

Funder Open Access Policies and Contact Details

This list is not comprehensive; for additional policies, please check the funder's website or see [SHERPA/JULIET](#). Alternatively, please contact the funder directly and/or check the funder's grant proposal guidelines.

Funder	Policy Details	Contact
Bill & Melinda Gates Foundation	<p>Key points:</p> <ul style="list-style-type: none"> • Open access policy aligned with Plan S from 01.01.2021. • Requires immediate open access for all funded research, via a compliant route. • Foundation will pay reasonable fees but only for articles in Gold Open Access journals. • Funded research should be published under a CC BY 4.0 licence or equivalent. • Data should be made available openly and immediately. • Accepted articles should be deposited immediately upon publication in PubMed Central (PMC), or in another openly accessible repository. <p>Website: https://www.gatesfoundation.org/How-We-Work/General-Information/Open-Access-Policy https://www.coalition-s.org/ (Plan S)</p>	openaccess@gatesfoundation.org
British Heart Foundation (formerly part of COAF: Charity Open Access Fund)	<p>Key points:</p> <ul style="list-style-type: none"> • Gold open access under CC BY licence encouraged. • Deposit in Europe PMC no later than 6 months after publication. • Authors must acknowledge funding, and include a statement on how underlying research materials can be accessed. <p>Website: https://www.bhf.org.uk/for-professionals/information-for-researchers/managing-your-grant/open-access-policy</p>	research@bhf.org.uk or Research Publications Team, via ServiceDesk
Cancer Research UK (formerly part of COAF: Charity Open Access Fund)	<p>Key points:</p> <ul style="list-style-type: none"> • Gold open access under CC BY license encouraged. • Deposit in Europe PMC no later than 6 months after publication. (For articles accepted for publication on/after 01.01.2022 deposit must be immediate on 	policies@cancer.org.uk

	<p>publication. i.e. a 6-month embargo will not be permitted).</p> <ul style="list-style-type: none"> • Authors must acknowledge funding, and include a statement on how underlying research materials can be accessed. • Researchers are strongly encouraged to post preprints of their work en route to publication in a peer-reviewed journal. <p>Funded researchers can pay for APCs using any available underspend on their active CRUK response mode grant, or choose to publish in a journal that permits self-archiving of the author's accepted manuscript (AAM) or version of record (VoR) in Europe PMC.</p> <p>Website: https://www.cancerresearchuk.org/funding-for-researchers/applying-for-funding/policies-that-affect-your-grant/policy-on-open-access</p>	
<p>Department for International Development</p>	<p>Key points:</p> <ul style="list-style-type: none"> • Gold open access is preferred to self-archiving • Project designs are required to include an access plan. Costs for gold open access should be included in budget for DFID award • Outputs should be deposited in institutional or subject repositories AND Research 4 Development within 6 months of publication <p>Website: https://www.gov.uk/government/publications/dfid-research-open-and-enhanced-access-policy</p>	<p>openaccess@dfid.gov.uk</p>
<p>European Research Council</p>	<p>Key points:</p> <ul style="list-style-type: none"> • Articles should be made available within 6 months of publication for the sciences or 12 months for the humanities and social sciences • Costs for open access should be included in project budgets <p>Website: https://erc.europa.eu/funding-and-grants/managing-project/open-access</p>	<p>erc-open-access@ec.europa.eu</p>

<p>Leverhulme Trust</p>	<p>Key points:</p> <ul style="list-style-type: none"> • Open access is not mandated • Open access charges can be covered if incurred during the life of the grant if budgeted for in grant applications <p>Website: https://www.leverhulme.ac.uk/funding/frequently-asked-questions/associated-costsresearch-expenses</p>	<p>grants@leverhulme.ac.uk</p>
<p>National Institute of Health (NIH)</p>	<p>Key points:</p> <ul style="list-style-type: none"> • The accepted peer-reviewed manuscript should be submitted to PubMed Central upon acceptance for publication • An embargo period of no more than 12 months is allowed • Funding for gold open access can be applied for as part of the initial grant application <p>Website: https://publicaccess.nih.gov</p>	<p>PublicAccess@nih.gov</p>
<p>National Institute for Health Research (NIHR)</p>	<p>Key points:</p> <ul style="list-style-type: none"> • Recommended to publish gold open access and the CC BY license should be chosen • If gold is not possible, then articles should be made available open access via Europe PubMed Central, no later than 6 months after publication • Costs for open access are support through the original NIHR award and through an NIHR Open Access Fund <p>Website: https://www.nihr.ac.uk/funding-and-support/funding-for-research-studies/how-to-apply/support-for-study-teams/publishing-your-research/nihr-open-access-policy.htm</p>	<p>enquiries@nihr.ac.uk</p>
<p>UKRI (formerly RCUK) – inc. MRC, ESRC, BBSRC, Research England and Nc3rs</p>	<p>Key points: All research outputs submitted for publication on/after 01.01.2022 must comply with the new UKRI open access policy (released August 2021).</p> <p>In summary:</p> <ul style="list-style-type: none"> • Immediate open access required for research articles, either via the publisher’s website or by depositing the accepted manuscript version in an institutional or subject repository without embargo. • A CC BY licence (or CC BY-ND by exception), to be applied to either the publisher’s version or accepted 	<p>Research Publications Team, via ServiceDesk</p>

