

# Anophthalmos, Microphthalmos, and Typical Coloboma in the United Kingdom: A Prospective Study of Incidence and Risk

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**PURPOSE.** Anophthalmos, microphthalmos, and typical coloboma (AMC) form an interrelated spectrum of congenital eye anomalies that can cause significant visual loss and cosmetic disfigurement in children. This prospective study of children born in the United Kingdom was undertaken to determine the incidence of AMC diagnosed by ophthalmologists and to explore sociodemographic risks.

**METHODS.** Recruitment was achieved through an established active surveillance system of U.K. ophthalmologists supported by a new research network of interested specialists, the Surveillance of Eye Anomalies (SEA-UK) Special Interest Group. It started October 1, 2006, and continued over 18 months.

**RESULTS.** One hundred thirty-five children were newly diagnosed with AMC. Typical colobomatous defects were the commonest phenotype, and anophthalmos was rare ( $n = 7$ ). Both eyes were affected in 55.5% of the children. The cumulative incidence of AMC by age 16 years was 11.9 per 100,000 (95% CI, 10.9–15.4). Of the children examined, 41.5% had not seen an ophthalmologist by 3 months of age. The incidence in Scotland was nearly double that in England and Wales. The children of Pakistani ethnicity had a 3.7 (95% CI, 1.9–7.5) times higher risk of AMC than did white children. There was some evidence to suggest a higher incidence in the more socioeconomically deprived. The sibling risk ratio was 210 (95% CI, 25–722).

**CONCLUSIONS.** This is the first prospective study of AMC, and it establishes the frequency across the United Kingdom. Comparisons with data quoted in the literature are difficult because study methodologies differ, but the frequency appears to be lower than that quoted for other developed countries. There are geographic and ethnic variations in incidence that warrant further investigation. (*Invest Ophthalmol Vis Sci.* 2011;52:558–564) DOI:10.1167/iovs.10-5263

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Congenital anomalies are a significant cause of stillbirth, infant mortality, and disability worldwide.<sup>1</sup> Clinical, epidemiologic, embryologic, and experimental evidence indicate that anophthalmos, microphthalmos, and typical coloboma (AMC) are an interrelated group of congenital ocular anomalies that are likely to have some genetic basis.<sup>2–7</sup> These structural abnormalities are classified as major congenital anomalies, as they result in significant disability,<sup>8</sup> and it is estimated that they are responsible for approximately 15% to 20% of blindness and severe visual impairment in children worldwide.<sup>7,9</sup>

The epidemiologic investigation of AMC is difficult for several reasons, and most published data are derived from national congenital anomaly registers.<sup>5,10–20</sup> The population-based data on the frequency of typical coloboma are sparse, as most registries use the World Health Organization's International Classification of Diseases (ICD-10),<sup>21</sup> in which the condition is not adequately classified. From the (mainly Western) literature, the birth prevalence of anophthalmos ranges from 0.6 to 4.2 per 100,000 births<sup>5,10,15–16,20,22</sup>; from 2 to 17 per 100,000 births for microphthalmos<sup>5,10,11,16,20,23</sup>; and from 2 to 14 per 100,000 births for coloboma (predominantly of the iris).<sup>10,15,23–25</sup> This wide range of reported frequencies should probably not be interpreted as a variation in risk between different populations as registers have important differences. For example, variability of diagnostic capabilities and diagnostic precision of different health systems (particularly for anomalies that require specialist examinations) and variability in completeness of case ascertainment<sup>26</sup> are two factors that

compromise comparisons between data derived from national anomaly registers.

Early detection of congenital eye anomalies is important for several reasons: Parents value early diagnosis, treatment and rehabilitation strategies may be more effective, and genetic counseling can be provided, if appropriate.<sup>6</sup> The newborn and young infant vision-screening program recommends that all infants born in the United Kingdom be examined at birth and again at 6 to 8 weeks for ocular anomalies. Furthermore, it is recommended that all children in whom there is a family history of ocular anomaly be examined by an ophthalmologist within this period.<sup>27,28</sup>

The principal purpose of this study was to estimate the incidence of AMC (of any severity) diagnosed by ophthalmologists in children aged <16 years who were born in the United Kingdom. Secondary goals included determining sociodemographic variation and familial recurrence, as well as describing the age at which the diagnosis by the ophthalmologist was made. Findings of the etiologic investigations will be reported separately.

