1	Vaccine-derived Rotavirus strains in infants in England				
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## 23 Abstract

Objective: To describe infants with acute gastroenteritis symptoms in primary and secondary
 care who have the Rotarix<sup>®</sup> vaccine-derived G1P[8] rotavirus strain identified in their stools.

27 **Design:** Prospective national surveillance conducted by Public Health England (PHE).

28 Rotavirus-positive samples from vaccine-eligible children are routinely submitted to PHE for

29 confirmation and general practitioners are requested to complete a surveillance questionnaire

30 for all cases. The modified Vesikari score was used to assess severity of gastroenteritis

31 Setting: England, July 2013 to September 2016

32 **Results:** 2,637 rotavirus strains were genotyped and 215 (8%) identified as the

33 Rotarix<sup>®</sup>vaccine-derived G1P[8] strain. There were no Rotarix<sup>®</sup> vaccine-derived G1P[8]

34 strains detected in unimmunised infants. Rotarix<sup>®</sup> vaccine-derived G1P[8] strains clustered

around the time of rotavirus vaccination and were responsible for 82% (107/130) of

36 rotavirus-positive samples in 2 month-olds and 68% (36/53) in 3 month-olds. However, 14

37 samples were obtained more than 7 weeks after the last vaccination date; ten of these

38 specimens were from six children who were subsequently diagnosed with severe combined

39 immune deficiency (SCID). Diarrhoea was the single most common presenting symptom

40 (83.0%) in infants with Rotarix<sup>®</sup> vaccine-derived G1P[8] strains, who were also less likely to

41 present with fever, vomiting, dehydration or severe gastroenteritis.

## 42 Conclusions

Rotavirus identified in stools of infants around the time of their routine immunisations is
most likely be the Rotarix<sup>®</sup> vaccine-derived G1P[8] strain. Infants with undiagnosed SCID at
the time of rotavirus immunisation may experience prolonged gastroenteritis symptoms. The

- 46 majority of infants with vaccine strains in their stools more than 7 weeks after immunisation
- 47 had SCID.

#### 49 Introduction

50 Rotavirus is the most common cause of diarrhoea leading to hospitalisation in young children 51 and is associated with considerable healthcare utilisation (1-3). Prior to routine immunisation, 52 rotavirus gastroenteritis (RVGE) was associated with more than 80,000 primary care 53 consultations (2) and 13,000 hospitalisations in the UK each year among children under 5 54 years (3). On 01 July 2013, a two-dose, oral live-attenuated monovalent rotavirus vaccine, Rotarix<sup>®</sup> (GlaxoSmithKline Biologicals, Rixensart, Belgium), was introduced into the UK 55 56 national infant immunisation programme at 8 and 12 weeks of age. Despite strict age 57 restrictions for administering both the first and the second dose of vaccine, the programme 58 rapidly achieved a very high vaccine uptake of 93% for the two-dose schedule by 25 weeks 59 of age and was associated with a subsequent 15% decrease in primary care attendance for 60 childhood acute gastroenteritis (AGE) as well as a 77% reduction in laboratory-confirmed 61 rotavirus infections and a 26% decline in all-cause AGE-associated hospitalisations across all age groups (4, 5). 62

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64 In England, hospital laboratories commonly employ a number of different rotavirus antigen 65 tests with variable sensitivities and specificities to confirm the diagnosis of rotavirus gastroenteritis in children (6). A few of the larger specialist hospitals use more sensitive 66 67 enzyme immunoassays and, less commonly, reverse-transcriptase polymerase chain reaction 68 (RT-PCR) to confirm the diagnosis. Because of the variable testing practices, and as part of 69 enhanced national surveillance to monitor the impact, effectiveness and safety of the infant 70 rotavirus immunisation programme, Public Health England (PHE) requested hospital 71 laboratories across England to submit all rotavirus-positive stool samples from children in the 72 vaccine-eligible cohort to the national reference laboratory (Enteric Virus Unit; EVU) for 73 confirmation and molecular characterisation. Surveillance of circulating rotavirus genotypes

74 before and since vaccine introduction has shown that the incidence of the previously most 75 prevalent strain, G1P[8], on which the vaccine is based, has declined most significantly (7). At the same time, the genotype diversity of the remaining wild type rotavirus strains causing 76 77 gastroenteritis has increased (7). Laboratory surveillance also identified a substantial proportion of samples as Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains (7). Here we describe the 78 characteristics of infants with confirmed Rotarix®vaccine-derived-G1P[8] strain identified in 79 80 their stools following the introduction of the rotavirus vaccine into the national immunisation 81 programme and discuss the implications of our findings for frontline clinicians assessing 82 infants with acute gastroenteritis in primary and secondary care.

