

1 *Environmental factors affecting ecological niche of Coccidioides species and spatial dynamics*  
2 *of valley fever in the western United States*

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11

12 **Abstract**

13 Coccidioidomycosis is an understudied infectious disease acquired by inhaling fungal  
14 spores of *Coccidioides* species. While historically connected to the southwestern United States,  
15 the endemic region for this disease is not well defined. This study's objective was to estimate  
16 the impact of climate, soil, elevation and land cover on the *Coccidioides* species' ecological  
17 niche. This research used maximum entropy ecological niche modeling based on disease case  
18 data from 2015 to 2016. Results found mean temperature of the driest quarter, and barren, shrub,  
19 and cultivated land covers influential in characterizing the niche. In addition to hotspots in  
20 central California and Arizona, the Columbia Plateau ecoregion of Washington and Oregon  
21 showed more favorable conditions for fungus presence than surrounding areas. The identification  
22 of influential spatial drivers will assist in future modeling efforts, and the potential distribution  
23 map generated may aid public health officials in watching for potential hotspots, assessing  
24 vulnerability, and refining endemicity.

25 **Key Words:** Coccidioides; Valley Fever; Maxent; Niche Modeling

26 **1. Introduction**

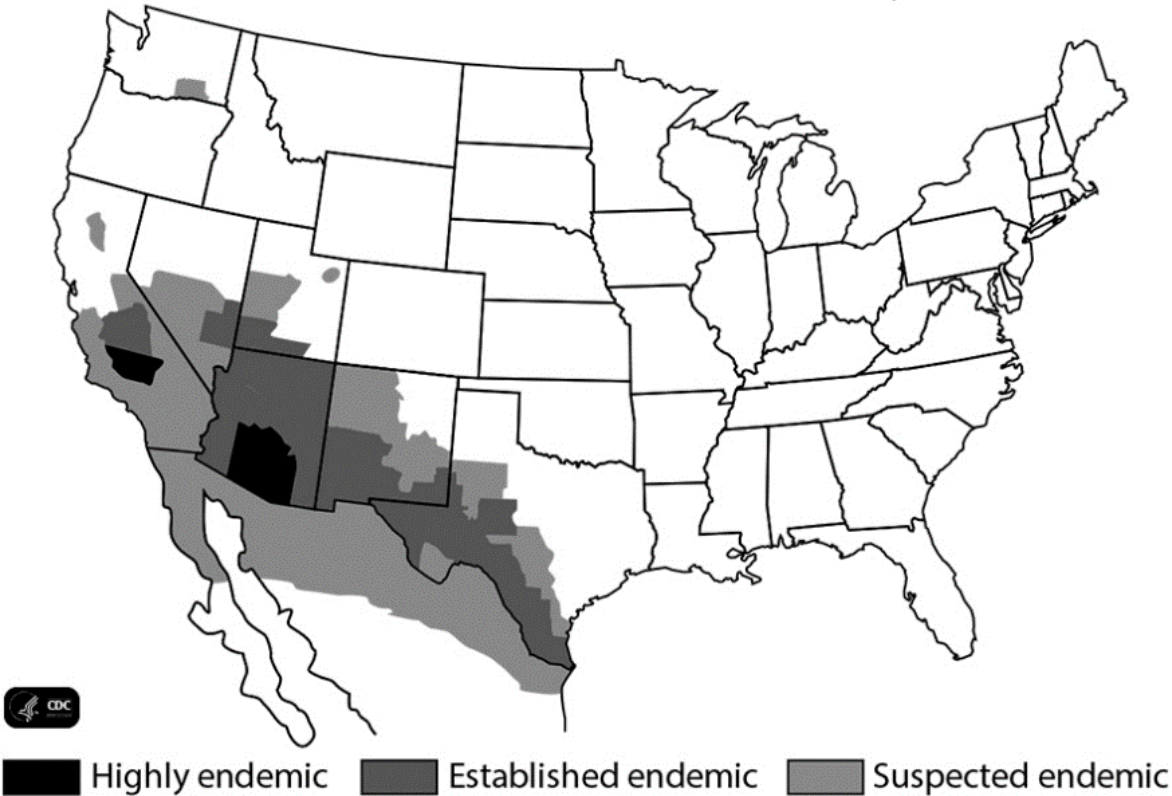
27 Coccidioidomycosis, commonly referred to as valley fever, is a fungal disease that affects  
28 humans and mammals in the western United States. The fungal species that cause this disease,  
29 *Coccidioides immitis* and *Coccidioides posadasii*, live and grow in the soil, but environmental  
30 conditions and human disturbances can cause the fungal spores to become airborne. These

31 spores will either return to the soil or become inhaled. If inhaled, the fungus can become  
32 parasitic and cause symptoms such as fever, cough, chest discomfort, and fatigue; in a small  
33 percentage of the population, endospores disseminate throughout the body and cause more  
34 serious illness (Saubolle, McKellar, & Sussland, 2007). Valley fever is not contagious;  
35 inhalation of spores is the only method of infection, with a few rare exceptions (Pappagianis,  
36 1988). The public health burden associated with this disease has been increasing as cases have  
37 been steadily rising over the past 20 years with approximately 14,000 cases reported in the U.S.  
38 in 2017 (Centers for Disease Control and Prevention, 2018). Approximately 200 people a year  
39 die from this disease (Huang, Bristow, Shafir, & Sorvillo, 2012).

