

1 The impact of industrial activities on vector-borne disease transmission

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14 15 **ABSTRACT**

16 Industrial activities have produced profound changes in the natural environment, including the
17 removal of trees, fragmentation of habitats, and creation of larval breeding sites, that have allowed
18 the vectors of disease to thrive. These may be coupled with significant changes to demographics that
19 can potentially increase contact between pathogens, vectors and people, and see a shift of parasites
20 and susceptible populations between low and high endemic areas. Indeed, where vector-borne
21 diseases and industrial activities meet, large numbers of potentially immunologically naïve people may
22 be exposed to infection and many lack the knowledge and means to protect themselves. Such areas
23 are typically associated with inadequate health care, thus allowing industrial development and
24 production sites to become important foci of transmission. The altered local vector ecologies, and the
25 changes in disease dynamics that they affect, create challenges for under-resourced health care and
26 vector-control systems.

27 28 *Keywords:*

29 Industrial activity; mining; malaria; vector-borne disease risk.

30 31 **1. Introduction**

32 Industrial activities have an important role in the history and development of human settlements, and
33 can contribute considerably to the economies of resource-endowed countries. However, mining,
34 logging, oil and gas, and other extractive industries can also impose significant negative health
35 externalities and burdens associated with elevated incidence of vector-borne diseases (Saha et al.,
36 2011; Santos et al., 2009; Andrade et al., 1995). In Colombia, for example, alluvial gold mining using
37 simple tools and other rudimentary methods is traditionally a single-person operation for extracting
38 ore, and is typically associated with low malaria risk, whereas large open-pit mining is associated with
39 a higher malaria incidence (Castellanos et al., 2016). Overall, the Amazon River Basin accounted for
40 92.5% of malaria cases in the Americas in 2014 (Pan American Health Organization, 2014), with cases
41 mostly being reported in areas of recent human encroachment, new agricultural settlements, and
42 open-cast mining sites (Taulil, 1986; Camargo et al., 1994; Sanchez et al., 2017; Recht et al., 2017).

43
44 The associations between malaria and mining are multi-factoral, and result from (i) environmental
45 changes that affect malaria transmission ecology and epidemiology, (ii) increased human movement

46 between malaria transmission zones, and (iii) various direct and indirect economic and demographic
47 factors linked to mining activities (Confalonieri et al., 2014; Bauch et al., 2015; Andrade et al., 1995;
48 Veeken, 1993; Soe et al., 2017). Firstly, mining methods can create ideal aquatic ecological niches for
49 vector anopheline mosquitoes to propagate and survive (Fernando et al., 2016). Mining activities also
50 ensure a greater number of repeated contacts between human reservoirs of disease pathogens and
51 the mosquito vector (Silbergeld et al., 2002). Over time, the unsteady pattern of human migration,
52 and the highly variable ecological changes associated with mining activities, may be replaced by a
53 more organized infrastructure through the process of urbanization and the development of greater
54 community cohesion. At this point, pathogen/vector exposure to humans is reduced, and more stable,
55 low levels of transmission and rates of malaria infection result (de Castro et al., 2006). This outcome
56 is similar to trends seen in agricultural settlements: recently arrived settlers, usually located closer to
57 the deforestation imprints of side roads, may be more exposed to malaria because of their proximity
58 to the forest fringes where larvae are dense, but as deforestation progresses, transmission decreases
59 (Barros and Honório, 2015).

60

61 The development of formal industrial activities such as large mine sites has the potential to greatly
62 affect the socioeconomic profile of previously isolated, less populated rural districts (Kitula, 2006;
63 Obiri et al., 2016; Wilson et al., 2015). In addition to an open pit mine and processing plant, an entire
64 infrastructure base may be created that can include an airstrip, multiple access roads, maintenance
65 and administrative facilities, and new residential settlements for the workforce and their families.
66 Moreover, as a result there are direct and indirect ecological, social, economic, and health impacts on
67 the surrounding communities (Knoblauch et al., 2017; Attuquayefio et al., 2017; Jacobi et al., 2011;
68 Richards and VanWey, 2015; Hilson and Laing, 2017; Gibb and O'Leary, 2014; Bauch et al., 2015;
69 Arrifano et al., 2018,).

70

71 Examples of primary vectors of malaria include *Anopheles darlingi* in South America (Hiwat and Bretas,
72 2011; Ahumada et al., 2016; Pimenta et al., 2015), *An. arabiensis*, *An. funestus*, and *An. gambiae* in
73 Africa (Sinka et al., 2012; Lobo et al., 2015), and *An. fluviatilis* in south Asia (Sinka et al., 2011; Sahu et
74 al., 2017). The *Anopheles dirus* complex, *An. maculatus* group, *An. minimus*, *An. balabacensis*, and *An.*
75 *sundaicus* complex represent important vectors in the South-East Asia region (Tainchum, et al., 2015;
76 Kwansomboon et al., 2017, Rahman et al., 1997), while some members of the *An. punctulatus* group
77 are efficient vectors in the southwest Pacific area (Cooper et al., 2009, Beeb et al., 2015). *Aedes*
78 *aegypti* and *Ae. albopictus*, the primary vectors of important arboviruses including dengue,
79 chikungunya, Zika, and yellow fever, are found widely in tropical and sub-tropical regions (Kraemer et
80 al., 2015; Ducheyne et al, 2018, Weetman et al., 2018). In some countries, the distributions of these
81 species overlap with rich mineral deposits of marketable metals (IBRAM, 2012).

