Short Course High-dose Liposomal Amphotericin B for HIV-associated Cryptococcal

Meningitis: A phase-II Randomized Controlled Trial

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Summary: This phase-II randomized trial showed that single high-dose (10mg/kg) Liposomal

Amphotericin B (L-AmB) treatment was well tolerated and non-inferior in terms of fungal clearance

to standard 14-day L-AmB (3mg/kg) treatment in patients with HIV-associated cryptococcal

meningitis.

**Abstract** 

Background: Cryptococcal meningitis (CM) causes 10-20% of HIV-related deaths in Africa. We

performed a phase-II non-inferiority trial examining the Early Fungicidal Activity (EFA) of three

short-course, high-dose liposomal amphotericin B (L-AmB) regimens for CM in Tanzania and

Botswana.

Method: HIV-infected adults with CM were randomized to: (i) L-AmB 10mg/kg day 1 (single dose);

(ii) L-AmB 10mg/kg day 1, 5mg/kg day 3 (two doses); (iii) L-AmB 10mg/kg day 1, 5 mg/kg days 3

and 7 (three doses); (iv) standard 14-day L-AmB 3mg/kg/day (control); all given with fluconazole

1200mg/day for 14 days. Primary endpoint was mean rate of clearance of cerebrospinal fluid (CSF)

cryptococal infection (EFA). Non-inferiority was defined as an upper limit of the two-sided 95%

confidence interval (CI) of difference in EFA between intervention and control less than 0.2

 $log_{10} CFU/ml/day. \\$ 

Results: 80 participants were enrolled. EFA for daily L-AmB was -0.41 (standard deviation 0.11,

n=17) log<sub>10</sub>CFU/mL/day. Difference in mean EFA from control was -0.11 (95%CI -0.29,0.07)

 $log_{10}CFU/mL/day$  faster with single dose (n=16); -0.05 (95%CI -0.20,0.10)  $log_{10}CFU/mL/day$  faster

with two doses (n=18); and -0.13 (95%CI -0.35,0.09) log<sub>10</sub>CFU/mL/day faster with three doses

(n=18). EFA in all short-course arms was non-inferior to control at the predefined non-inferiority

margin. Overall 10-week mortality was 29% (n=23) with no statistical difference between arms. All

arms were well tolerated.

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Conclusions: Single dose 10mg/kg L-AmB was well tolerated and led to non-inferior EFA compared

to 14 days of 3mg/kg/d L-AmB in HIV-associated CM. Induction based on single 10mg/kg L-AmB

dose is being taken forward to a phase-III clinical endpoint trial.

Keywords: Cryptococcal meningitis, HIV, Ambisome, amphotericin, randomized clinical trial

Introduction

Early mortality in HIV treatment programmes in low-resource settings is considerably higher than in

high-income countries[1-4]. Up to 20% of these deaths are directly attributable to cryptococcal

meningitis (CM)[2, 5, 6], which was estimated to cause 181,100 deaths globally in 2014[6]. The poor

outcomes reported using currently available antifungal therapy are a critical driver of this high CM-

related mortality. Mortality using amphotericin B deoxycholate-based therapy in low-resource

settings, even in clinical trials, remains in the region of 35-45% at 10 weeks[7-10]. Recommended

amphotericin B deoxycholate-based therapy requires hospitalization for at least 14 days, and its

toxicity profile requires costly laboratory monitoring[11]. In most resource limited settings, the lack

of access to reliable laboratory monitoring, limited nursing capacity, and inadequate funding, means

that amphotericin B deoxycholate is not routinely available. As a consequence oral fluconazole

monotherapy is widely used but even at a high dose of up to 1200mg/day it is much less rapidly

fungicidal than amphotericin B and mortality at 10 weeks is around 60%[12, 13]. New treatment

strategies are urgently needed.

Liposomal amphotericin B (L-AmB) has lower rates of drug induced toxicities than AmB

deoxycholate[14]. Although L-AmB is recommended as treatment for HIV-associated CM in several

national guidelines[15, 16], optimal regimens are unknown. The long tissue half-life and effective

penetration into the brain tissue suggest it may be possible to deliver effective treatment with very

short courses of high-dose L-AmB[17, 18]. Pharmacokinetic data from animal models and humans

suggest that increasing L-AmB dosing from the currently recommended 3-4mg/kg may lead to

improved outcomes, and that very short course regimens may be as effective as daily therapy[17, 19].

