip, 56.6% of cataract surgeries were operated at government
91 centres, and 42.8% were operated at NGO centres while in West Bank, only 12%
92 of cataract surgeries were operated at government centres, with two thirds of
93 cataracts diagnosed at governmental centres being referred to private and NGO
94 centres. Seventy eight percent of cataract surgeries were funded by insurance, of
95 which the government insurance scheme contributed 65%.

96 **Conclusion**

97 The cataract surgical rate in Palestine falls short of the required WHO
98 target. The majority of cataract surgeries are funded by insurance.

99

100

101 **Introduction**

102 Cataract is responsible for around 50 percent of people blind in the world, the
103 majority of whom reside in low-income countries,^{1,2}. The need for cataract surgery is
104 on the increase due to population growth and increased longevity.^{2,3} While cataract
105 extraction with lens replacement is one of the most common surgical procedures in high
106 income countries, it is not yet readily available to many living in low income countries.⁴
107 Although cataract surgery is considered one of the most cost effective health
108 interventions, the price charged for cataract surgery remains a major barrier to uptake in
109 low income populations.⁵ Cataract surgical services are a useful indicator of a country's
110 general ophthalmic healthcare.

111 In addition to epidemiological surveys of blindness, supplementary data on
112 infrastructure, personnel, and equipment are needed for planning services.⁶ The WHO
113 global action plan (GAP) 2014-2019 identified priority indicators for eye care services
114 including information on human resources (HR), cataract surgical coverage (CSC),
115 cataract surgical rate (CSR), and the prevalence of avoidable visual impairment.⁷ CSC
116 is the proportion of people with cataract (at different visual acuity levels) who have
117 undergone cataract surgery. CSR is the number of cataract surgeries performed per
118 million population per year.

119 The population of Palestine was estimated to be 4.8million in 2016; 2.9 m in
120 West Bank (WB) and 1.9m in Gaza Strip (GS). Of those in the WB, 0.4m live in East
121 Jerusalem, which is administered by Israel.⁸

122 Overall, the Palestinian population is a young population, with 50% below 20
123 years of age, and only 10% above the age 50 years in 2016. Refugees living in Palestine
124 constitute 41% of the population (26% in WB and 68% in GS), and 75% of Palestinians
125 live in urban areas.⁹ The gross domestic product (GDP) per capita was 1,745 US dollars

126 in 2015,¹⁰ placing Palestine in the lower-middle-income band of world economies. As a
127 consequence of the poor economy and political instability, the Palestinian Authority
128 (PA) relies mainly on external donors for finances, making it an unsustainable
129 economy.¹¹ The Palestinian health system has four main financial providers: the
130 Palestinian Ministry of Health (MOH), the UN Relief and Works Agency (UNRWA),
131 non-government organizations (NGOs) and private providers.^{12,13}

132 Cataract services in Palestine are delivered through a collaborative referral
133 system between different providers. Government centres refer cases that are beyond
134 their capacity to NGO or private centres with which they have referral agreements. The
135 process of government insurance referrals is financed through the Palestinian MOH.
136 Government referral costs are the second highest budget line for the PA, after salaries.
137 Additionally, UNRWA provides services through primary eye care clinics, and has
138 referral agreements for cataract surgery with selected NGOs in WB and GS.

139 The referral pattern is usually to NGO or private centres within the same region.
140 Inter-regional referrals include; GS to WB or East Jerusalem, and WB to East
141 Jerusalem. There are no referrals from East Jerusalem to another region, and there are
142 no referrals from other regions to GS. Patients from GS and WB (not resident in East
143 Jerusalem), whose medical treatment necessitates traveling outside their region, must
144 apply for access permits from the Israeli authorities to travel for medical purposes
145 between the regions and to East Jerusalem.