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## 84 Methods

Hospital laboratories routinely report all clinically significant infections, including rotavirus,
electronically to PHE through the second-generation surveillance system (SGSS). PHE
conducts enhanced national surveillance of all reported rotavirus cases in the vaccine-eligible
cohort in England. As part of enhanced surveillance, a questionnaire is sent to general
practitioners (GPs) for each case, requesting the rotavirus immunisation history; between 01
July 2013 and 30 June 2015, the questionnaire also requested information to complete a
modified Vesikari score for cases (8, 9).

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Since the introduction of the rotavirus immunisation programme, all NHS laboratories have
also been asked to routinely submit rotavirus-positive stool samples in vaccine-eligible
children (i.e. those born since 01 May 2013) to the PHE EVU for confirmation (10-12) and
molecular characterisation (13, 14). Samples from vaccine-eligible cases reported through
SGSS and not submitted to PHE are actively followed-up with the reporting hospital virology
department. Unlike hospital laboratories, methodologies used at PHE EVU determines wild-

99 type rotavirus genotypes according to binomial classification using the virus VP4 (P) and VP7 (G) sequences (as GxP[x]) and further differentiates G1P[8] type viruses between wild-100 type and vaccine-derived strains. Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains were defined 101 102 either: (1) where the sequences of the VP4 and VP7 encoding genes (segments 4 and 9, respectively) demonstrated highest homology with Rotarix<sup>®</sup> sequences (accession numbers 103 JX943612 and JX943614, respectively); and/or (2) through detection of the Rotarix<sup>®</sup> 104 105 sequence using a previously published and validated qRT-PCR assay, specifically targeting the NSP2 gene (segment 8) of the Rotarix<sup>®</sup> strain (13). 106 107

For this study, all cases identified with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain between 01 108 109 July 2013 and 30 September 2016 were included in the analysis. For each case, the interval 110 between the date of sample and the last dose of rotavirus vaccination was used to estimate the duration of shedding of the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains. Infants with a shedding 111 112 interval greater than seven weeks were considered as outliers based on the distribution of the 113 data, and investigated further by requesting additional clinical details and underlying 114 conditions from their GP and, if needed, hospital clinicians. Infants confirmed with Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains who were reported by their GP as unimmunised and 115 116 those where the date of sample collection preceded the reported vaccination date were also followed-up to investigate the potential source of the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain. 117 118

#### 119 Data Analysis

Data are mainly descriptive. Non-normal data are presented as medians with interquartile
ranges and compared using the Mann Whitney U test. Proportions are compared using the
chi-squared or Fisher's exact test, as appropriate.

#### 124 Ethical Approval

125 PHE has legal permission, provided by Regulation 3 of the Health Service (Control of Patient

126 Information) Regulations 2002, to process confidential information for national surveillance

127 of communicable diseases.

(http://www.legislation.gov.uk/uksi/2002/1438/regulation/3/made). This includes PHE's
responsibility to monitor the safety and effectiveness of vaccines, and as such, individual
patient consent is not required.

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## 132 **Results**

133 During 01 July 2013 and 30 September 2016, 2,637 rotavirus strains were genotyped by PHE

134 EVU and 215 (8%) identified as the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains. Of the 215

135 strains, eight were from infants who were not UK residents and were, therefore, not followed-

136 up as part of national surveillance as they were not registered with a GP practice.

137 Investigation of seven other cases initially reported as unimmunised by the GP confirmed that

138 all had in fact received the rotavirus vaccine in the six weeks preceding the sample date. In

139 five additional cases, the sample date was reported to be prior to the date of first vaccination,

140 but subsequent investigation revealed that the vaccination date had been reported in error for

141 three cases and the vaccine had in fact been given prior to the sample date. In the remaining

142 two cases, the reported date of vaccination was for the second dose; both infants had been

born prematurely and had received their first dose of rotavirus vaccine in hospital at an

144 unspecified date, but prior to the sample date. There were, therefore, no Rotarix<sup>®</sup>vaccine-

145 derived-G1P[8] strains isolated from unimmunised infants during the surveillance period,

146 despite previous reports (7).