40         The environmental factors influencing the geographic range and distribution of the  
41 *Coccidioides* spp. is not well understood because it is very difficult to find and isolate the fungus  
42 from the soil (Barker, Tabor, Shubitz, Perrill, & Orbach, 2012; Greene, Koenig, Fisher, &  
43 Taylor, 2000). Until recently, the established and suspected endemic region for this disease in  
44 the U.S. was thought to be confined to states in the southwest (Pappagianis, 1988). In the early  
45 2000s, the discovery of multiple *Coccidioides* spp. growth sites in Washington state, well outside  
46 what had previously been considered the suspected endemic region, put into question the actual  
47 and potential geographic range and distribution of the pathogen (Litvintseva et al., 2015;  
48 Marsden-Haug et al., 2013). It is uncertain whether the spores found growing in Washington had  
49 been present for an extended period, or whether they had been recently introduced (Litvintseva et  
50 al., 2015), but researchers believe that a new niche for *Coccidioides* spp. has established or is  
51 establishing in eastern Washington (Marsden-Haug et al., 2013). This expansion of the  
52 suspected endemic area leads to questions regarding what controls the distribution of this disease  
53 (Benedict, Thomson, Deresinski, & Chiller, 2015). Species distributions are often limited by  
54 climate conditions and physical environment features (Raghavan et al., 2016). Based on  
55 previous research and our understanding of the *Coccidioides* species' lifecycle, we hypothesize  
56 that climate, soil, elevation, and land cover influence this spatial distribution.

57

## Areas Endemic for Coccidioidomycosis



59 Figure 1. CDC map showing approximate areas where *Coccidioides* spp. are known or suspected  
60 to live in the U.S. and Mexico. This map is based on studies performed in the late 1940s and  
61 1950s and also on locations of more recent outbreaks and cases (source:  
62 <https://www.cdc.gov/fungal/diseases/coccidioidomycosis/causes.html>).

63

64 A relatively unexplored approach for assessing the ecological and geographic distribution  
65 of *Coccidioides* spp. is ecological niche modeling (ENM). Ecological niche models use a  
66 mathematical representation of known species distribution points, as represented by  
67 environmental variables, to estimate the probability of occurrence at any site (Peterson, 2006).  
68 Ecological niche modeling of *Coccidioides* spp. has been limited, presumably, due to the  
69 relatively small number of positive soil samples. Ideally, the spatial distribution of the disease  
70 could be assessed at fine-scales based on positive soil isolations, but the organism is difficult to  
71 detect and has only been recovered from natural settings in a limited number of studies in small  
72 geographic regions (i.e. Barker et al., 2012; Elconin, Egeberg, & Egeberg, 1964; Greene et al.,

73 2000; Swatek, Omieczynski, & Plunkett, 1967). Baptista-Rosas et al. (2007) developed an  
74 ecological niche model for *Coccidioides* spp. using a Genetic Algorithm for Rule Set Production  
75 (GARP) approach based on reports of 18 point-sites of known positive isolations dating from  
76 1960-2002 to generate a predictive model that identified hotspots in Mexico, California, Arizona,  
77 and Texas. They concluded that the most probable fundamental ecological niche is the arid North  
78 American deserts, providing a methodological basis for further characterization of realized  
79 niches.

80 Building off this previous research, the present study used a maximum entropy (MaxEnt)  
81 ecological niche modeling approach to estimate the environmental impact of climate, soil,  
82 elevation, and land cover affecting the ecological niche of *Coccidioides* spp. and the spatial  
83 dynamics of valley fever in the United States. We addressed the limited number of soil samples  
84 that have tested positive for *Coccidioides* spp. by using recent human case data (2015-2016) and  
85 expanded the region of analysis to include seven states in the western U.S. A statistical  
86 explanation of MaxEnt can be found in Elith et al. (2011), but in summary, the algorithm works  
87 with presence-only data by comparing the environmental data found at species presence  
88 locations to data from across the entire study area. It estimates the ratio of  $f_i/f$  where  $f_i$  is the  
89 probability distribution describing the environmental characteristics at species occurrence sites  
90 and  $f$  is the probability distribution describing characteristics of the whole environment,  
91 including presence and absence sites (Guillera-Arriota, Lahoz-Monfort, & Elith, 2014). To  
92 estimate the probability distribution for species occurrence sites, MaxEnt applies the maximum  
93 entropy principle, seeking a distribution as close as possible to a uniform distribution, while  
94 deviating only as minimally as possible in order to explain the observations (Guillera-Arriota et  
95 al., 2014; Jaynes, 1957). While there are multiple options available for niche modeling, the  
96 MaxEnt algorithm was chosen because of its ability to handle presence-only data, explore  
97 complex/interacting relationships, and generate predictions that compare favorably with other  
98 models (Elith et al., 2006); as far as we are aware, this is the first time MaxEnt has been used to  
99 assess the ecological niche of *Coccidioides* spp.