146 In 2011, 82% of the Palestinian population (97% of Gazans and 73% of West
147 Bankers) had health insurance,⁹ the three main types being government insurance,
148 UNRWA insurance (for Palestinian refugees), and Israeli insurance. The Palestinian
149 MOH provides insurance mainly for workers at government organizations and their
150 families, poor families through social welfare, and voluntary applicants, covering 65%

151 of the population (53% WB, 83% GS). UNRWA, a UN agency dedicated to the
152 development of Palestinian refugees, provides insurance covering 37% of the
153 population in 2011(17% WB, 69% GS).⁹ Palestinian residents of East Jerusalem are
154 subject to Israeli law as they hold permanent residence in Israel, and are insured through
155 payment of monthly premiums to the Israeli National Insurance Institute.^{9,14} Eye care
156 for Palestinians in Jerusalem is provided mainly by one NGO in East Jerusalem, and to
157 a lesser extent, by two centres in West Jerusalem, depending on the insurance health
158 plan. Finally, there are a few people with private insurance.

159 A Rapid Assessment of Avoidable Blindness (RAAB) study in 2010, reported a
160 prevalence of bilateral blindness (VA<3/60 in the better eye with available correction)
161 in people aged 50 years and over of 3.4% (95% CI: 2.7–4.0), 2.0% (95% CI: 1.4–2.5)
162 for severe visual impairment (VA≥3/60 and <6/60), and 7.4% (95% CI: 6.4–8.3) for
163 visual impairment (VA≥6/60 and <6/18).¹⁵ Cataract was the cause of 55% of blindness
164 in Palestinians aged 50 and over, and the CSC was found to be 86% in people with
165 <3/60 vision in the better eye due to cataract, and 62% for those with <6/18 vision
166 bilaterally.¹⁵ At the time, the number of practicing ophthalmologists in Palestine was
167 142. The CSR was estimated in a WHO Eastern Mediterranean Regional report in 2003
168 to be 843 cataract operations / million population / year, lower than Jordan (1,366) and
169 Egypt (1,100) , and much lower than the Eastern Mediterranean Regional (EMR) target
170 of 2500.¹⁶ It is noteworthy that Palestine is one of the few nations that did not have a
171 plan to implement VISION 2020 - the global initiative to eliminate avoidable blindness
172 by the year 2020.

173 The objective of this study was to estimate the CSR in Palestine in 2015 and to
174 explore the modes of referral and payment. This study will complement the RAAB
175 survey in understanding the current delivery of cataract services and provide

176 information for policy-makers to use in national planning and prioritization of the
177 scarce resources available.¹⁷

178

179 **Methods**

180 This was a cross-sectional descriptive study, targeting all cataract surgical centres
181 in Palestine (defined as any entity - stand-alone or within a general hospital -
182 providing cataract surgery of any type). Data were collected between June and
183 August 2016 using a structured quantitative questionnaire and secondary data
184 analysis of both published and unpublished Palestinian MOH data.

185 The data variables collected by the questionnaire were;

186 The number of cataract surgeries operated at each centre (cataract output) in the years
187 2011, 2012, 2013, 2014, and 2015. For the year 2015, number of cataract surgeries by
188 gender: number of cataract surgeries by surgical technique: number of cataract surgeries
189 paid for privately, by insurance, (by Palestinian government, by UNRWA by private
190 insurance, by Israeli insurance) and the mean price of privately-paid cataract surgery.

191 In WB, data were collected from the medical director and other key informants.

192 The questionnaire was administered in person by the principal investigator. Data on
193 surgical output from WB centres were manually verified from hospital surgical records
194 by the principal investigator by cross-checking the individual medical records in those
195 centres that consented to have them checked.

196 In GS, due to inability of the main investigator to gain an access permit to enter
197 the region, the questionnaire was administered by telephone, fax and electronic
198 messaging. Output data from GS was not manually verified. Data on government

199 referrals from the individual centres were corroborated in consultation with the MOH
200 Referral Department.

201 The CSR was calculated by dividing the total cataract output by the estimated
202 population of Palestine in millions for each year (2011-2015). Whereas the national
203 CSR estimation in 2015 included all cataract surgical centres in Palestine, the CSR
204 trend calculations from 2011 to 2014, were based on cataract surgical centres that had
205 an output of more than 20 surgeries in 2015. Population data were obtained from the
206 Palestinian Central Bureau of Statistics (PCBS), and data were analysed using Stata
207 Statistical Software (StataCorp. 2015, Release 14. College Station, TX).