82

83 Herein, we consider the large resource and extractive industries that contribute significantly to the
84 developing economies in tropical and subtropical areas of the world that also face major challenges
85 with vector-borne diseases. The environmental and demographic impact of these activities on the
86 occurrence and distribution of vector-borne diseases is discussed.

87

88 **2. Methods**

89 A literature search was performed using archives of published biomedical and life sciences journal
90 literature available through PubMed (MEDLINE) and Web of Science. Search terms included: "African

91 trypanosomiasis AND industrial activity” OR “African trypanosomiasis AND mining” OR “Chagas AND
92 industrial activity” OR “Chagas AND mining” OR “Chikungunya AND industrial activity” OR
93 “Chikungunya AND mining” OR “Dengue AND industrial activity” OR “Dengue AND mining” OR
94 “Japanese encephalitis AND industrial activity” OR “Japanese encephalitis AND mining” OR
95 “Leishmaniasis AND industrial activity” OR “Leishmaniasis AND mining” OR “Lymphatic filariasis AND
96 industrial activity” OR “Lymphatic filariasis AND industrial activity” OR “Lymphatic filariasis AND
97 mining” OR “Lymphatic filariasis AND mining” OR “Malaria AND industrial activity” OR “Malaria AND
98 mining” OR “Rift Valley fever AND industrial activity” OR “Rift Valley fever AND mining” OR “Sleeping
99 sickness AND industrial activity” OR “Sleeping sickness AND mining” OR “Vector-borne disease AND
100 industrial activity” OR “Vector-borne disease AND mining” OR “Yellow fever AND industrial activity”
101 OR “Yellow fever AND mining” OR “Zika AND industrial activity” OR “Zika AND mining”. These searches
102 were made without restrictions on languages or publication dates. Active searches were made in June-
103 July 2017. Additional resources were subsequently accessed to strengthen the narrative and provide
104 contextual information to the findings of the literature search.

105

106 **3. Results**

107

108 *3.1. Literature search*

109 The literature search returned 785 potential references. Of these, 164 were selected for further review
110 based on the reference titles, and 31 of these have been cited herein.

111

112 *3.2. Impact of industrial activities on malaria transmission*

113 There is strong evidence for a link between industrial activities and transmission of malaria, and
114 important examples of these associations have sufficient and reliable background (pre-development)
115 disease information to derive an assessment of impact. In Colombia, one third of reported malaria
116 cases are from active mining areas, and undocumented population migration combined with
117 substantial under-reporting and self-treatment in areas with illegal mining activity suggest that official
118 statistics are likely to significantly underestimate the true burden of disease (Castellanos et al., 2016).
119 Furthermore, mining activity plays an important role in the maintenance of focal and regional malaria
120 transmission, and could be an important obstacle to its elimination (Castellanos et al., 2016; Recht et
121 al., 2017). There are mining-related communities living in close proximity to mine sites, and workers
122 in these sites often demonstrate an ignorance of health promotion and disease prevention methods
123 against mosquito vectors, as has been reported in other countries (Knoblauch et al., 2014; Mazigo et
124 al., 2010; Potter et al., 2016). Whilst malaria declined in Colombia from approximately 117,000 cases
125 in 2010 to around 60,000 in 2013, including an overall reduction in malaria cases in most active mining
126 areas, the mining districts of San Martin de Loba, Costa Pacifica Sur and north-eastern parts of
127 Antioquia reported an increase in the Annual Parasite Incidence (API = cases per 1,000 exposed) by
128 more than 50% over a 5-year period (Castellanos et al., 2016).

129

130 Associations between mining and malaria have also been found in Peru, where transmission in Madre
131 de Dios in the southern Peruvian Amazon basin is unstable, geographically heterogeneous, and
132 strongly associated with illegal gold mining. Health facilities located in areas of intense illegal gold
133 mining reported 30-fold more cases than those in non-mining areas, although adjustments for
134 population size were not possible due to the intense migration in these area (Sanchez et al., 2017).
135 Further north in French Guiana, malaria outbreaks have been reported in soldiers and military police

136 returning from illegal gold mining sites in remote rainforest areas (Pommier de Santi et al., 2016a;
137 Pommier de Santi et al., 2016b). Between 1985 and 1996, a statistically significant association was
138 found between the amount of gold extracted and malaria incidence in Mato Grosso, Brazil, i.e. for
139 every increment of 100 kg of gold extracted, models predict that the API in mining areas increased by
140 0.31 (Duarte and Fontes, 2002). At present, malaria infections among miners in Brazil constitute
141 approximately 6% of the country's total cases, 3% in Colombia, and a remarkable 47% in Venezuela
142 (Recht et al., 2017).

143

144 3.3. Association of industrial activities with the transmission of other diseases

145 Industrial activities, often associated with dramatic environmental modifications, deforestation and
146 human migration and movement, are not just linked with malaria but other diseases transmitted by
147 insect vectors, including leishmaniasis in Suriname and Brazil (Dourado et al., 1989; van der Meide et
148 al., 2008). In the state of Pará, Brazil, the forest areas around the Capiranga bauxite mining base in
149 Juruti have shown heavy occurrence of the sand fly vectors capable for transmitting the protozoan
150 agents causing diffuse cutaneous and mucocutaneous leishmaniasis (Garcez et al., 2009). The principal
151 vector of mucocutaneous leishmaniasis, *Lutzomyia (Psychodopygus) complexa* has daytime feeding
152 habits, an unusual behaviour among Amazonian phlebotomines, which increase the risk of human
153 exposure to infection (Garcez et al., 2009). An array of *Leishmania* vector-reservoir relationships,
154 which includes up to eight phlebotomine species, has been described in Serra do Navio, a historic
155 mining area in the Guiana Shield of northern Brazil (Almeida de Souza et al., 2017).