## 100 **2. Materials and Methods**

### 101 *2.1 Study Area*

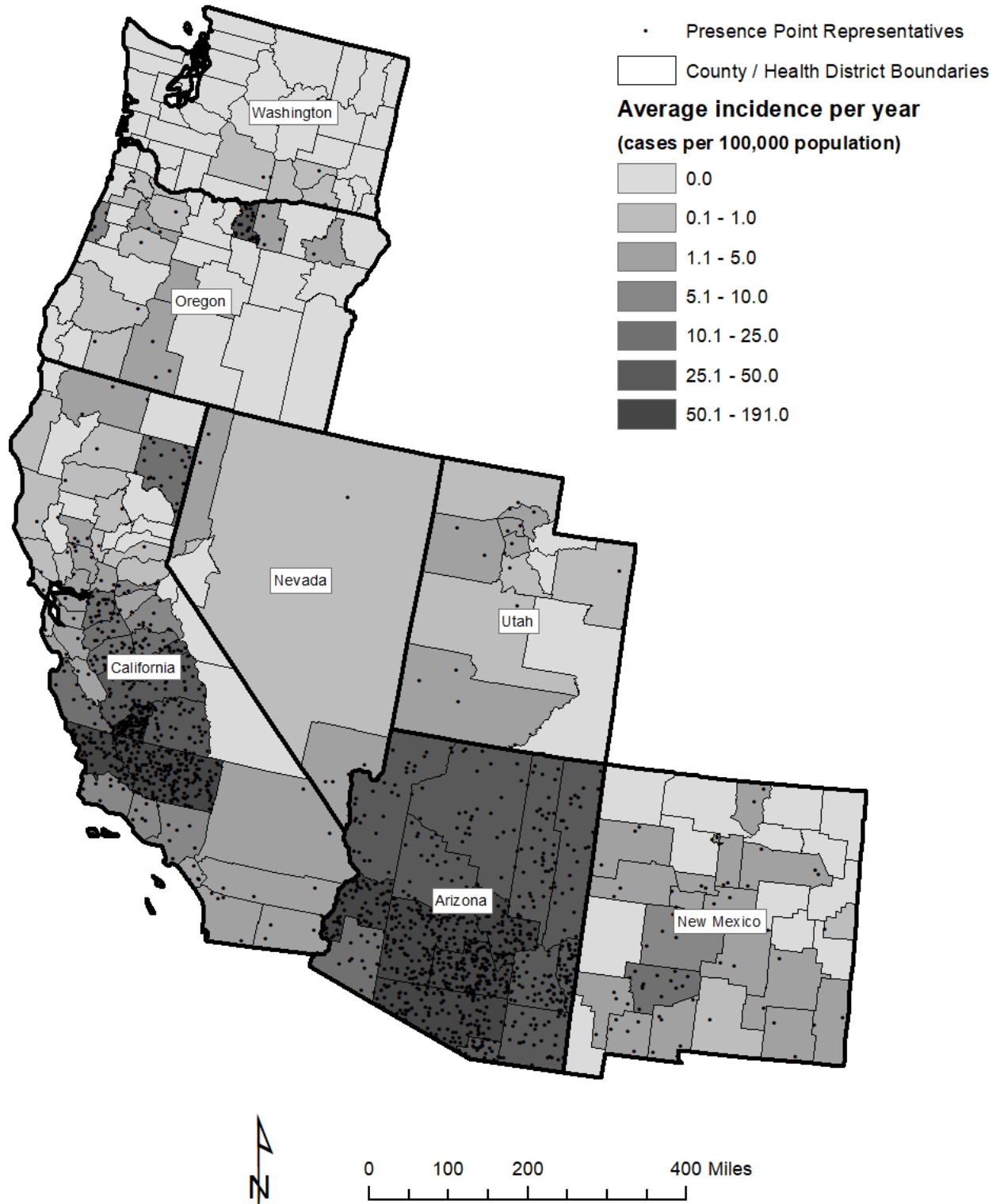
102           The study area for this research includes established endemic and suspected endemic  
103 states for valley fever to include California, Nevada, Arizona, Utah, New Mexico, and  
104 Washington along with the neighboring state of Oregon. Oregon is not considered suspected  
105 endemic by the CDC, but it is included as it lies geographically in between two states with  
106 known growth sites; therefore, this research assumes that the pathogen is potentially present in  
107 Oregon given the pathogen's airborne nature and the state's location between two endemic or  
108 suspected endemic states. Texas is the only endemic state that does not require valley fever to be  
109 reported and therefore was excluded from this study.

## 110 *2.2 Presence Data*

111           Annual valley fever case totals from 2015 to 2016 were obtained for all states in the study  
112 area from the respective state health departments. Valley fever reporting was mandatory for all  
113 states in the study area during this time frame. Data was available at the county-scale for  
114 California, Arizona, New Mexico, Oregon, and Washington and at the health-district scale for  
115 Nevada and Utah. Yearly disease incidence rates per 100,000 population were calculated using  
116 linearly interpolated annual population estimates based on the 2010 census count and the 5-year  
117 American Community Survey 2015 population estimate (U.S. Census Bureau, 2010, 2015).  
118 Annual incidence rates were averaged over the study period to obtain average annual incidence  
119 per year for each county (see Figure 2).

120           County/health-district valley fever case reports were used in place of known *Coccidioides*  
121 spp. presence points. The use of this proxy was necessary for two reasons: 1) currently there is  
122 no consolidated, georeferenced database of the positive soil samples that have been retrieved and  
123 2) required valley fever reporting spans the endemic region while the limited soil samples do not.  
124 While case data are not a direct measure of pathogen presence in the soil of a county, we felt it  
125 was reasonable to assume that most cases reported were from those who were exposed to the  
126 disease in the same county where they live and receive medical care (Benedict et al., 2018), but  
127 we acknowledge that this contributes to model uncertainty. Washington is the only state that  
128 records whether cases were believed to be locally acquired or travel related; because we are  
129 interested using case data as a proxy for fungal presence, only the locally acquired cases were  
130 included.

131



134 Figure 2. Average valley fever incidence based on data from 2015-2016, with one set of  
135 randomly-generated representative presence points.

