

1 mvMapper: interactive spatial mapping of genetic structures

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18 Running title: interactive maps of genetic structures

19

20 ABSTRACT

21 Characterizing genetic structure across geographic space is a fundamental challenge in  
22 population genetics. Multivariate statistical analyses are powerful tools for summarizing genetic  
23 variability, but geographic information and accompanying metadata is not always easily  
24 integrated into these methods in a user-friendly fashion. Here, we present a deployable Python-  
25 based web-tool, `mvMapper`, for visualizing and exploring results of multivariate analyses in  
26 geographic space. This tool can be used to map results of virtually any multivariate analysis of  
27 georeferenced data and routines for exporting results from a number of standard methods have  
28 been integrated in the R package `adegenet`, including principal components analysis (PCA),  
29 spatial PCA (sPCA), discriminant analysis of principal components (DAPC), principal  
30 coordinates analysis (PCoA), non-metric dimensional scaling (NMDS), and correspondence  
31 analysis (CA). `mvMapper`'s greatest strength is facilitating dynamic and interactive exploration  
32 of the statistical and geographic frameworks side-by-side, a task that is difficult and time-  
33 consuming with currently available tools. Source code and deployment instructions, as well as a  
34 link to a hosted instance of `mvMapper`, can be found at  
35 <https://popphylotools.github.io/mvMapper/>.

36

## 37 INTRODUCTION

38           Assessing patterns of genetic structure is one of the foundational challenges of population  
39 genetics (Pritchard *et al.* 2000; Slatkin 1987; Verity & Nichols 2016; Wright 1949), and  
40 characterizing this structure across geographic space is one of the first steps in most population  
41 genetic studies. Such contextualization of genetic structure allows in-depth evolutionary  
42 investigations, such as characterizing dispersal and invasion pathways (Genton *et al.* 2005; Janes  
43 *et al.* 2014; Mori *et al.* 2016), assessing and prioritizing conservation efforts (Austin *et al.* 2011;  
44 Proshek *et al.* 2015; Zenboudji *et al.* 2016), quantifying hybridization (Chatfield *et al.* 2010;  
45 Dupuis & Sperling 2016), and even utilizing genomic information to predict human origins (Das  
46 *et al.* 2016; Elhaik *et al.* 2014; Flegontov *et al.* 2016). Some analyses explicitly incorporate  
47 spatial information in the assessment of population structure (e.g. TESS: Caye *et al.* (2016),  
48 BAPS: Cheng *et al.* (2013), GENELAND: Guillot *et al.* (2005), EEMS: Petkova *et al.* (2016),  
49 SCAT: Wasser *et al.* (2004), sPCA: Jombart *et al.* (2008)), and landscape genetics is a fast  
50 growing field of statistics combining population genetics and landscape ecology (Manel &  
51 Holderegger 2013; Manel *et al.* 2003; Storfer *et al.* 2007).

52           Multivariate analyses stand out as powerful tools for summarizing genetic variability  
53 (Jombart *et al.* 2009). A wide diversity of such methods exist, each with their own particular  
54 applications (reviewed in Jombart *et al.* 2009). As a whole, these statistics provide many  
55 analytical advantages for population genetics, including, but not limited to: few overarching  
56 assumptions regarding the data (e.g. Hardy-Weinberg expectations and linkage equilibria, which  
57 can mask subtle clinal population structure (Jombart *et al.* 2008)), low computational  
58 requirements for the analysis of large datasets (e.g. thousands of markers and individuals

59 (Jombart & Ahmed 2011; Patterson *et al.* 2006)), and the statistical flexibility to address  
60 complex population genetic questions (Jombart *et al.* 2009 and references therein). While some  
61 methods explicitly incorporate geographic information (e.g. spatial principal components  
62 analysis (sPCA) (Jombart *et al.* 2008) and spatial correspondence analysis (Dray *et al.* 2008))  
63 and provide valuable geographic context to population genetic data, non-spatial analyses also  
64 benefit from visualization in geographic space (Cavalli-Sforza *et al.* 1994; Wang *et al.* 2012).  
65 However, incorporating geographic context into multivariate analyses often requires the  
66 laborious comparison of ordination plots to maps of sampling localities, or technical expertise in  
67 map-making or geographic information systems (GIS) that may be beyond the comfort zone of  
68 the average researcher. While some streamlined tools exist for specific geographic visualizations  
69 (e.g. the Geography of Genetic Variants browser (Marcus & Novembre 2017)), generalized tools  
70 for straightforward visualization are lacking.