156

157 In parts of Queensland Australia, gold miners are vulnerable to dengue fever because the immature
158 stages of the primary vector, *Ae. aegypti*, can be found abundantly in abandoned flooded mine shafts,
159 making adults available for the transmission of disease (Russell et al., 1996; Eisler 2003). By contrast,
160 occupational exposure associations with Rift Valley fever in Africa, transmitted by several mosquito
161 species including *Aedes* species, are related to livestock and forestry work exclusive of industrial
162 activities (Olaleye et al., 1996; LaBeaud et al., 2015).

163

164 *Culex quinquefasciatus* is prevalent in tropical and sub-tropical areas (Samy et al., 2016), and is
165 identified as the major vector of the filarial nematode, *Wuchereria bancrofti*, in parts of South
166 America, Africa, and Asia (Brito et al., 1997; Kramer et al., 2008; Chandra et al., 2007). It frequently
167 breeds in drains, ditches, and other peri-domestic habitats that hold water and organic material long
168 enough for the development of larvae to the adult stage (Prakash et al., 1998; Noori et al., 2015). In a
169 district of West Bengal, India, the numbers of *Cx. quinquefasciatus* were found to be significantly
170 higher in colliery areas than in non-colliery areas, and were determined to be a major reason for the
171 higher prevalence of bancroftian filariasis in that area (Adhikari and Haldar, 1995). This supported
172 earlier findings of *Culex fatigans* in undergrounds pits of a coalmine in India (Dutta, 1977). However,
173 whilst the infection rate and infectivity rate were also found to be higher in the colliery areas, other
174 factors such as exposure of the hosts to coal might impact the pathogenesis of the disease (Adhikari
175 and Haldar, 1995).

176

177 *Culex* mosquitoes include competent vectors of West Nile virus (WNV) and St Louis encephalitis in
178 North America, Rift Valley fever in Africa, and Japanese encephalitis in Southeast Asia (Brualt, 2009;
179 Turell, 2009; Sang et al., 2010; Pearce et al., 2018). Studies in the United States have indicated that
180 WNV incidence increases with urbanization and agriculture, which may result from the habitats used

181 and commensal nature of two important vector species, *Cx. pipiens*, and *Cx. Tarsalis* (Kilpatrick, 2011).
182 Consistent with this, mosquitoes collected from tyres along an urbanisation gradient in South America
183 revealed *Cx. quinquefasciatus* to be more frequent at the urban end (Cardo et al., 2018). In this
184 context, it is clear how anthropogenic alterations that affect the availability of breeding sites and other
185 features of local ecology can have impacts on communities of insects of medical importance (Abella-
186 Medrano et al., 2015).

187

188 3.4. Environmental changes that affect vector ecology

189 Vector-borne diseases are amongst the infectious diseases with the strongest links to land use since
190 vector ecology is closely affected by the environment (Patz et al., 2004; Zahouli et al., 2017; Young et
191 al., 2017; Sheela et al., 2017). Important aspects of a vector population, such as species diversity and
192 population densities, can be governed by environmental parameters (Petrić et al., 2014; Bashar et al.,
193 2016, Betekov et al., 2010; Confalonieri and Neto, 2012). As environmental conditions change, either
194 through slow natural processes or accelerated by human activities, opportunities arise for important
195 changes in species biodiversity and abundance that can influence a shift in the epidemiological
196 dynamics of transmission and disease risk (Eisen et al., 2008; Ferraguti et al., 2016; Chang et al., 1997;
197 Chinery, 1984; Steiger et al., 2016).

198

199 For example, a shift in species composition resulting from industrial activity has been reported in
200 north-eastern Amazonia, where the initial construction of roads in forest areas created large tracts of
201 partially shaded, unpolluted water that is a suitable breeding site for *An. darlingi*, a primary malaria
202 vector. The subsequent clearing of forest and eventual polluting of water sources made these sites
203 less suitable for these mosquitoes, whereas the creation of stagnant pools for agricultural use
204 attracted other vector species (Conn et al., 2002). This example of land use change allowed a species
205 previously of minor importance, *An. marajoara*, to become the principal malaria vector in Macapá,
206 Amapá state, Brazil.

207

208 Sri Lanka has now been declared a malaria-free country (Wijesundere and Ramasamy, 2017), but
209 historically transmission of malaria was reported when conditions were conducive for the breeding of
210 the primary vector, *An. culicifacies* (Abeyasinghe et al., 2012). This species breeds in clean stagnant or
211 slow moving waters, and typically thrives in the dry zone, where pools of water collect during the rainy
212 season (Amerasinghe, 1999). Larvae were observed in the water-containing shallow hand-dug gem
213 pits in the Elahera area, in the north central part of the country (Yapabandara and Curtis, 2004). The
214 mining sites were expected to be closed following excavation activities, but many licensed pits were
215 left unfilled, and other pits dug without permits. These pits were documented reaching a density of
216 247-370 per hectare (Yapabandara and Curtis, 2004). In addition to providing a suitable habitat for *An.*
217 *culicifacies*, they are also used for the propagation of *An. subpictus* and *An. varuna*, and their creation
218 may have also contributed to the emergence of these species as significant malaria vectors.
219 (Yapabandara and Curtis, 2004; Yapabandara, et al., 2001). In the Kaluganga mining area, a dry zone
220 of central Sri Lanka, mosquito larval surveys indicated that water-filled gem pits contributed 60% of
221 larvae of the three vector species mentioned (Yapabandara and Curtis 2004). These species show
222 variability in their preferences for feeding and resting, so activities that allow them to thrive have
223 potential impacts on the selection of vector control methods (Rawlings and Curtis, 1982; Yapabandara
224 and Curtis, 2004). Subspecies of these vectors have also demonstrated variability in longevity,

225 susceptibility to parasite infection, and resistance to insecticides (Surendran et al., 2006; Surendran
226 et al., 2012).

