

## Neutrophil activation and enhanced release of granule products in HIV-TB immune reconstitution inflammatory syndrome

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**Running Head:** Neutrophils in TB-IRIS

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## **Abstract**

**Background:** Tuberculosis Immune Reconstitution Inflammatory Syndrome (TB-IRIS) remains incompletely understood. Neutrophils are implicated in tuberculosis pathology but detailed investigations in TB-IRIS are lacking. We sought to further explore the biology of TB-IRIS and in particular the role of neutrophils.

**Setting:** Two observational, prospective cohort studies in HIV/TB co-infected patients starting antiretroviral therapy, one to analyze gene expression and subsequently one to explore neutrophil biology.

**Methods:** nCounter gene expression analysis was performed in TB-IRIS patients (n=17) versus antiretroviral-treated HIV/TB co-infected controls without IRIS (n=17) in Kampala, Uganda. Flow cytometry was performed in TB-IRIS patients (n=18) and controls (n=11) in Cape Town, South Africa to determine expression of neutrophil surface activation markers, intracellular cytokines and Human Neutrophil Peptides (HNP). Plasma neutrophil Elastase and HNP1-3 were quantified using ELISA. Lymph node immunohistochemistry was performed on three further TB-IRIS cases.

**Results:** There was a significant increase in gene expression of S100A9 (p=0.002), NLRP12 (p=0.018), COX-1 (p=0.025) and IL-10 (p=0.045) two weeks after ART initiation in Ugandan TB-IRIS patients versus controls, implicating neutrophil recruitment. IRIS patients in both cohorts demonstrated increases in blood neutrophil count, plasma HNP and elastase concentrations from ART initiation to week two. CD62L (L-selectin) expression on neutrophils increased over 4 weeks in South African controls while IRIS patients demonstrated the opposite. Intense staining for the neutrophil marker CD15 and IL-10 was seen in necrotic areas of TB-IRIS patients' lymph nodes.

**Conclusion:** Neutrophils in TB-IRIS are activated, recruited to sites of disease and release granule contents, contributing to pathology.

**Keywords:** Tuberculosis; HIV-1; neutrophils; immune reconstitution inflammatory syndrome; IRIS

## 1 **Introduction**

2 When patients with HIV-associated TB begin Antiretroviral Therapy (ART), approximately 18%  
3 develop Tuberculosis-associated Immune Reconstitution Inflammatory Syndrome (TB-IRIS) [1].  
4 TB-IRIS is an exaggerated immune response to *M. tuberculosis* (MTB) antigens associated with  
5 reconstitution of the immune system. It is characterized by excessive inflammatory responses  
6 and deterioration in clinical status [1, 2].

7  
8 According to the International Network for the Study of HIV associated IRIS (INSHI) case  
9 definitions, two forms of TB-IRIS exist: ‘paradoxical’ (clinical worsening of a patient on TB  
10 treatment after starting ART) and ‘unmasking’ (undiagnosed TB becoming apparent after  
11 starting ART) [3].

12 TB-IRIS has been associated with perturbations in both the adaptive and innate immune systems  
13 [4, 5]. These include increased secretion of neutrophil-associated mediators such as S100A8/A9  
14 and matrix metalloproteinases (MMPs) [6-8], perforin and granzyme B by CD4+ T cells [9],  
15 higher expression and imbalance of C1q and C1-inhibitor (complement system) [10], activation  
16 of monocytes [11], inflammasome and Toll-like receptor signaling [12, 13] as well as elevated  
17 chemokine and cytokine production [14-16] with a particular role for the IL-10 family [17].

18 Although rapid changes in CD4+ T cell count have long been associated with all forms of IRIS,  
19 recent research has focused on these latter phenomena of inflammasome activation and release of  
20 soluble mediators from innate cells [4, 12]. However, the clinical syndromes associated with TB-  
21 IRIS, especially suppurative lymphadenitis and abscess formation, implicate neutrophils as  
22 critical effector cells mobilized by these inflammatory signals.

23 To gain further understanding into the biology of TB-IRIS, we recruited and prospectively  
24 followed patients with HIV-associated tuberculosis (HIV+TB+) at risk of developing IRIS at two  
25 clinical sites, in Uganda and South Africa. First, we conducted an assessment of gene expression  
26 in putative pathways. On the basis of previous research summarized above, we chose to study the  
27 T-cell receptor, cytokine genes including the IL-10 pathway [17] and the inflammasome [12, 13].  
28 Subsequently, in a separate cohort, we performed functional assays chosen on the basis of genes  
29 that were over-expressed in IRIS patients versus controls: these experiments focused on  
30 neutrophils which, although implicated [6], have not been extensively studied before in TB-IRIS.

31

## 32 **Materials and Methods**

### 33 *Patient recruitment and study visits*

34 Cohort 1: Patients with a confirmed diagnosis of both HIV and TB, on TB treatment (for a  
35 median [IQR] of 40 [24-59] days) and who were eligible for ART initiation according to the July  
36 2008 Ugandan national treatment guidelines (CD4 count <250 cells/ $\mu$ L), were recruited in 2009  
37 at Mulago National Tuberculosis and Leprosy clinic and the Infectious Diseases Institute in  
38 Kampala for gene expression studies, as previously described [18]; see Supplementary Table 1.  
39 Patients were reviewed at week 0 (before ART initiation), week 2 and months 1-12 (after ART  
40 initiation). Patients who developed TB-IRIS (cases) were defined according to the INSHI clinical  
41 case definitions [3] and were matched by age (<10 years difference between patients), CD4 cell  
42 count before ART initiation (mean (SD) difference, 5.3 (6.8) cells/ $\mu$ L) and sex with those that  
43 did not develop TB-IRIS (non-IRIS controls). Sampling at the IRIS time-point was performed  
44 before patients received corticosteroids. All patients provided written informed consent. The  
45 Uganda National Council of Science and Technology, Makerere Faculty of Medicine Ethics

46 Committee (IRB-Makerere-05\_2007), Infectious Disease Scientific Review Committee,  
47 University of Antwerp Ethics Committee and the Institute of Tropical Medicine, Antwerp,  
48 Belgium (CME\_UZA\_7/29/157) approved the study.