71         Here, we present a tool for the visualization and exploration of multivariate analyses in  
72 geographic space. `mvMapper` is a Python-based, deployable web-based tool that can process  
73 outputs of virtually any multivariate analysis as well as sample locality information and allows  
74 users to interactively explore the statistical framework of the multivariate analysis in both  
75 ordination and geographical space (Figure 1). The input format is a simple comma-delimited  
76 tabular file that can either be assembled manually, or generated using `mvMapper`'s input  
77 generation function in the `adegenet` library (Jombart 2008) in R (R Core Team 2016), giving  
78 access to a wide range of commonly used methods.

79

80 METHODS

## 81 *Implementation*

82 `mvMapper` is implemented in Python v3.6 (Python Software Foundation 2017), and  
83 makes extensive use of the following libraries: Bokeh v0.12.4 for data visualization (Bokeh  
84 Development Team 2014), Pandas v0.19.2 for data structure and analysis (McKinney 2010),  
85 `colorcet` v0.9.1 for color utilities (Kovesi 2015), and `pyproj` v1.9.5.1 (Whitaker 2016), a python  
86 interface for cartographic transformations using PROJ.4 (Warmerdam 2001). Map tiles and map  
87 data are by Stamen Design under CC BY 3.0 (Stamen Design 2017) and OpenStreetMap under  
88 CC BY SA (OpenStreetMap contributors 2017), respectively, and use the WGS84 (ESPG 4326)  
89 spatial reference system. The automated data preparation script is implemented in the  
90 `adegenet` library (Jombart 2008) in R (R Core Team 2016). Links to `mvMapper`'s source  
91 code, documentation, a ready to deploy Docker container (Merkel 2014, see  
92 <https://www.docker.com/>), and a hosted instance of the web application can be found on our  
93 project page at <https://popphylotools.github.io/mvMapper/>. Although deploying a stand-alone  
94 instance of `mvMapper` provides a great deal of flexibility through the customization of the  
95 configuration file (default displayed statistical parameters, dataset, etc.), here, we generally refer  
96 to the default configuration available on our hosted instance. All modern desktop web browsers  
97 support `mvMapper`.

98

## 99 *Data input*

100 The primary input for `mvMapper` is a comma-delimited tabular file that contains  
101 individuals in rows and information about those individuals in columns. A typical file contains  
102 columns such as: specimen identification code (we refer to this unique identifier as `key`),

103 collection locality information (latitude and longitude, or `lat` and `lon`), a population identifier,  
104 results of the multivariate analysis (specimen coordinates across multiple dimensions of an  
105 analysis, e.g. principal components), and any other metadata related to the specimens (sex, host,  
106 morphological characteristics, etc.). Given that many of these analyses are conducted in R (R  
107 Core Team 2016), we have incorporated a data preparation function to the widely used R library  
108 `adeigenet` (Jombart 2008). This function, `export_to_mvMapper`, combines an active R  
109 object from a multivariate analysis with locality information for each specimen. Currently,  
110 multivariate analyses conducted in `adeigenet` and those based on the duality diagram (`dudi.*`  
111 functions) in `ade4` (Dray & Dufour 2007) are supported, including: sPCA and discriminant  
112 analysis of principal components (DAPC: Jombart *et al.* (2010)) in `adeigenet`, and principal  
113 components analysis (PCA), principal coordinates analysis (PCoA), non-metric dimensional  
114 scaling (NMDS), correspondence analysis (CA), and others in `ade4`. Locality information is  
115 then incorporated into the multivariate analysis through another R object. This is most easily  
116 done by preparing an additional file with at least three columns, `key`, `lat`, and `lon`, where `key`  
117 matches the unique individual identifiers used in the multivariate analysis. After reading this  
118 locality file into R, `export_to_mvMapper` will combine the two R objects (the multivariate  
119 analysis and the locality information) into `mvMapper` input format, which can be manually  
120 written to a comma-delimited file (e.g. using R's `write.csv` function). Locality information  
121 can be incorporated via other means (e.g. when latitude and longitude are already part of a  
122 `genind` object), however the advantage of creating an additional file, as described here, is that  
123 any additional specimen-based information can be included in that file (named  
124 `localities.csv` in the following example), such as: specimen sex, host information, and

