

1 **Left Main Revascularization with PCI or CABG in Patients with Chronic Kidney Disease:**
2 **The EXCEL Trial**

3
4 **Running Title:** Left Main Revascularization and Chronic Kidney Disease

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28
29 **Word count:** 4,520

30
31 **Disclosures:** Roxana Mehran: Institutional research grant support - Eli Lilly/Daiichi-Sankyo, Inc.,
32 Bristol-Myers Squibb, AstraZeneca, The Medicines Company, OrbusNeich, Bayer, CSL Behring,
33 Abbott Laboratories, Watermark Research Partners, Novartis Pharmaceuticals, Medtronic, AUM
34 Cardiovascular, Inc., Beth Israel Deaconess Medical Center; executive committee - Janssen
35 Pharmaceuticals, Osprey Medical Inc.; data safety monitoring board - Watermark Research
36 Partners; consulting - Medscape, The Medicines Company, Boston Scientific, Merck & Company,
37 Cardiovascular Systems, Inc. (CSI); Sanofi USA, LLC, Shanghai BraccoSine Pharmaceutical
38 Corp.; AstraZeneca; equity - Claret Medical Inc., Elixir Medical Corporation. Patrick W. Serruys:
39 Consultant – Abbott, Biosensors, Medtronic, Micell Technologies, QualiMed, SINOMED, St. Jude
40 Medical, Stentys, Svelte, Philips/Volcano, Xeltis. Joseph F. Sabik: Consultant - Medtronic,
41 Edwards, and Sorin. Advisory board - Medtronic Cardiac Surgery. Charles A. Simonton: Employee
42 - Abbott Vascular. David E. Kandzari: Consultant - Medtronic, Boston Scientific, Biotronik, Micell
43 Technologies, Cardinal Health; institutional research/grant support - Medtronic, Boston Scientific,
44 Biotronik, Micell Technologies, Medinol. Philippe Genereux: Speaker's fees - Edwards Lifescience,
45 Medtronic, Tryton Medical Inc., Cardinal Health, and Cardiovascular Systems Inc., consulting fees
46 - Boston Scientific, Cardiovascular Systems Inc., and Pi-Cardia; institutional research grant -
47 Boston Scientific. Equity - SIG.NUM, SoundBite Medical Solutions Inc., Saranas, and Pi-Cardia.
48 Stuart J. Pocock: Consultant - Abbott Vascular. A. Pieter Kappetein: Employee – Medtronic. Gregg
49 W. Stone: Employer, Columbia University, receives royalties for sale of the MitraClip. The rest of
50 the authors: None.

1 **Twitter handle**

2 @GreggWStone

3 @g_giustinoMD

4 @Drroxmehran

5

6 **Short tweet (Max 150 characters)**

7 Compared with CABG, PCI is associated with lower rates of adverse events at 30 days and similar
8 outcomes at 3 years of follow-up in patients with left main disease and CKD.

9

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ABSTRACT

BACKGROUND: The optimal revascularization strategy for patients with left main coronary artery disease (LMCAD) and chronic kidney disease (CKD) remains unclear.

OBJECTIVES: We investigated the comparative effectiveness of percutaneous coronary intervention (PCI) versus coronary artery bypass graft (CABG) surgery in patients with LMCAD and low or intermediate anatomical complexity according to baseline renal function from the multicenter randomized EXCEL trial.

METHODS: CKD was defined as an estimated glomerular filtration rate (eGFR) <60 mL/min/1.73 m² using the CKD-EPI equation. Acute renal failure (ARF) was defined as a serum creatinine increase of ≥ 5.0 mg/dL from baseline or a new requirement for dialysis. The primary composite endpoint was the composite of death, myocardial infarction (MI), or stroke at 3-year follow-up.

RESULTS: CKD was present in 361 of 1,869 randomized patients (19.3%) in whom baseline eGFR was available. Patients with CKD had higher 3-year rates of the primary endpoint compared to those without CKD (20.8% vs. 13.5%; hazard ratio [HR]: 1.60; 95% confidence interval [CI]: 1.22-2.09; $p=0.0005$). ARF within 30 days occurred more commonly in patients with compared to those without CKD (5.0% vs. 0.8%, $p<0.0001$), and was strongly associated with the 3-year risk of death, stroke or MI (50.7% vs. 14.4%; HR: 4.59; 95% CI: 2.73-7.73; $p<0.0001$). ARF occurred less commonly after revascularization with PCI compared with CABG both in patients with CKD (2.3% vs. 7.7%; HR: 0.28; 95% CI: 0.09-0.87) and in those without CKD (0.3% vs. 1.3%; HR: 0.20; 95% CI: 0.04-0.90; $p_{\text{interaction}}=0.71$). There were no significant differences in the rates of the primary composite endpoint after PCI and CABG in patients with CKD (23.4% vs. 18.1%; HR: 1.25; 95% CI: 0.79-1.98) and without CKD (13.4% vs. 13.5%; HR: 0.97; 95% CI: 0.73-1.27; $p_{\text{interaction}}=0.38$).

CONCLUSIONS: Patients with CKD undergoing revascularization for LMCAD in the EXCEL trial had increased rates of ARF and reduced event-free survival. ARF occurred less frequently after PCI compared to CABG. Nonetheless, PCI and CABG resulted in non-significantly different rates of death, stroke or MI at 3 years in patients with and without CKD.

