

1 **Effect of eplerenone in patients with heart failure and reduced**
2 **ejection fraction: Potential effect modification by abdominal obesity**
3 **Insight from EMPHASIS-HF trial**

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19 **Short title:** Abdominal adiposity as biomarker for MRA efficacy

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36 **Abstract**

37 **Aims** An excessive production of aldosterone influences outcome in patients with heart
38 failure (HF) and in obese patients. Findings from laboratory studies suggest that chronic
39 aldosterone blockade maybe more beneficial in abdominally obese HF prone rats. In the
40 current study, we investigated if the clinical response to a mineralocorticoid receptor
41 antagonist in mildly symptomatic HF patients varied by abdominal obesity.

42 **Methods and Results** 2587 NYHA class II, low ejection fraction HF patients enrolled in the
43 EMPHASIS-HF trial were randomly assigned to eplerenone and placebo. In this post-hoc
44 analysis, patients were categorized according to waist circumference (normal if WC < 102 cm
45 in men and < 88 cm women; abdominal obesity if NWC \geq 102cm in men and \geq 88cm women).
46 The potential statistical interaction between the treatment and WC was assessed on the
47 primary endpoint of death from cardiovascular causes or hospitalization for HF and other
48 secondary endpoints. Over a median follow-up of 21 months, a significant benefit of
49 eplerenone for the primary outcome was noted in both normal (HR 0.77, CI95% 0.61-0.98,
50 p=0.03) and increased (HR 0.48, CI95% 0.37-0.63, p<0.0001) WC subgroups but the latter
51 patients appeared to receive greater benefit than patients with normal WC (p for interaction
52 0.01). This suggests a significant quantitative (treatment effect varies in magnitude by
53 subgroup, but is always in same direction) rather than a qualitative interaction (direction of
54 the treatment effect varies by subgroup) between eplerenone and WC in the adjusted analysis.
55 Mean doses of eplerenone, blood pressure and serum potassium changes and adverse events
56 were similar between WC subgroups.

57 **Conclusion** In EMPHASIS-HF, eplerenone improved outcomes in HF rEF patients with and
58 without abdominal obesity, although the benefit appeared to be more pronounced among
59 those with abdominal obesity. The findings are potentially hypothesis generating and needs to
60 be replicated in other HF rEF populations.

61

62 **Keywords** Abdominal obesity; Heart failure with reduced ejection fraction; Eplerenone

63

64 Introduction

65

66 Obesity is recognized as a cardiovascular risk factor and the worldwide epidemics of obesity
67 parallels the one observed for HF.¹⁻³ It is associated with increased risk of cardio renal disease,
68 including hypertension, coronary artery disease and adverse cardiac remodelling (left
69 ventricular hypertrophy and dilation), and progression towards HF.⁴ On another hand obese
70 subjects have higher aldosterone levels, which may result in mineralocorticoid receptor (MR)
71 over activation. Reciprocally, higher aldosterone levels have been implicated in the
72 development and maintenance of obesity.⁵⁻⁷

73 Mineralocorticoid receptor antagonist (MRA) therapy improves outcomes in patients with
74 chronic systolic HF with mild symptoms (EMPHASIS-HF trial), acute symptomatic systolic
75 HF in post myocardial infarction (EPHESUS trial) and in severe NYHA stage III-IV systolic
76 HF (RALES trial).⁸⁻¹⁰ However, to the best of our knowledge the influence of established
77 overweight or obesity on the response to MRAs is unknown. Studies in obese non-HF patients
78 with or without associated metabolic disorder¹¹ suggested that MRA therapy improved left
79 ventricular function and myocardial abnormalities with concurrent decreases of circulating
80 fibrotic markers. Knowing that visceral fat is a source of serum aldosterone and that several
81 experimental studies^{7, 12-14} have implicated aldosterone as an important mediator of obesity-
82 related cardiovascular risk, we have recently published the first experimental data suggesting
83 that as compared to leaner counterparts, viscerally-obese heart failure prone rats may further
84 benefit from chronic MRA treatment¹⁵. Yet no study has specifically evaluated whether
85 clinical response to a MRA over a long follow-up period might be better in HF patients with
86 vs. without abdominal obesity.

87 In this context, we sought for the first time to evaluate the interaction between increased
88 adiposity estimated by the waist circumference (WC) and body mass index (BMI, as reference
89 obesity measurement parameter) and the clinical benefit from the MR antagonist eplerenone
90 in patients with congestive HF receiving recommended therapy for systolic HF (ejection
91 fraction below 35%) and enrolled in the EMPHASIS-HF trial.¹⁰

92

93

94 Methods

95 The design, patient eligibility criteria, study procedure and main results of the EMPHASIS-

96 HF study have been previously reported.¹⁰ In brief, in this randomized double-blind trial,
97 patients with New York Heart Association class II heart failure and an ejection fraction of no
98 more than 35% (HFrEF) were randomly assigned to receive eplerenone (up to 50 mg daily) or
99 placebo, in addition to recommended therapy.

100 **Study outcomes**

101 The same primary and secondary outcomes were used in the current analysis as in the main
102 study.¹⁰ Briefly, the primary outcome was the composite of death from cardiovascular causes
103 or first hospitalization for HF. The pre-specified adjudicated secondary outcomes were
104 respectively all cause death, cardiovascular death and hospitalization for HF. For continuous
105 variables, the baseline value was defined according to the EMPHASIS-HF statistical analysis
106 plan as the measurement that was made on the closest date prior to the study medication
107 starting date. If there were more than one measurement made on the same date, the average
108 value of these data was calculated and used as the baseline measurement.

109 Because the following variables did not fulfil the assumption of log-linearity, WC and BMI
110 were not analysed as continuous variables but as categorical variables.

111 **Waist circumference**

112 Baseline measurement of WC was performed by a tape measure placed around subject's bare
113 abdomen just above subject's hipbone, at the level of the subject's navel, when the relaxed
114 subject exhaled. The tape measure was positioned parallel to the floor without compressing
115 the subject's skin. Values were considered aberrant and were excluded from the data analysis
116 when $WC < 60$ cm.

117 Subjects were divided into two WC groups according to the American Heart Association
118 (AHA) defined cutoffs.¹⁶ Men and women with WC values <102 and <88 cm, respectively,
119 were considered to have a normal WC (NWC group), whereas those with WC values ≥ 102
120 and ≥ 88 cm respectively were considered to have high WC (HWC group) and harbour an
121 abdominal obesity. Subjects were further categorized according to WC quintiles taking into
122 account sex differences.

123

124 **Body mass index**

125 Body mass index is defined as the weight in kilograms divided by the square of the height in
126 meters (kg/m^2). BMI values were considered missing when height or weight measures were
127 not reported. Obesity was defined according to the WHO BMI classification

128 (http://apps.who.int/bmi/index.jsp?introPage=intro_3.html): BMI \geq 30 kg/m² were classified as obese
129 patients while BMI values <30 kg/m² characterized normal weight and overweight patients.

130 **Statistical analysis**

131 Waist circumference and BMI were the key explanatory variables. Continuous variables are
132 expressed as mean \pm standard deviation (m \pm SD), categorical variables as frequencies
133 (percentage). Comparisons of baseline characteristics between WC or BMI groups were
134 performed using Student t-test or Mann-Whitney or chi-Square test as required. Risk
135 probabilities were calculated using the Kaplan-Meier method and plotted as survival curves.