## METHODS

Children with AMC newly diagnosed by a National Health Service consultant ophthalmologist in the United Kingdom (England, Wales, Scotland, and Northern Ireland) were identified through the British Ophthalmic Surveillance Unit (BOSU), which manages an active surveillance scheme.<sup>29</sup> All consultant ophthalmologists and those with clinical autonomy in the United Kingdom ( $n = 1131$ ) (Foot B, BOSU coordinator, personal communication, 2006) were actively surveyed for 18 months between October 1, 2006, and March 31, 2008. They either noted that they had seen an eligible new case during the previous month or confirmed that they had no new cases to notify.<sup>29</sup> In addition to this active surveillance process, a Special Interest Group (SIG) was formed to augment case reporting. The SIG consisted of 44 pediatric ophthalmologists with specialist training and interest in pediatric ophthalmology, as well as geneticists with an interest in AMC. It was anticipated that most children with AMC would be referred to these specialists.

A standardized questionnaire was sent to all ophthalmologists who had notified an eligible child to collect information that included identifiers to determine duplicate reports (i.e., date of birth, postal code, sex, and initials), sociodemographic data, and clinical information (see Supplementary Material, <http://www.iovs.org/lookup/suppl/doi:10.1167/iovs.10-5263/-DCSupplemental>). The questionnaire was piloted by SIG members before the start of the study. At least three reminders (two postal, one telephone) were sent to nonresponding ophthalmologists in an attempt to limit nonresponse.

## Case Definition of AMC

As no formal classification has been developed for these anomalies, defining them for this study was complex. Clarification of the case definition, including inclusion and exclusion criteria, was sought from the BOSU Steering Committee and members of the SIG, to arrive at a consensus of opinion. For this clinical study anophthalmos was defined as no evidence of a globe or ocular tissue on clinical examination, since birth. Microphthalmos was defined as an abnormally small eye or cornea (microcornea): axial length, <16 mm at birth, and <19 mm at 12 months of age; and corneal diameter, <10 mm at birth. As not all children would undergo measurement of ocular dimensions, the reference ranges were provided as a guide, but not as a diagnosing criterion. Differentiation of anophthalmos from severe microphthalmos was left to the reporting clinician. Coloboma was defined as a defect in any ocular tissue(s) consistent with failure of closure of the fetal fissure. To clarify the definition for clinicians, the following diagnoses were explicitly excluded: eyelid coloboma, anterior segment anomalies (e.g., aniridia, Peters' anomaly), and other posterior non-

colobomatous anomalies (e.g., retinopathy of prematurity, persistent hyperplastic primary vitreous, and optic nerve hypoplasia).

## Data Management

Data were double entered into a database (Access; Microsoft, Redmond, WA), and analysis was conducted (Stata; StatCorp., ver. 10.0, College Station, TX).

For analyses the following three mutually exclusive phenotypic categories were used at the level of the child: (1) any anophthalmos: unilateral or bilateral anophthalmos, irrespective of any other AMC anomaly in either eye; (2) isolated coloboma: unilateral or bilateral coloboma and no other AMC anomaly in either eye; (3) microphthalmos with or without coloboma: subdivided into (3a) isolated microphthalmos: unilateral or bilateral microphthalmos and no other AMC anomaly in either eye, and (3b) mixed: unilateral or bilateral microphthalmos and any other AMC defect in one or both eyes (includes microphthalmos+coloboma and microphthalmos with cyst).