136

### 137 2.3 Environmental Data

138 The environmental data used in the niche model represents ecological dimensions that are  
139 hypothesized to be relevant to the distribution of *Coccidioides* spp. (see Table 1). The climate  
140 data include 19 bioclimatic variables and downward solar radiation from the WorldClim Version  
141 2 dataset at 30 arc-second resolution (Fick & Hijmans, 2017). Soil data are from the RegridDED  
142 Harmonized World Soil Database v1.2 and include 0.05-degree resolution rasters for pH, percent  
143 clay, sand, silt, and topsoil carbon content (Wieder, Boehnert, Bonan, & Langseth, 2014). While  
144 the coarse resolution of this dataset is not ideal, this data source has the relevant attributes in a  
145 gridded format that does not require significant preprocessing, allowing for easy replication if  
146 more precise occurrence data become available. Elevation data, including elevation, slope,  
147 aspect, and Compound Topographic Index (also referred to as the Wetness Index) are from the  
148 USGS HYDRO1k data set derived from the USGS' 30 arc-second digital elevation model (U.S.  
149 Geological Survey, 2015). Land cover data are from the 2011 National Land Cover Database at  
150 a spatial resolution of 30-meters (Homer et al., 2015). The land cover categories were  
151 aggregated into seven broad habitat classes: water/wetlands, developed, barren, forest, shrubland,  
152 herbaceous, and cultivated. County/health district land cover percentages for each class were  
153 calculated in ArcMap using zonal statistics and rasters with these values were then created. A  
154 raster of percent total land cover change from 2001 to 2011 for each county/health district was  
155 also created. While the original land cover data was available at a much higher resolution than  
156 the other environmental variables, it was converted to continuous data, aggregated at the  
157 county/health-district scale to match the health data, so that the land cover types could be directly  
158 compared with the other continuous variables. All environmental data were projected to USA  
159 Contiguous Albers Equal Area Conic (NAD 1983) and resampled using nearest-neighbor to a 30  
160 arc-second resolution.

161 TABLE 1. Environmental Data

Variable	Resolution	Units
<b>Climatic Variables<sup>1</sup></b>		
Annual Mean Temperature (BIO1)*	30 arc-second	°C*100
Mean Diurnal Range (BIO2)	30 arc-second	°C*100
Isothermality (BIO3)*	30 arc-second	NA
Temperature Seasonality (BIO4)	30 arc-second	°C
Max Temp of Warmest Month (BIO5)	30 arc-second	°C*100
Min Temp of Coldest Month (BIO6)	30 arc-second	°C*100
Temp Annual Range (BIO7)*	30 arc-second	°C*100
Mean Temp of Wettest Quarter (BIO8)*	30 arc-second	°C*100
Mean Temp of Driest Quarter (BIO9)*	30 arc-second	°C*100
Mean Temp of Warmest Quarter (BIO10)	30 arc-second	°C*100
Mean Temp of Coldest Quarter (BIO11)	30 arc-second	°C*100
Annual Precipitation (BIO12)	30 arc-second	Mm
Precip of Wettest Month (BIO13)	30 arc-second	Mm
Precip of Driest Month (BIO14)*	30 arc-second	Mm
Precip Seasonality (BIO15)*	30 arc-second	Mm
Precip of Wettest Quarter (BIO16)*	30 arc-second	Mm
Precip of Driest Quarter (BIO17)	30 arc-second	Mm
Precip of Warmest Quarter (BIO18)*	30 arc-second	Mm
Precip of Coldest Quarter (BIO19)	30 arc-second	Mm
Downward Radiation	30 arc-second	$\text{kJ m}^{-2} \text{day}^{-1}$
<b>Soil Variables<sup>2</sup></b>		
Topsoil clay fraction*	0.05 degree	percent weight
Topsoil silt fraction*	0.05 degree	percent weight
Topsoil sand fraction*	0.05 degree	percent weight
Topsoil carbon content*	0.05 degree	kg C m <sup>-2</sup>
Topsoil pH (in H <sub>2</sub> O)*	0.05 degree	-log(H <sup>+</sup> )
<b>Elevation Variables<sup>3</sup></b>		
DEM (elevation)*	1-kilometer	Meters
Slope*	1-kilometer	Degree
Aspect*	1-kilometer	degree (0-360°)
Compound Topographic Index*	1-kilometer	NA
<b>Land Cover Variables<sup>4</sup></b>		
Proportion Shrub/Scrub*	30-meter	percent area



Proportion Barren*	30-meter	percent area
Proportion Herbaceous*	30-meter	percent area
Proportion Forest*	30-meter	percent area
Proportion Water & Wetland*	30-meter	percent area
Proportion Developed*	30-meter	percent area
Proportion Cultivated*	30-meter	percent area
Proportion Changed from 2001 – 2011*	30-meter	percent area

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<sup>1</sup> <http://worldclim.org/version2>

<sup>2</sup> <https://daac.ornl.gov/SOILS/>

<sup>3</sup> <https://lta.cr.usgs.gov/HYDRO1K>

<sup>4</sup> <https://www.mrlc.gov/nlcd11data.php>

\* Included in final model

162

## 163 2.4 Data Processing

164 Previous ecological niche model studies have handled county-level disease presence data  
165 by either assigning the geographic coordinates of county centroids or population density centers  
166 to each occurrence (Peterson, Pereira, & Neves, 2004; Zeimes et al., 2015), or by plotting  
167 random points within each county polygon to represent each occurrence (Nakazawa et al., 2007,  
168 2010; Peterson, Lash, Carroll, & Johnson, 2006). This study used the latter method as it is better  
169 suited to represent the variability found in the large counties where the disease is endemic.  
170 Specifically, we used 25 random points generated for each case per 100,000 population to  
171 develop 25 sets of covariates, to be used in 25 replicate niche models. This case per 100,000  
172 value was based on the previously calculated average incidence per year, rounded up to the  
173 nearest whole number to capture all counties that had cases during the study period. This  
174 approach represents counties with high incidence more precisely and counties with low incidence  
175 with more spatial variation in representative points. The random occurrence points were  
176 generated in R using the ‘spsample’ command from the SP package and verified in ArcGIS  
177 version 10.5 (Bivand, Pebesma, & Gomez-Rubio, 2013; Environmental Systems Research  
178 Institute, 2017; Pebesma & Bivand, 2005; RStudio Team, 2016). The MaxEnt default of 10,000  
179 background points were randomly selected from the study area. Predictor variables considered  
180 for this analysis included the 37 environmental raster layers listed in Table 1. High correlations  
181 among predictors can lead to misleading results in the MaxEnt variable contribution reports  
182 (Merow, Smith, & Silander, 2013; Phillips, Anderson, & Schapire, 2006), therefore variables

183 were assessed for collinearity and reduced based on a Pearson correlation coefficient  $r > 0.80$  ( $\alpha$   
184 = 0.05). Of the highly correlated variables (all climatic), those retained were selected based on  
185 findings from past research or ease in model interpretation. This resulted in the inclusion of 26  
186 predictor variables (denoted by \* in Table 1).