49

50 Cohort 2: Recruitment of patients for neutrophil studies took place in Cape Town, South Africa  
51 as part of the longitudinal Tissue Destruction in Tuberculosis 2 (TDTB2) study (Supplementary  
52 Table 1, <http://links.lww.com/QAI/B91> ). Patients were recruited in 2013 at Ubuntu clinic, a  
53 primary care HIV treatment clinic in Site B, Khayelitsha. HIV-infected patients at high risk of  
54 developing TB-IRIS (CD4 count <200 cells/ $\mu$ L at enrolment) were followed up during anti-  
55 tuberculosis treatment and initiation of ART until twelve weeks post ART. Samples for  
56 neutrophil studies were collected at ART initiation (week 0), week two and week four of ART.  
57 TB-IRIS diagnosis was made retrospectively after week 12 by a consensus panel using the  
58 INSHI case definition; controls (non-IRIS) were those patients who were also sampled at ART  
59 initiation and Week 2 / Week 4 follow-up visits but did not develop the syndrome [3]. At the  
60 IRIS/week 2 time point, two TB-IRIS and one non-IRIS control were receiving corticosteroids.  
61 Ethical approval was obtained from the Faculty of Health Sciences Human Research Ethics  
62 Committee, University of Cape Town (HREC REF: 516/2011); all patients provided written  
63 informed consent.

64

65 Samples for detailed analysis were available from 34 patients in Cohort 1 (17 cases and 17  
66 controls) and 29 patients in Cohort 2 (18 cases and 11 controls). Supplementary Figure 1  
67 summarises the study design.

68 ***Sample collection and processing***

69 For Cohort 1, venous blood (30–40 ml) was collected in EDTA tubes (BD Pharmingen, Franklin  
70 Lakes, New Jersey, USA) at week 0 and week 2 after initiation of ART. Peripheral Blood  
71 Mononuclear Cells (PBMC) were isolated by Ficoll-Hypaque gradient centrifugation and  
72 cryopreserved for further processing (see below). For Cohort 2, blood samples (30–40 ml) were  
73 collected in sodium heparin vacutainers (BD Pharmingen) at weeks 0, 2 and 4 after initiation of  
74 ART and were processed for plasma generation within two hours of collection; an aliquot (1 ml)  
75 of blood was removed for functional assays as described below.

76  
77 ***nCounter gene expression analysis***

78 RNA was extracted from PBMC using standard techniques (Supplementary Methods,  
79 <http://links.lww.com/QAI/B91> ). ProbeSet sequences for the gene sets of interest (T-cell  
80 receptors, the inflammasome, IL-10 pathway and cytokines; 148 genes in total) are shown in  
81 Supplementary Table 2.

82  
83 ***Determination of neutrophil activation and degranulation***

84 We investigated neutrophil activation in whole blood by flow cytometry, measuring cell surface  
85 expression of CD11b, CD16, CD62L, CD66a,c,e [19] and IL-8RA. An aliquot of whole blood  
86 was stained on ice with CD11b-PE-Cy7, CD16-APC-H7, CD62L-FITC, CD66a,c,e-PE, IL-8  
87 RA-APC (BD Pharmingen) and viability dye (eFluor 450, eBiosciences; San Diego, California,  
88 USA or ViViD, Invitrogen; Carlsbad, California, USA). After washing, the stained sample was

89 fixed in 2% paraformaldehyde and acquired on a Becton Dickinson Fortessa flow cytometer (BD  
90 Biosciences). Data analysis was performed with FlowJo software (FlowJo 10.1r5, Tree Star,  
91 Ashland, OR) using the gating strategy in Supplementary Figure 2.

### 93 ***Determination of neutrophil elastase and Human Neutrophil Peptides (HNP1-3) in plasma***

94 Neutrophil elastase and Human Neutrophil Peptides (HNP1-3) plasma concentrations were  
95 quantified using ELISA according to the manufacturer's instructions (Hycult Biotech; Uden, The  
96 Netherlands). Assays were performed in duplicate. The sensitivity for neutrophil elastase was  
97 0.67 ng/ml and for HNP1-3 was 4.25 pg/ml. The elastase assay detects both free and complexed  
98 elastase.

### 99 ***Immunohistochemistry (IHC) staining of lymph nodes***

100 Patient selection, lymph node (LN) preparation and immunohistochemistry were carried out as  
101 previously described [20] and summarized in Supplementary Methods.

### 103 ***Statistical analysis***

104 Comparison between the two groups was performed using t tests (unpaired for IRIS vs non-IRIS  
105 comparisons, paired for within-group comparisons between ART initiation and later time points),  
106 the Mann-Whitney U test or Wilcoxon test for continuous variables and Fisher exact tests for  
107 categorical variables. Statistics were performed using GraphPad Prism Version 7.0 (La Jolla,  
108 California, USA) and Qlucore Omics explorer version 3.2. (Lund, Sweden) Significance was  
109 inferred below a two-tailed p-value of 0.05.

110 Gene expression analysis to identify discriminating transcripts between the groups (based on p-  
111 value <0.05 and q value (False Discovery Rate-adjusted p-value) <0.1) was performed using

112 Glucore Omics explorer and displayed on a heatmap. The IRIS (pink) and non-IRIS (blue)  
113 patients (columns) and genes (rows) were ordered using principal component analysis (PCA) and  
114 R statistic respectively. Gene expression at the week two time point on the heatmap was  
115 classified as high or low (relative to the entire cohort) if colored red and green respectively. A  
116 PCA plot, with the projection score and variance filtering set at 0.38 and 0.43 respectively, was  
117 used to detect strong signals within the data on gene transcript abundance. Principal Component  
118 Analysis identifies the major vectors ('components') which differentiate multi-parameter data  
119 sets. The genes were colored according to their R statistic with green and red if higher in non-  
120 IRIS controls or IRIS patients respectively, and the distance between individual genes reflects  
121 their correlation coefficient.