Two other, non-mutually exclusive, phenotypic groups were also characterized: (1) any coloboma, which was defined as a child with any form of coloboma regardless of the presence of anophthalmos or microphthalmos; and (2) anophthalmos/microphthalmos (A/M), which was defined as unilateral or bilateral anophthalmos or microphthalmos, regardless of the presence of coloboma.

## Statistical Analysis

There were approximately 1,158,000 live births during the study period.<sup>30</sup> When possible, data were stratified by age, sex, ethnicity (according to the U.K. Office for National Statistics [ONS] classification; data available for Great Britain only<sup>31</sup>), and England Government Office Regions (GORs). Place of residence was determined by using the postal code at the time of reporting, or the mother's postal code during pregnancy, if different. Socioeconomic status was determined by using the Index of Multiple Deprivation (IMD) for children born in England only (as this classification is country specific, and ranking from different countries cannot be usefully combined<sup>32,33</sup>). IMD rank was categorized into quintiles for analysis. Three categories of place of residence were determined (using postal code) for children born in England (as classification is country specific); urban, small town/fringe, and village/dispersed according to ONS-recommended classification.

In this study, incidence estimates were calculated in different ways. First, live birth, annual age-specific incidence of diagnosis of AMC by a U.K. ophthalmologist was estimated. The incidence of diagnoses of AMC in the first year of life was determined by dividing the number of newly diagnosed cases of AMC presenting in the first year of life by the annual number of live births in 2007 (denominator multiplied by 1.5 to account for 18-month study period). Second, the live birth risk (cumulative incidence) was calculated. Finally, the total annual incidence was calculated with the whole (living) population of children aged 0 to 15 years as the denominator. The advantage of the latter approach is that data could be analyzed by ethnic group, level of deprivation, and location of residence as population level data are available for these variables. To assess whether children born as singletons had the same incidence as those born as multiples, information on the number of live multiple births in England and Wales was obtained from the ONS.<sup>34</sup> Risk ratios (RRs) were calculated using the method described by Clayton and Hills,<sup>35</sup> and 95% confidence intervals (CIs) were based on the Poisson distribution.

Time from birth to diagnosis by an ophthalmologist was displayed as a survival function, and differences between phenotypes were tested by using the log rank test.<sup>36</sup> Sibling risk ( $K_s$ ) and sibling risk ratios ( $\lambda_s$ ) were calculated as measures of familial aggregation. Sibling risk was defined as the number of siblings with an AMC, excluding the index case divided by the total number of siblings, again excluding the index case. Sibling risk ratio was defined as a ratio of risk of disease manifestation, given that one's sibling is affected, compared with the prevalence in the general population. The sibling risk ratio ( $\lambda_s$ ) was

calculated ( $\lambda_s = K_s/K$ ), where  $K$  is the population risk of AMC, as determined from this study.<sup>37</sup>

The design and methodology of the study were reviewed and approved by the BOSU Steering Committee. The study was also approved by the Ethics Committee of the London School of Hygiene and Tropical Medicine (reference number 3091) and by the NHS London MREC (reference number 06/MREO2/45). The data were handled in accordance with current guidance on data protection. The research complied with the tenets of the Declaration of Helsinki.

## RESULTS

Eighty eligible cases were reported to BOSU, and 55 additional cases were reported through the SIG, making a total of 135 confirmed cases of AMC. Boys accounted for 50.4% of cases (Table 1). Isolated coloboma was the commonest phenotype