## 187 *2.5 Ecological Niche Modeling*

188 The MaxEnt modeling in this study was performed in R using the DISMO package  
189 (Hijmans, Phillips, Leathwick, & Elith, 2017). The model was run through 25 iterations, once for  
190 each of the 25 sets of occurrence points; the background points used to represent the overall  
191 study area were the same for each model iteration. The MaxEnt default features were limited to  
192 linear, quadratic, and product to remove highly nonlinear variable response curves and improve  
193 our ability to interpret the species' response to the predictor of interest (Merow et al., 2013). We  
194 also increased the regularization coefficients by 10% to force the algorithm to focus on the most  
195 important features and reduce overfitting by relaxing the empirical constraints on the model  
196 (Merow et al., 2013). Each model was evaluated using a 10-fold cross validation (0.01  
197 convergence limit and 1000 maximum iterations). Overall model quality was assessed through  
198 analysis of the mean area under the receiver operating characteristic curve (or AUC). AUC is a  
199 measure of how well the model separates presence and background locations; a value of 0.5  
200 indicates that the model performs no better than a random model and 1 indicates perfect  
201 accuracy. AUC is commonly used in ecological niche model comparisons (Elith et al., 2006;  
202 Frans et al., 2018; Padalia, Srivastava, & Kushwaha, 2014; Phillips et al., 2006; Warren &  
203 Seifert, 2011), but has been critiqued with concerns that it lacks an indication of model fit and  
204 has biased values with larger background extents generally having higher AUC values (Jiménez-  
205 Valverde, Acevedo, Barbosa, Lobo, & Real, 2013; Lobo, Jiménez-Valverde, & Real, 2008).  
206 Predictor variables were assessed by averaging the 25 reports generated by MaxEnt including 1)  
207 variable percent contributions and permutation importance, 2) jackknife tests of model gains for  
208 three scenarios (without variable, with only one variable, and with all variables), and 3) variable  
209 response curves. Finally, the raw output data from the model predictions was combined by  
210 averaging each pixel to produce an estimate of potential distribution. The raw output represents  
211 the probability, given the species is present, that it is found at the respective site; it should be  
212 interpreted as a relative suitability, not an occurrence probability (Guillera-Arriota et al., 2014;  
213 Phillips et al., 2006).

### 214 3. Results

#### 215 3.1 Variable Assessment

216 Three MaxEnt reports were used to estimate the effect of each variable on the spatial  
217 distribution of *Coccidioides* spp. The results reported here focus on the top five variables in each  
218 assessment. The first assessment report showed which variables contributed the most to the  
219 model. This report had two categories: variable contribution to the final model and permutation  
220 importance. Permutation importance indicates variables that contributed the most regardless of  
221 the order in which they added to the model. Variables common to both categories included  
222 downward radiation, mean temperature of driest quarter, and proportion shrub land cover (see  
223 Table 2). The second variable assessment report included results of jackknife tests on the  
224 variables. When the model was run with only one selected variable at a time, climate variables  
225 were the most influential, meaning these variables contain the most information by themselves  
226 (see Table 3). The model was also run with all variables except the selected variable. Model  
227 performance decreases if the selected variable contains information not found in other variables.  
228 Model performance decreased for proportion barren, downward radiation, proportion shrub, and  
229 proportion cultivated (see Table 4). The final variable assessment report consisted of variable  
230 response curves that show how the predicted probability of presence changes as the  
231 environmental variable changes. Two sets of response curves were assessed- one with other  
232 variables held constant, and one using only the selected variable (not shown). All precipitation  
233 variables except seasonality had generally negative relationships, and all temperature variables  
234 had positive relationships, with the exception of temperature range which had no relationship  
235 with probability of presence. Land cover relationships showed a negative curve between  
236 probability of presence and proportion barren, and a positive curve for proportion cultivated and  
237 proportion shrub.

238 Table 2. Top variables contributing to model development.

<b>Rank</b>	<b>Variable Contribution</b>	<b>%</b>	<b>Permutation Importance</b>	<b>%</b>
1	Mean Temperature of Driest Quarter	22.8	Downward Radiation	41.3
2	Annual Mean Temp	18.5	Mean Temperature of Driest Quarter	19.2
3	Downward Radiation	14.7	Proportion Cultivated	14.4
4	Precipitation Seasonality	9.5	Proportion Barren	12.7

239 5 Proportion Shrub

7.8 Proportion Shrub

4.3

240 Table 3. Top variables from model jackknife tests using only the selected variable.

Rank	Training	Gain	Testing	Gain	AUC	Value
1	Annual Mean Temp	0.27	Annual Mean Temp	0.31	Annual Mean Temp	0.73
2	Mean Temperature of Driest Quarter	0.27	Precipitation of Driest Month	0.31	Precipitation of the Driest Month	0.72
3	Precipitation of Driest Month	0.22	Mean Temperature of Driest Quarter	0.30	Mean Temperature of Driest Quarter	0.71
4	Down. Radiation	0.18	Down. Radiation	0.21	Isothermality	0.69
5	Precipitation Seasonality	0.13	Precipitation Seasonality	0.15	Proportion Developed	0.68