148 Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains contributed 32% (12/37) of rotavirus-positive 149 samples in infants aged under 2 months (these infants were immunised at 6-8 weeks; i.e. 150 before they become two months old), 82% (107/130) in 2 month-olds, 68% (36/53) in 3 151 month-olds, 46% (11/24) in 4-month-olds, 19% (5/26) in 5 month-olds and less than 1% in older infants. There were 158 Rotarix<sup>®</sup>vaccine-derived-G1P[8] samples detected after a first 152 153 dose of rotavirus vaccine and before the second dose, with a median of 12 (IQR7-21) days 154 after vaccination (range, 0 days to 96 days). In addition, there were 49 samples with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain detected after the second dose of rotavirus vaccine, 155 156 with a median of 14 (IQR= 6-48) days after vaccination (range 2 to 420 days) (Figure 1). The 157 interval between the sample date and vaccination was not significant between the first and 158 second dose of Rotarix® (Mann-Whitney U test -8290, p=0.51). In the latter group, 19 samples were identified with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain more than seven 159 160 weeks after the last rotavirus vaccination (Figure 1). Of these, six had an incorrect sample 161 date recorded and were, therefore, re-classified. Ten of the remaining samples were from six 162 children who were subsequently diagnosed with severe combined immune deficiency 163 (SCID); three additional cases with sample dates of 112 days, 71 days and 57 days after 164 vaccination, respectively, were from infants who did not have any reported underlying 165 condition; one was subsequently diagnosed with intestinal obstruction.

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Based on the information in the clinical questionnaire completed by the GP, infants with a
Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain were younger than those with wild-type rotavirus
gastroenteritis (Table 1). In the former group, diarrhoea was by far the most prevalent
presenting symptom (83.0%) and 54.5% (68/127) presented with diarrhoea only. By
comparison, although infants with wild-type rotavirus gastroenteritis (due to any circulating
strain) nearly always also presented with diarrhoea (94.6%), other symptoms including fever

173 (48.4% vs. 20.2%, p<0.001) and vomiting (74.2% vs. 32.0%, p<0.001) were more prevalent when compared to infants with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain. Notably, infants 174 with wild-type rotavirus infection were less likely than those with a Rotarix®vaccine-derived-175 176 G1P[8] strain to present with diarrhoea only (without vomiting) (95/407 [23.3%] vs. 68/127 177 [54.5%]; P<0.001). Infants with wild-type rotavirus gastroenteritis were also more likely to 178 be dehydrated (25.1% vs. 11.4%, P=0.001) and have severe gastroenteritis according to the 179 modified Verikari score (37.5% vs. 9.8%, P=0.001) compared to those with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain (Table 1). 180

181

# 182 **Discussion**

183 During the first three years of the infant rotavirus immunisation programme in England, one 184 in twelve rotavirus strains detected in stool samples from infants in the vaccine-eligible cohort were Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains. More than 93% of samples containing 185 186 Rotarix<sup>®</sup>vaccine-derived-G1P[8] virus were found in infants within 7 weeks of their first or second Rotarix<sup>®</sup> vaccination at 8 and 12 weeks of age. Detection of Rotarix<sup>®</sup> vaccine-derived-187 188 G1P[8] strains in infants older than 5 months of age was associated with an underlying 189 diagnosis of SCID; these infants continued to excrete the vaccine-derived for a long period. Infants with Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains presented predominantly with diarrhoea 190 191 and, compared to those with wild-type rotavirus gastroenteritis, were less likely to be 192 dehydrated or have severe gastroenteritis as assessed by the modified Vesikari score. 193 194 Currently available point-of-care (POC) or rapid diagnostic tests for rotavirus do not 195 differentiate between rotavirus strains as they are directed toward an antigen (VP6) common 196 across all group A rotaviruses and/or utilise polyclonal reagents which do not discriminate

197 between virus genotypes. Nucleic-Acid Amplification Test (NAAT)-based approaches are

capable of distinguishing genotypes, and – in the case of G1P[8] – wild from vaccine-derived
strains. At present, commercial kit-based platforms do not offer this distinction as part of the
multiplex designs, although laboratories may incorporate the test into any in-house
methodologies after appropriate validation. The diagnostic tests commonly used by NHS
hospital laboratories do not differentiate between wild-type and Rotarix<sup>®</sup>vaccine-derivedG1P[8] strains; this has important clinical implications.