125 morphological or ecological characters. Alternatively, rather than using  
126 `export_to_mvMapper`, the input data file can be generated manually from results of  
127 multivariate analyses in different programs or R libraries, as the tabular format is general and  
128 user-friendly.

129         Below we provide an example of data preparation from a DAPC, which in addition to  
130 standard multivariate analyses results (distribution of individuals along principal components)  
131 provides additional components recognized by `mvMapper`, such as membership to *a priori*-  
132 assigned and DAPC-assigned groups, and the posterior probabilities of the DAPC-assigned  
133 groups. See <https://github.com/popphyloTools/mvMapper/tree/master/dataPrepExampleFiles> for  
134 an example of this file generated from a dataset of 783 autosomal microsatellite loci genotyped  
135 for 1,048 human individuals from 53 populations (Rosenberg *et al.* 2005).

```
136 > # An example using the microsatellite dataset of Rosenberg et al. 2005
137 > # Using adegenet devel version
138 > # Reading input file
139 > Rosenberg <- read.structure("Rosenberg_783msats.str", n.ind=1048,
140 n.loc=783, onerowperind=F, col.lab=1, col.pop=2, row.marknames=NULL,
141 NA.char="-9", ask=F, quiet=F)
142
143 > # DAPC (n.pca determined using xvalDapc, see ??xvalDapc)
144 > dapc1 <- dapc(Rosenberg, n.pca=20, n.da=200)
145
146 > # read in localities.csv, which contains "key", "lat", and "lon" columns
147 with column headers (this example contains a fourth column "population" which
148 is a text-based population name based on geography)
149 > localities <- read.csv(file="localities.csv", header=T)
150
151 > # generate mvMapper input file and write to "rosenbergData.csv"
152 > out <- export_to_webapp(dapc1, localities)
153 > write.csv(out, "rosenbergData.csv", row.names=F)
154
```

155         By default, `mvMapper` is configured to display the microsatellite dataset of Rosenberg *et*  
156 *al.* (2005) from the example above. Users can upload their own datasets through the upload tab  
157 linked in the navigation bar at the top of the page (Figure 1, top). Files uploaded in this manner

158 are named using an alphanumeric random string that is integrated into the web address used to  
159 select that dataset; users can return to a previously uploaded dataset using its unique web address  
160 until it expires after 14 days.

161

## 162 *Interface and functionality*

163 The main interface of `mvMapper` consists of three components: a statistical panel, a  
164 mapping panel, and a metadata panel (Figure 1). Aspects of these panels are linked, so that, for  
165 example, selecting individuals in the ordination of the statistical panel will highlight those  
166 individuals on the map and their metadata will appear in the metadata panel. Pull-down menus to  
167 the left of the statistical panel allows users to select which data is displayed in the ordination  
168 plot. In a general multivariate analysis, the most informative principal axes (or principal  
169 components) would be plotted against each other (e.g. PC1 vs. PC2) (Figure 1); in `mvMapper`,  
170 any of the multivariate analysis results (all principal axes) or specimen-based metadata can be  
171 plotted in the statistical panel. For example, the distribution of individuals along a particular  
172 principal component can be plotted against populations of origin (Figure 2A), assigned group  
173 membership from DAPC, or latitude or longitude (Figure 2B). Individual specimen points in  
174 both the statistical and mapping panels can be colored (with several palette choices) or sized  
175 according to any column in the input data file, except when discrete data values outnumber  
176 available colors/sizes, in which case those attributes are excluded from the dropdowns.  
177 Automatic binning supports coloring and sizing of numeric attributes. Specific attributes can be  
178 configured to be treated as discrete values, even if numeric, and by default these include `key`,  
179 `grp`, and `assigned_grp`. These coloring and sizing abilities facilitate rapid exploration of

180 metadata with regard to population structure; for example, individuals can be colored by  
181 collection locality, group membership, host, sex, or other genetic attribute (Figure 2C), or be  
182 sized by the posterior probability of group membership in a DAPC, all with a few mouse clicks.