TABLE 1. The Demographic Distribution of AMC

	Cases		Child Population	
	(n)	%	(n)	(%)
Country				
England	112	83.0	9,674,100	84.0
Wales	2	1.5	561,200	5.0
Scotland	19	14.1	921,800	8.0
Northern Ireland	2	1.5	380,100	3.0
UK Total	135	100	11,537,200	100
Sex				
Male	68	50.4	5,911,704	51.0
UK total	135	100	11,537,200	100
Ethnicity*				
White	94	76.4	9,767,510	87.9
Pakistani	9	7.3	252,761	2.3
Mixed	6	4.9	326,189	2.9
Bangladesh	3	2.4	105,306	0.9
Indian	3	2.4	233,594	2.1
African	3	2.4	141,588	1.3
African Caribbean	2	1.6	111,678	1.0
Other	3	2.4	179,212	1.6
Great Britain total	123*	100	11,117,837	100
Deprivation England only†				
Most deprived	28	25.2	2,243,676	23.1
Group 2	27	24.3	1,898,565	19.5
Group 3	21	18.9	1,799,340	18.5
Group 4	16	14.4	1,815,717	18.7
Least deprived	19	17.1	1,955,088	20.1
England total	111	100	9,712,386	100
Dwelling location England only†				
Urban	91	82	7,931,478	81.7
Small town and fringe	9	8.1	907,824	9.3
Village and dispersed	11	9.9	873,084	9.0
England total	111	100	9,712,386	100
GOR England only‡				
North East	5	4.4	469,400	5.0
North West	10	8.8	1,322,100	14.0
Yorkshire/The Humber	11	9.7	979,200	10.0
East Midlands	5	4.4	819,500	8.0
West Midlands	5	4.4	1,057,600	11.0
East	11	9.7	1,077,800	11.0
London	31	27.4	1,445,000	15.0
South East	23	20.4	1,581,400	16.0
South West	11	9.7	922,100	10.0
England total	112	100	9,674,100	100

Ref, reference.

\* 10 values missing.

† Requires postcode (1 missing value).

‡ Government Office Region (for England only), deprivation measured by Index of Multiple Deprivation.

TABLE 2. Live Birth Risk (Cumulative Incidence) in the United Kingdom, by Phenotype

Disease State/Age at Onset	n	Incidence per 100,000	95% CI
AMC			
By 1 Year	119	10.4	8.6-12.4
By 5 Years	133	11.5	10.3-14.6
By 16 Years	135	11.9	10.9-15.4
Any anophthalmos			
By 1 year	7	0.6	0.3-1.3
Isolated coloboma			
By 1 year	49	4.3	3.1-5.6
By 5 years	58	5.1	4.1-7.0
By 16 years	62	5.5	4.5-7.6
Isolated microphthalmos			
By 1 year	29	2.5	1.7-3.6
By 5 years	31	2.7	2.0-4.1
Mixed			
By 1 year	34	3.0	2.1-4.2
By 5 years	35	3.1	2.3-4.5
A/M*			
By 1 year	70	6.1	4.8-7.7
By 5 years	73	6.4	5.3-8.5
Any coloboma†			
By 1 year	85	7.4	5.9-9.2
By 5 years	95	8.4	7.1-10.8
By 16 years	99	8.7	7.5-11.5

\* Anophthalmos/microphthalmos (i.e. regardless of presence of coloboma).

† Regardless of the presence of anophthalmos or microphthalmos.

( $n = 62, 45.9\%$ ). Microphthalmos was present in 66 children: isolated in 31 (23%) and mixed in 35 (25.9%). Anophthalmos was rare, being present in only seven (5.2%) children. In nearly three fourths of the children ( $n = 99, 73.3\%$ ), a colobomatous defect was present (i.e., any coloboma). A/M was present in 73 (54.1%) children.

The live birth incidence of AMC in the first year of life was 10.4 per 100,000 live births (95% CI, 8.6-12.4; Table 2). This increased slightly with age, so that by the age of 16 years, the cumulative risk of an AMC anomaly in the United Kingdom was 11.9 per 100,000 (95% CI, 10.9-15.4). Scotland had nearly twice the cumulative incidence of AMC (22.2 per 100,000; 95% CI, 14.2-36.7) as did England and Wales (11.4 per 100,000; 95% CI, 10.3-15.0).

As shown in Table 3, the annual live birth incidence of AMC for the under 16 population in the UK was 0.8/100,000 (95% CI, 0.7-0.9). Significant ethnicity differences were apparent. However, these associations may be confounded by socioeconomic status, as 90.5% of children in the least deprived category were white compared with 61.3% in the most deprived ( $P = 0.003$ ). Two-thirds of the Pakistani children were in the bottom two IMD categories compared to 36.8% of the white children. Furthermore, there was some evidence that the incidence was higher in the more deprived (0.88/100,000 per year (top two quintiles) vs. 0.62/100,000 per year (bottom two quintiles),  $P = 0.04$ ).