- 1 **KEYWORDS:** Left main; coronary artery disease; percutaneous coronary intervention; coronary
- 2 artery bypass grafting; chronic kidney disease

CONDENSED ABSTRACT

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The optimal revascularization strategy for patients with obstructive left main coronary artery disease (LMCAD) and chronic kidney disease (CKD) remains unclear. We investigated the comparative effectiveness of percutaneous coronary intervention (PCI) with everolimus-eluting stents versus coronary artery bypass graft (CABG) surgery in patients with LMCAD and CKD from the randomized EXCEL trial. At 3 years, there were no significant differences in the rates of death, myocardial infarction, or stroke between PCI and CABG in patients with (23.4% vs. 18.1%; HR: 1.25; 95% confidence interval [CI]: 0.79–1.98) or without CKD (13.4% vs. 13.5%; HR: 0.97; 95% CI: 0.73–1.27) ($p_{\text{interaction}}=0.38$).

ABBREVIATIONS AND ACRONYMS

- 1
- 2 ARF = acute renal failure
- 3 CABG = coronary artery bypass graft
- 4 CKD = chronic kidney disease
- 5 CrCl = creatinine clearance
- 6 EES = everolimus-eluting stents
- 7 eGFR = estimated glomerular filtration rate
- 8 LMCAD = left main coronary artery disease
- 9 MDRD = Modification of Diet in Renal Disease
- 10 PCI = percutaneous coronary intervention
- 11

1 Chronic kidney disease (CKD) is an increasingly prevalent condition and is strongly
2 associated with increased cardiovascular morbidity and mortality (1). Renal dysfunction is
3 associated with systemic inflammation, endothelial dysfunction, accelerated atherosclerosis, and
4 enhanced thrombogenicity, which together heighten the risk for cardiovascular and cerebrovascular
5 ischemic events (1-4). CKD is associated with a poor prognosis after coronary artery bypass graft
6 surgery (CABG), due in part to the risk of acute renal failure (ARF) as well as associated
7 comorbidities (2-4). However, the risk of ARF from contrast media, atheroemboli and other
8 mechanisms is also increased in patients with CKD undergoing percutaneous coronary intervention
9 (PCI) (5,6). These risks likely explain why patients with coronary artery disease (CAD) and CKD
10 are less likely to undergo revascularization than those with normal renal function (2-4), despite
11 observational studies suggesting a survival benefit after PCI and CABG in patients with multivessel
12 disease and CKD (4). Few data comparing PCI and CABG in patients with CKD from prospective
13 randomized trials are available to guide clinical decision-making in this high-risk group (7-11). We
14 therefore examined the outcomes of patients with left main CAD (LMCAD) with and without CKD
15 randomized to PCI with everolimus eluting-stents (EES) versus CABG in the Evaluation of
16 XIENCE Versus Coronary Artery Bypass Surgery for Effectiveness of Left Main Revascularization
17 (EXCEL) trial (12).

18 19 **METHODS**

20 **Study design.** The EXCEL trial design and principal results have been previously reported
21 (12,13). In brief, EXCEL was an international, open-label, multicenter randomized trial that
22 compared PCI using cobalt–chromium fluoropolymer-based EES (Xience; Abbott Vascular, Santa
23 Clara, CA) versus CABG in patients with LMCAD. Inclusion criteria were LM diameter stenosis of
24 $\geq 70\%$, as estimated visually, or stenosis of 50% to $< 70\%$ if hemodynamically significant by non-
25 invasive or invasive testing, plus low or intermediate anatomical complexity of CAD as defined by
26 a site-determined Synergy between PCI with Taxus and Cardiac Surgery (SYNTAX) score of ≤ 32 .

1 Consensus among the members of the heart team for revascularization with either PCI or CABG
2 was required. Clinical follow-up was performed at 1 month, 6 months, and 1 year and then annually
3 through 5 years. At the time of the current analysis all patients have completed 3 years of follow-up.
4 The investigation was approved by the ethics committee or institutional review board at each center,
5 and all patients signed informed consent.

6 The primary endpoint was the composite of death from any cause, stroke, or myocardial
7 infarction (MI) at 3 years. Major powered secondary endpoints included this composite rate at 30
8 days, and death, stroke, MI, or ischemia-driven revascularization at 3 years. Additional secondary
9 endpoints included the components of the primary endpoint, as well as revascularization, stent
10 thrombosis, symptomatic graft occlusion, bleeding complications, and a pre-specified composite of
11 major adverse events occurring within 30 days. These endpoint definitions are reported elsewhere
12 (12). Study monitors collected source documents of all primary and secondary endpoint events for
13 adjudication by an independent clinical events committee. The extent and complexity of CAD and
14 the SYNTAX score were also assessed by an independent angiographic core laboratory.

15 The present study is a pre-specified subgroup analysis from the EXCEL trial comparing PCI
16 and CABG in patients with and without CKD. CKD was defined as an estimated glomerular
17 filtration rate (eGFR) $<60 \text{ mL/min/1.73 m}^2$ (corresponding to CKD stage 3A, 3B, 4, or 5), using the
18 CKD-EPI equation as per the National Kidney Foundation-Kidney Disease Outcomes Quality
19 Initiative guidelines (Supplemental Appendix Table 1) (14,15). This equation is preferentially
20 endorsed by consensus guidelines as superior to other equations to discriminate between patients
21 with versus without renal dysfunction and to predict adverse events in patients with CKD (16,17).
22 ARF was defined in the protocol as a serum creatinine increase by $\geq 5.0 \text{ mg/dL}$ from baseline or
23 new requirement for dialysis (including hemodialysis, continuous veno-venous hemofiltration or
24 peritoneal dialysis).