227

228 This historical example highlights the important links between industrial activities, mosquitoes, and
229 malaria, and the need to mitigate these effects. Environmental modifications that included the filling
230 of abandoned gem and quarry pits, and spot checks carried out in areas not covered by sentinel site
231 monitoring, were both parts of an integrated vector control programme that led to the elimination of
232 malaria in Sri Lanka, which has experienced no indigenous cases since 2012 (World Health
233 Organization, 2017). Nonetheless, larval sampling from active and abandoned quarry pits from
234 February 2012-June 2013 revealed the presence of *An. culicifacies* and other competent malaria
235 vectors, suggesting that there is potential for future epidemics.

236

237 The biting activities of *An. culicifacies*, *An. subpictus* and *An. varuna* have been reported to be between
238 18:00-23:00 hours, with peak biting activity between 19:00-20:00 and a small peak in the early
239 morning hours between 03:00-05:00. (Yapabandara and Curtis, 2004). However, different species can
240 show differences in peak biting time depending on location and season. In South America, *An. darlingi*
241 has been reported with unimodal, bimodal and even trimodal evening biting peaks, and it has been
242 suggested that these behaviours represent an adaptation to anthropophagy (Rosa-Freitas et al, 1992).
243 Consistent with this, *An. darlingi* activity in the Sifontes region in southern Venezuela has been found
244 to peak during the night (with two minor peaks at 23.00-00.00 and 03.00-04.00), aligning with the
245 night-time activity of gold mine workers (Moreno et al., 2007). Further, there are differences between
246 species with regards to a preference to feed inside or outside human structures, and whether they
247 take blood meals primarily from human or other animal sources. Differences in biting habits, within
248 the same species or amongst several species, have consequences for vector control, as the use of
249 insecticide-treated nets and indoor residual spraying will be less effective against those mosquitoes
250 that display more outdoor and early evening biting activity. Coupled with this, changes in species
251 composition can change the dynamics of disease transmission based on differences in vector
252 competence and capacity to transmit; e.g., some species are more susceptible to propagating malaria
253 parasites, and others are more refractory (Beerntsen et al., 2000). It is, therefore, important to
254 understand the mosaic of different locally-important vectors and their interactions with human
255 populations, and to recognise that changes in land use may lead to changes in species composition
256 and a consequent change in transmission risk (Conn et al., 2002).

257

258 Key environmental changes linked to mining that may significantly influence vector-borne disease
259 transmission, positively or negatively, include: (i) creation of larval habitats, (ii) removal of trees
260 (shading), and (iii) fragmentation of habitats, each of which can produce changes in mosquito
261 populations.

262

263 3.4.1. Creation of larval habitats

264 The excavation of minerals directly creates open pits to access ore, and other disturbances of
265 surrounding ground to support these activities (e.g., road building, drainage), also create depressions
266 which are liable to fill with rainwater. Other industrial activities, such as construction or creation of
267 borrow pits, can similarly produce human-made aquatic habitats that are permanent or temporary.
268 These can become important larval habitats for some malaria vector species (Conde et al., 2015;
269 Soleimani-Ahmadi et al., 2013; Mereta et al., 2013). Freshly excavated pits, in particular, have been

270 found to contain abundant immature *An. gambiae* s.l. in Ethiopia, and *An. culicifacies* in South India
271 (Russell and Rao 1942; Kiszewski et al., 2014).

272

273 Industrial scale gold mining activities in Niolam (Lihir) Island in Papua New Guinea offer a model for
274 the types of problems associated with human changes to tropical environments (Ebsworth et al.,
275 2001). Before mining activities began, entomological surveys suggested that *An. farauti* s.l. was the
276 most important vector of malaria and lymphatic filariasis (*Wuchereria bancrofti*), and largely
277 responsible for the intense, year-round transmission of both diseases on the island; *Anopheles*
278 *punctulatus*, on the other hand, was only recorded in small numbers (Bockarie et al., 1994; Ebsworth
279 et al., 2001). The construction of the gold mine, port, processing plant, roads, and worker housing in
280 the area were associated with significant environmental changes and, interestingly, a change in the
281 relative abundance and distribution of the primary malaria vectors. Subsequent entomological surveys
282 revealed that *An. punctulatus* was widespread and abundant (Bockarie et al., 1994). This is significant
283 as *An. punctulatus* is regarded a more efficient malaria vector species than members in the *An. farauti*
284 complex (Beebe et al., 2013). The most common sites for *An. punctulatus* immature stages were small
285 temporary, sunlit pools, commonly formed along the edges of poorly drained sections of dirt roads.
286 The rarest larval habitats were the more permanent ecotypes such as lake edges and natural wetlands
287 (Ebsworth et al., 2001). A mine-funded, integrated vector control intervention began in 2004 that led
288 to a substantial reduction of both *P. vivax* and *P. falciparum* infections in the mining-impacted areas
289 (Mitjà, et al., 2013).