136 Hazard ratios and respective 95% confidence intervals were estimated using univariable and
137 multivariable Cox proportional hazard regression models. Assumptions of log-linearity,
138 absence of multi-collinearity and hazards proportionality were thoroughly verified.

139 Interactions between BMI or WC and eplerenone effect on outcomes were assessed by
140 introducing an interaction term (BMI or WC variable*eplerenone) in crude (i.e. BMI or WC,
141 eplerenone, BMI or WC*eplerenone) and adjusted models. The following candidate
142 covariates were considered for adjustment: age, gender, heart rate, systolic blood pressure, left
143 ventricular ejection fraction, QRS duration, medical history (hospitalization for HF,
144 hypertension, angina pectoris, myocardial infarction, coronary artery angioplasty, coronary
145 artery bypass surgery, atrial fibrillation or flutter, diabetes mellitus, stroke), device therapy
146 (implantable cardioverter-defibrillator, cardiac-resynchronization therapy, implantable
147 cardioverter-defibrillator with cardiac resynchronization), blood sodium, blood potassium,
148 estimated glomerular filtration rate and use of diuretics, angiotensin converting enzyme
149 (ACE) inhibitors or angiotensin receptor blockers (ARB), beta-blockers, and lipid-lowering
150 agents. Among these candidate covariates, variables significantly associated with the outcome
151 of interest with a p-value < 0.15 on univariable cox regression ¹⁷ were further selected using
152 an interactive backward selection process. Only the covariates associated with the outcome of
153 interest with a p-value < 0.05 were retained in multivariable models.

154 In addition, we evaluated the functional form of the interaction between treatment and
155 WC/BMI with regards to the risk of outcomes using WC/BMI as a non-linear continuous
156 variable. To do so, we used restricted cubic splines and plotted the hazard ratios of treatment
157 effect according to WC/BMI calculated from the Cox model.

158 Adverse events and those leading to permanent study drug withdrawal were presented

159 according to WC or BMI category groups.

160 Statistical interaction has come into increasing use in trial analysis. Given the low power of
161 interaction tests, selected a priori a 0.10 cut-off threshold for the interaction p value has been
162 used. As a consequence, a p-value of <0.05 was considered statistically significant for the
163 main effects and <0.10 for the interaction terms.

164
165 All analyses were performed using software SAS version 9.4 (SAS Institute Inc., Cary, N.C.,
166 USA).

167

168 **Results**

169 **Clinical characteristics**

170 Of the 2737 patients randomized in EMPHASIS-HF, 2579 were included in the WC analysis
171 (158 patients had a missing or implausible WC value). Median WCs were 100 cm (IQR92-
172 108) and 94 cm (IQR85-104) in men and women respectively and 1295 patients (50.2%) had
173 a HWC (abdominal obesity if WC \geq 102 cm for men and \geq 88 cm for women). The remaining
174 1284 individuals had a NWC (if WC <102 cm for men and <88 cm for women) (*Table1*,
175 *TableS1*). Patients with a HWC had more obesity-related disorders such as hypertension,
176 atrial fibrillation and diabetes mellitus, as compared to patients with a NWC (*Table1*).
177 However, there were no clinically significant differences between patients allocated to
178 eplerenone or placebo within the two WC subgroups (*Table S1*).

179 Of the 2737 patients randomized in EMPHASIS-HF, 2722 were included in the BMI analysis
180 (15 patients had a missing or implausible BMI value). The median BMI was 27 kg/m²
181 (IQR24-30) and 739 patients (27.1%) had a global obesity with BMI \geq 30 kg/m² and 1983
182 (72.9%) a BMI<30 kg/m². Like patients with a HWC, those with a high BMI had more
183 obesity-related disorders, as compared to patients with a BMI<30 kg/m² (*Table1*).

184 The median follow-up duration among all patients was 21 months (IQR: 10 to 33 months).

185

186 **Eplerenone safety profile across subgroups**

187 Adverse events leading to eplerenone withdrawal occurred in 101(15.7%) NWC patients as
188 compared to 74 (11.5%) HWC patients (p=0.034) leading to a p of interaction value of 0.01
189 (*TableS2*). Hyperkalaemia adverse events and hyperkalaemia leading to study drug
190 discontinuation occurred equally in WC and BMI eplerenone subgroups respectively

191 (TableS2).

192 **Mean doses achieved across subgroups**

193 The mean dose of eplerenone did not differ between WC subgroups ($p=0.67$). Among
194 patients assigned to eplerenone, 61.4 % and 62.3% of the HWC and NWC groups,
195 respectively, received the highest daily dose (50 mg daily, $p=0.81$). Likewise, the mean dose
196 of eplerenone did not differ between BMI subgroups ($p=0.79$) and 60.8% of the
197 $BMI \geq 30 \text{ kg/m}^2$ patients against 61.6% of the $BMI < 30 \text{ kg/m}^2$ groups received the highest daily
198 dose eplerenone (50 mg daily, $p=0.96$).

199 **Effect of eplerenone on clinical outcomes**

200 Overall, there were fewer primary endpoints in the eplerenone group in EMPHASIS-HF (HR
201 0.63, 95% CI 0.52-0.75). This was also the case for other outcomes, including all-cause
202 mortality (HR 0.76, 95% CI 0.61-0.94) cardiovascular mortality (HR 0.73, 95% CI 0.58-0.93)
203 and hospitalization for heart failure (HR 0.59, 95% CI 0.48-0.73) (*Figures 1 and 2*).

204 When analysing according to WC and BMI anthropomorphic subgroups, no differential effect
205 of the treatment was observed on blood pressure, heart rate, body weight and serum potassium
206 levels, expressed as changes from baseline to month 1 and month 5-post randomisation (data
207 not shown).

208 **Interaction between abdominal obesity and the effects of eplerenone**

209 The modifying effect of abdominal obesity on the impact of eplerenone for each outcome is
210 shown in figures 1 and 2. The effect of eplerenone on the primary outcome was significant in
211 both patients with HWC (multivariable HR 0.48, 95% CI 0.37-0.63) and in patients with a
212 NWC (multivariable HR 0.77, 95% CI 0.61-0.98), but significantly stronger in the HWC
213 group as demonstrated by a p value for the interaction of 0.01 (*Figure 1A, Figure 2A*).

214 Importantly, abdominal obesity i.e. HWC was not associated with the primary outcome in the
215 placebo group (multivariable HR 0.96, 95% CI 0.76-1.20) whereas it was associated with
216 lower rates for the primary events in the eplerenone group (multivariable HR 0.60, 95% CI
217 0.45-0.80), resulting in a significant interaction between eplerenone and HWC in the adjusted
218 analysis ($p=0.01$).