25

1 EPI, MDRD, and Cockcroft-Gault equations was 77.2 ± 19.1 mL/min/ 1.73 m^2 , 81.5 ± 22.8
2 mL/min/ 1.73 m^2 , and 89.5 ± 32.4 mL/min in all patients, and 48.6 ± 9.9 mL/min/ 1.73 m^2 , 49.2 ± 9.7
3 mL/min/ 1.73 m^2 , and 47.8 ± 9.6 mL/min in patients with CKD, respectively. The distribution of
4 baseline eGFR using the CKD-EPI equation is illustrated in Figure 1. Only 3/361 enrolled patients
5 with CKD at baseline were on dialysis (0.8%).

6 Baseline characteristics in patients with and without CKD estimated with the CKD-EPI
7 equation are reported in Table 1. Patients with CKD were older, were more commonly female and
8 had more comorbidities. Patients with CKD were also more likely to have a history of prior MI,
9 atrial fibrillation, valvular heart disease, and lower left ventricular ejection fraction. Baseline
10 angiographic characteristics and procedural characteristics with PCI or CABG are reported in Table
11 2. There were no significant differences in site-reported or core laboratory-assessed SYNTAX
12 scores between patients with and without CKD; however, patients with CKD were more likely to
13 have diffuse or small vessel disease. There were no significant differences in the number of non-left
14 main stented or bypassed vessels in patients with and without CKD (Table 2). Medication use at
15 discharge and through 3 years in patients with and without CKD were similar, except for greater use
16 of chronic oral anticoagulants in those with CKD (Supplemental Appendix Table 2).

17 **Effect of CKD on outcomes.** Patients with compared to those without CKD had higher
18 rates of 30-day composite major adverse events, including more frequent blood transfusions, major
19 arrhythmias, infections, sternal wound dehiscence, and unplanned surgical and radiologic
20 procedures (Supplemental Appendix Table 3). In addition, the rate of ARF was ~6 times greater in
21 patients with CKD compared to those without (5.0% vs. 0.8%, $p < 0.0001$). The 3-year primary
22 composite endpoint of death, stroke, or MI was increased in patients with compared to those
23 without CKD (Figure 2; 20.8% vs. 13.5%; hazard ratio: 1.60; 95% CI: 1.22-2.09; $p = 0.0005$), driven
24 by greater cardiac and non-cardiac mortality (Table 3). The rates of adverse outcomes incrementally
25 increased as renal function worsened from eGFR > 60 mL/min/ 1.73 m^2 (no CKD) to eGFR 45 to 60
26 mL/min/ 1.73 m^2 (Stage 3A CKD) to eGFR < 45 mL/min/ 1.73 m^2 (Stage 3B, 4, or 5 CKD)

1 (Supplemental Appendix Table 4). When modeled as a continuous variable, progressively lower
2 eGFR was associated with a steadily greater 3-year risk of death, stroke, or MI (HR per 10
3 mL/min/1.73 m² decrease: 1.09; 95% CI: 1.03-1.15; p=0.004) and all-cause death (HR per 10
4 mL/min/1.73 m² decrease: 1.23; 95% CI: 1.14-1.34; p<0.0001) (Figure 3A and 3B). Results were
5 consistent using the MDRD and the Cockcroft-Gault equations (Supplemental Appendix Tables 5
6 and 6).

7 **PCI versus CABG in patients with and without CKD.** PCI was associated with lower 30-
8 day rates of major adverse events compared with CABG, in patients with and without CKD (Table
9 4). PCI was also associated with shorter in-hospital stay compared with CABG both in patients with
10 CKD (6.7±7.0 vs. 16.1±15.2; p<0.0001) and without CKD (5.2±4.7 vs. 11.9±7.4; p<0.0001). At 30
11 days, PCI compared with CABG resulted in lower rates of the composite endpoint of death, MI, or
12 stroke both in patients with CKD (6.2% vs. 9.3%, HR: 0.68; 95% CI: 0.32-1.45) and without CKD
13 (4.5% vs. 7.4%, HR: 0.61; 95% CI: 0.40-0.93) (p_{interaction}=0.80). At 3 years (Figure 4), there were no
14 significant differences in the rates of the primary composite endpoint of death, MI, or stroke after
15 PCI versus CABG, an effect that was consistent in patients with and without CKD (p_{interaction}=0.36)
16 (Table 5). The 3-year relative rates of the components of the primary endpoint, as well as
17 revascularization and bleeding after PCI versus CABG were also consistent in patients with and
18 without CKD (Table 5). CABG was associated with less ischemia-driven revascularization during
19 follow-up, the risk of which was consistent across varying levels of baseline renal function
20 (Supplemental Appendix Table 7). In the CKD group, 3-year mortality was increased after PCI
21 compared with CABG, due to greater non-cardiac deaths, specifically due to sepsis (5.4% vs. 1.1%;
22 p=0.02), which occurred more than 30 days post procedure. There was no significant difference in
23 cardiac mortality after PCI vs. CABG either in patients with or without CKD. The comparative
24 effectiveness of PCI versus CABG on the risk of death, MI, or stroke at 30 days and 3 years was
25 consistent across varying definitions of CKD (Figure 5).

1 **ARF and outcomes after LM revascularization.** Baseline clinical and procedural
2 characteristics which were associated with the development of ARF within 30 days are reported in
3 Supplemental Appendix Table 8. Compared with CABG, PCI was associated with significantly
4 lower rates of ARF at 30 days in both patients with CKD (2.3% vs. 7.6%; HR: 0.28; 95% CI: 0.09-
5 0.87) and in those without CKD (0.3% vs. 1.3%; HR: 0.20; 95% CI: 0.04-0.90; $p_{\text{interaction}}=0.71$)
6 (Table 6). Dialysis was also required more frequently after CABG compared with PCI, regardless of
7 baseline CKD status ($p_{\text{interaction}}=0.87$). Outcomes at 3 years in patients with versus without ARF
8 within 30 days are reported in Supplemental Appendix Table 9. The occurrence of ARF was
9 strongly associated with increased 3-year risk of death, stroke or MI at 3 years (50.7% vs. 14.4%;
10 HR: 4.59; 95% CI: 2.73-7.73; $p<0.0001$).