The proportion of singletons in this study was lower than the national average (95.8% vs. 98.5%;  $P = 0.03$ ). The annual incidence of AMC in children aged less than 12 months and born as multiples was 32.9 per 100,000 (95% CI, 10.8-76.8), which was higher than the incidence in singletons (RR 3.3; 95% CI, 1.3-8.0;  $P = 0.006$ ).

Nine (8.3%) sets of parents had consanguineous marriages with the following relationships: first cousins ( $n = 3$ ), second cousins ( $n = 2$ ), uncle/niece ( $n = 1$ ) and unknown ( $n = 3$ ). Half the couples were Pakistani. In 18 (13.3%) children, there was a positive history of a congenital eye anomaly in another

TABLE 3. Total Annual Live Birth Incidence (<16 Years) of any AMC Anomaly

	Annual Live Birth Incidence/100,000/y	95% CI	Relative Rate	95% CI	P
Overall UK	0.78	0.68-0.94			
Country					
England	0.77	0.65-0.94	Ref		
Wales	0.24	0.03-0.86	0.31	0.07-1.22	0.075
Scotland	1.37	0.83-2.15	1.78	1.08-2.84	0.022
Northern Ireland	0.35	0.04-1.30	0.45	0.11-1.81	0.245
Sex					
Male	0.77	0.62-0.99	Ref		
Female	0.79	0.62-1.01	1.02	0.72-1.43	0.912
Ethnicity*					
White	0.64	0.51-0.77	Ref		
Pakistani	2.37	1.09-4.51	3.70	1.9-7.5	<0.001
Bangladesh	1.90	0.70-6.50	2.96	1.0-9.55	0.047
Indian	0.86	0.18-2.50	1.33	0.67-4.94	0.234
African	1.41	0.11-3.40	2.20	0.71-7.1	0.155
African Caribbean	1.19	1.31-7.80	1.86	0.47-7.7	0.36
Mixed	1.23	0.13-1.80	1.91	0.85-4.46	0.105
Other	1.12	0.23-3.30	1.74	0.56-5.61	0.32
Deprivation†					
Most deprived	0.83	0.60-1.30	Ref		
Group 2	0.95	0.68-1.50	1.14	0.68-1.91	0.618
Group 3	0.78	0.48-1.20	0.94	0.46-1.46	0.493
Group 4	0.59	0.31-0.91	0.71	0.29-1.06	0.073
Least deprived	0.65	0.36-0.97	0.78	0.38-1.24	0.208
Dwelling‡					
Urban	0.77	0.65-0.97	Ref		
Small town and fringe	0.66	0.25-1.16	0.85	0.36-1.51	0.403
Village and dispersed	0.84	0.37-1.40	1.09	0.5-1.83	0.893
GOR‡					
North East	0.71	0.23-1.66	Ref		
North West	0.50	0.24-0.93	0.71	0.24-2.08	0.529
Yorkshire/The Humber	0.75	0.37-1.30	1.05	0.37-3.04	0.922
East Midlands	0.41	0.13-0.95	0.57	0.17-1.98	0.372
West Midlands	0.32	0.07-0.65	0.44	0.1-1.32	0.11
East	0.68	0.34-1.22	0.96	0.33-2.75	0.937
London	1.43	1.05-2.14	2.01	0.83-5.49	0.104
South East	0.97	0.58-1.40	1.37	0.5-3.45	0.59
South West	0.80	0.50-1.61	1.12	0.47-3.71	0.593

Ref, reference.

\* Ethnicity data (Great Britain only) unknown in 10 children.

† Derived from English postcode, unknown in one.