208 The LSHTM Ethics Committee approved the study, and local approval was
209 obtained from the Palestinian MOH in Ramallah and the MOH branch in Gaza City.

210 **Results**

211 All 22 centres performing cataract surgery in Palestine were contacted and
212 agreed to participate in the study (Figure 1). Five government-run facilities, eight NGOs
213 and nine private clinics were assessed (Table 1). In two of the centres, both in GS, fewer
214 than 20 cataract surgeries were carried out in 2015. Although these centres were
215 included in the 2015 CSR calculation, their cataract output in previous years was
216 negligible, so they were excluded from the 2011-2014 analysis. In the WB, permission
217 was given to the principal investigator to manually verify the surgical output data in 9 of
218 15 centres (60%). The other 6 centres either had policies against direct access to
219 surgical records or could not agree access to records in a timely manner. Data from GS
220 could not be verified due to lack of access.

221 The type of cataract service providers varied between the regions (Figure 1). The
222 total number of cataract surgeries carried out in 2015 at the 22 centres was 9,908 (Table

223 1). In WB, NGO centres had the highest cataract output (54%), whereas in GS, it was
224 the government centres (57%). Analysis from the 22 centres, showed that only 62%
225 specified the gender. Analysis of the gender-stratified cataract surgical output data for
226 2015 in Palestine showed 50.3% in males, with a similar ratio in the two regions.

227 All routine surgeries included implantation of an intraocular lens as standard
228 procedure. The most commonly used surgical technique was phacoemulsification
229 (73%), which was more frequent in WB than in GS. Extracapsular cataract extraction
230 (ECCE) technique was performed in 67% of cataract surgeries in government centres.
231 Manual small incision cataract surgery (MSICS) was offered at one NGO centre (Table
232 2).

233 Table 3 shows data on CSR between 2011 and 2014 using data from 20 cataract
234 surgical centres and the CSR in 2015 with data from 22 centres. Over the five years the
235 national CSR ranged between 1,920 to 2,222 operations/ million / year. The CSR was
236 similar in WB and GS apart from 2014 (Figure 2).

237 Overall, the cataract surgical trends from 2011-2015 indicate a steady output
238 from NGO and government facilities, whereas the private sector output showed an
239 overall increase, between 2012 and 2014, and saw a decline in 2015.

240 The mean price for cataract surgery paid at private centres in 2015 was 3000
241 NIS (New Israeli Shekels) (680 GBP), and 2500 NIS (537 GBP) at NGO centres. The
242 price varied among centres and for different surgical techniques; the price range for
243 PHACO was 2000 – 4000 NIS (454 – 907 GBP); for ECCE was 2000 – 3600 NIS (454
244 - 816 GBP); and for MSICS at the one NGO centre where it was offered was 2000 NIS
245 (454 GBP).

246 The source of payment information was lacking for 18% of cases, but for those
247 cataract cases with information, 78% were paid by insurance; of which government

248 insurance contributed 65%, UNRWA insurance (21%), private insurance (8%), and
249 Israeli insurance (6%).

250 In 2015, government centres in GS operated almost all of their cataract cases,
251 while in WB government centres referred 66.3% of cataract cases, two thirds to NGO
252 centres, and one third to private centres. The MOH referral department reported that of
253 the patients requiring referral for cataract treatment in 2015, at least 49% required
254 access permits from the Israeli authorities, in order to move from one region to the
255 other. Figure 3 illustrates the referral system for cataract services in Palestine in 2015.

256 **Discussion**

257 As in other countries within the WHO EMR, cataract is the main cause of blindness in
258 Palestine.^{15,16} An understanding of cataract service provision, and of the barriers to
259 ophthalmic healthcare faced in Palestine, is only possible in the context of
260 socioeconomic and geopolitical factors, which have resulted in internal differences
261 within the health system.