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DISCUSSION

13 The Central Illustration demonstrates the major findings of the present pre-specified analysis
14 from the EXCEL trial, in which we explored the relative effects of PCI with EES versus CABG in
15 patients with LMCAD and low or intermediate SYNTAX scores according to baseline renal
16 function. Progressively worse renal impairment in patients undergoing LM revascularization was
17 associated with steadily increasing rates of cardiovascular and hemorrhagic adverse events and
18 mortality during 3 years of follow-up. Compared with CABG, PCI was associated with lower rates
19 of ARF, including dialysis, and 30-day major adverse events in both patients with and without
20 CKD. The occurrence of ARF at 30 days was strongly associated with increased risk of adverse
21 events and mortality over 3 years of follow-up. At 3 years, however, there were no significant
22 differences in the rates of death, MI, or stroke between PCI-treated and CABG-treated patients,
23 regardless of baseline CKD. Despite the fact that definite stent thrombosis occurred less frequently
24 than symptomatic graft failure, ischemia-driven revascularization rates at 3 years were lower after
25 CABG compared to PCI, an effect that was consistent in patients with preserved or reduced renal

1 function. Finally, the impact of CKD, and the comparative outcomes of PCI versus CABG in
2 patients with and without CKD were consistent irrespective of definition of renal dysfunction.

3 Evidence from prior randomized trials to inform revascularization decisions in patients with
4 CKD is scarce, especially in LMCAD. Among diabetic patients with CKD and non-LM multivessel
5 disease enrolled in the Future Revascularization Evaluation in Patients with Diabetes Mellitus:
6 Optimal Management of Multivessel Disease (FREEDOM) trial, CABG compared with PCI with
7 paclitaxel-eluting stents resulted in a 27% relative risk reduction in major adverse cardiovascular
8 and cerebrovascular events (MACCE) at a median follow-up of 3.8 years (7). Among CKD patients
9 with non-LM multivessel disease enrolled in the New York State outcomes registries, PCI with EES
10 was associated with lower rates of MACCE at 30 days than CABG, but higher rates of MI and
11 repeat revascularization at 4 years, with similar rates of death (19). In a pooled analysis from the
12 Bypass Surgery Versus Angioplasty Using Sirolimus-Eluting Stent in Patients With Left Main
13 Coronary Artery Disease (PRECOMBAT) and SYNTAX trials, PCI with first-generation
14 paclitaxel-eluting and sirolimus-eluting stents was associated with comparable 5-year rates of
15 MACCE and death compared with CABG in patients with LMCAD with and without CKD, without
16 significant interaction (20).

17 The present large-scale study in which contemporary DES and revascularization techniques
18 were used confirms and extends these prior findings to patients with LMCAD. Patients with CKD
19 constituted ~25% of the EXCEL trial population, in whom the mean eGFR was 48.5 ± 9.9
20 mL/min/1.73 m², representing moderately severe CKD. PCI with EES in patients with LMCAD
21 reduced 30-day periprocedural adverse events and the 30-day composite rate of death, stroke, or MI
22 consistently in both CKD and non-CKD cohorts. Specifically, PCI resulted in reduced bleeding,
23 need for transfusions, arrhythmias, and less ARF (including the need for dialysis) compared with
24 CABG in patients with CKD, adverse events which have been associated with long-term mortality
25 (21-27). In this regard, ARF in the EXCEL trial was defined as an increase in serum creatinine ≥ 5
26 mg/dL or a new requirement for dialysis, corresponding to acute kidney injury of stage III or greater

1 in the most recent Kidney Disease: Improving Global Outcomes (KDIGO) classification (28). ARF
2 as so defined was strongly associated with worse outcomes over 3 years of follow-up. The reduced
3 rate of ARF after PCI compared with CABG in both the CKD and non-CKD cohorts is one factor
4 that should be considered when deciding between revascularization strategies to avoid further declines
5 in renal function in patients with CKD. However, the composite 3-year primary endpoint rate of
6 death, MI, or stroke was similar after PCI and CABG, a finding that was consistent in patients with
7 and without CKD. The lower rates of MI and revascularization during the follow-up period after
8 CABG compared to PCI as initially described in EXCEL (7) may have offset the deleterious effects
9 of ARF and surgical complications in the CKD cohort.

10 Renal dysfunction has been associated with late DES failure (29-31). Nonetheless, the 3-year
11 rates of definite EES thrombosis were lower than the rates of symptomatic graft occlusion in
12 patients with and without CKD, and ischemia-driven revascularization after EES within 3 years was
13 required in only 10.9% of patients with CKD compared to 13.0% of patients without CKD. These
14 observations demonstrate that the anti-thrombotic and anti-restenotic properties of EES are
15 preserved in higher-risk CKD patients and lesions (32,33). It thus follows that improved chronic
16 medical therapy regimens are required to slow progressive atherosclerosis if the long-term
17 prognosis of high-risk CKD patients is to be improved after PCI (and CABG). Toward this end
18 insights may be gained from the ongoing International Study of Comparative Health Effectiveness
19 With Medical and Invasive Approaches—Chronic Kidney Disease (ISCHEMIA-CKD) trial
20 [NCT01985360] in which patients with stable ischemic heart disease and advanced CKD
21 (eGFR<30 mL/min/1.73 m² or dialysis) are being assigned to an invasive revascularization strategy
22 versus initial medical management.