The concept of single or intermittent dose L-AmB therapy has been tested in prophylaxis for

haematology patients, with single doses of up to 15mg/kg given without significant toxicities[20-22],

and is established in treatment of visceral leishmaniasis where single doses of 10mg/kg are routinely

given and have been shown to be efficacious[23].

The strategy of short-course, high dosing of L-AmB for HIV-associated CM has not been previously

tested in a clinical trial. We performed an open label phase II randomized non-inferiority trial to

compare alternative short course L-AmB regimens for the treatment of HIV-associated CM. Our aim

was to determine which, if any, of the three alternative schedules of intermittent high-dose L-AmB

could be adopted for the development of a phase III randomized controlled clinical endpoint trial. We

measured the effects on early fungicidal activity (EFA), which is associated closely with all-cause

mortality[9, 24, 25].

**Materials and Methods** 

The trial protocol has previously been published in full[26]. The study was carried out at Princess

Marina Hospital, Gaborone, Botswana and Bugando Medical Centre and Sekou Toure Hospital,

Mwanza, Tanzania. The study was approved by the Research Ethics Committees of the London

School of Hygiene and Tropical Medicine, the University of Pennsylvania, the Botswana Ministry of

Health (HRDC) and the National Institute of Medical Research (NIMR) Tanzania. The study was

conducted in accordance with the principles of International Conference of Harmonisation (ICH)

good clinical practice (GCP) and was prospectively registered on the International Standard

Randomized Controlled Trial Register (ISRCTN 10248064).

Participants and procedures

Between October 2014 and September 2016 sequential HIV-infected adults  $\geq$  18 years with a first

episode of CM, diagnosed by cerebrospinal fluid (CSF) India ink or cryptococcal antigen (CrAg)

lateral flow assay (IMMY, Norman, Oklahoma, USA), were screened for enrolment in the trial.

Pregnant or lactating patients, patients with a previous serious reaction to study drugs, or patients on

antifungal treatment for more then 48 hours were excluded. Patients who were both ART naïve and

ART exposed were recruited. Written informed consent was obtained from participants, or in the case

of mental obtundation, from a guardian or person with legal responsibility. Patients with mental

obtundation were re-consented on recovery.

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Patients were block randomized individually to one of four treatment groups by means of random

computer generated lists with an allocation ratio of 1:1:1:1 and block sizes of 8. Randomizations lists

were created by an independent statistician who prepared sealed envelopes in advance that were sent

to the sites. Trial pharmacists were responsible for radomization at each site. Randomization was

stratified by abnormal mental status (Glasgow Coma Scale (GCS) of 15 or <15) and ART status on

admission at each site. The patients and clinical trial team were not blinded. Laboratory staff

performing quantitative fungal cultures were blinded to treatment allocation.

The four treatment arms were 1) L-AmB (AmBisome, Gilead Sciences Inc.) 10mg/kg day one (single

dose); 2) L-AmB 10mg/kg day one and 5mg/kg day three (two doses); 3) L-AmB 10mg/kg day one,

5mg/kg days three and seven (three doses); and 4) L-AmB 3mg/kg/day for 14 days (control). L-AmB

was given by intravenous infusion over two hours. All patients also received 1200mg/day oral

fluconazole (Diflucan, Pfizer or Medopharm Fluconazole) for the first two weeks. Unless

contraindicated all patients received one litre of 0.9% normal saline with 20 mmol of KCl prior to L-

AmB to minimise nephrotoxicity and were routinely given oral potassium (16 mmol KCl twice daily)

and magnesium (11 mmol Mg<sup>2+</sup> once daily) supplementation and daily trimethoprim-

sulfamethoxazole prophylaxis. After the two week induction phase patients received fluconazole

800mg/day until 10 weeks and 200mg/day thereafter. ART consisting of tenofovir, emtricitabine, and

efavirenz was commenced four to six weeks after initiation of antifungal therapy in individuals not

already on ART.

Evaluations and outcomes

At baseline patients underwent a lumbar puncture (LP) for opening pressure, cell count and

differential, protein, glucose, India Ink, CrAg, quantitative fungal culture and routine bacterial culture.