122

## 123 **Results**

### 124 *Patient characteristics*

125 Supplementary Table 1 summarizes demographic and basic laboratory data for both cohorts. At  
126 ART initiation, there were no statistical differences in patient characteristics between those who  
127 subsequently developed IRIS and those who did not. The median [IQR] time to IRIS  
128 presentation across both studies was 14 [10-15] days.

129

### 130 *RNA analysis reveals higher expression of genes implicated in neutrophilic inflammation in*

#### 131 *TB-IRIS patients compared to controls*

132 We used NanoString nCounter technology to ascertain gene expression in PBMC of IRIS and  
133 non-IRIS patients at the IRIS time-point (median of 14 days) or after 2 weeks of ART in  
134 controls. The nCounter gene expression values obtained were log 2 transformed pre-analysis to

135 normalize data as per standard transcriptomic analytical pathways; a false discovery rate (q-  
136 value) of 0.1 was applied to account for multiple comparisons. A heatmap to visualize the pattern  
137 of transcript abundance in IRIS patients and non-IRIS controls revealed over 70 discriminating  
138 transcripts with modest clustering of IRIS cases (pink) and non-IRIS controls (blue); there was  
139 generally lower gene expression (green) in the IRIS patients compared to the non-IRIS controls  
140 (Figure 1A). On the contrary, Cyclooxygenase-1 (COX-1), Interleukin-10 (IL-10), Nucleotide-  
141 binding domain, leucine rich repeat containing receptor (NLR) Family Pyrin Domain Containing  
142 12 (NLRP12 / Pypaf-7), and S100 calcium-binding protein A9 (S100A9) were significantly more  
143 abundant in the IRIS cases than in the non-IRIS controls at two weeks of ART.

144

145 PCA was then used to detect correlation patterns within the discriminating transcripts. The four  
146 genes (COX-1,  $\delta=0.96$ ,  $fc=1.9$ ,  $R=0.38$ ,  $p=0.025$ ,  $q=0.051$ ; IL-10,  $\delta=0.75$ ,  $fc=1.7$ ,  $R=0.35$ ,  
147  $p=0.045$ ,  $q=0.077$ ; NLRP12,  $\delta=1.27$ ,  $fc=2.4$ ,  $R=0.40$ ,  $p=0.018$ ,  $q=0.042$ ; and S100A9,  $\delta=1.10$ ,  
148  $fc=2.1$ ,  $R=0.52$ ,  $p=0.002$ ,  $q=0.018$ ) which were more abundant in IRIS cases versus non-IRIS  
149 controls clearly correlated with each other and separated from the other transcripts (Figure 1B).

150

151 Next, we quantitatively analyzed these four transcripts using the log<sub>2</sub> transformed nCounter gene  
152 expression values. As shown in Supplementary Figure 3, S100A9 expression significantly  
153 increased at the two-week time point in the IRIS patients (median log<sub>2</sub> expression, 16.07; IQR,  
154 15.15–16.35) from ART initiation (median, 14.59; IQR, 14.06–15.22) and was higher at 2 weeks  
155 compared to the controls (median, 15.05; IQR, 14.12–15.50;  $p=0.002$ ). NLRP-12 expression also  
156 significantly increased from ART initiation (median, 5.66; IQR, 4.12–6.77) to the two-week time  
157 point in TB-IRIS patients (median, 6.94; IQR, 6.23–7.68), when it was higher compared to the

158 controls (median, 6.15; IQR, 5.44–6.93; p=0.016). IL-10 significantly decreased in controls  
159 from ART initiation (median, 7.56; IQR, 6.42–7.73) to two weeks (median, 6.41; IQR, 5.38–  
160 7.02; p=0.005), and significantly greater IL-10 expression was seen in the IRIS cases (median,  
161 6.83; IQR, 6.33–8.02) versus controls (median, 6.41; IQR, 5.38–7.02; p=0.049) at two weeks.  
162 Significantly higher COX-1 expression was also seen in the IRIS group (median, 8.93; IQR,  
163 7.87–9.51) versus the non-IRIS controls (median, 7.94; IQR, 6.95–8.81; p=0.049) at the two-  
164 week time point.

165

#### 166 ***TB-IRIS is characterized by neutrophilia***

167 The most up-regulated gene in TB-IRIS identified in our expression analysis was S100A9, which  
168 is implicated in neutrophil accumulation in tuberculosis [21]. Similarly, NLRP12 (Pypaf-7) is  
169 crucial for neutrophil recruitment in other models of infection [22], including to the lungs [23],  
170 while (among its other actions) COX-1 generates eicosanoids which activate neutrophils [24].  
171 We have also shown that neutrophil markers strongly co-localise with IL-10 in human  
172 tuberculous granulomas [20]. Our gene expression data therefore suggested a role for neutrophils  
173 in TB-IRIS pathogenesis and we examined this in another patient cohort, subsequently recruited  
174 in Cape Town. Supplementary Table 1 details participant characteristics.

175

176 The IRIS cases in both cohorts demonstrated an increase in peripheral neutrophil counts from  
177 ART initiation to the IRIS time-point / week 2 (Cohort 1 median [IQR]  $1.77 [1.04–2.37] \times 10^9/L$   
178 to  $2.91 [2.29–5.56] \times 10^9/L$ , p=0.049, Figure 2A; Cohort 2 median [IQR]  $2.45 [1.48–4.00] \times 10^9/L$   
179 to  $5.00 [3.35–7.23] \times 10^9/L$ , p=0.001, Figure 2B). There were no changes in non-IRIS controls  
180 from ART initiation to two weeks. At two weeks, IRIS patients in Cohort 1 had significantly

181 higher neutrophil counts versus the controls (median [IQR] 2.91 [2.29–5.56]  $\times 10^9/L$ ) and median  
182 [IQR] 1.70 [0.97–2.52]  $\times 10^9/L$  respectively,  $p=0.003$ , Figure 2A).