290

291 3.4.2. Removal of trees

292 The removal of trees (thus shading) and disturbed earth movement associated with mining activities
293 allows pools of rainwater to form that are suitable aquatic habitats for certain species of mosquitoes
294 (Silbergeld et al., 2002). The deforestation of primary or secondary forest has been directly associated
295 with increased mosquito population densities and biting in the Peruvian Amazon: Human biting rates
296 measured at sites selected for primary vegetation type and controlled for human presence found that
297 the predominant malaria vector, *An. darlingi*, had biting densities more than 200 times greater than
298 attack rates in areas that remained predominantly forested (Vittor et al., 2006). Moreover, sampled
299 aquatic sites with immature *An. darlingi* had an average of 24% forest cover compared with 41% for
300 sites without *An. darlingi*, indicating that deforestation and associated ecologic alterations in this area
301 increased *An. darlingi* breeding, and by consequence changed the malaria dynamics of the affected
302 region (Vittor et al., 2009). Similarly, a study of villages in the Lower Caura river basin in Venezuela
303 found that the relative abundance of *Anopheles* mosquitoes was greatest in the village with the least
304 native forest cover (Rubio-Palis et al., 2013).

305

306 There is evidence from Brazil that deforestation has coincided with increases in malaria: after
307 adjusting for access to care, health district size, and spatial trends, Olson and co-workers showed that
308 a 4.3% change in deforestation in Mâncio Lima, Acre State from August 1997 through August 2000
309 was associated with a 48% increase of malaria incidence, which the authors linked with the habitat
310 preference of *An. darlingi* (Olson et al., 2010). Whilst deforestation may increase vector-borne disease
311 transmission by providing the more open, sunlit breeding sites preferred by *An. darlingi* vectors, as
312 well as some members in the *An. gambiae* complex in Africa and of the *An. punctulatus* group in the
313 southwest Pacific (Sinka et al., 2010; Cooper et al., 2002), care must be taken in finding patterns
314 because of the unique settings in which these changes take place, and because each species may

315 respond differently. In parts of Southeast Asia for example, deforestation may lead to *reductions* of
316 *An. dirus* and *An. balabacensis* densities because of a loss of the shaded breeding sites preferred by
317 these species (Yasuoka and Levins, 2007).

318
319 The effects of habitat alteration on anopheline mosquito distribution, and their impact on disease
320 transmission, can be complex and difficult to predict. Indeed, a systematic review of the relationship
321 between forest cover and malaria failed to find overwhelming evidence supporting a consistent
322 relationship between deforestation and malaria (Tucker Lima et al., 2017). During the construction of
323 the Jirau hydroelectric dam in Porto Velho, Brazil, human landing catch data indicated a decrease in
324 anopheline species diversity and altering species composition during the first stage of annual flooding,
325 after which species diversity returned to levels observed during the pre-flood stage, despite the
326 permanent change to the ecosystem that the dam introduced (Rodrigues et al., 2017). The continual
327 monitoring of vectors during the operational phase of such projects is therefore important for public
328 health.

329
330 *3.4.3. Fragmentation of habitats*
331 Habitat fragmentation alters the composition of host species in an environment, and could have an
332 impact on disease transmission if vectors are released from predator control or if there is a change in
333 the availability of hosts on which to feed (Kruess and Tschardt, 1994; Patz et al., 2004). For example,
334 smaller fragments of habitat are less able to support top predator species, and this can result in an
335 abundance of their prey species. If these prey species are reservoirs of infectious disease, the habitat
336 fragmentation could impact disease transmission, as has been reported with the fragmentation of
337 North American forests resulting in the increased incidence of cutaneous and visceral leishmaniasis
338 by peri-domesticated sand flies due to an increase in the number of fox reservoirs (Desjeux, 2001).
339 Equally, if some hosts are more efficient reservoirs of disease than others, habitat fragmentation
340 leading to changes in local species diversity could allow for increases or decreases in the chance of
341 vectors becoming infected, as has been described for Lyme disease spirochete infection of ticks:
342 nymphal infection prevalence is dramatically reduced by the presence of hosts of low reservoir
343 competence (Schmidt and Ostfeld, 2001).

344
345 In addition to changing the availability of host species, activities that create forest fringe areas can
346 provide favourable breeding conditions (moist soil areas) for sand fly vectors of leishmaniasis
347 (Azevedo et al., 2011; Feliciangeli, 2004) and various species in the leucosphyrus group of malaria
348 vectors that have a strong proclivity for forested and forest-fringe environments (Sallum et al., 2005).
349 In a frontier settlement of Rorainópolis, in the northern Brazilian Amazon, deforestation has created
350 the unique forest fringe ecosystems that have become hotspots for larvae. Sampling of these areas
351 has revealed a positivity rate of over 80% for *An. darlingi* larvae, and they are considered highly focal
352 determinants of malaria transmission (Barros and Honório, 2015).

353
354 Forest fragmentation can create a distinct community of species that changes along the edge-to-
355 interior gradient: some species increase in abundance, while others decrease (Yahner et al., 1989),
356 and this phenomenon has been observed in mosquito vectors. A reduction in anopheline species
357 diversity has been demonstrated following forest fragmentation resulting from human activities in
358 northern Thailand (Overgaard et al., 2013). If those species that become predominant are competent
359 vectors of disease and have access to suitable hosts (i.e. humans), the environmental changes could

360 favour pathogen transmission (Ferraguti, et al., 2016). Unfortunately, there is a lack of empirical
361 studies demonstrating these outcomes.