LPs for opening pressure measurements and CSF samples for quantitatative fungal culture, were

repeated on treatment days 3, 7 and 14. Patients with a CSF opening pressure greater than 30cm H<sub>2</sub>O

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or symptoms of raised intracranial pressure underwent daily LPs to remove CSF in accordance with

guidelines[15]. Quantitative cryptococcal cultures were plated in serial ten-fold dilution and the

dilution with the least colonies, but at least 30 colony forming units (CFUs) per 200 µL, was used to

calculate CFU/mL quantitative cryptococcal cultures results, as previously described[24]. A linear

regression of log<sub>10</sub> CFU/mL against time was calculated for each patient. All data points were

analysed except sterile cultures in the second week if these values lessened the slope, as sterility

would have been achieved before that day's LP and using the second week value would therefore

underestimate the true slope[9, 24].

All participants had baseline blood tests including full blood count, urea, creatinine, electrolytes,

alanine transaminase (ALT), HIV test (if status unknown), and CD4 count. During the two week

induction phase patients underwent alternate day renal function and electrolyte assessment and twice

weekly monitoring of FBC and ALT. Clinical and laboratory adverse events were graded using the

NIH DAIDS Toxicity Table[27]. Clinical response was monitored daily for the first two weeks or

until discharge (whichever was later) then in a follow-up clinic 3, 4, 6, and 10 weeks after starting

therapy.

The primary outcome measure was the mean rate of decrease in CSF cryptococcal CFU, also known

as Early Fungicidal Activity (EFA) of each L-AmB treatment arm. Secondary outcome measures

were mortality at two and ten weeks; proportion of patients in each treatment arm suffering clinical

and laboratory-defined grade III/IV adverse events; and median percentage change from baseline in

laboratory defined parameters.

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## Statistical analysis

Using a non-inferiority design, assuming an EFA of 0.50 log<sub>10</sub> CFU/mL/day with a standard deviation of 0.25 log<sub>10</sub> CFU/mL/day in the standard daily dosing arm, with a pre-specified acceptable delta of 0.2 log<sub>10</sub> CFU/mL/day, one-sided alpha of 0.025 and 90% power, gave a sample size of 33 patients per arm. The pre-specified delta of 0.2 log<sub>10</sub> CFU/mL/day was selected on the basis of prior evidence showing increased mortality once EFA falls below 0.3 log<sub>10</sub> CFU/mL/day (i.e. the projected 0.50 log<sub>10</sub> CFU/mL/day in the control arm minus pre-specified delta of 0.2 log<sub>10</sub> CFU/mL/day)[26]. A sample size of 40 patients per arm (160 patients in total) was planned to allow for patients who died prior to obtaining EFA measurement. An interim analysis was planned after 80 participants were randomized in the study. The primary analysis was based on the intention-to-treat (ITT) population. Patients who died before having a repeat LP on day three or those with a negative baseline culture could not have an EFA calculated and were therefore not included the EFA analysis, but were analysed for secondary endpoints. Linear regression models were used with the mean rate of decrease in log<sub>10</sub> CSF cryptococcal CFU (EFA) being the dependent variable and the treatment groups (using the control group as a comparator) the primary independent variables. The short-course L-AmB groups were compared to the control arm for non-inferiority using the pre-specified delta of 0.2 log<sub>10</sub> CFU/mL/day. Statistical significance was defined as p <0.05. Following an unadjusted EFA analysis, adjusted analysis was performed including covariates that may determine outcomes (baseline fungal burden, CD4 cell count, abnormal mental status, sex, age, and ART status) giving summary differences with 95% confidence intervals (CIs). Grade III and IV adverse events were tabulated by study arm, and the overall number of adverse events compared using the chi-squared test. The proportion of patients experiencing grade III and IV anaemia, renal impairment, and hypokalaemia during 2-week induction treatment was compared across study arms using the chi-squared test. Mean change in haemoglobin and percentage change in creatinine during 2-week induction therapy were compared across study arms using analysis of variance (ANOVA) analysis and chi-squared testing respectively. Mortality was compared across groups using chi-squared testing. Data were analysed using Stata, version 13 (StataCorp, College Station, TX).