23 **Limitations.** First, although the present study was pre-specified, the CKD and non-CKD
24 subgroups were not individually powered to draw definitive conclusions as to whether PCI or
25 CABG should be favored. Randomization was not stratified by renal function, and the role of
26 unmeasured confounders cannot be excluded. Our findings should thus be considered hypothesis-

1 generating. Second, while some patients with severe CKD were included, the majority had
2 moderate renal impairment. Therefore, our findings cannot be extrapolated to a severe CKD and
3 end-stage renal disease population. Third, EXCEL enrolled patients with LMCAD and site-assessed
4 low and intermediate anatomical complexity. Our findings therefore do not apply to patients with
5 CAD and extreme anatomic complexity. Nonetheless, the mean core laboratory-assessed SYNTAX
6 score in the EXCEL trial of 26.5 was roughly comparable to that from the FREEDOM trial (mean
7 26.2) and the SYNTAX trial (mean 28.8), implying that the present results may inform outcomes in
8 patients with more extensive CAD. Finally, follow-up in EXCEL is complete through only 3 years.
9 Longer-term follow-up (currently planned for 5 years) is required to determine whether additional
10 late differences between PCI and CABG emerge.

11 **Conclusions.** In patients with LMCAD and site-assessed low or intermediate SYNTAX
12 scores undergoing revascularization, the presence of CKD was associated with a substantially
13 greater risk of periprocedural adverse events and mortality during 3-year follow-up. Although PCI
14 with EES was associated with significantly lower 30-day rates of ARF and major adverse events
15 compared with CABG, there were no significant differences between the revascularization
16 modalities for the primary composite endpoint or components of death, MI, or stroke at 3 years,
17 with no interaction according to baseline CKD status. Both PCI and CABG are thus acceptable
18 revascularization approaches in selected high-risk patients with LMCAD and CKD. Individual
19 patient comorbidities, the likelihood to safely obtain complete revascularization, and patient
20 preferences as to the early benefits of PCI versus the late benefits of CABG should thus be factored
21 into the heart team decision-making process in high-risk patients with LMCAD and CKD.

22

1 **CLINICAL PERSPECTIVES**

- 2 • **Competency in Medical Knowledge (1):** Patients with CKD and LMCAD undergoing
3 revascularization are at substantially greater risk for ARF, periprocedural adverse events,
4 and mortality over 3 years of follow-up.
- 5 • **Competency in Medical Knowledge (2):** PCI with EES in patients with CKD and LMCAD
6 with site-assessed low or intermediate anatomical complexity is associated with lower rates
7 of 30-day adverse events including ARF, major bleeding, and arrhythmias compared with
8 CABG. Over 3 years of follow-up, PCI and CABG resulted in comparable rates of death,
9 MI, or stroke, irrespective of baseline renal function.
- 10 • **Competency in Patient Care:** Both PCI and CABG are acceptable revascularization
11 strategies for high-risk patients with CKD and LMCAD. Individual patient comorbidities,
12 patient preferences, and the early benefits of PCI versus the late benefits of CABG should be
13 taken into account by the heart team when deciding between the two revascularization
14 strategies.
- 15 • **Translational Outlook:** Improved chronic medical therapy regimens are required to slow
16 progressive atherosclerosis if the long-term prognosis of high-risk CKD patients is to be
17 improved after PCI and CABG.

18

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25

FIGURE LEGENDS

FIGURE 1. Distribution of the Estimated Glomerular Filtration Rate in the EXCEL Trial Population Using The CKD-EPI Equation.

The left y-axis refers to the histogram of the number of patients with estimated glomerular filtration rate (eGFR) per 5 mL/min/1.73 m² increments. The right y-axis refers to the cumulative frequency distribution curve of eGFR values. The median [25%, 75%] eGFR was 79.2 [64.0, 91.3] mL/min/1.73 m² and the mean ± SD eGFR was 77.2±19.1 mL/min/1.73 m² (range 6.5–139.2 mL/min/1.73 m²).

FIGURE 2. Three-Year Outcomes in Patients With Versus Without Chronic Kidney Disease.

Kaplan-Meier time-to-first event curves for death, myocardial infarction, or stroke during 3 years of follow-up in patients with and without chronic kidney disease (CKD). CI = confidence interval; GFR = glomerular filtration rate; HR = hazard ratio.

FIGURE 3. Risk of Adverse Events According to Baseline Renal Function.

Smooth hazard function for the risk of (A) death, myocardial infarction, or stroke, and (B) death at 3 years according to baseline renal function estimated with the CKD-EPI equation. CABG = coronary artery bypass grafting; CI = confidence interval; eGFR = estimated glomerular filtration rate; PCI = percutaneous coronary intervention.

FIGURE 4. Three-Year Outcomes in with PCI Versus CABG in Patients With or Without Chronic Kidney Disease.

Kaplan-Meier time-to-first event curves for death, myocardial infarction, or stroke during 3 years of follow-up according to randomized treatment with percutaneous coronary intervention (PCI) versus coronary artery bypass grafting (CABG) in patients with and without CKD. CI = confidence interval; GFR = glomerular filtration rate; HR = hazard ratio.

1 **FIGURE 5. Thirty-Day and Three-Year Outcomes for Percutaneous Coronary Intervention**
2 **Versus Coronary Artery Bypass Grafting Using Alternative Chronic Kidney Disease**
3 **Equations.**

4 CABG = coronary artery bypass grafting; CKD = chronic kidney disease; CKD-EPI = CKD
5 Epidemiology Collaboration; CrCl = creatinine clearance; MDRD = Modification of Diet in Renal
6 Disease; MI = myocardial infarction; PCI = percutaneous coronary intervention.