241

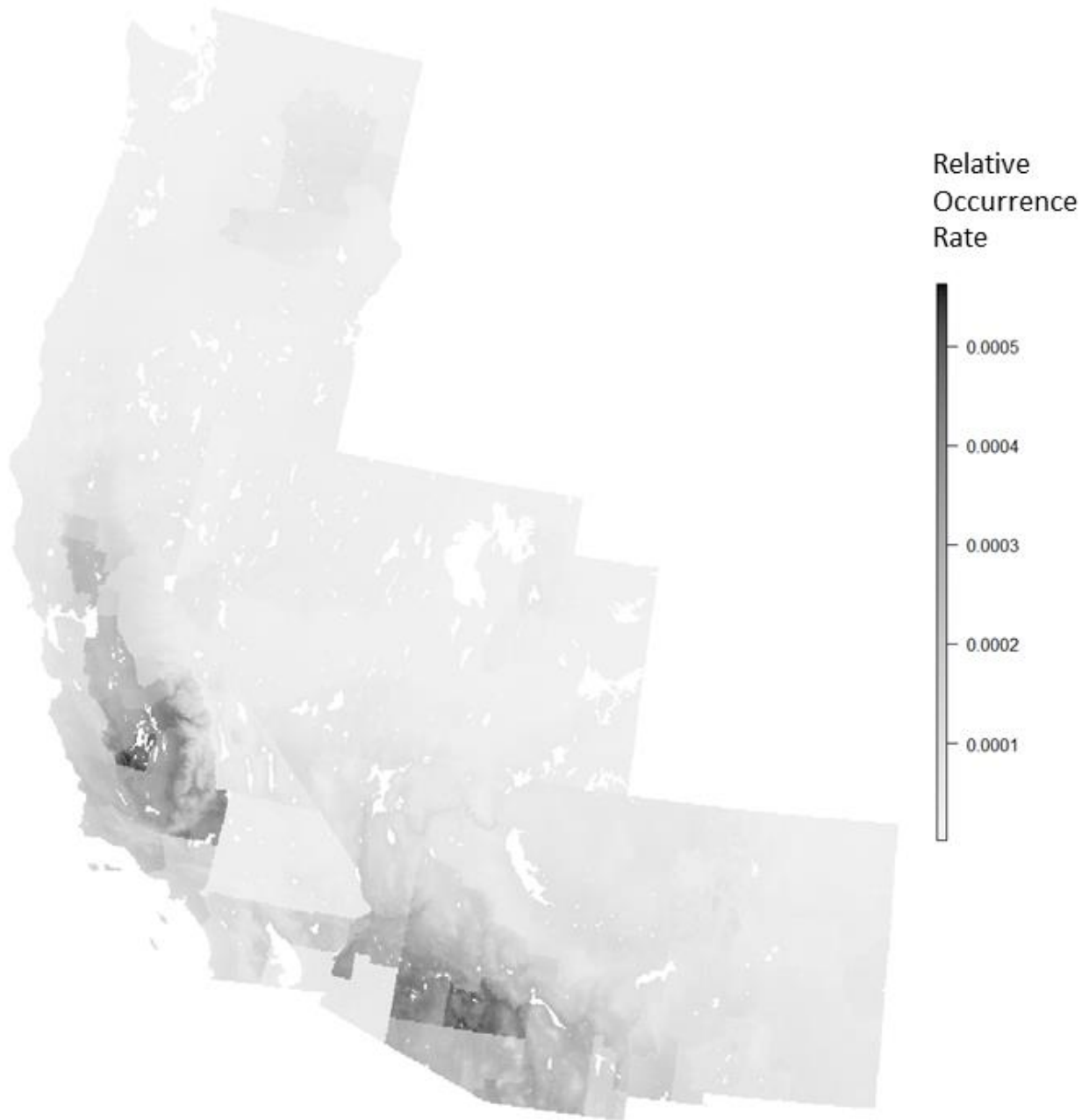
242 Table 4. Top variables from model jackknife tests without the selected variable.

Rank	Training	Gain Lost	Testing	Gain Lost
1	Down. Radiation	0.05	Proportion Barren	0.06
2	Proportion Barren	0.04	Down. Radiation	0.04
3	Proportion Shrub	0.04	Proportion Shrub	0.04
4	Mean Temperature of Driest Quarter	0.02	Proportion Cultivated	0.03
5	Proportion Cultivated	0.02	Herbaceous	0.02

243

244 *3.2 Potential Distribution*

245 The raw output from the MaxEnt prediction is equivalent to the relative occurrence rate,  
246 it shows relative habitat suitability for each pixel. The map produced from averaging the raw  
247 output captures the areas around the counties with the highest incidence rates, particularly in  
248 south central Arizona and central California, as having the highest relative occurrence rates (see  
249 Figure 3). Southcentral Washington and parts of northern Oregon stand out from the rest of the  
250 Pacific Northwest as having slightly higher rates than surrounding areas. Low rates of relative  
251 occurrence can be seen in the Mojave Desert of southeastern California, the northern coastlines,  
252 and throughout most of Nevada, Utah, Oregon, and western Washington. While the output from  
253 the prediction is continuous, some county borders are visible due to the summation and influence  
254 of land cover variables at the county/health district spatial scale.



255

256 Figure 3. Map of potential distribution based on average raw output from model predictions.

257 Values indicate the probability, given the species is present, that it is found at that location; the  
258 individual pixel values sum to unity over the entire landscape.

259

260 *3.3 Model Evaluation*

261 Model evaluation results show that the average testing AUC value of 0.819 was only  
262 slightly below the average training value of 0.821; these are considerably higher than the null  
263 model of 0.5. These results indicate that the environmental variables used in this study were able  
264 to partially explain the spatial distribution of valley fever. Additionally, there was consistency  
265 between the 25 sets of models as indicated by low standard deviations values for both testing and  
266 training AUCs.