183 There were no differences between IRIS patients and controls' total lymphocyte and monocyte  
184 counts at either baseline or at the two week / IRIS time point.

185

186 ***TB-IRIS patients demonstrate activation of neutrophils, as defined by surface marker***  
187 ***expression***

188 Neutrophil cell surface activation markers (CD11b, CD16, CD62L and CD66a,c,e) were  
189 analyzed in whole blood from a subset of patients in Cohort 2 (n=6 per group) using flow  
190 cytometry. There was a significant linear trend towards decreased expression of CD62L, as  
191 defined by median fluorescence intensity, on TB-IRIS patients' neutrophils over the first four  
192 weeks from ART initiation ( $p=0.014$ ), with a significant difference between neutrophil CD62L  
193 expression at ART initiation (mean, 3881; SD, 2746) versus four weeks (mean, 1229; SD, 483;  
194  $p=0.042$ ; Figure 3A). Significantly higher expression of CD62L was observed in non-IRIS  
195 controls (mean, 3422; SD, 1196) compared to TB-IRIS cases (mean, 1269; SD, 483;  $p=0.005$ ;  
196 Figure 3A) at week four, consistent with significantly increased CD62L expression on non-IRIS  
197 controls' neutrophils from ART initiation (mean, 1596; SD, 427) to two weeks (mean, 2387; SD,  
198 517;  $p=0.003$ ) and further to four weeks (mean, 3422; SD, 1196;  $p=0.009$ ; Figure 3A).

199 Supplementary Figure 2B presents representative CD62L MFI at the Week 2 / IRIS time point.

200

201 A similar pattern was seen for CD16 expression (Figure 3B) although comparisons did not reach  
202 statistical significance. Median fluorescence intensity of CD11b decreased in the control group  
203 from ART initiation (mean, 12130; SD, 4253) to Week 4 (mean, 5562; SD, 2584;  $p=0.047$ ;

204 Figure 3C) but no difference was seen in the IRIS group. No differences were seen in CD66a,c,e  
205 expression (Figure 3D), nor in IL-8 RA (data not shown).

206

207 ***TB-IRIS patients exhibit increased Neutrophil Elastase and Human Neutrophil Peptide 1-3***  
208 ***plasma concentrations***

209 Neutrophil elastase is implicated in inflammation and tissue damage [25], and we measured this  
210 marker in plasma samples from Cohort 2. Neutrophil elastase concentration increased  
211 significantly in TB-IRIS patients between ART initiation (median 154 ng/mL; IQR, 122.5–  
212 191.3) and week two (median 274 ng/mL; IQR, 228–324;  $p=0.0004$ ; Figure 4A). At two weeks  
213 after ART initiation, there was a significantly higher plasma neutrophil elastase concentration in  
214 TB-IRIS patients compared to non-IRIS controls (median, 274 ng/mL; IQR, 228–324 versus  
215 median, 175 ng/mL; IQR, 119–253  $p=0.005$ ; Figure 4A).

216

217 Analysis of plasma Human Neutrophil Peptide (HNP) 1-3 concentrations in Cohort 2 revealed an  
218 increase in TB-IRIS patients from ART initiation (median, 0 pg/mL; IQR, 0–1775) to the week  
219 two-time point (median, 2675 pg/mL; IQR, 990–11353;  $p=0.005$ ; Figure 4B). In Cohort 1,  
220 HNP1-3 concentrations also increased from week 0 (median, 7153 pg/mL; IQR, 5998–8896) to  
221 week two (median, 13821 pg/mL; IQR, 7271–22975;  $p=0.001$ ), when they were higher  
222 compared to controls (median, 7510 pg/mL; IQR, 6007–8751;  $p=0.038$ ; Figure 4C).

223

224 Analysis of a wider cohort recruited identically in Uganda confirmed significant differences in  
225 HNP concentration between TB-IRIS patients and non-IRIS controls at the IRIS time-point /  
226 Week 2, with resolution of these differences by later time points (Supplementary Figure 4,

227 <http://links.lww.com/QAI/B91> ).

228

229 ***Lymph node granulomas from IRIS patients show significant neutrophil infiltration and IL-***  
230 ***10 production.***

231 We proceeded to characterize neutrophil infiltration and accumulation in lymph nodes of TB-  
232 IRIS patients *in situ*, using immunohistochemistry. There was intense staining in the centre of the  
233 biopsies for the neutrophil marker CD15, correlating with areas of significant necrosis (Figure  
234 5). Lymph nodes from patients with TB-IRIS also stained strongly for IL-10, largely correlating  
235 with neutrophils, as previously shown [20].

236

237

## 238 **Discussion**

239 TB-IRIS immunopathogenesis remains incompletely defined and a lack of predictive markers  
240 makes its diagnosis and treatment complex. Given the temporal association of IRIS with  
241 reconstitution of CD4+ T lymphocyte numbers on antiretroviral therapy, many studies have  
242 focused on Th1 cells [26, 27]. However, TB-IRIS is not explained simply by a change in CD4  
243 numbers, and innate cells are also implicated in the syndrome [5, 12]. Neutrophils are  
244 increasingly recognised in tuberculosis pathology [28-30], as we have previously described in  
245 TB-meningitis IRIS [6], but they had not previously been studied in this detail.

246

247 We recruited HIV+TB+ patients at risk of developing IRIS (Cohort 1) and investigated transcript  
248 abundance of genes relating to inflammasome, T-cell receptor, cytokines and their receptors. The  
249 gene transcripts that were most abundant in IRIS patients versus non-IRIS controls, and clearly

250 discriminatory on a PCA plot, were S100A9, IL-10, NLRP-12 and COX-1. Increased expression  
251 of inflammasome and neutrophil-associated genes in TB-IRIS is consistent with previous results  
252 [12, 31], but the lower abundance of TCR-associated genes in TB-IRIS patients was unexpected  
253 and deserves further analysis. This may reflect poor reconstitution of normal T cell function in  
254 TB-IRIS and again supports the concept that the phenomenon is driven by innate inflammation  
255 without an orchestrated acquired immune response.