183 Both the statistical and mapping panels are interactive with tools for panning, zooming in  
184 and out, and saving the image. Individuals can be selected singly with a mouse click, or multiply  
185 by shift clicking or using the dragged box tool. In the mapping panel, overlapping points can be  
186 separated with a jitter function, and the zoom tool is dynamic: zooming in or out will access  
187 finer-scale or coarser-scale map tiles with more or less detail, respectively (e.g. labeling  
188 countries, cities, roads, or other scale-appropriate geographical features). This allows  
189 `mvMapper` to function at both global and local geographic scales (Figure 2C). Selecting  
190 individuals in either the statistical or mapping panel displays their metadata in the lower panel,  
191 which can be sorted by clicking on column headers. Selected data can also be downloaded (as a  
192 comma-delimited file) to facilitate downstream analysis, for example re-analysis of individual  
193 population groups or hierarchical analysis (Vähä *et al.* 2007).

194

## 195 DISCUSSION

196 Visualizing population structure across geographic space is fundamental to most  
197 population genetic studies. However, combining multiple “data wrangling” tools (Kandel *et al.*  
198 2011), including population genetic data processing, multivariate analysis, and particularly map-  
199 making or GIS, is a time-consuming, error-prone, and generally daunting task (e.g. Fletcher-  
200 Lartey & Caprarelli 2016; Rickles & Ellul 2014; Sipe & Dale 2003). `mvMapper` greatly  
201 facilitates this process by providing an accessible, open access, user-friendly interface for

202 exploring and visualizing results of multivariate analysis in geographic space, and perhaps most  
203 importantly facilitates dynamic and interactive exploration of these spaces. Interactivity, in  
204 particular, is key to enable users to quickly assess the geographic patterns of any combinations of  
205 principal components, population groupings, additional statistical parameters (assignments to  
206 groups based on discriminant functions in DAPC or lag-vectors of principal components in  
207 sPCA), and any other specimen-based metadata with a few mouse clicks in the drop-down  
208 menus to the left of the statistical panel. Given these characteristics, we envision `mvMapper` to  
209 be of wide interest to a broad range of researchers as well as for teaching and training purposes.  
210 Additionally, `mvMapper`'s highly generalized and modular approach allows it to be modified  
211 for more specific uses; for example, including metadata corresponding to whether specimens of  
212 an invasive species were collected in its native versus introduced range allows `mvMapper` to  
213 become a tool for source determination of intercepted material (Roderick 2004).

214

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#### 344 DATA ACCESIBILITY

345 Software, documentation, and example data are available at  
346 <https://popphylo.tools.github.io/mvMapper/>, and a stable release at the time of publishing is  
347 available at <http://zenodo.org> DOI: XXXXXXXX.

348

349

350 AUTHOR CONTRIBUTIONS

351  
352 JRD, FTB, SSB, and SMG conceptualized software; JRD, FTB, and TJ implemented software.  
353 JRD wrote the manuscript with input from all authors.

354

355

356 FIGURE LEGENDS

357

358 Figure 1. The user interface of `mvMapper` in a web browser, displaying the human  
359 microsatellite dataset of Rosenberg *et al.* (2005). Features include the statistical panel (left),  
360 mapping panel (right), metadata panel (lower), and navigation bar (top).

361

362

363 Figure 2. Various visualization options for the human and swallowtail butterfly microsatellite  
364 datasets of Rosenberg *et al.* (2005) (A and B) and Dupuis and Sperling (2016) (C), respectively.  
365 A) population grouping vs. principal component 3, B) latitude vs. principal component 3, and C)  
366 principal component 2 vs. 1, colored by COI clade and zoomed in to the Red Deer River valley  
367 in southeast Alberta, Canada.

368