267

#### 268 **4. Discussion**

269 This research aimed to improve our understanding of factors affecting *Coccidioides*  
270 species' ecological niche and the spatial distribution of valley fever through ecological niche  
271 modeling. This modeling framework allowed us to conduct an informed assessment of  
272 environmental factors influencing *Coccidioides* spp. occurrence and to produce a potential  
273 distribution map based on environmental inputs. Significant findings include the identification of  
274 specific land cover types and climatic variables, including downward radiation, mean  
275 temperature of driest quarter, and proportion shrub land cover as influential factors. A  
276 significant finding from the potential distribution map is that southeastern Washington and  
277 northcentral Oregon, generally aligning with the Columbia Plateau ecoregion, have higher rates  
278 of relative occurrence than surrounding areas indicating environmental conditions more suitable  
279 for *Coccidioides* spp. This is the first time Oregon and Washington have been included in such  
280 an assessment. Based on the results of this research, we can characterize the probable realized  
281 niche for *Coccidioides* spp., and therefore the probable U.S. endemic region for valley fever, as  
282 areas in the western U.S. that are semi-arid with a hot-dry season supporting shrub vegetation  
283 and/or cultivated land cover.

284 The variable assessment results showed that of the four broad environmental categories  
285 hypothesized to affect *Coccidioides* species' ecological niche, climate and land cover had the  
286 greatest effects, while soil and elevation variables were less influential. Specifically,  
287 temperature-related variables accounted for over 50% of the variable contribution to model  
288 development and permutation importance. Researchers have hypothesized that *Coccidioides*  
289 spp. grow best in areas where a hot and dry season sterilizes the top layer of soil, making it  
290 inhospitable to many microorganisms (Egeberg & Ely, 1956; Egeberg, 1962; Maddy, 1965). It is

291 believed *Coccidioides* spp. survive hot and dry seasons by moving deeper into the soil, then  
292 return to the relatively competitor-free surface when rains return (Sorensen, 1967). The presence  
293 of the mean temperature of the driest quarter, precipitation of the driest month, and precipitation  
294 seasonality variables as top contributors in many of the assessments, with a positive response  
295 curve for temperature and a negative response curve for precipitation, support this hypothesis.  
296 This also aligns with a “grow and blow” hypothesis that theorizes alternating cool/wet and  
297 hot/dry seasons support pathogen growth and disturbance/dispersal, respectively (Comrie &  
298 Glueck, 2007). Solar radiation was also a very prominent variable in many of the assessments.  
299 Researchers have observed that when *Coccidioides* spp. is in the form of an arthroconidia,  
300 spherule, or endospore, it has a biological defense, an ability to deposit melanin within its cell  
301 walls, that protects it from extreme temperatures and UV radiation (Nosanchuk, Yu, Hung,  
302 Casadevall, & Cole, 2007; Taborda, da Silva, Nonsanchuk, & Travassos, 2008). This likely  
303 gives the fungus an additional survival advantage that other competitors may not have during the  
304 hot and dry season; competitors die off without protection from radiation and then *Coccidioides*  
305 spp. are able to grow in a relatively competitor-free environment.

306 Land cover variables were also prominent in some of the variable assessment reports;  
307 they accounted for approximately 7% of model development and 30% permutation importance.  
308 In a comprehensive review of attributes from nine sites that have tested positive for *Coccidioides*  
309 spp. presence in the soil, Fisher et al. (2007) found that there was no definitive vegetation types  
310 or densities common among sites. But landcover classes, though many are defined by  
311 vegetation, represent broader ecologies with interactions among vegetation, soil, climate, and  
312 human activity, all of which may affect *Coccidioides* species’ lifecycle. The variable response  
313 curves showed positive relationships between probability of presence and proportions shrub and  
314 cultivated land cover. The relationship with cultivated land cover aligns with recent research  
315 (Colson et al., 2017; Gorris, Cat, Zender, Treseder, & Randerson, 2017), but is at odds with past  
316 findings that concluded *Coccidioides* spp. does not grow well in cultivated soils possibly due to  
317 microbial competitors or fungicides (Maddy, 1958; Pappagianis, 1988; Swatek, 1970). It might  
318 be that fallow agricultural fields in these regions are supporting pathogen growth. Counties with  
319 a significant proportion of barren land cover, meaning little to no green vegetation, had reduced  
320 probability of presence. It is possible that this land cover may not contain the types of nutrients  
321 that *Coccidioides* spp. need for survival and growth and/or that this land cover is associated with

322 climates that are too hot and dry for the fungus. Of note, the greatest model gains were not from  
323 individual variables, but the result of interactions, mainly between various climatic variables and  
324 between climate and land cover types; such interactions warrant further study. Variables not  
325 significant to model development or not found to contain significant information by themselves  
326 include most of the soil and elevation variables; the resolution of the soil variables may have  
327 been too coarse, or they may just not be as influential on the distribution of *Coccidioides* spp. as  
328 climate and land cover at the scale of this analysis.

329         The potential distribution map generated from the MaxEnt model provides an indication  
330 of *Coccidioides* species' realized niche and allows generation of new hypothesis regarding other  
331 factors that might influence the disease distribution. Although evaluation metrics show the  
332 model was able to satisfactorily differentiate between presence and background locations, this  
333 map should not be interpreted as the definitive range of *Coccidioides* spp., but rather as a guide  
334 for further evaluations and field studies. A visual assessment of the map shows that it captured  
335 the most endemic regions of central California and southcentral Arizona as having the highest  
336 relative rates of occurrence. Based on the patterns shown, we can characterize the probable  
337 realized niche for *Coccidioides* spp. as North American shrublands, including those that have  
338 been altered for cultivation, with semi-arid climates that include a very hot, dry season. Overall,  
339 this characterization is fairly similar to the findings from 50 years ago made based on  
340 observations (Maddy & Coccozza, 1964), but provides more specific information on probable  
341 land cover associations. It should be noted that because land cover percentages were calculated  
342 at the county/health district scale, results in the probable distribution map highlight counties with  
343 similar land cover proportions rather than the actual geographic locations of associated land  
344 covers.