Role of the funding source

The study was funded through a Gilead Investigator Initiated Award (IN-EU-131-D036). The funding

source and drug manufacturers had no involvement in the study design, in the collection, analysis and

interpretation of data, in the preparation of manuscripts, or the decison to submit this paper for

publication. The authors had full access to all study data and had final responsibility for the decision

to submit for publication.

**Results** 

The study was stopped on the recommendation of the independent Data Monitoring Committee

(DMC) at the pre-planned interim analysis as the primary objective had been achieved, with non-

inferiority achieved in all three study arms at both the pre-defined 95% confidence level and the

stringent 99% confidence level, and no safety concerns with short-course treatment, with the

recommendation that the trial proceed onto the clinical endpoint phase III trial. At the time of

stopping, 134 patients had been screened for the trial. Fifty four patients were excluded (Figure 1),

and 80 patients enrolled and randomized to one of the four treatment groups: 18 to single dose, 20 to

two doses, 21 to three doses, and 21 to control. One patient was excluded after randomization after it

emerged they had been treated for a previous episode of CM; thus 79 patients completed the study,

with no loss to follow-up during the initial two-week induction phase. All participants received the

treatment as per the randomization arm. One patient was lost to follow-up between two and ten

weeks. Baseline clinical and laboratory characteristics were well balanced between treatment groups

(Table 1). Thirty two percent of patients (25) were on ART at presentation with CM, the median

baseline CD4 count was 32 cells/µL, and 28% (22) had a GCS <15.

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**Primary Outcome** 

EFA was calculated for 69 patients (17 in the control group, 16 in the single dose group, 18 in the two

dose group, and 18 in the three dose group). Five patients died prior to follow-up LP and 5 patients

had negative baseline cultures precluding EFA calculation. All the short course, high-dose arms of L-

AmB were non-inferior in terms of EFA to 14 days of standard dose L-AmB at the pre-defined non-

inferiority margin of 0.2 log<sub>10</sub>CFU/mL/day (Figure 2a). The mean (SD) EFA was -0.41 (0.11)

log<sub>10</sub>CFU/mL/day with standard treatment (control), -0.52 (0.35) log<sub>10</sub>CFU/mL/day with single dose

L-AmB, -0.47 (0.29) log<sub>10</sub>CFU/mL/day with two doses, and -0.54 (0.44) log<sub>10</sub>CFU/mL/day with three

doses. The difference in mean EFA between single dose and control was -0.11 (95% CI -0.29 to 0.07)

log<sub>10</sub>CFU/mL/day; between two doses and control was -0.05 (95% CI -0.20 to 0.10)

log<sub>10</sub>CFU/mL/day; and between three doses and control was -0.13 (95% CI -0.35 to 0.09)

log<sub>10</sub>CFU/mL/day. There was no evidence for any dose response effect with additional L-AmB doses,

suggesting maximal fungicidal activity was achieved with a single 10mg/kg dose. This remained the

case when the analysis was adjusted for factors that have previously been shown to affect EFA (CSF

fungal burden and CD4 count), abnormal mental status, and also sex, age and ART status (Figure 2c).

**Mortality** 

Overall all-cause mortality rates were 15% (12/79) at two weeks and 29% (23/79) at ten weeks, with

no significant difference between treatment arms. Two-week mortality was 10% (2/21) in the control

arm, 11% (2/18) in the single dose arm, 15% (3/20) in the two dose arm, and 25% (5/20) in the three

dose arm (p = 0.52). At ten weeks mortality was 29% (6/21) in the control arm, 22% (4/18) in the

single dose arm, 15% (3/20) in the two dose arm, and 50% (10/20) in the three dose arm (p = 0.09)

(Table 2). Mortality at ten weeks was associated with abnormal mental status at baseline in

univariable analysis (OR 3.75, 95% CI 1.3-10.7), but not with baseline fungal burden, baseline CD4

count, or ART status. The mortality difference between the single dose and control arms was 6.4%

(95% CI -21% to 34%).