256

257 Among the more abundant transcripts, S100A9 contributes to inflammation in tuberculosis due  
258 to its role in neutrophil recruitment [6, 21, 32] and it has been proposed as a promising  
259 biomarker for TB diagnosis [33, 34]. NLRP-12 also plays an important role in neutrophil  
260 recruitment [22, 23]. We have reported increased levels of the IL-10 cytokine family in IRIS [17]  
261 and observed significant IL-10 staining in tuberculous granulomas where it associates with  
262 neutrophil markers and necrosis [20]. The source of IL-10 in TB-IRIS remains unclear, with  
263 conflicting data on whether regulatory T cell populations are expanded (reviewed in [4]). Again,  
264 it may be that innate cells are responsible for the production of immunosuppressive cytokines.  
265 Gene expression data therefore suggested a role of neutrophils in the development of TB-IRIS  
266 and we recruited a further cohort to perform neutrophil functional assays.

267

268 In both cohorts, we first demonstrated that patients meeting INSHI criteria for IRIS exhibited an  
269 increase in neutrophil count from ART initiation. We observed that neutrophils accumulate  
270 intensely at sites of pathology in TB-IRIS and associate with areas of necrosis. IRIS patients'  
271 neutrophils were activated, shedding their CD62L/L-Selectin over time with a significant drop  
272 from ART initiation to four weeks (despite the initiation of corticosteroids in three patients); the

273 reverse pattern being observed in controls. A similar trend to CD62L was seen for CD16. We  
274 have previously shown that at ART initiation, neutrophils in antiretroviral-naïve HIV-infected  
275 patients are activated, rapidly undergo cell death and their ability to kill *M. tuberculosis* is  
276 impaired compared to HIV-uninfected controls [18]. Our data confirms that abnormal activation  
277 is reversed on ART in patients with an uncomplicated clinical course (undergoing protective  
278 immune reconstitution), while in IRIS the neutrophil dysfunction becomes exaggerated (these  
279 patients undergo pathogenic immune reconstitution).

280

281 We did not see differences between the groups in other activation markers, including CD11b and  
282 CD66a,c,e. However, loss of CD16 and CD62L occurs preferentially as neutrophils progress to  
283 cell death [35]. Collectively, these data suggest that neutrophil activation and presumably early  
284 cell death is a hallmark of TB-IRIS [28, 30]. Increased neutrophil influx and death at disease  
285 sites will lead to release of cytotoxic granule contents causing local tissue damage and  
286 amplifying inflammatory responses [29, 36], consistent with necrotic abscesses and  
287 lymphadenopathy often observed in TB-IRIS.

288

289 Compatible with this conclusion, we found an increased neutrophil elastase concentration in the  
290 plasma of TB-IRIS patients versus non-IRIS controls two weeks after initiation of ART in cohort  
291 2. There was also an increase from ART initiation in the South African TB-IRIS patients'  
292 elastase concentration, and an increase in HNP 1-3 in both cohorts. The difference in neutrophil  
293 elastase concentration between IRIS patients and controls was seen despite no significant  
294 difference in absolute neutrophil count in Cohort 2, suggesting that plasma concentrations of this  
295 granule product might represent more than simply a higher number of circulating neutrophils.

296

297 Notably, some activation parameters in the patients developing IRIS tended to be less abnormal  
298 at ART initiation. This is consistent with observations by others [14, 37, 38] that TB-IRIS may  
299 be heralded by lower cytokine concentrations at ART initiation but subsequent large magnitude  
300 changes.

301

302 Limitations of our study include relatively small group sizes. We were unable to perform  
303 neutrophil functional assays including phagocytosis, mycobacterial killing and cell death in  
304 sufficient numbers, as few samples met our stringent pre-specified neutrophil purity and viability  
305 criteria of >90%. Differences in HNP concentrations between the cohorts might be due to  
306 differences in pre-analytical handling; in Cohort 1 blood was collected in Uganda and assays  
307 performed in Belgium, whereas South African samples were analysed locally. We also note a  
308 difference in neutrophil and CD4 counts between the two cohorts, likely to reflect the clinical  
309 realities of treating HIV-TB co-infection in Uganda in 2009 compared to South Africa in 2013,  
310 as well as differences in analysis platforms and racial background. However, the fact that we  
311 could demonstrate a role for neutrophils in two geographically different cohorts increases the  
312 generalizability of our findings.

313 A strength of our analysis was the inclusion of both peripheral blood and lymph node samples,  
314 although longitudinal analyses were conducted exclusively in peripheral blood which may not be  
315 representative of the tissue environment. However, as peripheral blood does exhibit significant  
316 perturbations in TB-IRIS, is easily accessible for serial measurements and contains many  
317 components of both the innate and acquired immune systems, we believe that analysis of this  
318 compartment is informative.

319

320 In conclusion, our data suggest that TB-IRIS is characterized by aberrant immunological  
321 recovery with inflammasome activation and neutrophil recruitment instead of reconstitution of  
322 normal T cell receptor function. Within the context of local and systemic inflammation, recruited  
323 neutrophils are activated, are likely to undergo rapid cell death and will release cytotoxic granule  
324 contents. This drives tissue damage and further inflammation, paradoxically associated with  
325 immunosuppressive IL-10 release which may compromise host control of any remaining viable  
326 mycobacteria. As neutrophils are likely to be key effector cells mediating pathological damage in  
327 TB-IRIS, it seems logical to consider host-directed therapies to reduce neutrophil recruitment (eg  
328 CXCR2 inhibitors [39] and anti-C5a inhibitors [40]) or to promote neutrophil apoptosis (eg  
329 statins [41]): these questions require further research.