345         Of interest to this study were the rates of relative occurrence in Oregon and Washington,  
346 which have received little attention in valley fever studies. The region in Oregon and  
347 Washington that shows higher relative occurrence rates generally aligns with the Columbia  
348 Plateau ecoregion, characterized by a semi-arid climate that supports native shrub-steppe and  
349 other drought-tolerant plant communities with over half of the native shrub-steppe currently  
350 converted to agriculture (<https://wacconnected.org/columbia-plateau-ecoregion/>). Not  
351 surprisingly, these characteristics are similar to what we find in the highly endemic areas of



352 central California and southcentral Arizona, making this region a great candidate for increased  
353 valley fever surveillance and awareness campaigns. The probable distribution map can also be  
354 used to make informed hypotheses regarding other factors that may be influential that were not  
355 included in this study. For example, based on patterns observed, future studies might consider  
356 including variables such as predominant winds and proximity to stream networks.

357         The findings of this study are subject to several limitations. In using case data, we  
358 assume that reported cases are geographically connected to pathogen presence, but it is likely  
359 that some of the cases are travel-related and not acquired in the county in which they were  
360 reported. As such distinctions are not maintained in most states, this adds uncertainty to our  
361 model. Counties represented in the model with presence points that may have had only travel  
362 related cases might show areas within the county as having erroneously high relative habitat  
363 suitability. Conversely, there may be counties that have suitable habitat not indicated on the map  
364 if exposure occurred in that county, but the report was made elsewhere. For context, a recent  
365 study by Benedict et al. (2018), reported on enhanced surveillance of coccidioidomycosis in  
366 which they conducted in-depth interviews with patients; 64 patients were from Nevada, New  
367 Mexico, or Utah, and 26 of them (37.5%) reported traveling to known endemic areas in the 4  
368 months before symptom onset. Based on this study, the implications are possible  
369 overestimations of potential suitable areas, and some inaccuracies in variable importance  
370 rankings, but by using presence points based on incidence rates, we believe the model captured  
371 the most endemic areas with the highest incidence rates very well with variable importance  
372 results highly dependent on these locations.

373         Another limitation to this study is the scale of analysis. Ideally, research would be  
374 conducted at the scale at which the organism interacts with limiting environmental resources  
375 (Cushman & Huettmann, 2010). Because we are working with case data collected at the  
376 county/health district level, we are restricted to working only at courser resolutions, though this  
377 study attempted to address this scale issue by using random points within the counties to  
378 represent pathogen presence at a finer scale. The implications of using a coarser scale are that  
379 precise features of distribution can be lost and these resolutions also tend to overestimate  
380 potential suitable areas when compared to predictions at finer-scales (Wiens, Stralberg,  
381 Jongsomjit, Howell, & Snyder, 2009). Additionally, there is a slight discrepancy between  
382 temporal scale of disease data and the climatic data used in this research; the climate variables

383 were averaged from 1970 to 2000 and the disease data were averaged from 2015-2016. While  
384 climate is typically averaged over 30-year periods and averages tend to change slowly, the  
385 magnitudes of climate-disease connections found in this study may not reflect current  
386 associations and adaptations, but general (positive or negative) relationships are not expected to  
387 be affected.

388         It was our intent to be cautious and conservative in interpreting the results of this  
389 research, as a significant assumption is being made in using valley fever case data to assess  
390 *Coccidioides* species' niche. Additionally, with ecological niche modeling, as with all modeling,  
391 results are dependent on assumptions made in model selection and parametrization; different  
392 inputs and assumptions will produce different results. Our aim was to draw conclusions  
393 regarding the spatial and ecological distribution of valley fever and the identification of factors  
394 influencing the distribution that are generalizable, and not the result of the modeling process.  
395 Results could be refined in the future if there are more precise locations of exposure recorded,  
396 widespread skin testing, or improved environmental detection of the fungus as technologies  
397 continue to advance (Benedict et al., 2015).

## 398 **5. Conclusion**

399         This study confirmed the importance of environmental drivers such as temperature and  
400 land cover on the spatial distribution of this disease. The MaxEnt algorithm used the provided  
401 environmental variables to capture the spatial patterns observed in valley fever case reporting,  
402 and it identified the understudied Columbia Plateau region as a possible habitat for *Coccidioides*  
403 spp. This information can be applied by stakeholders ranging from other coccidioidomycosis  
404 researchers to public health officials in assessing vulnerability, refining endemicity, and in  
405 watching for potential hotspots. Furthermore, the uncovered relationships between the spatial  
406 distribution of *Coccidioides* spp. and the environmental variables used in this study will be  
407 informative to the development of predictive models in assessing how disease distribution may  
408 change with varying climate, land cover, and population.

409

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573



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579

580 Declaration of Interest: The authors declare no competing financial interests.

581 Appendix A – List of Tables and Figures

582

583 FIGURE 1. CDC map showing approximate areas where *Coccidioides* spp. are known or  
584 suspected to live in the U.S. and Mexico. This map is based on studies performed in the late  
585 1940s and 1950s and also on locations of more recent outbreaks and cases.

586 FIGURE 2. Average valley fever incidence based on data from 2015-2016, with one set of  
587 randomly generated representative presence points.

588 FIGURE 3. Map of potential distribution based on average raw output from model predictions.  
589 Values indicate the probability, given the species is present, that it is found at that location; the  
590 individual pixel values sum to unity over the entire landscape.

591

592 TABLE 1. Environmental Data.

593 TABLE 2. Top variables contributing to model development.

594 TABLE 3. Top variables from model jackknife tests using only the selected variable.

595 TABLE 4. Top variables from model jackknife tests without the selected variable.

596