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## 205 Vaccine-strains causing symptoms: clinical implications

206 In our cohort, stool samples were submitted from symptomatic infants who were assessed in primary or secondary care because of parental concerns. In clinical trials, reported adverse 207 events associated with Rotarix<sup>®</sup> vaccines include vomiting and diarrhoea. In a recent 208 integrated analysis of the safety and reactogenicity of Rotarix<sup>®</sup> among >100,000 infants 209 210 enrolled in 28 phase II and III clinical trials, the rates of any vomiting (17.8% vs. 17.0%) or 211 diarrhoea (7.8% vs. 7.5%) as well as severe (Grade 3 intensity) vomiting (2.7% vs. 2.4%) or diarrhoea (4.9% vs. 4.5%) was similar among recipients of Rotarix<sup>®</sup> and the placebo group 212 (15). Of the serious adverse events within 30 days of Rotarix<sup>®</sup> immunisation, however, 213 214 gastroenteritis (0.27% vs. 0.39%; relative risk 0.65; 95% CI, 0.52-0.82; P=0.0002) and severe 215 diarrhoea (0.03% vs. 0.06%; relative risk 0.48; 95% CI, 0.24-0.94; P=0.03) were both 216 significantly less common in vaccinated infants compared to the placebo group. In Japan, where two live attenuated oral rotavirus vaccines (Rotarix<sup>®</sup> and Rotateg<sup>®</sup>) have 217 been used voluntarily since 2011, analysis of 1,824 stool samples from children at outpatient 218 clinics with acute gastroenteritis identified the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain in six 219 of 372 (1.6%) rotavirus-positive samples and no Rotateq<sup>®</sup> vaccine-derived strains (16). Wild-220 221 type rotavirus strains and other pathogens such as norovirus, *Escherichia coli* and enterovirus 222 were also detected in two and four of the six samples, respectively, that were positive for the

Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain (16). The authors concluded that the contribution of
the vaccine-derived strains to the children's symptoms was unclear, although all six had been
vaccinated 2-14 days before sample collection. In another study, diarrhoea post-vaccination
was reported in 21% (13/61) of infants admitted to hospital within two weeks of receiving the
Rotateq<sup>®</sup> vaccine (17).

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229 Given that both vomiting and diarrhoea (especially severe symptoms warranting medical 230 attention) are uncommon adverse events following oral rotavirus vaccination, even when 231 solicited in clinical trials, a key question that remains as to whether the vaccine-derived 232 strains identified in the stool samples of symptomatic infants in this study was responsible for 233 the illness or whether another pathology was involved. Additional assessments to identify the 234 cause of the gastrointestinal symptoms, including identification of other pathogens in the stool sample, may help elucidate the role of the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains in 235 236 such infants. In the meantime, clinicians should be cautious when assessing infants presenting 237 with symptoms of acute gastroenteritis during the period after their rotavirus immunisations 238 (typically, 2-5 months of age). In particular, a rotavirus-positive stool sample in a recently 239 immunised infant should be interpreted with caution unless, for G1[P8] strains, the presence 240 of a Rotarix<sup>®</sup>vaccine-derived G1[P8] has been discounted, particularly in infants who are 241 severely unwell, as there may be another cause of the illness. Another important 242 consideration regarding oral rotavirus vaccination which has previously been reported is that 243 clinicians should be aware of the small but significant increased risk of intussusception 244 during the first week – and up to three weeks – after rotavirus immunisation, especially after the first dose of Rotarix<sup>®</sup> (18). 245

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## 247 **Prolonged shedding and SCID**

248 Infants with the Rotarix<sup>®</sup>vaccine-derived-G1P[8] strains identified more than 7 weeks after 249 they were given rotavirus immunisation period often had underlying SCID. Prolonged shedding of the Rotarix®vaccine-derived-G1P[8] strain is well-reported in infants with SCID 250 (19) and vaccination of SCID patients with live rotavirus vaccines, including Rotarix<sup>®</sup>, is 251 252 contra-indicated. Infants with SCID can be diagnosed early through national newborn 253 screening programmes but this is not universally implemented (20-22), including in England, 254 although a pilot study is being planned. In countries without such a screening programme, 255 infants with prolonged gastrointestinal symptoms after rotavirus vaccination and/or shedding of Rotarix®vaccine-derived strain, particularly more than seven weeks following the most 256 257 recent immunisation, should be assessed for underlying immune deficiency, especially SCID 258 (20).

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#### 260 **Transmission of vaccine-derived strains in the community**

Another important finding in our study was the lack of Rotarix<sup>®</sup>vaccine-derived-G1P[8] 261 262 strains in the stools of unimmunised, symptomatic children during the first three years of the 263 national immunisation programme. Recent studies in neonatal intensive care units also did 264 not identify any transmission of Rotarix®vaccine-derived-G1P[8] strains from immunised to unimmunised infants (23-25). Transmission of the vaccine strain to unimmunised children 265 266 has been reported, albeit infrequently, and is not associated with any symptoms in the 267 recipients, which is reassuring (26-28). Evidence of such horizontal transmission events is 268 important because it could help explain the indirect (population) protection afforded by the 269 infant programme to unvaccinated children and adults in England (4) and elsewhere (29). 270