362

363 There is evidence that habitat fragmentation can affect adaptive genetic variation (Fraser et al., 2014),
364 and it can affect non-adaptive variation through reductions in population size or increased population
365 isolation, which are expected to increase the influence of genetic drift, the stochastic change in allele
366 frequencies over time (Johnson and Munshi-South, 2017). Large genic differences have recently been
367 detected in allopatric populations of *Ae. aegypti* (Dickson et al., 2017), and the form that preferentially
368 feeds on humans, and resides near human population centres, is known to be more efficient in
369 transmitting disease than that which lives in forested habitats (Sylla et al., 2009). There is considerable
370 variation among other populations of *Ae. aegypti* in their ecology, behaviour and vector capacity
371 (Crawford et al., 2017). An interesting question for the future is whether habitat fragmentation has
372 the potential to ultimately lead to evolutionary change in disease vectors, and their capacity for
373 disease transmission.

374

375 *3.5. Economic and demographic changes*

376 Together with changes in natural habitats, mining is associated with substantial economic and
377 demographic changes that (i) increase contact between pathogens, vectors and humans, (ii) shift
378 parasites and susceptible human populations between low and high endemic areas, (iii) create
379 vulnerable populations experiencing poor living conditions, and (iv) are typically associated with
380 inadequate health care to deal effectively with vector-borne infections (Potter et al., 2015; Silbergeld
381 et al., 2002; Veecken, 1993; Douine et al., 2017; Recht et al., 2017). For example, the construction of
382 roads into and around mining sites allows previously difficult or inaccessible regions to be settled by
383 an influx of people (Kleinschroth and Healey, 2017). Mining and other industrial activities are also
384 accompanied by expansion of more densely populated environments and can involve the increase of
385 foreign as well as large indigenous workforces (Richards and VanWey, 2015; Coderre-Proulx et al.,
386 2016).

387

388 *3.5.1. Increased contact between humans and vectors*

389 Engagement of populations in mining activities can increase occupation-related exposure of humans
390 to disease vectors (Cotter et al., 2013). Occupational exposure to vector-borne diseases was
391 documented as early as the 1850s during the construction of the Panama Railroad, when an estimated
392 12,000 workers died due to vector-borne diseases (malaria and yellow fever) from 1850 to 1855
393 (McCullough, 1977). More recently, an analysis of factors associated with malaria in Juruena, Matto
394 Grosso, Brazil, in 2005 found that infection prevalence was higher in individuals working in mining
395 activities than observed for house workers (Ferreira et al., 2012), indicating that mining activities place
396 workers at greater risk of contracting malaria. In the Americas as a whole, 60% of malaria cases
397 occurred in men in 2014, and younger men are more at risk of malaria infection, consistent with the
398 period of life in which individuals are exposed to the highest densities of vectors because of their work
399 (Barbieri et al., 2005; Ferreira et al., 2012; Pan American Health Organization, 2014).

400

401 *3.5.2. Migration of workers into and out of mining regions*

402 The migration of workers to mining and other industrial sites is well documented and has important
403 consequences for transmission of diseases of many kinds, including vector-borne and sexually-
404 contracted diseases. Malaria infection risk can be described along three main axes: (i) vulnerability,

405 (ii) exposure, and (iii) access (Guyant et al., 2015). Migrants may be more biologically vulnerable than
406 indigenous populations in malaria endemic areas because of a lack of naturally-acquired immunity
407 developed from previous infections. For instance, in Juruena malaria prevalence in a mining
408 settlement was 56% greater in individuals coming from non-endemic areas than in those that
409 originated from malaria endemic areas (Ferreira et al., 2012). Indeed, it was the 'transient non-
410 immune' population during the Pailin gem rush of the 1950s and 60s that was thought to fuel the
411 emergence of chloroquine resistance in Western Cambodia (Verdrager, 1986). Additionally, having
412 lower immunity, migrants from non-endemic areas are particularly vulnerable to malaria because they
413 have little or inadequate knowledge about the disease and its prevention (Wangroongsarb et al.,
414 2011). Furthermore, migrants are less likely to be aware of existing health services than are local or
415 long-term residents. Lastly, migrants may be more exposed when sleeping or working at night in areas
416 suitable for transmission, and may not take bed nets with them when they cross borders (Prothero,
417 2002; Malaria Consortium, 2013; Peeters Grietens, 2015).

418

419 Access to (or lack of) health services and outreach is the third risk element. The lack of administrative
420 registration among the majority of internal migrants in Cambodia resulted in most households (66.7%)
421 having never received an insecticide bed net from the National Malaria Control Programme (NMCP),
422 and a majority (76.3%) of internal migrants reporting never having received a bed net from the NMCP
423 in their home province. Access to malaria services is especially difficult for people who are either
424 defined as illegal migrants or working in an illegal trade who may prefer to avoid contact with
425 government services (Singhanetra-Renard, 1993).

426

427 One of the consequences of the migratory nature of mine workforces, formal or informal, is the
428 difficulty of disease surveillance for measuring disease burden. Active case detection implemented by
429 the Brazilian government has been credited with a dramatic reduction in malaria incidence in Mato
430 Grosso from 96.1 to 2.7 cases per 1,000 inhabitants from 1992 to 2002 (Ferreira et al., 2012). Case
431 monitoring can be interrupted or complicated by migration and periodic movement of mine workers,
432 i.e., those who may be missed completely or who may be labelled as imported cases elsewhere.