262 The CSR for Palestine in 2015 was found to be 2,117 cataract operations/
263 million pop / year. Since there were no data from Israeli centres concerning the number
264 of Palestinian East Jerusalemites having cataract surgery in their facilities, or of
265 Palestinians having cataract surgery in other countries, this is a minimum estimate, but
266 undocumented cases are likely to be few. The CSR is significantly higher than the 2003
267 figure of 843,¹⁶ which, considering the lack of data from Palestine noted in other EMR
268 reports,¹⁸ was probably an underestimation. The increase in CSR could be attributed to
269 the increase in number of cataract surgical centres in Palestine in the last decade.
270 Nonetheless this CSR is lower than the WHO recommended minimum of 2500 by the
271 year 2010, and 3000 by the year 2020 for the EMR countries. It compares unfavourably

272 to neighbouring Egypt's estimated 3674 in 2014.¹⁹ This could be related to the higher
273 number of ophthalmologists in Egypt, estimated at 65/million population compared to
274 35/million in Palestine.^{15, 120} Additionally, CSR is associated with socioeconomic
275 indicators, and has a linear correlation with GDP per capita.²¹ It is likely, therefore, to
276 reflect the fact that the Palestinian GDP is considerably lower than Egypt's. Comparable
277 EMR countries to Palestine in terms of GDP per capita are Yemen and Sudan.⁹ The
278 CSR was 2473 in Yemen in 2012,²² and 2025 in Sudan in 2010.²³ Other factors, like the
279 percentage of ophthalmologists trained in cataract surgery, availability of equipment
280 and consumables, and the number and distribution of other eye health cadres are
281 potential reasons for the low CSR.

282 The CSR showed a decline between 2012 and 2013, which could be related to
283 the PA economic crisis, caused by reduced external donations and to Israeli withholding
284 of Palestinian tax revenue.²⁴ In response to its increasing inability to cover the two main
285 areas of expenditure, salaries and health referrals, the Palestinian MOH reduced the
286 services offered. In addition, sporadic health workers' strikes led to cancellations of
287 non-emergency surgeries. In 2014, the CSR in GS was the lowest of the five years,
288 despite an increase in CSR in WB in the same year. This reflects the geo-political
289 separation between the two regions and the challenge of providing equitable services in
290 these circumstances. The 2014 Gaza war took a heavy toll on healthcare services,
291 evidenced by damage to healthcare infrastructure and the loss of health workers.²⁵ A
292 reduction in basic health services has been an inevitable consequence of serious
293 conflicts and the challenges of providing equitable services in these circumstances
294 remains significant.²⁶

295 Women in the EMR are more likely to be blind and have less access to eye care
296 than men.²⁷ In Egypt, females had 7% fewer cataract surgeries than males.¹⁹ The RAAB

297 study from Palestine in 2010 found that the CSC was lower for females, and that they
298 had poorer outcomes than males.¹⁵ In this study the cataract output was similar for
299 males and females. While women often face greater financial difficulties in accessing
300 eye care, our study found that the majority of operated cataract patients in 2015 were
301 insured. Moreover, according to data from 2011, women in Palestine had a higher
302 percentage of insurance coverage than men.¹² Our findings are based on gender data for
303 only 62% of the cataract cases in Palestine, which is a potential source of bias. Our
304 study doesn't provide data on the proportion of women and men with cataract in
305 Palestine and therefore we can't assume that the output implies gender equity in access
306 to cataract services. Data from the RAAB are more likely to be robust due to random
307 sampling and the high response rate.

308 In keeping with high income countries,²⁸ 73% of cataract surgeries in Palestine
309 in 2015 were performed by phacoemulsification; however, at government centres the
310 ECCE technique was performed in 60.5% of cases in GS and 86.5% in WB. WHO
311 recommends MSICS as the cataract surgical technique of choice to implement in
312 developing countries.²⁹ MSICS permits sutureless surgery³⁰ and requires less
313 technology than phaco, making it less costly.^{30,31} The application of MSICS has
314 delivered positive results in many low- and middle-income countries.³¹ However, this
315 study found that in Palestine, the transition in surgical technique was from ECCE
316 surgery to phacoemulsification, without the introduction of MSICS. This pattern has
317 also been seen in Egypt.¹⁹ The application of MSICS may be a useful adjunct in centres
318 currently performing ECCE as part of the public health response to cataract blindness in
319 Palestine.