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## Figure legends

**Figure 1: Gene expression analysis in PBMCs from patients with HIV-associated TB-IRIS and HIV/TB co-infected controls without clinical IRIS:** **A.** 100 ng of total RNA was used to obtain values for gene expression analysis using nCounter technology. Unsupervised hierarchical clustering of transcript abundance data from TB-IRIS (pink) (n = 17) and non-IRIS (blue) (n = 17) patients at week two/IRIS-time point was performed using a heatmap in Qlucore Omics explorer v3.2. The columns represent patients while the rows are genes identified as discriminatory ( $p < 0.05$ ,  $q < 0.1$ ). Relative gene expression compared to the entire cohort was classified as low (green) and high (red) respectively. Genes were ordered according to their R statistic between IRIS and non-IRIS patients. **B.** Discriminatory genes were visualized on a PCA plot. The genes (variables) were colored according to their R statistic; green for the lowest (implying greater abundance in non-IRIS vs IRIS) and red if the highest (implying greater abundance in IRIS vs non-IRIS). The genes with the highest expression in IRIS were COX-1, IL-10, NLRP-12 and S100A9.

Abbreviations: ASC; Apoptosis-associated speck-like protein containing a Caspase Recruitment Domain (CARD); CD, Cluster of Differentiation; COX-1/PTGS, Cyclooxygenase-1/prostaglandin-endoperoxide synthase; CTLA4, Cytotoxic T Lymphocyte-associated protein 4 (CD152); GATA3, Glycine, Alanine, Thymine, Alanine binding protein 3; ICOS, Inducible T-cell costimulator; IFN- $\gamma$ , Interferon gamma; IL, Interleukin; IL-7R, Interleukin-7 receptor; ITK, Interleukin-2-inducible T-cell kinase; pypaf-7, PYRIN-containing Apaf-1-like proteins; S100A9, S100 calcium-binding protein A9; Tbet, T-box transcription factor; TRAC, T-cell Receptor alpha

constant; TRAV, T-cell Receptor alpha variable; TRBC, T-cell Receptor beta constant; TRBV, T-cell Receptor beta variable; TRDV, T-cell Receptor delta variable; TRGC, T-cell Receptor gamma constant; TRGV, T-cell Receptor gamma variable.

**Figure 2: TB-IRIS patients exhibit a rise in neutrophil count after two weeks of ART. A:** Neutrophil counts from TB-IRIS (n = 10 at ART initiation, n = 17 at Week 2 (W2)) and non-IRIS (n=12 at ART initiation, n = 17 at W2) patients (Cohort 1) are presented at ART initiation and at the Week 2 (W2) time point. **B:** Neutrophil counts from TB-IRIS (n =18 at ART initiation, n = 16 at W2) and non-IRIS (n =11 at ART initiation, n = 10 at W2) patients (Cohort 2) are presented at initiation of ART and at Week 2 (W2). Mann Whitney and Wilcoxon tests were used (\* p < 0.05, \*\* p < 0.01).

**Figure 3: Neutrophil activation in TB-IRIS patients and Non-IRIS controls:** The Median Fluorescence Intensity of CD62L (A), CD16 (B), CD11b (C) and CD66a,c,e (D) on neutrophils in fresh whole blood is shown for TB-IRIS patients (red, n=6) and non-IRIS controls (black, n=6) at ART initiation (Week (W) 0), n = 4 at W2, n = 3 at W4). Lines represent means and p-values (\* p < 0.05, \*\* p < 0.01) were derived from unpaired and paired t tests.

**Figure 4: Analysis of plasma levels of neutrophil elastase and HNP1-3 in patients with TB-IRIS and non-IRIS controls. A.** Neutrophil Elastase (TB-IRIS patients (red, n = 18 at ART initiation, n = 15 at W2) and non-IRIS controls (black n = 11)) plasma concentrations were quantified using ELISA in Cohort 2. **B.** Human Neutrophil Peptide (HNP) 1-3 (TB-IRIS patients

(red, n = 18 at ART initiation, n = 16 at W2) and non-IRIS controls (black n = 11)) plasma concentrations were quantified using ELISA in Cohort 2. **C.** Human Neutrophil Peptide (HNP) 1-3 plasma concentrations were quantified using ELISA in Cohort 1 (TB-IRIS patients (n =15 at ART initiation, n = 16 at W2) and non-IRIS controls (n = 8)). Lines represent medians and p-values (\*\* p < 0.01, \*\*\* p < 0.001) were derived from Mann-Whitney and Wilcoxon tests.

**Figure 5: Neutrophil infiltration in the lymph nodes of TB-IRIS patients.** Caseous granulomas from consecutive cross-sectional lymph node sections of TB-IRIS patients (n = 3) that were stained with Hematoxylin and Eosin (H&E) (**A**), CD15 (neutrophils, **B**), or IL-10 (**C**). Intense neutrophil staining localizes within most of these caseous granulomas. IL-10 staining was diffuse but did localize within and near caseous granulomas. Black bars represent 200  $\mu$ m.