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Safety

There were no safety concerns with short-course treatment in terms of fungal clearance and no

patients receiving short course L-AmB required additional "rescue" L-AmB therapy. The three high-

dose short-course L-AmB regimens were all well tolerated. Eighty eight grade III and above adverse

events (AEs) occurred in 47 patients: 45 grade III and 43 grade IV/V AEs, with no significant

differences observed between treatment arms (Table 3). Of these, 49 were clinical, and 39 laboratory

AEs. There were ten grade III and two grade IV AEs which were attributed to treatment with L-AmB,

all of which were expected L-AmB related side effects (3 grade III hypokalemia, 1 grade IV

hypokalemia, 1 grade III hypomagnasemia, 4 grade III creatinine rises, 1 grade III and 1 grade IV

anaemia, 1 grade IV hyponatraemia). Both grade IV L-AmB related events occured in the control

group. During induction therapy grade III and IV anaemia occurred in 6% (5) and 1% (1) overall,

renal impairment in 5% (4) and 1% (1) overall, and hypokalaemia in 1% (1) and 1% (1) overall, with

no significant differences between treatment arms (Table 2).

Eleven trial participants were readmitted to hospital during the 10-week follow-up period, at a median

of 41 days (IQR 25-55 days), including 4 in the control arm, 4 in the single dose arm, none in the two

dose arm, and three in the three dose arm. Cryptococcal immune reconstitution inflammatory

syndrome (IRIS) was suspected or diagnosed in 5 patients (11%) of the 45 patients initiating ART, 2

of whom died, with no significant differences between study arms.

**Discussion** 

The use of a single 10mg/kg dose of L-AmB was non-inferior to standard 3mg/kg daily dosing for 14

days in reducing CSF cryptococcal burden in patients with a first episode of HIV-associated

cryptococcal meningitis. These findings are consistent with previous human and animal studies

demonstrating that shorter courses of amphotericin based treatment may be better tolerated and as

effective as conventional 14 day courses[13, 17, 28-30].

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High dosages of liposomal amphotericin B were well tolerated, and the safety profile of all liposomal

amphotericin B regimens tested compared favourably to data from prior clinical trials using

conventional amphotericin B deoxycholate in similar patient populations, both in terms of mortality at

10 weeks and drug induced toxicities[9, 11]. Overall rates of adverse events associated to L-AmB

were very low, with just one patient (1%) developing grade IV anaemia during induction therapy (in

the control arm), compared to 18% of a historic cohort of 368 CM patients receiving amphotericin B

deoxycholate treatment and an identical pre-hydration and electrolyte supplementation regimen to that

used in the current trial[11]. The median fall in haemoglobin during the first two weeks of treatment

was 0.9 g/dL, compared to 2.3 g/dL in the previous cohort of amphotericin B deoxycholate treated

patients[11], and there was a median increase in creatinine of 14% over the initial two weeks,

compared to 73% in the amphotericin B deoxycholate treated cohort[11]. There were no grade IV

adverse events attributed to high dose L-AmB during the trial. Rates of recurrence of CM symptoms

and IRIS were low, with suspected IRIS events occurring in 11% of individuals initiated on ART

during the trial.

Based on these phase-II results, single dose 10mg/kg L-AmB is being taken forward to a phase-III

clinical endpoint trial (ISRCTN 72509687). Given the correlation between EFA and clinical

outcome[9, 25], the rapid EFA seen with single 10mg/kg doses of L-AmB should result in a clinically

efficacious alternative treatment for CM. The ten-week mortality rate of 22% with the single dose

10mg/kg L-AmB selected for study in the phase III trial, and the overall mortality rate of 29% in the

trial, compare favourably with mortality rates of approximately 40% seen in recent large clinical trials

of 2-week amphotericin B deoxycholate based treatment[7-9]. Notably, these mortality rates were in

the context of fluconazole as a second antifungal agent. The addition to high dose L-AmB of a more

efficacious agent such as flucytosine, which has been proven to be superior to fluconazole in the

recent ACTA trial[30], may enable a further reduction in mortality rates.

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The current phase-II study was not powered to detect a mortality difference, as shown by the wide

95% confidence intervals around the mortality difference, and as expected no significant difference in

mortality between the four L-AmB treatment arms was seen. The higher mortality rate in the three-

dose arm were likely due to chance alone, with 40% (4) of the deaths occurring prior to receipt of the

third dose of L-AmB.