219 Overall, similar patterns were observed for the secondary outcomes but the interaction

220 between eplerenone and HWC reached statistical significance only for “Death from
221 cardiovascular causes” and “Hospitalization for HF” secondary outcomes (p for interaction
222 0.09 and 0.07 respectively) (*Figure2*). In addition, we identified a significant interaction in
223 men between treatment and WC within the model using restricted cubic splines (*Figure3*) (p
224 value for the interaction $p=0.025$ in the adjusted model, *Figure3A*). The shape of the
225 association is difficult to assess in women given the wide confidence intervals resulting from
226 the small number of patients within the subset of female patients. In this subset, the
227 interaction did not reach statistical significance ($p=0.30$ in the adjusted model, *Figure3B*).
228 Likewise the interaction between treatment and BMI for both genders using restricted cubic
229 splines did not reached significance ($p=0.15$ in the adjusted model, *Figure3C*).

230 Overall both WC groups derived significant benefit from eplerenone for the primary outcome
231 and hospitalization for heart failure with quantitatively greater benefits derived from the
232 treatment in patients with abdominal obesity from the HWC subgroup. A lower dropout rate
233 was observed in patients randomized to eplerenone when they had HWC, which could
234 contribute to the higher treatment effect observed in this subgroup and further suggests a net
235 higher benefit to risk ratio in the HWC group. A sensitivity analysis censoring the follow-up
236 up to the time of permanent drug discontinuation yielded interaction still suggesting a higher
237 benefit to risk ratio in the HWC group.

238 While analysing the EMPHASIS-HF population using WC quintiles, we observed lower HR
239 for the primary outcome in patients within the 3rd to 5th quintile (i.e. ≥ 97 cm in men and
240 ≥ 90 cm in women) than in patients within the first two quintiles (*TableS3*) with a significant p
241 value for interaction between eplerenone and WC of $p=0.09$. Interestingly, multivariable HR
242 in the 3rd to 5th quintile ranged from 0.47 (95% CI 0.32-0.71) to 0.53 (95% CI 0.34-0.82)
243 whereas the HRs of the first two quintiles were 0.70 (95% CI 0.49-1.00) and 0.94 (95% CI
244 0.64-1.37). Of note, these cut-offs (i.e. ≥ 97 cm in men and ≥ 90 cm in women) within the
245 EMPHASIS-HF population were below and above the cut-offs defining abdominal obesity in
246 men and women respectively.

247

248 **Interaction between of BMI and the effects of eplerenone**

249 The benefit of eplerenone on the rate of the primary outcome seemed to be greater in obese
250 ($BMI \geq 30$ kg/m²) patients (multivariable HR 0.49, 95% CI 0.35-0.71) than in patients with a

251 BMI<30kg/m² (multivariable HR 0.69, 95% CI 0.57-0.83) but the difference is not as marked
252 as for WC and the p-value of interaction between BMI and eplerenone was greater than 0.10
253 (p=0.11, *Figure 2, Table2*). Similar observations were done for secondary outcomes, with no
254 significant interaction in the adjusted analyses between BMI and the effect of eplerenone
255 (*Table2*). When analysed according to the median BMI value of 27kg/m², the benefit of
256 eplerenone on the rate of the primary outcome was greater in patients with BMI≥27kg/m²
257 (multivariable HR 0.50, 95% CI 0.38-0.65) than in patients with BMI<27kg/m² (multivariable
258 HR 0.76, 95% CI 0.61-0.94; p for interaction P=0.018) (*Table S4*). These results of BMI
259 analyses with a cut-off defined at 27 kg/m² and 30 kg/m² (*Tables S4 and 2* respectively) are
260 confirmed by the shape of the association in adjusted model between Eplerenone and the
261 primary outcome according to the value of BMI when used as continuous variable (*Figure*
262 *3C*). Risk of CVD or HFrEF is higher for values around 25 kg/m², while it decreases until a
263 value of 30 kg/m², and then remains steady (*Figure 3C*). Likewise, the benefit of eplerenone
264 on the rates of hospitalization for HF was greater in patients with a BMI≥27kg/m²
265 (multivariable HR 0.44, 95% CI 0.33-0.62) than in patients with a BMI<27kg/m²
266 (multivariable HR 0.68, 95% CI 0.52-0.88; p for interaction =0.051) (*Table S4*).

267

268 Discussion

269 The main finding of our *post hoc* analysis of the EMPHASIS-HF data suggest that patients
270 with HF and reduced ejection fraction and mild symptoms who have abdominal obesity,
271 derive greater benefit from eplerenone than those who are not obese or overweight. All
272 HFrEF patients derived benefits from eplerenone in the EMPHASIS-HF trial, but the greater
273 benefits afforded by eplerenone in HWC patients substantiated by the significant interaction
274 between WC and eplerenone for three out of the four studied outcomes. This characterized for
275 the first time a quantitative rather than a qualitative interaction between adiposity and the
276 response to MRA therapy. Importantly, this greater benefit occurred with the use of similar
277 doses of eplerenone and overall the benefit/risk ratio was more favourable since the rate of
278 adverse events was not different among WC subgroups. Altogether this *post hoc* analysis of
279 EMPHASIS-HF suggests that abdominal obesity estimated by waist circumference
280 measurement could be a simple and straightforward classifier identifying a subset of patients
281 with HF and reduced ejection fraction that might derive greater benefit from MRA therapy.
282 Despite the known adverse impact of obesity on most of the HF risk factors, our results

283 suggest that a better prognosis of patients with abdominal obesity i.e. obesity paradox. Thus
284 our results suggest for the first time that part of the known obesity paradox observed in HF
285 trial might be explained by the greater benefits derived by obese patients from their HF MRA
286 treatment.

287

288 The deleterious impact of excessive aldosterone/MR activation in the heart has been
289 extensively documented this past decade. Both cortisol and aldosterone adversely affect the
290 cardiovascular events *via* the activation of the mineralocorticoid receptors in the heart, blood
291 vessels, kidney and other sites.¹⁸ Notably, high levels of aldosterone promote the development
292 of interstitial cardiac fibrosis, promote platelet aggregation and contribute to endothelial
293 dysfunction in part by reducing nitric-oxide bioavailability and favour hypertension, chronic
294 kidney disease as well as concentric left ventricular hypertrophy in the general community.¹⁹
295 Furthermore MR activation in macrophages has been demonstrated to promote coronary and
296 systemic inflammation particularly in the initial response to reperfusion injury after ischemic
297 injury.^{20, 21} Collectively those studies have justified the targeting of MR as new approach for
298 the treatment of heart failure patients.^{8, 10, 22} The mechanism of action of MRAs in HF is
299 multiple including anti-inflammatory, anti-fibrotic and anti-remodelling properties and
300 decrease in sympathetic drive and improves heart-rate variability.^{23,24, 25} It could be in part
301 attributed to the increased MR activation and more pronounced production of its ligands in
302 the failing human heart.^{4, 26, 27}

303

304 Experimental and clinical studies suggest that MR over activation in hyperphagic conditions²⁸
305 and high fat diet induced obesity may precipitate cardiac remodelling and HF development.^{13,}
306^{29, 30} In fact, all components of the renin-angiotensin aldosterone system are expressed in
307 adipose tissue and their gene expression has been found increased in adipose tissues of both
308 obese animal models and obese humans.^{7, 31, 32} The increments in body weight and overall
309 obesity are known to result from chronic positive energy balance, a condition which is known
310 to increase the MR expression and further favour the development of adipose tissue
311 inflammation and fibrosis.²⁹ We recently demonstrated that chronic eplerenone treatment
312 delayed the cardiac remodelling and HF onset in both lean and obese spontaneously
313 hypertensive heart failure rats but that obese rats presenting a higher aldosterone level further
314 benefited from MRA treatment through improvement of their obesity, dyslipidaemia and
315 myocardial fibrosis.¹⁵ Further experimental studies have demonstrated that the benefits of MR