Figure 1

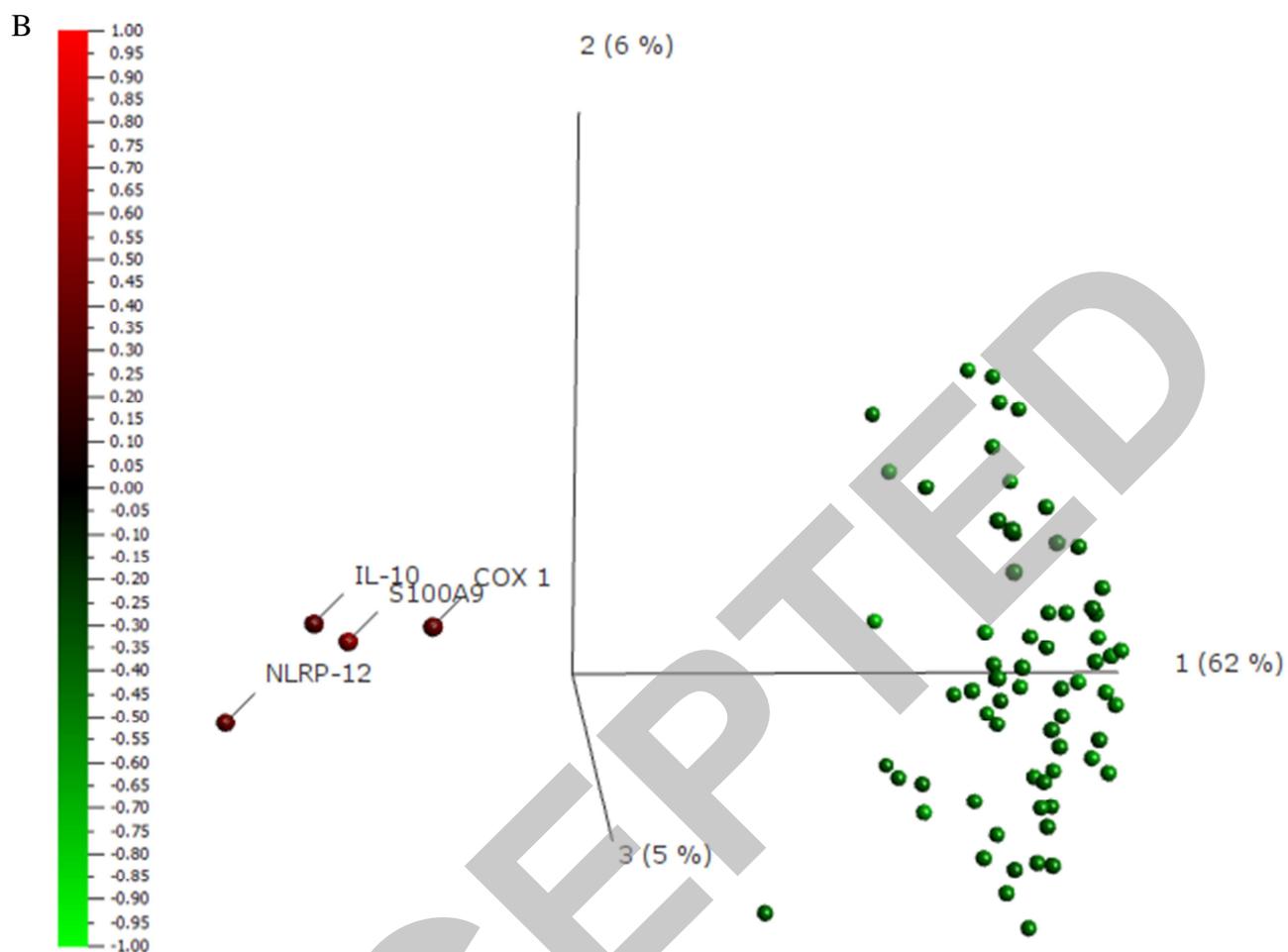


Figure 2

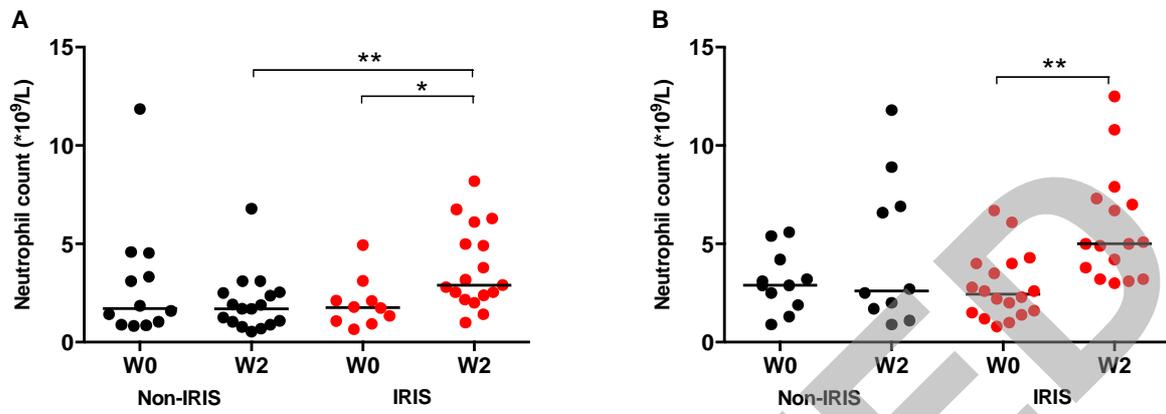