433

434 The second mechanism by which migration linked to mining can increase vector-borne disease
435 transmission is the movement of pathogens into non-endemic areas, for instance through migration
436 of workers out of mining regions. In Colombia, mining populations include individuals that have
437 migrated from areas that are not malaria endemic. Those that then travel back to their places of origin
438 pose a serious risk of introducing infections within a naïve population (Castellanos et al., 2016). An
439 infection tracing study found that over 1,000 cases of malaria, occurring as far away as Rio de Janeiro
440 (approximately 1,700 km), could be linked to gold mining activities in the Tapajós region of Pará. The
441 nature of mining as an episodic occupation that often involves regular movement (e.g., work rotations)
442 contributes to dispersal of disease (Silbergeld et al., 2002). In the particular case of drug resistant
443 strains of malaria, it is believed that mefloquine-resistant *P. falciparum* spread from Borai on the Thai-
444 Cambodia border to Mae Sot on the Thai-Myanmar border by infected Burmese gem miners returning
445 by bus from the ruby mines of Cambodia (Wongsrichanalai et al., 2001). Concerns have also been
446 raised about the spread of antimalarial drug resistance in the Guiana Shield, where resistance to
447 artemisinin in Suriname has been linked with gold miners travelling from French Guiana (Pommier de
448 Santi et al., 2016c).

449

450 3.5.3. *Urbanisation, inadequate housing and lack of planning*

451 When large tracts of land are devegetated and extensively modified by human activities, the process
452 of urbanisation is associated with both the importation of non-native species and the creation of
453 favourable habitats suitable for their establishment (McKinney, 2006). The extent to which a niche
454 opportunity arises for vectors may be site- and species-specific, but have important consequences for
455 disease transmission. For example, expanded urbanization might increase malaria transmission in
456 parts of Asia where *An. stephensi* can thrive in urban environments (Batra et al., 2001), but elsewhere
457 it has been associated with suppressed malaria transmission through a reduction in potential *Anopheles*
458 larval habitats (de Castro et al., 2006), due to water pollution, better drainage and more impervious
459 surfaces, lower individual human exposure to anopheline vectors due to better housing and greater
460 population density in relation to vectors, and generally better access to health care. It is therefore
461 important that our understanding of events at microgeographic scales not be generalized nor
462 transposed to other regions, which have different vectors, hosts, habitats, and urbanization histories.
463 With this caveat in mind, a generalization that has been observed empirically is that wherever
464 urbanization occurs, some species thrive as urban commensals to the extent that they become
465 dependent on urban resources (McKinney, 2006). Such 'urban exploiters' are composed of a small
466 subset of the world's species and are well adapted to intensely modified human environments
467 (McKinney, 2002).

468

469 The increase in the urban and semi-urban populations is typically associated with rapid growth in
470 settlements that are poorly planned with insufficient infrastructure bases, including safe water, proper
471 waste/sewage systems, and organised refuse disposal (Neiderud, 2015; Vij, 2012; United Nations
472 Development Programme, 2016). Urban locations in tropical and sub-tropical areas are becoming
473 increasingly important foci for the transmission of dengue, chikungunya and Zika viruses, and
474 potentially for the spread of yellow fever from sylvan environments into built environments, because
475 they provide ideal habitats for *Ae. aegypti*, a species which thrives in small man-made collections of
476 water such as discarded plastic containers, gutters, tyres and water-storage containers. Similarly,
477 rubbish in the peri-domestic environment provides breeding sites for sand flies, increasing the risk of
478 leishmaniasis, and *Culex quinquefasciatus* has been found abundantly in newly developed and
479 urbanized areas of Haiti (Samson et al., 2015). The possibility exists that clearing forest vegetation and
480 developing a more urban environment will allow for other diseases to be transmitted (Asante et al.,
481 2011.)

482

483 Poor quality housing construction and poverty in mining areas can increase the risk of vector-borne
484 disease for individuals within households. There is evidence to indicate that well-built housing can
485 reduce house entry by malaria vectors and, therefore, exposure to infection. A systematic review of
486 literature and a meta-analysis showed that improved housing was associated with 47% lower odds of
487 malaria infection and 45-65% less clinical malaria than traditional housing in sites across Africa, Asia
488 and South America (Tusting et al., 2015). Similarly, a recent analysis of data from 21 countries in sub-
489 Saharan Africa found that, after adjusting for household wealth, the association between house design
490 and protection from malaria was similar to that of the use of insecticide-treated nets (ITNs) (Tusting
491 et al., 2017). Compared to malaria, there have been fewer studies linking housing quality with other
492 vector-borne diseases, but there is some evidence of an effect on *Aedes*-borne diseases and
493 leishmaniasis. Meta-analyses have indicated a significant protective effect of window and door
494 screens on dengue transmission (Bowman et al., 2016), and that ITNs were able to reduce the

495 incidence of cutaneous leishmaniasis by 77% (Wilson et al., 2014). However, the efficacy of ITNs in
496 preventing transmission is dependent on several key variables related to vector biology, type of nets
497 and human behaviour.