316 blockade included reduced obesity-related cardiac fibrosis, coronary micro vascular disorders,
317 and cardiac oxidative stress and systemic inflammation.^{13, 30} Small exploratory clinical studies
318 further suggested beneficial effects of spironolactone on left ventricular dysfunction in obese
319 individuals without other comorbidities and in patients with metabolic syndrome, support our
320 observation of a more pronounced clinical benefit of MRA therapy in overweight to obese
321 individuals.^{11, 23} It also suggests that overweight to obese HF patients may derive great benefit
322 from MRA at least in part because of their high inflammatory and fibrotic clinical status.³³⁻³⁵

323

324 This is of strong interest when considering that in the USA approximately 1/2 to 2/3 of the HF
325 patients are overweight or obese.³⁶ Interestingly aldosterone was proposed to promote
326 adipogenesis by inducing peroxisome proliferator activated receptor γ expression, while
327 increased adiposity is known to have adverse effects on LV structure and function, and other
328 risk factors of HF including hypertension and coronary artery diseases.^{13, 37} Thus, although
329 speculative in clinic but based on strong experimental evidence, one tentative explanation of
330 the better response to eplerenone of HF patients with abdominal obesity might be that these
331 patients have higher aldosterone levels associated with hyper-secretion of trophic factors from
332 the visceral adipose tissue.^{5, 38} The observed better discriminative power of the WC parameters
333 in defining the best responder group of HFrEF to eplerenone as compared to BMI, might be
334 explained in part by the fact that the RAAS has been described to have variable activity
335 depending on the adipose tissue location. A high RAAS activity has been reported in
336 abdominal adipocytes, which are more closely associated with the aldosterone biosynthesis
337 and where angiotensinogen and angiotensin II receptor gene expression levels are high. A
338 lower RAAS activity was reported in gluteofemoral adipose tissue, which may explain why
339 the fat from this latter location is less metabolically active.³⁹

340 Adipose tissue is considered as an endocrine organ influencing the maintenance of the body
341 metabolic and inflammatory homeostasis especially when located in close vicinity with the
342 heart, kidney, liver and the skeletal muscle. The development of visceral fat tissue results in
343 crucial endocrine interactions with those vital organs that may lead to their structural and
344 functional alterations.^{40,41}

345 While largely used to classify obesity, a clear limitation of BMI is that it is unable to
346 distinguish between increased body fat content and increased lean body mass (breakdown of
347 body composition) and cannot indicate where the adiposity preferentially develops as it is
348 accountable for the characterization of a global obesity. Our results highlight the different

349 relevance of those two anthropometric parameters, and confirm that BMI and WC are not
350 characterizing the same type of adiposity. Altogether a total of 668 EMPHASIS patients were
351 “misclassified” when using BMI: 626 of them were non-obese ($BMI < 30 \text{ kg/m}^2$) but harboured
352 an abdominal obesity (HWC) and 42 of them were classified obese ($BMI \geq 30 \text{ kg/m}^2$) but had
353 NWC. Those patients are the one discriminating the results between BMI and WC parameters
354 and leading to the statistically significant results for the interaction in WC but not in BMI
355 subgroups. All types of adipose fat depot are not alike and can differ by their location
356 (gynoid, android, visceral, subcutaneous, overall) and degrees (from overweight up to morbid
357 obesity). Numerous imaging tools, such as dual-energy X-ray absorptiometry, bioelectrical
358 impedance analysis and magnetic resonance imaging and anthropometric measure like BMI
359 and WC can discriminately evaluate them. Whether imaging data would better define the fat
360 deposition thus better refine the subsequent risk is beyond the scope of our study, but WC is
361 such an easy cost-less biomarker to access that its use in general clinic should be warranted.
362 Moreover weight variation in HF patients is very much dependant on fluid retention, and the
363 resulting congestion may mostly impact BMI and in a lesser extend WC. This suggests that
364 the latter parameter might be more reliable in the context of HF. Our results suggest for the
365 first time that the specific location of the excess of adiposity represents an important matter
366 when treating HF patients.

367

368 While still requiring replication, the differential findings reported for WC and BMI with
369 regards to the patient response to eplerenone, is consistent with the large body of literature
370 suggesting that depending on their location, adipose tissue deposits present distinct metabolic
371 and inflammatory properties. While both subcutaneous and visceral adipose tissues are
372 considered as endocrine organs, visceral adipose tissue has especially been shown to secrete
373 adipocytokines and other vasoactive substances including aldosterone^{24, 25} and has been
374 associated with higher mortality than overall obesity defined by BMI.^{42, 43} The increase in
375 either or both types of fat deposit (subcutaneous and visceral) participates in the development
376 of an abdominal obesity, which is readily and easily measurable with WC.

377

378 Interestingly, our data show no differential effect of the treatment on blood pressure,
379 heart rate, body weight and serum potassium levels, according to WC anthropomorphic
380 subgroups, an hyperkalaemia adverse events including those leading to study drug
381 discontinuation occurred equally in WC eplerenone subgroups. In addition, hypotension,

382 adverse events leading to eplerenone withdrawal occurred significantly less frequently in
383 patients with increased abdominal adiposity. Taken together, our results suggest that the
384 benefit/risk ratio of eplerenone therapy is higher in patients with abdominal obesity.
385 Even though not verify here (the absence of available bio samples precluded us to reconcile
386 the levels of MR ligands and the degree of abdominal adiposity in the EMPHASIS-HF
387 patients), in clinic plasma aldosterone concentration correlated with increased adiposity
388 measured by BMI and is associated with the development of metabolic syndrome with
389 increased WC in the Framingham population and in African-American population.^{26,27} It was
390 thus expected that EMPHASIS obese patients presented worse clinical characteristics as
391 compared to their lean counterparts. While overweight and obesity are demonstrated
392 pejoratively impacting the risk of cardiovascular diseases in the general population, a reduced
393 mortality in HF population with higher BMI values has been demonstrated and referred as
394 obesity paradox.^{44, 45} Clark et al demonstrated such paradox in advanced HF cohort (LVEF
395 <25%) and increased WC was mostly associated with improved outcomes in advanced HF.^{36,}
396⁴²

397 Although our results suggest an improved response to MRA treatment of EMPHASIS HF
398 patients as one out of many other possible contributors to the obesity paradox. Indeed, such
399 paradox, also described in other pathophysiologic conditions, varies according to i) the
400 aetiology of the wide range of clinical phenotypes observed in different HF cohorts restricting
401 the protective effect of obesity to patients with non ischemic HF; ii) the patient gender; iii) the
402 patient age; iv) the LVEF; v) the cumulative exposure to excess adiposity and resulting
403 metabolic reserve; vi) the presence of diabetes.^{35,37, 45-49}