Figure 3

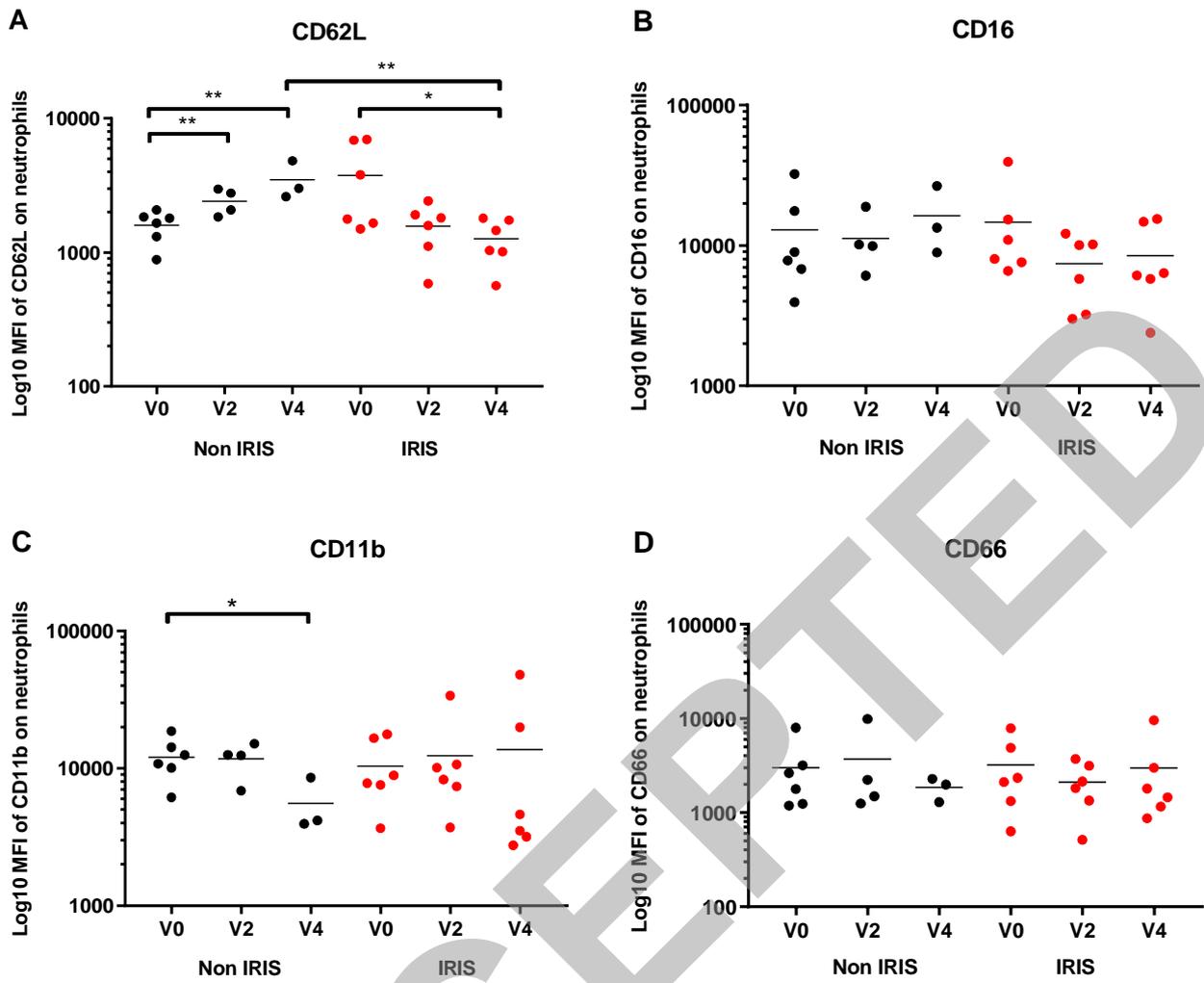


Figure 4

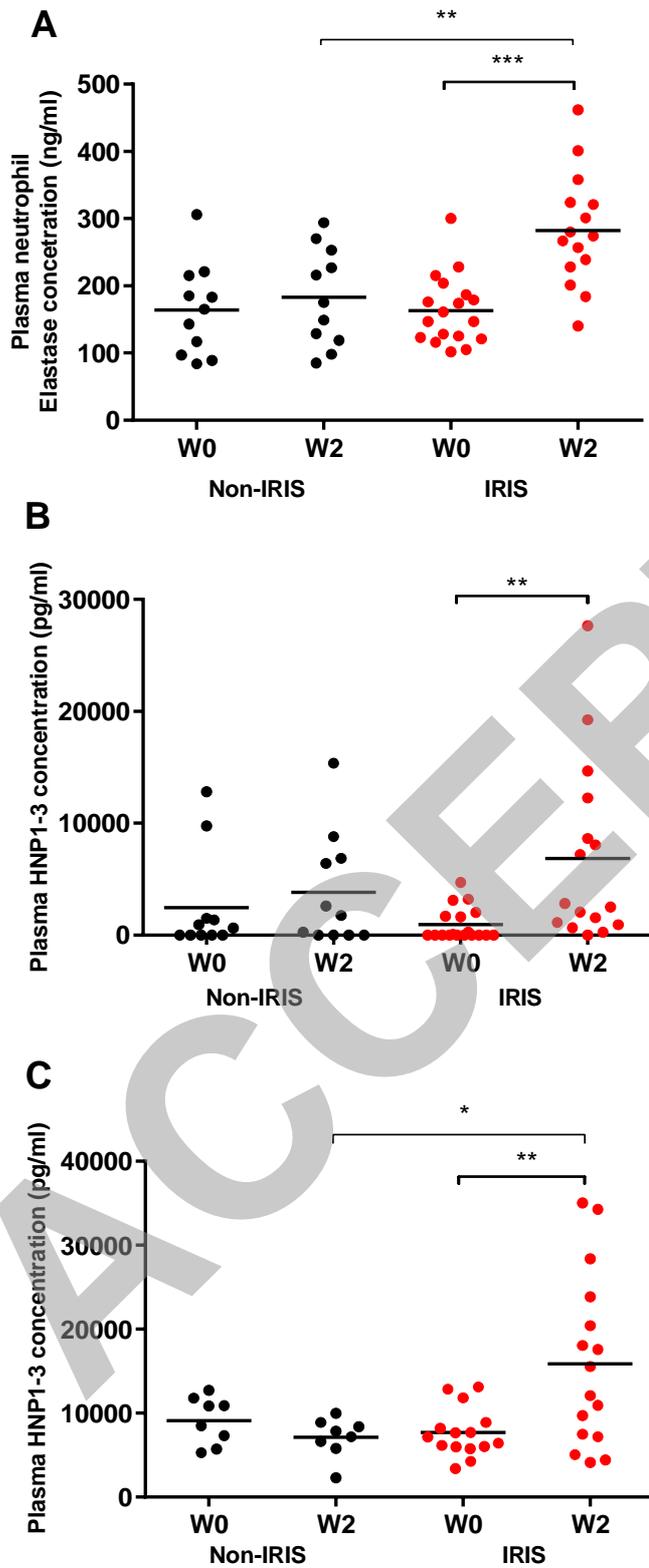


Figure 5