498

499 In the northwest of Zambia, the development of a copper mine was not associated with a significant
500 increase in the prevalence of *P. falciparum* infection in children. Baseline data collected from 483
501 children under five-years-of-age in both mine-impacted and comparison sentinel sites, before project
502 development, were compared with data collected four years later when the mine had become
503 operational. The study showed that whilst there was a significantly greater malaria prevalence in the
504 follow-up survey, this was observed both in the impacted and comparison sites (Knoblauch et al.,
505 2017). The overall trend of higher infection rates at this site may have been associated with prevailing
506 temperature and precipitation at the time. However, malaria control interventions implemented by
507 the mine project and district health management teams in the impacted areas, including indoor
508 residual spraying, distribution of ITNs, health awareness campaigns, and active case detection, were
509 generally associated with lower odds (risk) of acquiring infection. In particular, the resettlement of
510 families in new housing with closed eaves and window screens was associated with significantly lower
511 infection rates (Knoblauch et al., 2017).

512

513 3.5.4. Accessibility and lack of health infrastructure

514 Mining offers a substantial income, and an opportunity for upward mobility, to an estimated 500,000
515 small-scale miners across the Amazon region (Cremers and de Theije, 2013), and to millions in other
516 parts of the world (World Bank, 2002). Many malaria-infected miners suffer no significant illness
517 (compared to 'non-immune' individuals) and often do not seek or take prescribed antimalarial agents,
518 or self-medicate (Nacher et al., 2013). However, integrated malaria control programmes rely on early
519 detection and appropriate treatment of infections (Shiff, 2002), and there are specific problems
520 associated with limited access to remote mining concessions and the steady increase in drug-resistant
521 *Plasmodium* species that confound control efforts, such as chloroquine-resistant *P. vivax* in the
522 Brazilian Amazon (de Santana Filho et al., 2007). The more transient alluvial and artisanal gold-mining
523 sites can be important reservoirs of drug-resistant Plasmodia, placing non-miners and surrounding
524 communities, including indigenous residents, farmers, and forest workers, at increased risk of malaria
525 infection (Andrade et al., 1995).

526

527 Mining areas are often characterised by remote, poor accessibility, and marginal health infrastructure.
528 For example, there are many areas in the Amazon region where gold mining and agricultural activities
529 support populations that are too small or malaria prevalence too low to warrant a government clinic
530 for providing malaria diagnosis and treatment (Cunha et al., 2001). A study of knowledge, attitudes
531 and behaviours of small-scale mine workers in Suriname found that the main reasons for not seeking
532 malaria tests were related to geographical barriers, including long distance from a health post and
533 excessive travel time required (Duijves and Heemskerck, 2015). These regions may also be extremely
534 remote, and can be transitory settlements, adding to the difficulty establishing and maintaining health
535 facilities. The same situation occurs in many parts of Asia, where multi-lateral funding proposals for
536 the Greater Mekong Subregion recognise the lack of easier access to health services in general and
537 malaria services in particular, with an expressed need to expand microscopy services and the use of
538 malaria rapid diagnostic tests in remote areas of Myanmar and Southern China (World Health
539 Organization, 2010).

540

541 Economic and political instability, and an absence or inadequacy of local public and private
542 institutions, can contribute to increased disease burdens, particularly in the relatively low income
543 settings in which industrial operations can operate. For example, in 1961, Venezuela was the first
544 country in Latin America to declare itself malaria free; however, a notable and steady increase in
545 malaria cases has been observed since 2010, reaching 240,613 cases in 2016 (Pan American Health
546 Organization, 2017). The municipality of Domingo Sifontes recorded the highest number of cases in
547 Venezuela due to an expanding epidemic related to a surge in gold exploitation, which, in part, has
548 been driven by a large-scale loss of jobs and a prolonged country-wide economic crisis. The crisis is
549 also responsible for a shortage of medical supplies and for operational failures in the health system
550 that are leaving cases untreated and under-reported. Further, the government's anti-malaria
551 programme has effectively been dismantled, with supplies stolen or diverted to the informal black
552 market (Ebua, 2017). It is clear that the absence of a once-functional health system leads to more
553 people suffering needlessly from vector-borne diseases.

554

555 **4. Conclusions**

556 Environmental changes that result from small and large-scale industrial activities have been shown to
557 create new opportunities for enhancing vector-borne disease transmission. Where environmental
558 changes occur through large scale extraction projects they can be coupled with demographic factors
559 that expose large numbers of people to diseases for which they have no acquired immunity (Recht et
560 al., 2017). Gaining a better understanding of the influence of human activities on vector-borne disease
561 dynamics, and vector ecology and evolution, will help guide future efforts to minimize the potential
562 negative impacts of industrial development (Johnson and Munshi-South, 2017). For example,
563 deployment of ITNs against vectors that historically fed predominantly indoors on humans has in some
564 areas resulted in persisting transmission by residual populations that survive by feeding outdoors, or
565 on other animals, so an appropriate response is to target them with vapour-phase or veterinary
566 insecticides (Killeen et al., 2017). Similarly, there are opportunities to protect people involved in
567 industrial activities through land use planning and the development of suitable homes that reduce
568 contact with and abundance of vector species (Tusting et al., 2016; Kilpatrick, 2011). Where impacts
569 on disease burden have already been felt, it is crucial that strong, evidenced-based collaborations
570 between industry and health sector stakeholders be made to ensure that vulnerable groups are
571 reached with adequate tools for providing disease risk mitigation, diagnosis and treatment.

572

573

574 **List of Abbreviations**

575 API, Annual Parasite Incidence

576 ITN, insecticide treated net

577 NMCP, National Malaria Control Programme

578

579 **Declarations**

580 The authors declare that they have no competing interests. Declarations of interest: none

581

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587

588 **Authors' contributions**

589 RTJ, JGL, MBM and MJB were responsible for the initial study concept. All authors contributed to the
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