‡ English Government Office Regions.

family member, and in 11 this was another AMC abnormality. Sibling recurrence risk ( $K_s$ ) was 2.5% (95% CI, 0.3%-8.6%). Using the population risk of AMC determined in this study the sibling risk ratio ( $\lambda_s$ ) was calculated as 210 (95% CI, 25-722). The mean age of a first-time mother was slightly older than the national average (28.8 years vs. 27.6 years,  $P = 0.26$ ).

In just over one third of cases, diagnosis was made by an ophthalmologist by 1 month of age ( $n = 52$ , 38.5%) and 58.5% by 3 months of age. All children had received the diagnosis by the 10th birthday. Significant differences existed in diagnosis according to ocular phenotype, with isolated coloboma being diagnosed significantly later than more severe defects (Fig. 1). Among the children in whom isolated coloboma was diagnosed after 3 months ( $n = 35$ ), visible iris coloboma was present in more than half (57.1%).

In most cases (58.3%), the eye abnormality was first identified by a family member. Hospital pediatricians were the first health professionals to notice the eye anomaly in 29.3% of children. Nine children had the abnormality detected in the community (the general practitioner,  $n = 3$ ; community optometrist,  $n = 4$ ; midwife,  $n = 1$ ; or health visitor,  $n = 1$ ).

Bilateral AMC was recorded in 75 (55.5%) children, and the proportion did not vary by phenotype. Unilateral AMC was

diagnosed significantly later than bilateral defects (diagnosed after 1 month in 73.3% of children with unilateral defects vs. 52% with bilateral defects,  $P = 0.01$ ). This disparity was even

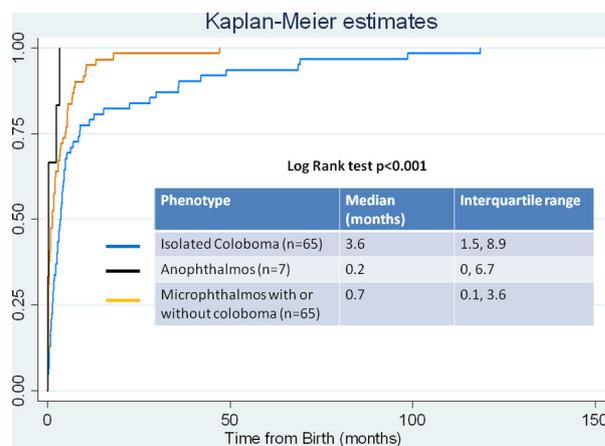


FIGURE 1. Time to diagnosis stratified by phenotype.

more apparent by 3 months (diagnosed after 3 months in 61.7% of children with unilateral defects vs. 25.3% with bilateral defects,  $P < 0.001$ ). AMC was diagnosed by 3 months of age in only three of the six children who had a first-degree relative with the disease.

## DISCUSSION

This is the first prospective study of incident AMC in the United Kingdom, and the cumulative risk by the age of 16 years was estimated at 1 case in every 8400 live births. The cumulative incidence appears to be lower than birth prevalences quoted for other regions; however, comparison with registry data was problematic. Most registers use passive surveillance data collection methods and can include misclassifications (particularly for anomalies that require specialist examinations). For example, 39% of children registered with AMC in the Scottish National Congenital Anomalies register were subsequently found to have been misclassified.<sup>2</sup> Comparison with other studies is also difficult due to variation in case definitions or inclusion and exclusion criteria. For example, most other studies have not included coloboma or, if they did, only iris coloboma was included. Some studies exclude chromosomal abnormalities,<sup>38</sup> or unilateral or mild conditions,<sup>11</sup> whereas other studies include still births and fetuses from terminated pregnancies.<sup>14,15</sup> These differences are likely to have an effect not only on frequency estimates but also on the range and severity of the anomalies reported.