#### 271 Strengths and Limitations

272 The strength of this study lies in the enhanced national surveillance conducted by PHE that 273 began prior to introduction of the rotavirus vaccine into the national immunisation 274 programme (4). In addition to demonstrating population impact, we were able to monitor 275 changes in circulating rotavirus strains following vaccine introduction (7). One limitation, 276 however, was that sample submission rates from vaccine-eligible infants to PHE was 277 relatively poor at the beginning of the programme but increased rapidly once the hospital 278 laboratories implemented local protocols to prospectively submit positive samples to PHE. 279 Additionally, stool samples were taken at the clinicians' discretion and only from infants 280 whose parents were sufficiently concerned about their child to seek medical attentions. It is 281 also possible that clinicians may be more likely to submit stool samples from immunised 282 infants because they would expect such infants to be protected against rotavirus 283 gastroenteritis. Finally, the information needed to calculate the modified Vesikari score, was 284 poorly completed because the individual parameters of the Vesikari score are not routinely 285 recorded in the clinical records and the surveillance questionnaire was sent to GPs several 286 weeks after the diagnosis was confirmed in the infant.

287

#### 288 Conclusions and Clinical Implications

289 Clinicians should be aware that infants may develop acute gastroenteritis symptoms,

290 especially diarrhoea, and have positive rotavirus stool tests after rotavirus vaccination. SCID

- 291 remains the major contraindication to rotavirus vaccination; those with prolonged
- 292 gastrointestinal symptoms and/or rotavirus-positive stools after vaccination should be

investigated for underlying immunodeficiency, including SCID.

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295

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303	characterisation tests for the national surveillance programme, and also Prof David Brown,				
304	for his help in establishing the programme.				
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310	What is already known				
311	1. Rotavirus is the most common cause of acute gastroenteritis leading to hospitalisation				
312	in young children worldwide				
313	2. The live attenuated oral rotavirus vaccines are highly effective in preventing severe				
314	rotavirus gastroenteritis and hospitalisations due to rotavirus gastroenteritis				
315	3. Hospital laboratories generally do not distinguish between wild-type rotavirus strains				
315 316	3. Hospital laboratories generally do not distinguish between wild-type rotavirus strains and vaccine strains in stool samples of symptomatic infants				
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316 317	and vaccine strains in stool samples of symptomatic infants				
<ul><li>316</li><li>317</li><li>318</li><li>319</li></ul>	and vaccine strains in stool samples of symptomatic infants What this study adds				
<ul><li>316</li><li>317</li><li>318</li></ul>	and vaccine strains in stool samples of symptomatic infants				

322	2.	Most vaccine strains were found in infants within 7 weeks of their first or second
323		Rotarix <sup>®</sup> immunisation at 8 and 12 weeks of age.
324	3.	Infants with vaccine strains presented mainly with diarrhoea and were less likely to
325		have fever, vomiting, dehydration or severe gastroenteritis than infants with wild
326		type rotavirus.
327	4.	The majority of infants with vaccine strains in their stools more than 7 weeks after
328		immunisation had Severe Combined Immune Deficiency
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416 **Table 1**: Characteristics of cases by rotavirus strain type, England 2013-2015.

417

Characteristic	Wild type strains	Vaccine derived	P value
	(n=536)	G1P[8] (n=174)	
Age, weeks	54.4 (43.3)	10.9 (4.6)	P<0.001
Age, months	12.5 (10.0)	2.5 (1.1)	P<0.001
Vomiting	305/411 (74.2%)	41/128 (32.0%)	P <0.001
Diarrhoea	401/424 (94.6%)	112/135 (83.0%)	P <0.001
Fever	180/372 (48.4%)	25/124 (20.2%)	P <0.001
Dehydration	97/387 (25.1%)	14/123 (11.4%)	P =0.001
Severity *			
Mild/moderate (1-10)	80/128 (62.5%)	37/41 (90.2%)	P =0.001
Severe (≥11)	48/128 (37.5%)	4/41 (9.8%)	

418 median (IQR), or n/N (%)

419 \*modified Vesikari Score (based on the information provided by the GP in the clinical

420 questionnaire, a modified Vesikari score could be calculated for 128 infants with wild-type

421 rotavirus infection and 41 infants with a Rotarix<sup>®</sup>vaccine-derived-G1P[8] strain).

422

423

# 425 **Figure 1**

- 426 Percentage of 207 Rotarix®vaccine-derived-G1P[8] strains and the time since most recent
- 427 documented vaccination in weeks. Underlying conditions of individuals with time since
- 428 vaccination exceeding seven weeks are indicated.