manuscript.

NOTE: there is a requirement to notify the publisher of the application of a CC BY licence to an accepted manuscript at the point of submission.

- All research articles should include a Data Access Statement.

Monograph policy:

- the final version of a publication, or accepted manuscript, to be made open access via a publisher's website, platform or repository, within a maximum of 12 months of publication.
- CC BY licence preferred, but NC and ND licences are permitted.

UKRI will provide a block grant for open access. As is already the case, there will be **no funding for hybrid journals** unless they are part of a transformative agreement that LSHTM has signed up to. Funding for open access monographs and book chapters is held centrally by UKRI and applications must be sent directly to the funder.

For funded research submitted prior to 01.01.2022:

- All articles should be made open access. Gold or green open access is acceptable.
- Peer-reviewed accepted manuscripts should be made available in a repository within 6 months of publication (MRC) or 12 months (ESRC, BBSRC, other funders).
- MRC: articles should also be deposited in [Europe PMC](#) to be released no later than 6 months after publication.
- If publishing gold open access, the CC BY licence should be requested.
- Authors must acknowledge funding, and include a statement on how underlying research materials can be accessed.
- Limited funding is available upon application via ServiceDesk for articles published in fully open access journals up to the value of £2500 per article– please check at the point of submission as funds are not guaranteed.

Websites:

	<p>https://www.ukri.org/publications/ukri-open-access-policy/ (New policy, released August 2021)</p> <p>https://www.ukri.org/funding/information-for-award-holders/open-access (General);</p> <p>https://www.mrc.ac.uk/research/policies-and-guidance-for-researchers/open-access-policy (MRC);</p> <p>https://www.nc3rs.org.uk/grant-holder-information#Open%20access (NC3Rs)</p>	
Royal Society	<p>Key points:</p> <ul style="list-style-type: none"> • Open access is encouraged • Award holders are expected to at least deposit their accepted manuscripts to a repository with an embargo period of no more than 12 months <p>Website: https://royalsociety.org/grants-schemes-awards/grants/about-grants/</p>	grants@royalsociety.org
Wellcome Trust (formerly part of COAF)	<p>Key points:</p> <ul style="list-style-type: none"> • Aligned open access policy with Plan S from 01.01.2021. • Requires immediate open access for all funded research via a compliant route. • Funding is available to pay open access fees, but only for articles in Gold Open Access journals, or hybrid journals with ‘transformative journal’ status, or journals to which LSHTM is signed up to a transformative agreement [A tool is available for checking compliant route: https://journalcheckertool.org/] • No funding is available for research outputs published in hybrid journals. Instead, immediate open access to be achieved by depositing the accepted manuscript in a suitable repository with no embargo (known as self-archiving using Rights Retention). • Funded research should be published under a CC BY 4.0 licence or equivalent. • Data should be made available openly and immediately. • Accepted articles should be deposited immediately upon publication in PubMed Central (PMC), or in another openly accessible repository. <p>The School manages a block grant for open access costs for research articles (APCs), to which authors</p>	<p>Research Publications Team, via ServiceDesk (for articles)</p> <p>openaccess@wellcome.ac.uk (for general enquiries and funding for monographs and book chapters)</p>

	<p>can apply for funding – please check at point of submission</p> <p>Website: https://wellcome.ac.uk/funding/managing-grant/open-access-policy https://www.coalition-s.org/ (Plan S)</p>	
WHO	<p>Key points:</p> <ul style="list-style-type: none"> • All journal articles and book chapters should be made open access • Authors can publish gold open access or deposit the accepted manuscript in Europe PMC within 12 months of publication • The CC BY IGO (intergovernmental organisation) license is mandated if gold open access is paid for <p>Website: http://www.who.int/about/open-access-faq/en</p>	openaccess@who.int

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(Please inform us of any errors or changes in policy and funders which would be useful to add to the list)