320 In Palestine, the number of cataract surgeries carried out in NGO centres
321 between 2011 and 2015 was almost the same as the combined number of surgeries from

322 private and government centres; this is in contrast to Egypt and Yemen where the main
323 providers were private.^{19,22} Government centres compensated for shortages in their
324 service provision by making referral agreements with NGO or private providers, yet this
325 varied between the two regions. While government centres in WB had the lowest
326 cataract output and relied heavily on making referrals, government centres in GS had a
327 cataract output that outweighed that of NGOs with few referred patients. This difference
328 in referral rates could reflect different regional policies. Verification of data from GS,
329 however, was not possible, due to lack of access.

330 Collaboration between public, private and non-government healthcare providers
331 is common to all health services in Palestine.^{21,25} However unlike some other health
332 services, cataract surgery is not contracted out to other countries, in compliance with the
333 ‘nationalization of services’ strategy of the Palestinian MOH. Besides generating
334 financial savings for the government, this implies that the cataract surgical centres in
335 Palestine are generally competent in addressing the need. In 2015, the price range of
336 cataract surgery, including PHACO and ECCE, through out-of-pocket payment was
337 2000 - 4000 NIS (454 - 907 GBP), similar to neighbouring countries like Jordan (548-
338 950 GBP) and lower than Israel (860-1290 GBP). The effects of pricing on consumer
339 decisions on eye surgery are likely to be complex.

340 The authors of the 2010 RAAB study concluded that only 54.5 % of eyes
341 operated for cataract in the Palestine had a good visual outcome, much lower than WHO
342 target of >80%, and that visual outcomes were worse in GS than in WB.¹⁵ It was
343 beyond the scope of this study to examine cataract surgical outcomes. Further studies
344 should examine factors like infrastructure, human resources, training, and co-
345 morbidities as possible factors for poor visual outcome to cataract surgery in Palestine.

346 This study describes cataract surgical services in Palestine, which has a unique
347 historical, social and political context that influences its health system dynamics.
348 However, parallels and generalizations can be made with other settings. Firstly, conflict
349 affecting health services, and eye care services specifically, has been described in other
350 countries, like Egypt.¹⁹ Anticipating these events can improve health systems resilience
351 and forward planning of coping mechanisms. Secondly, the indicators presented are
352 standard WHO indicators for cataract surgical services and therefore can be compared
353 with other countries for reference.⁷ Thirdly, the possible effect of insurance systems in
354 closing the gender gap in cataract surgical services can also be of interest to other
355 countries where gender inequity in access to eye care has been demonstrated.²⁷

356

357 **Conclusion**

358 The CSR in Palestine falls short of the target CSR for the Eastern Mediterranean
359 Region. In the West Bank, a high referral rate from government centres was found,
360 suggesting that these centres lacked the capacity to deal with demand. In Gaza, on the
361 other hand, government centres operated on almost all the cases seen. Seventy eight
362 percent of cataract surgeries in 2015 in Palestine were financed by health insurance.
363 Further studies are needed to identify the magnitude and causes of poor visual outcomes
364 from cataract surgery in Palestine, since surgical techniques, variations in surgical skill,
365 and co-morbidities such as diabetes may all have an impact. In addition, an assessment
366 of services from the patients' perspective would be a welcomed additional study.
367 Finally, although Palestine never joined in implementing VISION 2020, there is now an
368 opportunity to join the global eye health community in prioritizing universal eye health.