404 One could extrapolate that what is called the HF obesity paradox^{37, 42, 44, 46-48} described in
405 other HF trials might also be a consequence of HF therapy being more effective in obese
406 patients. This is at least suggested by the results of our study where abdominally obese
407 patients are better responders to mineralocorticoid receptor antagonism than leaner
408 participants. Interestingly, this potential better response to RAAS inhibitors based therapy is
409 also suggested in the placebo group where more than 90% of the enrolled patients are already
410 treated with ACE inhibitor or ARB and where those with increased adiposity did not
411 demonstrated significant association with worsen outcomes. In other reports mentioning this
412 HF obesity paradox phenomenon the association of BMI with outcomes was studied while
413 adjusting for the background medical therapy, but the interaction of BMI with therapy are yet
414 to be reported. Thus in-depth evaluation of the proposed paradoxical effect of obesity in HF
415 patients as compared to the general population taking into account exposure to therapy is now

416 required to validate our hypothesis. Future studies should explore the potential relationship
417 between RAAS inhibition and the obesity paradox taken into account that our study was
418 based on the cut-offs for WC and BMI that have been defined for their predictive value of
419 health risks only but not for their capacity to predict the response to a given drug. Further
420 analysis in larger population should be considered to challenge and potentially redefine those
421 cut-offs in order to use WC and BMI as stratifying biomarkers when prescribing MRA
422 therapy.

423

424 Our findings should be regarded as hypothesis generating for future studies that should
425 be designed to confirm whether HF patients with increased adiposity i.e. patients
426 characterized by elevated MR ligand secretion, are potentially the best responders to MRA
427 therapy. Because EMPHASIS-HF patients presenting an abdominal obesity derive greater
428 benefit from eplerenone, future investigation should evaluate how the greater response to
429 MRA therapy could contribute to and partly explain the so-called “obesity paradox” observed
430 in HF populations.^{50,37, 41} Our results call upon further investigations of obesity-associated
431 measurements as potential straightforward classifiers predicting the therapeutic response to
432 MRAs in HF patients and in other CV diseases and their respective risk factors for which MR
433 activation has been implicated. More specifically, it is tempting to explore whether increased
434 adiposity may also help identify responders to MRA therapy among HF patients with
435 preserved ejection fraction, an important category of HF patients in much need for novel
436 effective therapies. Indeed recently reported neutral results on clinical trials using MRA on
437 HF patients with preserved ejection fraction have been yet explained by international
438 geographic variation.⁵¹ In regard of our results, the event rates should be analysed according
439 to difference in anthropomorphic parameters of the enrolled patients in Russia and Georgia
440 and in American patients in the TOPCAT trial.²²

441

442 **Acknowledgement:** The authors wish to thank Kevin Duarte for his collaborative input and
443 Dr Hervé Kempf for the in-depth and critical reading of the manuscript.

444

445 **Funding:** This work was supported by Inserm and the European program HOMAGE
446 (#305507) The clinical trial sponsor was not involved in the analysis, interpretation of data,
447 writing of the report or the decision to publish.

448

449 **Conflict of interest Statement:** no conflict of interest to be declared

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603

604 **Figure legends**

605 **Figure 1 Cumulative Kaplan-Meier estimates of rates of the primary and secondary**
606 **outcomes according to the four studied groups** PLA, Placebo; EPL, Eplerenone; WC, waist
607 circumference with NWC for normal WC group (WC < 102 cm for men and <88 cm for
608 women) and HWC for high WC group characterized by the presence of an abdominal obesity
609 (WC \geq 102 cm for men and \geq 88 cm for women).

610

611 **Figure 2 Hazard ratios for studied outcomes with eplerenone versus placebo in overall**
612 **population and according to specified subgroups of WC and BMI.**

613 The subgroups are based on baseline demographic and clinical characteristics. Values within
614 the entire population are presented in gray. Values within the normal ranges of waist
615 circumference (NWC i.e. WC<102/88 cm for men and women respectively) and body mass
616 index (BMI<30 kg/m²) are presented in black and increased values in white (HWC i.e. WC
617 \geq 102/88 cm for men and women respectively and BMI \geq 30kg/m²). Presented data are the
618 results of multivariable model analysis adjusted for statistically significant covariates among
619 those listed and tested in the statistical analysis section. Thus the total number of patients
620 (2340) is inferior in this figure to the number of 2579 in Table 2 as the result of missing value
621 in some patients.

622

Figure 3: Eplerenone treatment effect according to morphometric parameters using restricted cubic spline

Restricted cubic splines were drawn for the composite primary outcome to model the interaction between treatment and WC (A-B) or BMI (C) when both morphometric parameters were used as a continuous variable. Interactions are presented for male (A), women (B) and for both genders (C) in adjusted models. The continuous lines represent the hazard ratio and the dotted lines represent the confidence limits for the considered HR.

Characteristics	NWC n=1284	HWC n=1295	P	BMI < 30 kg/m ² n=1983	BMI ≥ 30 kg/m ² n=739	P
Age (years)	69.1 ± 7.9	68.2 ± 7.3	0.003	69.2 ± 7.7	67.0 ± 7.2	< 0.0001
Male gender (%)	85.4	70.0	< 0.0001	79.7	72.5	< 0.0001
BMI (kg/m ²)	25 ± 3	31 ± 4	< 0.0001	25 ± 3	34 ± 4	< 0.0001
Weight (kg)	70 ± 12	89 ± 16	< 0.0001	73 ± 12	97 ± 14	< 0.0001
Height (cm)	169 ± 9	170 ± 10	< 0.0001	169 ± 9	170 ± 10	0.22
WC (cm)	90 ± 8	109 ± 10	< 0.0001	94 ± 10	112 ± 11	< 0.0001
Heart rate (beats/minutes)	71.0 ± 12.2	72.4 ± 12.4	0.01	71.5 ± 12.4	72.4 ± 12.6	0.16
Systolic blood pressure (mmHg)	122 ± 17	126 ± 16	< 0.0001	123 ± 17	127 ± 16	< 0.0001
Systolic blood pressure ≥130 (mmHg) (%)	38.2	45.2	0.0004	38.8	48.7	< 0.0001
Left ventricular ejection fraction (%)	26 ± 5	26 ± 4	0.006	26 ± 5	26 ± 4	0.03
Left ventricular ejection fraction <35% (%)	98.7	97.7	0.07	98.2	98.1	0.83
QRS duration (msec)	121 ± 46	123 ± 44	0.23	121 ± 44	122 ± 46	0.90
Ischemic heart disease (%)	69.9	69.3	0.74	69.9	66.7	0.10
Medical history (%)						
Hospitalization for heart failure	53.1	52.0	0.59	52.3	53.5	0.61
Hypertension	59.4	74.4	< 0.0001	62.7	76.6	< 0.0001
Angina pectoris	43.5	45.3	0.34	42.1	47.2	0.02
Myocardial infarction	51.9	50.7	0.56	51.3	48.3	0.16
PCI	21.3	21.8	0.76	22.2	20.7	0.41
CABG	20.7	17.0	0.02	19.7	16.8	0.09
Atrial fibrillation	28.0	34.1	0.0007	28.8	36.4	0.0001
Diabetes mellitus	27.0	36.2	< 0.0001	28.7	38.6	< 0.0001
Stroke	8.8	10.4	0.17	9.3	10.9	0.20
Biology						
Estimated GFR (ml/min/1.73m ²)	71 ± 22	71 ± 22	0.92	70 ± 22	72 ± 22	0.07
Estimated GFR rate < 60ml/min/1.73m ² (%)	34.5	32.2	0.21	34.2	31.0	0.11
Potassium (mmol/L)	4.3 ± 0.4	4.3 ± 0.4	0.05	4.3 ± 0.4	4.3 ± 0.4	0.52
Sodium (mmol/L)	139.8 ± 4.2	140.4 ± 3.8	<0.0001	139.9 ± 4.1	140.6 ± 3.5	<0.0001
Device therapy (%)						
Implantable cardioverter-defibrillation	12.9	14.4	0.27	13.4	13.1	0.86
Implantable cardioverter-defibrillation with cardiac resynchronization	6.0	7.6	0.13	6.2	7.4	0.28
Cardiac-resynchronization therapy	2.1	2.5	0.45	2.4	1.8	0.35
Medications at randomization visit (%)						
Eplerenone	50.2	49.7	0.80	49.2	51.8	0.22
Diuretics	84.3	86.6	0.10	84.8	87.2	0.12
ACE inhibitor or ARB	92.1	94.4	0.02	93.3	93.8	0.65
Beta-blocker	87.4	87.4	1.00	86.7	88.7	0.17
Lipid lowering agent	63.3	62.2	0.60	63.5	61.5	0.33