In conclusion, we have demonstrated that a single 10mg/kg dose of liposomal amphotericin B

given in combination with high dose fluconazole is non-inferior to daily dosed liposomal

amphotericin B at the standard dose of 3mg/kg plus high dose fluconazole in terms of rate of

fungal clearance in patients with HIV-associated cryptococcal meningitis. This short-course

treatment strategy is now being tested against amphotericin B deoxycholate in a clinical

endpoint trial. If confirmed to be effective, single high dosages of liposomal amphotericin B

given with an optimised oral antifungal medication backbone would provide a feasible, well

tolerated, and sustainable treatment regimen for HIV-associated CM in resource limited

settings where the safe administration of amphotericin B deoxycholate treatment is not

possible. Reductions in the need for toxicity monitoring, fewer drug related adverse events,

and the potential for shorter periods of hospitalisation are likely to mean that a single high

dose L-AmB treatment strategy is cost effective, and a highly favourable alternative to the

current standard of care.

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**Author's contributions** 

JNJ and TSH conceptualized and designed the study, supervised implementation, analysed the data,

and drafted the final manuscript. TBL, AAC, GB, MM, RKKP, and MWT implemented the study. KT

and NL were the research nurses, CM implemented the laboratory aspects of the trial, and NM was

the study pharmacist. JK and JC supervised implementation. DL drafted the initial manuscript. WH

assisted with conceptualized and designed of the study and critically reviewed the manuscript. SM

was the trial manager, assisted with study design, supervised implementation and data management,

and helped draft the final manuscript. All authors reviewed and approved the final manuscript.

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**Declaration of Interests** 

JNJ and TH were recipients of a Gilead Investigator award. TH declares consultancy fees from

Viamet, lecture fees from Pfizer and Gilead sciences, and money from Immuno-Mycologics.. WH

holds or has recently held research grants with F2G, AiCuris, Astellas Pharma, Spero Therapeutics,

Matinas Biosciences, Antabio, Amplyx, Allecra, Auspherix and Pfizer. He holds awards from the

National Institutes of Health, Medical Research Council, National Institute of Health Research, and

the European Commission (FP7 and IMI). WH has also received personal fees in his capacity as a

consultant for F2G, Amplyx, Ausperix, Spero Therapeutics, Medicines Company, Gilead and Basilea.

WH is Medical Guideline Director for the European Society of Clinical Microbiology and Infectious

Diseases, and an Ordinary Council Member for the British Society of Antimicrobial Chemotherapy.

GB declares consultancy fees from Pfizer, grants and travel expenses from NIH, and lecture payments

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**Figure Legends** 

Figure 1: Consort Diagram

Figure 2: Early Fungicidal Activity (EFA) by treatment group (log<sub>10</sub>CFU/mL/day). (A): Difference in

mean EFA between intervention arms and control. All 3 short-course treatment arms were non-

inferior to control. (B) Individual patient slopes over the initial 14 days of treatment. The mean slope

(standard deviation) is given below each plot. Sterile cultures in the second week that lessened the

21

slope and were excluded from EFA calculation as sterility would have been achieved before that

day's LP are shown in the dotted grey line. (C) Adjusted difference in mean EFA between

intervention arms and control. All 3 short-course treatment arms remained non-inferior to control

when adjusted for i) baseline fungal burden (QCC); ii) baseline CD4 count; iii) baseline mental status;

iv) QCC and CD4 count; v) QCC, CD4 count and mental status and vi) QCC, CD4 count, mental

status, sex, age, and ART status.

Table 1: Baseline Characteristics of Trial Participants

	All	Control	Single Dose	Two Doses	Three Doses
	(n=79)	(n=21)	L-AmB	L-AmB	L-AmB
			(n=18)	(n=20)	(n=20)
Age, years  Median (IQR)	38 (32-43)	39 (34-46)	37 (32-40)	37 (30-43)	38 (33-43)
Sex % male (n)	54% (43)	57% (12)	67% (12)	50% (10)	45% (9)
Weight, kg Median (IQR)	52 (45-61)	52 (48-65)	52 (43-65)	52 (45-55)	56 (45-68)
On ART % on ART at presentation (n)	32% (25)	38% (8)	22% (4)	35% (7)	30% (6)
Currently on TB Treatment % (n)	11% (9)	14% (3)	11% (2)	15% (3)	5% (1)
<b>CD4 count,</b> cells/μL  Median (IQR)*	32 (8-58)	24 (5-69)	31 (12-51)	32 (10-50)	32 (16-84)
Symptom duration in days  Median (IQR)	14 (7-16)	14 (4-16)	14 (7-21)	9 (7-21)	8 (7-14)
Glasgow Coma Score < 15	28% (22)	29% (6)	28% (5)	25% (5)	30% (6)