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477 Table 1. Cataract output in West Bank (WB) and Gaza Strip (GS), 2015

		Cataract output		
Region	Governorate	Type of facility		Total
		Government	Private	
West Bank	Hebron	66	302	358
	Bethlehem	--	--	689
	Jerusalem	--	--	2307
	Ramallah	197	1171	--
	Nablus	489	550	--
	Jenin	--	104	--
Gaza Strip	Total WB	752	2127	3354
		(8%)	(21%)	(34%)
	Gaza city	1518	19	1465
	Khan yunis	563	--	90
	Rafah	--	--	20
	Total GS	2081	19	1575
Palestine		(21%)	(0.2%)	(16%)
	Total Palestine	2806	2146	4929
		(28%)	(22%)	(50%)
				9908
				(100%)

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479

480 Table 2. Regional cataract output by surgical technique, 2015

481

Region	Phaco		ECCE		MSICS		Unknown		Total	
	n	%	n	%	n	%	n	%	n	%
WB	5194	52.4	1039	10.5	0	0.0	0	0.0	6233	62.9
GS	2077	21.0	1469	14.8	90	0.9	39	0.4	3675	37.1
Total Palestine	7271	73.4	2508	25.3	90	0.9	39	0.4	9908	100

482 ECCE: Extracapsular cataract extraction, MSICS: Manual small incision cataract surgery, WB: West

483 Bank, GS: Gaza Strip.

484

485 Table 3. Cataract Surgical Rates, 2011 – 2015

Region		2011	2012	2013	2014	2015
WB	Cataract output	5330	5104	5166	6909	6233
	Population in millions	2·58	2·64	2·71	2·79	2·86
	CSR	2065	1933	1906	2476	2179
GS	Cataract output	3414	3133	3443	3205	3675
	Population in millions	1·58	1·64	1·7	1·76	1·81
	CSR	2160	1910	2025	1821	2030
Total Palestine	Cataract output	8744	8237	8609	10114	9908
	Population in millions	4·16	4·29	4·42	4·55	4·68
National CSR		2101	1920	1947	2222	2117

486 CSR: Cataract Surgical Rate, WB: West Bank, GS: Gaza Strip.

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488 List of Figures:

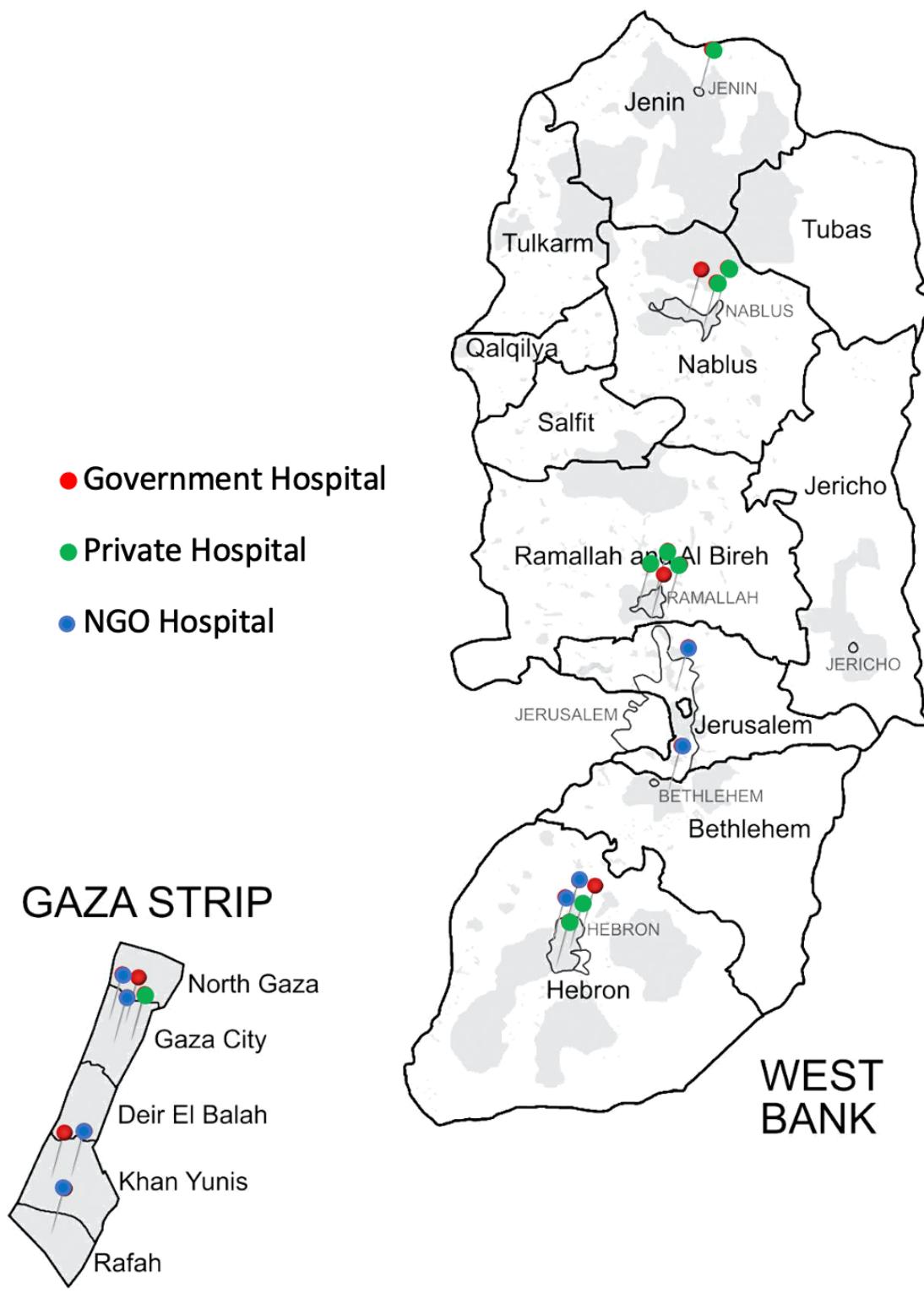
489 Figure 1. Map of Palestine and cataract surgical facilities by type and region.