NWC, normal waist circumference (WC < 102 cm for men and < 88 cm for women) and HWC, high WC (≥ 102 cm for men and ≥ 88 cm for women characterizing an abdominal obesity); BMI, body mass index (characterizing a global obesity when BMI ≥ 30 kg/m²). ACE stands for angiotensin-converting enzyme; ARB angiotensin receptor type II blocker; GFR glomerular filtration rate; PCI percutaneous coronary intervention and CABG coronary-artery bypass grafting.

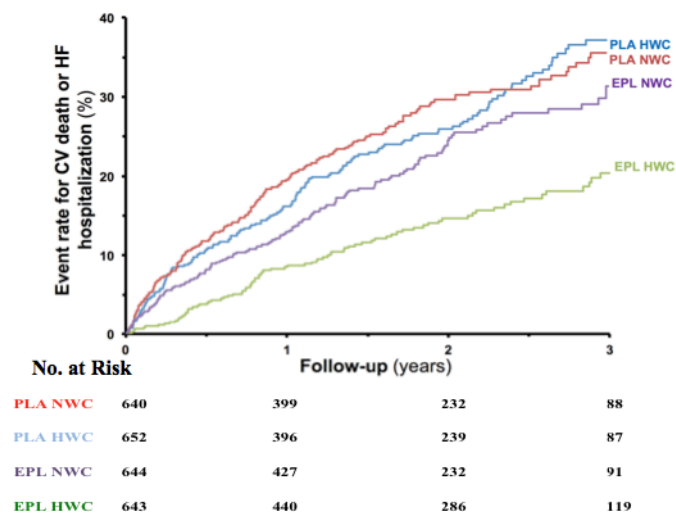
Table 2: Association between eplerenone and outcomes depending on morphometric parameters

Characteristics	Events/patients (%)	Crude HR (95%CI)	P	Multivariable HR (95%CI)	P	Characteristics	Events/patients (%)	Crude HR (95%CI)	P	Multivariable HR (95%CI)	P
Primary outcome: death from cardiovascular causes or hospitalization for heart failure											
Overall											
Placebo	335/1292 (25.9)										
Eplerenone	229/1287(17.8)	0.64 (0.54 – 0.76)	<0.0001	0.63 (0.52 – 0.75)	<0.0001						
NWC						BMI < 30					
Placebo	169/640 (26.4)					Placebo	271/1008 (26.9)				
Eplerenone	137/644 (21.3)	0.79 (0.63 – 0.99)	0.04	0.77 (0.61 - 0.98)	0.03	Eplerenone	193/975 (19.8)	0.71 (0.59 – 0.85)	0.0003	0.69 (0.57 - 0.83)	0.0001
HWC						BMI ≥ 30					
Placebo	166/652 (25.5)					Placebo	85/356 (23.9)				
Eplerenone	92/643 (14.3)	0.50 (0.39 - 0.65)	<0.0001	0.48 (0.37 - 0.63)	<0.0001	Eplerenone	54/383 (14.1)	0.51 (0.37 - 0.72)	0.0001	0.49 (0.35 - 0.71)	0.0001
Interaction EPL x WC			0.01	0.01		Interaction EPL x BMI			0.10	0.11	
Secondary outcome: All Cause Mortality											
Overall											
Placebo	201/1292 (15.6)										
Eplerenone	160/1287 (12.4)	0.77 (0.63 - 0.95)	0.01	0.76 (0.61 - 0.94)	0.01						
NWC						BMI < 30					
Placebo	107/640 (16.7)					Placebo	170/1008 (16.9)				
Eplerenone	97/644 (15.1)	0.91 (0.69 - 1.19)	0.48	0.87 (0.66 - 1.16)	0.35	Eplerenone	135/975 (13.9)	0.81 (0.65 - 1.02)	0.07	0.75 (0.59 – 0.95)	0.02
HWC						BMI ≥ 30					
Placebo	94/652 (14.4)					Placebo	43/356 (12.1)				
Eplerenone	63/643 (9.8)	0.63 (0.46 - 0.87)	0.004	0.62 (0.44 - 0.87)	0.005	Eplerenone	35/383 (9.1)	0.67 (0.43 – 1.05)	0.08	0.68 (0.43 – 1.08)	0.11
Interaction EPL x WC			0.09	0.13		Interaction EPL x BMI			0.46	0.73	
Cardiovascular death											
Overall											
Placebo	175/1292 (13.5)										
Eplerenone	136/1287 (10.6)	0.75 (0.60 - 0.94)	0.01	0.73 (0.58 - 0.93)	0.009						
NWC						BMI < 30					
Placebo	91/640 (14.2)					Placebo	149/1008 (14.8)				
Eplerenone	83/644 (12.9)	0.91 (0.68 - 1.23)	0.54	0.87 (0.64 - 1.18)	0.38	Eplerenone	116/975 (11.9)	0.80 (0.63 - 1.02)	0.07	0.73 (0.57 – 0.94)	0.02
HWC						BMI ≥ 30					
Placebo	84/652 (12.9)					Placebo	36/356 (10.1)				
Eplerenone	53/643 (8.2)	0.59 (0.42 - 0.84)	0.003	0.58 (0.40 - 0.83)	0.003	Eplerenone	30/383 (7.8)	0.69 (0.42 – 1.12)	0.13	0.71 (0.43 – 1.18)	0.19
Interaction EPL x WC			0.06	0.09		Interaction EPL x BMI			0.60	0.93	
Hospitalization for HF											
Overall											
Placebo	238/1292 (18.4)										
Eplerenone	151/1287 (11.7)	0.60 (0.49 – 0.73)	<0.0001	0.59 (0.48 – 0.73)	<0.0001						
NWC						BMI < 30					
Placebo	118/640 (18.4)					Placebo	194/1008 (19.3)				
Eplerenone	89/644 (13.8)	0.74 (0.56 - 0.97)	0.03	0.71 (0.53 - 0.95)	0.02	Eplerenone	129/975 (13.2)	0.66 (0.53 - 0.83)	0.0003	0.62 (0.49 – 0.77)	<0.0001
HWC						BMI ≥ 30					
Placebo	120/652 (18.4)					Placebo	59/356 (16.6)				
Eplerenone	62/643 (9.6)	0.47 (0.35 - 0.64)	<0.0001	0.48 (0.35 - 0.66)	<0.0001	Eplerenone	34/383 (8.9)	0.47 (0.31 - 0.71)	0.0004	0.47 (0.30 - 0.71)	0.0004
Interaction EPL x WC			0.03	0.07		Interaction EPL x BMI			0.15	0.25	