7

8 **CENTRAL ILLUSTRATION. Risk and Benefits of Percutaneous Coronary Intervention**
9 **Versus Coronary Artery Bypass Graft Surgery in Patients With Chronic Kidney Disease and**
10 **Left Main Coronary Artery Disease With Site-Assessed Low or Intermediate SYNTAX**
11 **Scores.**

12 ARF = Acute Renal Failure; CABG = coronary artery bypass grafting; MI = myocardial infarction;
13 PCI = percutaneous coronary intervention.

14

1 **TABLE 1. Baseline Characteristics.**

	Chronic Kidney Disease (n = 361)	No Chronic Kidney Disease (n = 1508)	p-value
Age, years	72.7 ± 7.8	64.3 ± 9.2	<0.0001
Male sex	239/361 (66.2%)	1200/1508 (79.6%)	<0.0001
Medical history			
Hypertension	306/361 (84.8%)	1073/1508 (71.2%)	<0.0001
Hyperlipidemia	266/360 (73.9%)	1038/1506 (68.9%)	<0.0001
Current smoker	44/359 (12.3%)	365/1497 (24.4%)	<0.0001
Prior stroke or transient ischemic attack	37/361 (10.2%)	80/1507 (5.3%)	0.0005
Congestive heart failure	43/361 (11.9%)	79/1503 (5.3%)	<0.0001
Diabetes mellitus	146/361 (40.4%)	403/1508 (26.7%)	<0.0001
Insulin-treated	46/361 (12.7%)	101/1508 (6.7%)	
Peripheral artery disease	48/359 (13.4%)	131/1503 (8.7%)	0.007
Chronic obstructive pulmonary disease	29/361 (8.0%)	115/1505 (7.6%)	0.80
Anemia	61/358 (17.0%)	121/1505 (8.0%)	<0.0001
Carotid artery disease	45/359 (12.5%)	109/1502 (7.3%)	0.001
On dialysis	3/361 (0.8%)	-	-
Cardiac history			
Prior percutaneous coronary intervention	70/360 (19.4%)	249/1507 (16.5%)	0.19
Prior myocardial infarction	77/357 (21.6%)	246/1497 (16.4%)	0.02
Atrial fibrillation	29/361 (8.0%)	42/1508 (2.8%)	<0.0001
Any baseline mitral regurgitation*	115/327 (35.2%)	400/1405 (28.5%)	0.02
Any baseline aortic regurgitation*	47/325 (14.5%)	143/1401 (10.2%)	0.03
Any baseline tricuspid regurgitation*	94/323 (29.1%)	355/1392 (25.5%)	0.18
Left ventricular ejection fraction, %	55.5 ± 10.6	57.5 ± 8.9	0.002
Clinical presentation			
Stable angina	189/360 (52.5%)	799/1502 (53.2%)	0.81
Unstable angina	87/360 (24.2%)	370/1502 (24.6%)	0.85
Non-STEMI†	43/357 (12.0%)	199/1498 (13.3%)	0.52
STEMI†	5/357 (1.4%)	22/1498 (1.5%)	0.92
Laboratory measures			
HbA1c, %	6.4 ± 1.3	6.2 ± 1.2	<0.0001
White blood cell count, ×10 ⁹ /L	7.8 ± 2.1	7.8 ± 2.1	0.81
Hemoglobin, g/dL	12.7 ± 1.7	13.8 ± 1.5	<0.0001
Platelet count, ×10 ⁹ /L	231.6 ± 71.5	226.8 ± 62.4	0.47
Brain natriuretic peptide, mg/L	450.8 ± 981.9	202.2 ± 453.5	<0.0001
High-sensitivity C-reactive protein, mg/L	9.1 ± 15.2	6.3 ± 12.6	0.001
Serum creatinine, mg/dL	1.4 ± 0.7	0.9 ± 0.2	<0.0001

2 Values are n/N (%) or mean ± standard deviation, as appropriate. *All were moderate or less; severe valve disease
3 was an exclusion criterion; †within 7 days before randomization. STEMI = ST-segment elevation myocardial
4 infarction.
5

1 **TABLE 2. Angiographic and Procedural Characteristics in Patients With Versus Without CKD.**