% (n)

CSF opening pressure cm $H_20$ Median (IQR)	25 (16-36)	22 (17-31)	22 (16-29)	32 (13-38)	27 (18-55)
CSF WCC cells/μL  Median (IQR)*	12 (5-64)	10 (5-138)	15 (4-40)	15 (5-64)	13 (3-70)
CSF Fungal Burden $log_{10}CFU/ml$ Median (IQR)*	5.0 (3.7-5.8)	4.9 (2.7-5.6)	5.2 (3.2-6.0)	5.3 (4.2-5.5)	5.0 (3.9-5.9)
Hemoglobin (g/dl)  Median (IQR)	11 (9.5-12.6)	11.2 (9.5- 12.5)	10.6 (9.5- 12)	10.4(9.6- 13.5)	11.7 (10.1- 12.3)
Creatinine (umol/L)  Median (IQR)	63 (58-89)	73 (59-103)	69 (59-89)	62 (57-75)	62 (55-95)

<sup>\*5</sup> patients were missing baseline CD4 counts, 5 patients were missing CSF white cell counts, and a single individual was mising baseline QCC. All other data were complete for all participants.

All patients were of black African ethnicity.

L-AmB: Liposomal amphotericin B.

Table 2: Primary and Key Secondary Outcomes

	All	Control	Single Dose	Two Doses	Three Doses	P-value
			L-AmB	L-AmB	L-AmB	
Early	-0.49	-0.41	-0.52	-0.47	-0.54	0.64
Fungicidal Activity log <sub>10</sub> CFU/ml/day (mean, 95% CI)	(-0.56, - 0.41)  n=69*	(-0.47, -0.36) n=17	(71, -0.33) n=16	(-0.6, -0.32) n=18	(-0.76, -0.33) n=18	
Mean difference in EFA versus control log <sub>10</sub> CFU/ml/day (mean, 95% CI)			-0.11 (-0.29, 0.07)	-0.05 (-0.20, 0.10)	-0.13 (-0.35, 0.09)	†
2 week mortality, % (n)	15% (12/79)	10% (2/21)	11% (2/18)	15% (3/20)	25% (5/20)	0.52
10 week mortality, % (n)	29% (23/79)	29% (6/21)	22% (4/18)	15% (3/20)	50% (10/20)	0.09
Grade 3 AEs Durin	ng Induction The	rapy (days 1 – 14)	), % (n)			
Anaemia	6% (5)	0% (0)	11% (2)	15% (3)	0% (0)	0.11
Renal impairment	5% (4)	0% (0)	6% (1)	0% (0)	15% (3)	0.10

Hypokalemia	1% (1)	0% (0)	0% (0)	5% (1)	0% (0)	0.39
Grade 4 AEs Dur	ing Induction Ti	herapy (days 1 – 1	14), % (n)			
Anaemia	1% (1)	5% (1)	0% (0)	0% (0)	0% (0)	0.42
Renal impairment	1% (1)	0% (0)	0% (0)	0% (0)	5% (1)	0.39
Hypokalemia	1% (1)	5% (1)	0% (0)	0% (0)	0% (0)	0.42
Mean Change fro	om Baseline to D	Pay 14				
Haemoglobin g/dL (mean, 95% CI)	0.9 (0.5, 1.4)	1.2 (0.1, 2.3)	0.8 (-0.1, 1.7)	0.3 (-0.6, 1.3)	1.4 (0.5, 2.2)	0.39
Creatinine % (mean, 95% CI)	(3, 24%)	17%	13% (-9, 35%)	24% (6, 42%)	-2% (-22, 18%)	0.29

\*Individuals who die prior to the day 3 LP or who were culture negative at baseline do not have EFA value. Overall 5 patients died prior to follow-up LP (1 control, 1 single dose, 1 two dose, 2 three dose) and 5 patients had negative baseline cultures (3 controls, 1 single dose, 1 2 dose).