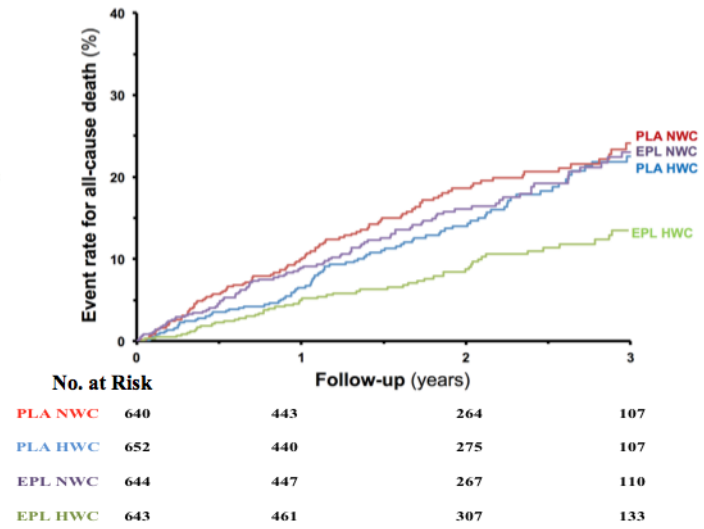
CV, cardiovascular; HF, heart failure ; HR, hazard ratio; CI, confident interval; BMI denotes body mass index expressed in kg/m² NWC denotes normal waist circumference <102/88 cm and HWC for high waist circumference ≥102/88 cm for men and women respectively;

Events/patients are given in unadjusted models

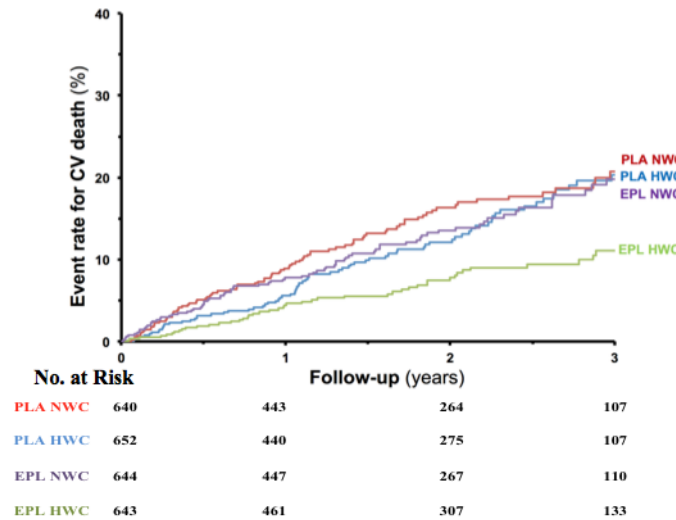
A Hospitalization for HF or death from cardiovascular causes



B All cause death



C Death from cardiovascular causes



D Hospitalization for heart failure

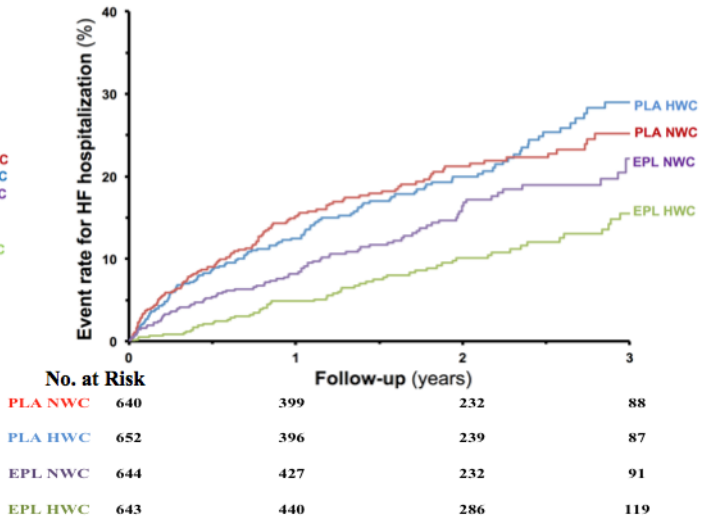
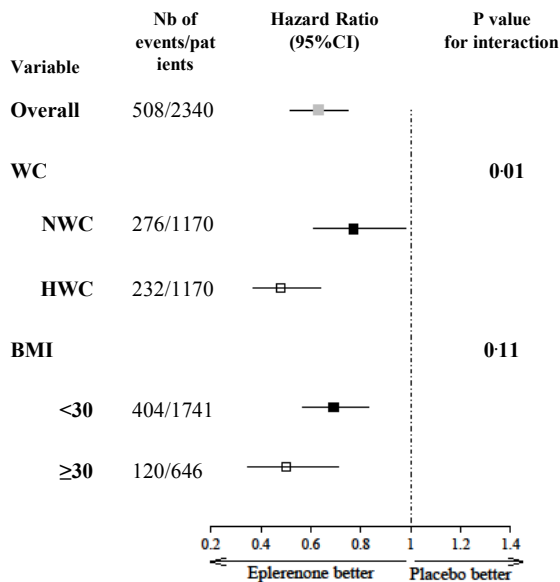
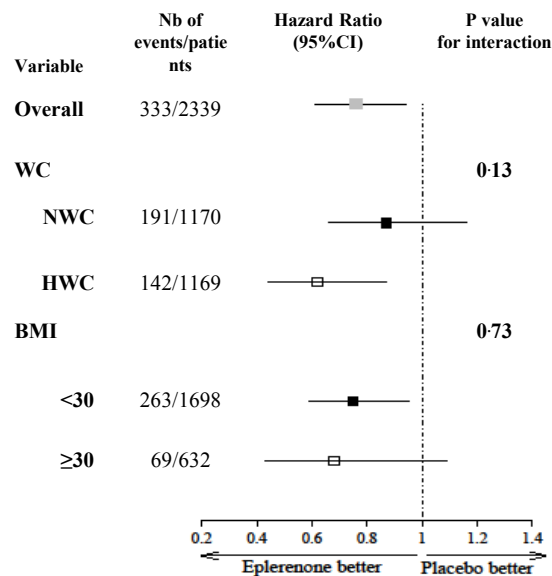


Figure 1 Cumulative Kaplan-Meier estimates of rates of the primary and secondary outcomes according to the four studied groups PLA, Placebo; EPL, Eplerenone; NWC, normal (<102/88 cm for men and women respectively) and HWC, increased ($\geq 102/88$ cm for men and women respectively) waist circumference.