	Chronic Kidney Disease (n = 361)	No Chronic Kidney Disease (n = 1508)	p-value
Baseline angiographic characteristics			
SYNTAX score, site-reported	21.0 ± 6.0	20.4 ± 6.2	0.11
Low complexity (<23)	211/361 (58.4%)	917/1506 (60.9%)	
Intermediate complexity (23-32)	150/361 (41.6%)	589/1506 (39.1%)	
SYNTAX score, core laboratory assessed	26.5 ± 8.7	26.5 ± 9.4	0.63
Low complexity (<23)	111/348 (31.9%)	534/1457 (36.7%)	
Intermediate complexity (23-32)	157/348 (45.1%)	568/1457 (39.0%)	
High complexity (>32)	80/348 (23.0%)	355/1457 (24.4%)	
Left main diameter stenosis, %	75.7 ± 12.4	75.3 ± 12.0	0.60
Bifurcation or trifurcation disease of the distal left main segment	275/352 (78.1%)	1212/1491 (81.3%)	0.18
Number of non-left main diseased vessels			
0	49/352 (13.9%)	276/1491 (18.5%)	0.04
1	117/352 (33.2%)	455/1491 (30.5%)	0.32
2	122/352 (34.7%)	491/1491 (32.9%)	0.54
3	64/352 (18.2%)	269/1491 (18.0%)	0.95
Diffuse or small vessel disease	36/356 (10.1%)	76/1482 (5.1%)	0.0004
PCI characteristics			
Non-left main lesions stented per patient			
Left anterior descending artery	57/172 (33.1%)	207/750 (27.6%)	0.15
Left circumflex artery	31/172 (18.0%)	122/750 (16.3%)	0.58
Right coronary artery	41/172 (23.8%)	203/750 (27.1%)	0.39
Number of any stented lesions per patient	2.0 ± 1.1	1.9 ± 1.1	0.34
Number of any stented vessels per patient	1.7 ± 0.8	1.7 ± 0.8	0.55
Number of stents implanted per patient	2.6 ± 1.5	2.4 ± 1.5	0.09
Total stent length, per patient	50.9 ± 35.6	48.8 ± 35.8	0.27
Intravascular imaging used	133/172 (77.3%)	579/750 (77.2%)	0.97
Fractional flow reserve used	13/171 (7.6%)	70/750 (9.3%)	0.48
Time in the catheterization laboratory, min	112.6 ± 53.1	111.0 ± 52.5	0.81
CABG characteristics			
Coronary segments of distal anastomosis (CASS)			
Left anterior descending artery	174/176 (98.9%)	718/727 (98.8%)	1.00
Left circumflex artery	154/176 (87.5%)	644/727 (88.6%)	0.69
Right coronary artery	73/176 (41.5%)	268/727 (36.9%)	0.26
Number of vessels bypassed per patient	2.3 ± 0.6	2.2 ± 0.5	0.41
Number of conduits per patient	2.6 ± 0.8	2.6 ± 0.8	0.16
Number of arterial conduits per patient	1.3 ± 0.6	1.4 ± 0.6	0.31
Number of venous conduits per patient	1.3 ± 0.9	1.2 ± 1.0	0.10
Bypass duration, min	77.2 ± 33.1	85.3 ± 48.1	0.17
Time in the operating room, min	291.0 ± 76.6	282.9 ± 75.0	0.11

2 Values are n/N (%) or mean ± standard deviation, as appropriate. CASS = Coronary Artery Surgery Study.

3

TABLE 3. Three-Year Outcomes in Patients With Versus Without Chronic Kidney Disease

	Chronic Kidney Disease (n = 361)	No Chronic Kidney Disease (n = 1508)	Hazard Ratio (95% Confidence Interval)	p-value
Death, stroke, or myocardial infarction	20.8% (73)	13.5% (200)	1.60 (1.22-2.09)	0.0005
Death	12.9% (45)	5.4% (80)	2.48 (1.72-3.57)	<0.0001
Cardiac death	7.3% (25)	3.3% (48)	2.27 (1.40-3.69)	0.0006
Non-cardiac death	6.0% (20)	2.2% (32)	2.78 (1.59-4.86)	0.0002
Stroke	3.6% (12)	2.5% (36)	1.46 (0.76-2.80)	0.26
Myocardial infarction	9.0% (31)	8.0% (118)	1.13 (0.76-1.68)	0.54
Death, stroke, myocardial infarction, or ischemia-driven revascularization	24.2% (85)	19.9% (296)	1.25 (0.98-1.59)	0.07
Ischemia-driven revascularization	8.6% (29)	10.3% (149)	0.85 (0.57-1.26)	0.42
Stent thrombosis, definite or probable	1.1% (4)	0.6% (9)	1.93 (0.59-6.26)	0.27
Graft stenosis or occlusion	2.3% (8)	2.7% (39)	0.89 (0.42-1.90)	0.76
Definite stent thrombosis or symptomatic graft occlusion	2.6% (9)	3.1% (45)	0.87 (0.42-1.78)	0.70
TIMI major or minor bleeding	11.1% (39)	6.9% (103)	1.61 (1.12-2.33)	0.01

Values are Kaplan-Meier estimate (number of events). TIMI = Thrombolysis in Myocardial Infarction.

TABLE 4. Thirty-Day Major Adverse Events After PCI Versus CABG in Patients With Versus Without Chronic Kidney Disease

	Chronic Kidney Disease (n = 361)				No Chronic Kidney Disease (n = 1508)			
	PCI (n = 177)	CABG (n = 184)	Hazard Ratio (95% CI)	p-value	PCI (n = 757)	CABG (n = 751)	Hazard Ratio (95% CI)	p-value
Major adverse events, any	10.9% (19)	29.8% (54)	0.36 (0.23-0.59)	<0.0001	6.2% (47)	21.5% (160)	0.29 (0.21-0.39)	<0.0001
Death	1.1% (2)	1.7% (3)	0.69 (0.12-4.08)	1.00	0.3% (2)	1.1% (8)	0.25 (0.05-1.16)	0.06
Myocardial infarction	4.0% (7)	6.6% (12)	0.60 (0.24-1.50)	0.27	3.4% (26)	5.9% (44)	0.58 (0.36-0.94)	0.02
Stroke	1.1% (2)	1.7% (3)	0.69 (0.12-4.08)	1.00	0.3% (2)	1.3% (10)	0.20 (0.04-0.90)	0.02
Transfusion of ≥2 units blood	6.3% (11)	24.3% (44)	0.26 (0.14-0.48)	<0.0001	2.7% (20)	15.6% (116)	0.17 (0.11-0.27)	<0.0001
TIMI major or minor bleeding	3.4% (6)	12.2% (22)	0.28 (0.12-0.68)	0.002	2.7% (20)	8.7% (65)	0.30 (0.19-0.50)	<0.0001
Major arrhythmia	2.3% (4)	19.9% (36)	0.11 (0.04-0.32)	<0.0001	1.7% (13)	13.6% (101)	0.13 (0.07-0.22)	<0.0001
Unplanned coronary revascularization for ischemia	1.1% (2)	2.2% (4)	0.52 (0.10-2.79)	0.69	0.1% (1)	1.1% (8)	0.12 (0.02-0.98)	0.02
Any unplanned surgery or therapeutic radiologic procedure	0.6% (1)	8.3% (15)	0.07 (0.01-0.52)	0.0004	0.9% (7)	2.7% (20)	0.34 (0.15-0.81)	0.01
Acute renal failure*	2.3% (4)	7.7% (14)	0.30 (0.10-0.88)	0.02	0.3% (2)	1.2% (9)	0.22 (0.05-1.01)	0.03
Sternal wound dehiscence	0.0% (0)	3.3% (6)	0.08 (0.00-1.40)	0.03	0.0% (0)	0.4% (3)	0.14 (0.01-2.72)	0.12
Infection requiring antibiotics	2.3% (4)	11.6% (21)	0.20 (0.07-0.56)	0.0006	0.8% (6)	8.2% (61)	0.10 (0.04-0.22)	<0.0001
Intubation for >48 hours	0.6% (1)	3.9% (7)	0.15 (0.02-1.19)	0.07	0.4% (3)	2.4% (18)	0.16 (0.05-0.56)	0.0009
Post-pericardiotomy syndrome	0.0% (0)	0.0% (0)	—	—	0.0% (0)	0.3% (2)	0.20 (0.01-4.10)	0.25