490 Figure 2. Trend of Cataract Surgical Rate among the regions of Palestine.

491 Figure 3. Referral system for cataract services in Palestine in 2015.

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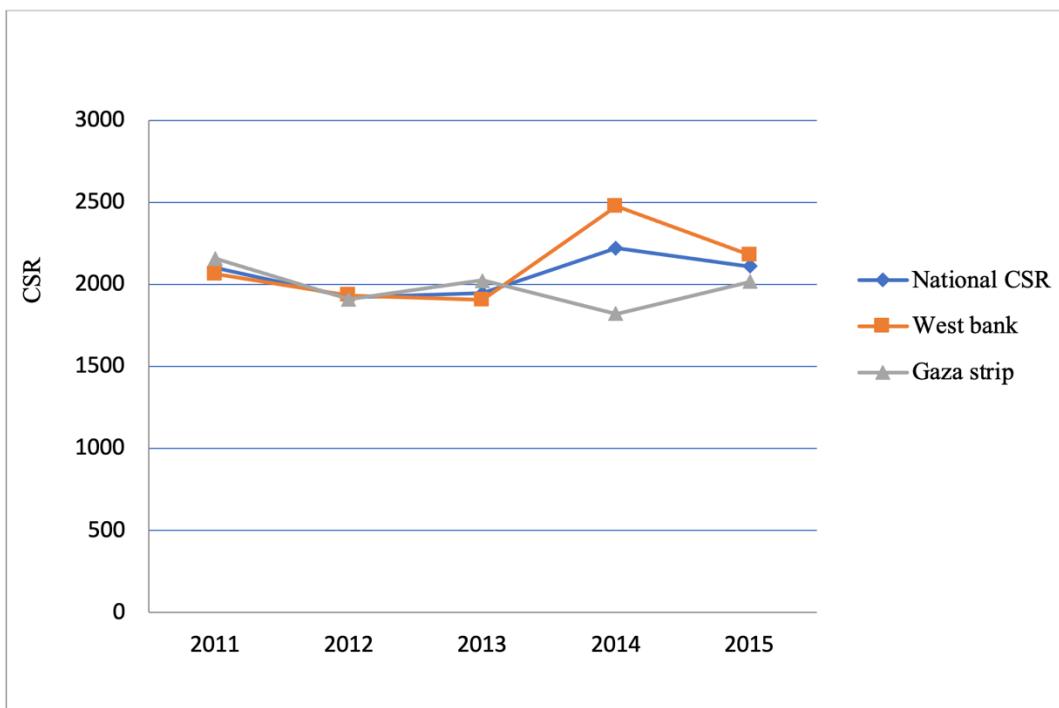


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496 Figure 2. Trend of Cataract Surgical Rate among the regions of Palestine.