†All three study arms were non inferior to control at the pre-defined non-inferiority margin of 0.2 log<sub>10</sub>CFU/ml/day. The respective 99% confidence intervals for the difference in mean EFA between single dose and control was -0.11 (99% CI -0.35 to 0.14) log<sub>10</sub>CFU/mL/day; between two doses and control -0.05 (99% CI -0.26 to 0.16) log10CFU/mL/day; and between three doses and control was -0.13 (95% CI -0.42 to 0.17) log<sub>10</sub>CFU/mL/day. Using this more stringent cut-off, all three study arms remained non inferior to control at the pre-defined non-inferiority margin of 0.2 log<sub>10</sub>CFU/ml/day.

L-AmB: Liposomal amphotericin B.

Table 3: Adverse Events and Readmissions During 10-week Follow-up

	All	Control	Single Dose	Two Doses	Three Doses	P-value
			L-AmB	L-AmB	L-AmB	
Overall						
All AEs	88*	19	29	14	26	0.50
Grade 3 AEs	45	7	18	9	11	-
Elevated creatinine		0	2	1	3	
Hypokalaemia		1	0	1	0	
Hypomannesaemia		1	0	0	0	
Hyponatraemia		2	4	1	0	
Elevated ALT		0	2	1	2	
Anaemia		0	2	1	0	
Neutropenia		1	2	2	0	
Prolonged initial hospitalisation		2	3	1	5	
Persistently raised ICP		0	1	1	0	
Other		0	Co- trimoxazole	0	Pneumonia	

## allergy

## Confusion

Grade 4 AEs	20	6	7	2	5	-
Elevated creatinine	ı	1	1	0	1	1
Hypokalaemia		1	0	0	0	
Hyponatraemia		2	0	0	1	
Hypernatraemia		0	1	0	0	
Elevated ALT		0	0	1	0	
Anaemia		1	0	0	0	
Neutropaenia		0	1	1	0	
Prolonged initial hospitalisation		0	1	0	1	
Recurrence of CM symptoms		1	1	0	2	
Other		0	Recurrent seizures Persistently raised ICP	0	0	
Grade 5 AEs (Deaths)	23	6	4	3	10	-

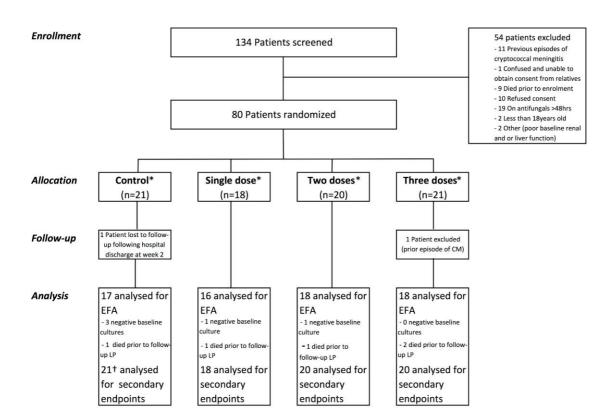
Adverse Events Related to Liposomal Amphotericin B Therapy†							
Grade 3 AEs	10	3	2	2	3	-	
Grade 4 AEs	2	2	0	0	0	-	
Readmissions and	Immune R	econstitutio	n Inflammatory	Syndrome			
Readmissions	11	4	4	0	3	0.48	
Possible IRIS	5	1	1	0	3	T	

<sup>\*47</sup> patients had at least 1 AE: 2 patients had 5 AEs, 2 patients had 4 AEs, 5 patients had 3 AEs, 7 patients had 2 AEs, 6 patients had 1 AE, 33 had no AEs. 28 patients had grade 3 AEs: 4 in the control arm, 11 in the single dose arm, 6 in the two dose arm, and 7 in the three dose arm. 15 patients had grade 4 AEs: 5 in the control arm, 5 in the single dose arm, 2 in the two dose arm, and 3 in the three dose arm.

†Related includes all AEs classified as possibly, probably, or definitely related to study drug.

L-AmB: Liposomal amphotericin B.

Figure 1.



<sup>\*</sup>All patients received their allocated intervention.No patients lost to follow-up during initial two-week follow-up

<sup>†</sup>The single patient lost to follow-up had full follow-up data until hopsital discharge at 2 weeks which were used in toxicity and mortality analyses.

Figure 2.