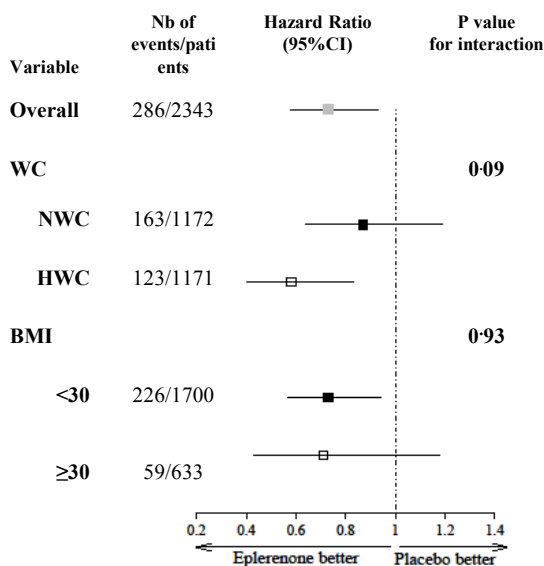
A Hospitalization for HF or death from cardiovascular causes



B All cause death



C Death from cardiovascular causes



D Hospitalization for heart failure

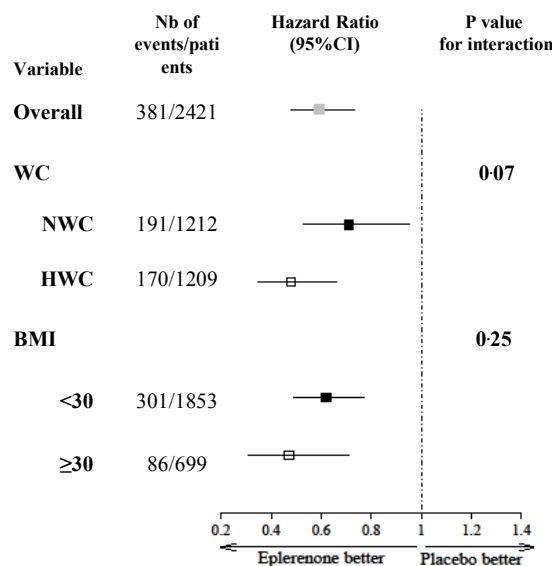
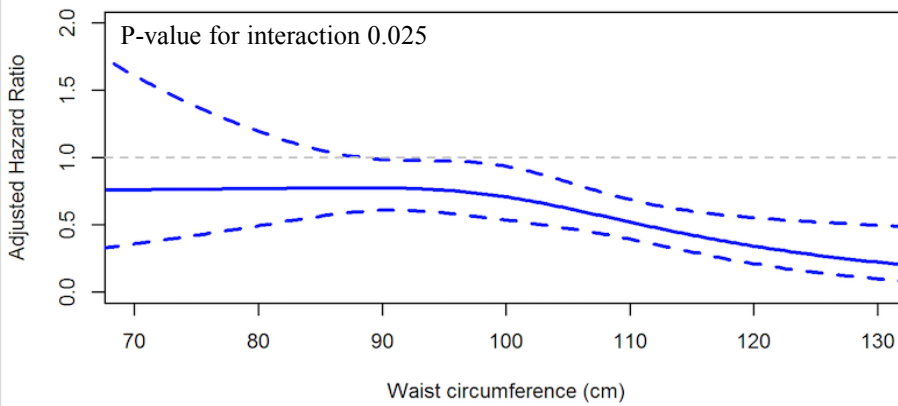


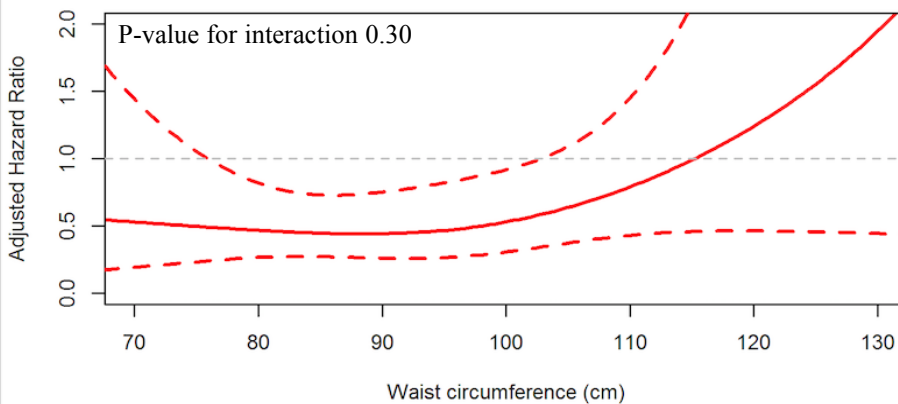
Figure 2 Hazard ratios for studied outcomes with eplerenone versus placebo in overall population and according to prespecified subgroups of WC and BMI.

The subgroups are based on baseline demographic and clinical characteristics. Values within the entire population are presented in gray. Values within the normal ranges of waist circumference (NWC i.e. WC<102/88 cm for men and women respectively) and body mass index (BMI<30 kg/m²) are presented in black and increased values in white (HWC i.e. WC ≥102/88 cm for men and women respectively and BMI ≥30kg/m²). Presented data are the results of multivariable model analysis adjusted for statistically significant covariates among those listed and tested in the statistical analysis section.

A Eplerenone treatment effect according to WC in men



B Eplerenone treatment effect according to WC in women



C Eplerenone treatment effect according to BMI in both genders

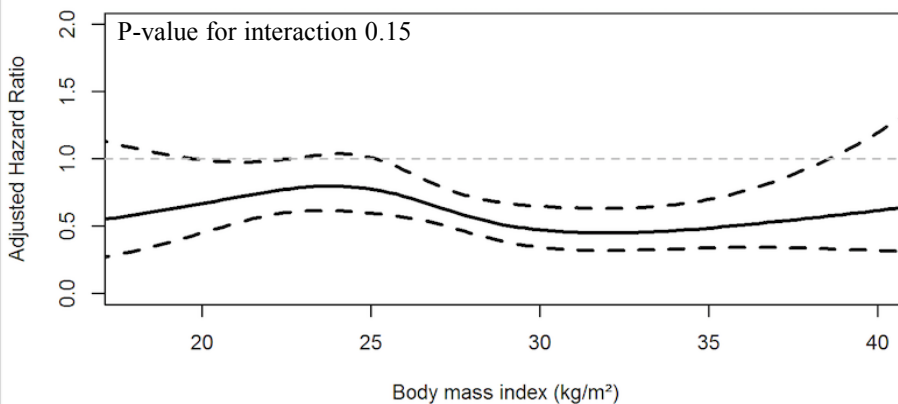


Figure 3 : Eplerenone treatment effect according to morphometric parameters using restricted cubic spline

Restricted cubic spline were drawn for the composite primary outcome to model the interaction between treatment and WC (A–B) or BMI (C) when both morphometric parameters were used as continuous variable. Interactions are presented for male (A), women (B) and for both genders (C) in adjusted models. The continuous lines represent the hazard ratio and the dotted lines represent the confidence limits for the considered HR.