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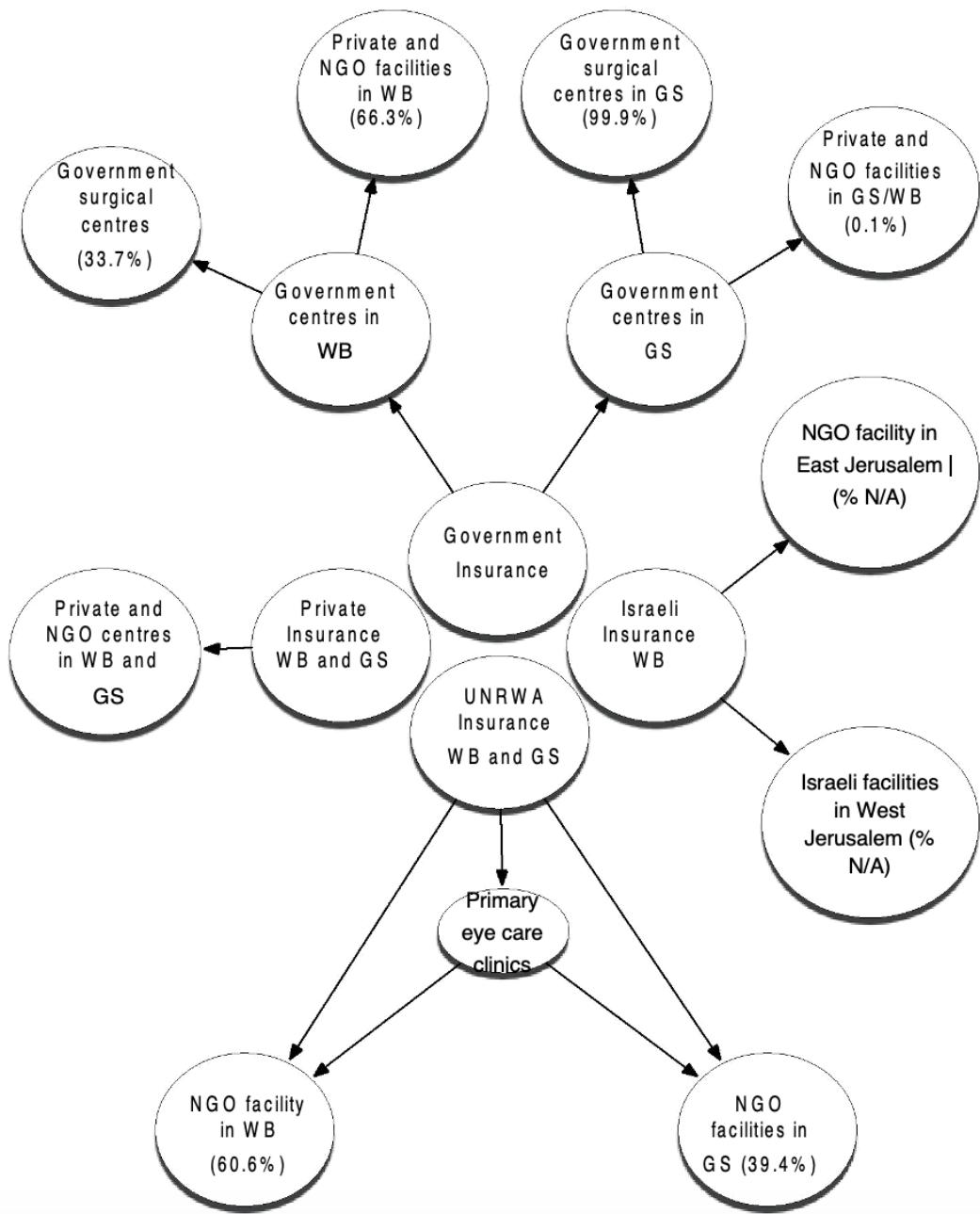
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512 Figure 3. Referral system for cataract services in Palestine in 2015.



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