*Defined as a serum creatinine increase of ≥5.0 mg/dL from baseline or a new requirement for dialysis. CABG = coronary artery bypass graft; CI = confidence interval; PCI = percutaneous coronary intervention; TIMI = Thrombolysis in Myocardial Infarction.

TABLE 5. Three-Year Outcomes for PCI Versus CABG in Patients With or Without Chronic Kidney Disease

	Chronic Kidney Disease (n = 361)			No Chronic Kidney Disease (n = 1508)			P _{interaction}
	PCI (n = 177)	CABG (n = 184)	Hazard Ratio (95% CI)	PCI (n = 757)	CABG (n = 751)	Hazard Ratio (95% CI)	
Death, stroke, or myocardial infarction	23.1% (40)	18.4% (33)	1.25 (0.79-1.98)	13.4% (100)	13.5% (100)	0.97 (0.73-1.27)	0.36
Death	16.9% (29)	9.0% (16)	1.91 (1.04-3.52)	5.9% (44)	4.9% (36)	1.19 (0.77-1.85)	0.22
Cardiac	8.3% (14)	6.2% (11)	1.34 (0.61-2.94)	3.5% (26)	3.0% (22)	1.15 (0.65-2.04)	0.77
Non-cardiac	9.2% (15)	2.9% (5)	3.15 (1.15-8.68)	2.5% (18)	2.0% (14)	1.25 (0.62-2.52)	0.14
Stroke	3.1% (5)	4.0% (7)	0.75 (0.24-2.36)	2.2% (16)	2.8% (20)	0.78 (0.40-1.50)	0.95
Myocardial infarction	9.5% (16)	8.4% (15)	1.11 (0.55-2.24)	7.7% (57)	8.3% (61)	0.91 (0.63-1.30)	0.62
Death, stroke, myocardial infarction, or IDR	27.2% (47)	21.2% (38)	1.28 (0.84-1.97)	21.8% (163)	18.0% (133)	1.20 (0.95-1.50)	0.77
IDR	10.9% (18)	6.4% (11)	1.74 (0.82-3.68)	13.0% (95)	7.5% (54)	1.75 (1.25-2.44)	0.96
Stent thrombosis, definite or probable	2.3% (4)	—	—	1.2% (9)	—	—	—
Graft occlusion, symptomatic	—	4.5% (8)	—	—	5.4% (39)	—	—
Definite stent thrombosis or symptomatic graft occlusion	0.6% (1)	4.5% (8)	0.13 (0.02-1.03)	0.8% (6)	5.4% (39)	0.15 (0.06-0.35)	0.91
TIMI major or minor bleeding	8.3% (14)	13.8% (25)	0.57 (0.29-1.09)	4.8% (36)	9.0% (67)	0.52 (0.35-0.78)	0.80

Values are Kaplan-Meier estimate (number of events). CABG = coronary artery bypass graft; CI = confidence interval; CKD = chronic kidney disease; IDR = ischemia-driven revascularization; PCI = percutaneous coronary intervention; TIMI = Thrombolysis in Myocardial Infarction.

TABLE 6. Acute renal failure at 30 days in patients with or without CKD undergoing PCI versus CABG.

	Chronic Kidney Disease (n = 361)			No Chronic Kidney Disease (n = 1508)			P _{interaction}
	PCI (n = 177)	CABG (n = 184)	Hazard Ratio (95% CI)	PCI (n = 757)	CABG (n = 751)	Hazard Ratio (95% CI)	
Acute renal failure†	2.3% (4)	7.6% (14)	0.28 (0.09-0.87)	0.3% (2)	1.3% (10)	0.20 (0.04-0.90)	0.71
New requirement for dialysis	1.1% (2)	5.4% (10)	0.20 (0.04-0.92)	0.1% (1)	0.5% (4)	0.25 (0.03-2.22)	0.87
Hemodialysis	0.6% (1)	2.7% (5)	0.20 (0.02-1.76)	0.1% (1)*	0.4% (3)	0.33 (0.03-3.18)	0.76
CVVH	0.6% (1)	2.7% (5)	0.20 (0.02-1.76)	0.1% (1)*	0.1% (1)	0.99 (0.06-15.89)	0.38

†Defined as the rise in serum creatinine >5 mg/dL or a new requirement for dialysis. *One patient in the no chronic kidney disease group had both CVVH and hemodialysis. CVVH: Continuous veno-venous hemofiltration.