The study most comparable to ours, in which the diagnosis of AMC was also confirmed by eye specialists, was undertaken in Scotland between 1981 and 1997. In this study, the live birth prevalence was estimated to be 19 of 100,000 live births,<sup>2</sup> which is similar to the frequency found in Scotland in the present study. Another study undertaken in England between 1988 and 1994, retrospectively ascertained cases of anophthalmos and microphthalmos from multiple sources.<sup>38</sup> In that study, the birth prevalence was estimated to be 10 per 100,000 live births (95% CI, 9–11).

Our study found a higher risk of AMC in Scotland than in England, in line with findings that a higher proportion of children have visual impairment, due to whole-globe anomalies in Scotland compared with England.<sup>39,40</sup> Congenital glaucoma has a greater frequency in Scotland<sup>41</sup> than in the rest of the United Kingdom, and a north-south British divide has also been reported for several other congenital anomalies.<sup>42</sup> Compared with elsewhere in Europe, a higher prevalence of anomalies has been noted in Glasgow.<sup>43</sup> Scotland has a reputation for poor health, termed the “Scottish effect,” with markedly worse mortality and morbidity rates than the rest of Britain.<sup>44</sup> Whether a Scottish effect is apparent in congenital anomaly rates is unclear, as there are other possible explanations for these findings. For example, case ascertainment may be more complete in Scotland than elsewhere in the United Kingdom and Europe. The lower rate of termination of pregnancy (12.4/1000 women aged 15 to 44 years in Scotland compared with 18.3 for the same age group in England and Wales, 2006) may also be a factor.<sup>45</sup>

Ethnic minorities (in particular children of Pakistani and Bangladeshi ethnicity) appear to have a higher incidence of AMC than do white children. This finding is consistent with data from other epidemiologic studies undertaken in south Asian countries, which are estimated to have the highest prevalence of severe visual loss from congenital ocular anomalies.<sup>7</sup> In the United Kingdom, a significantly higher incidence of congenital glaucoma was also found in Pakistani children.<sup>41</sup> Reasons for the increased risk are unclear, and both genetic and environmental influences are likely to be important. The

practice of consanguineous marriage is particularly common in these ethnic groups, and parental consanguinity is high among children with AMC.<sup>15,46</sup> Although consanguinity is known to increase the risk of autosomal recessive conditions, the adverse effect is often exaggerated, as many studies do not take into account the potential confounders, such as socioeconomic status and maternal education. There are also likely to be differences in attitude and behavior in relation to antenatal care and termination of pregnancy between groups that do or do not practice consanguineous marriage.<sup>47,48</sup> Investigating socioeconomic inequalities can reveal etiologic clues, as was the case for nutritional deficiency and neural tube defects.<sup>49</sup> Increasing socioeconomic deprivation has been identified as a risk factor for some anomalies,<sup>50</sup> and the present study showed some evidence that the more deprived areas had a higher incidence of AMC than did the more affluent areas ( $P = 0.04$ ). This finding is also relevant in the context of needs assessment and effective targeting of services. Differences in deprivation by ethnicity are observed in the U.K. population as a whole and were observed within our sample; but, unfortunately, as IMD data were not available stratified by ethnic group at the population level, it was not possible to adjust our risk estimates for confounding by socioeconomic status.

Children born in multiple births appeared to have a higher incidence of AMC than did singletons, which is consistent with other studies of eye/other anomalies.<sup>11,13,51</sup> Greater maternal age and the use of assisted reproduction technology (ART) are both associated with multiple births, and if current trends for increasing maternal age and use of ART continue, the incidence of AMC may also increase.

Diagnosis by 3 months of age has been suggested as a useful cutoff for evaluating the national newborn and young infants vision-screening program.<sup>52</sup> Applying the 3-month cutoff to the data in our study meant that some children with visually obvious anomalies (e.g., iris coloboma) received a late diagnosis. Some children who would benefit from early intervention (e.g., those with microphthalmos and cataract) also received a late diagnosis. Such findings suggest that the newborn and young-infant vision screening program, which is not routinely monitored, may benefit from formal evaluation to determine coverage, quality, and best practice. Introducing a mandatory pupil-dilated examination, as has been introduced in some U.S. states, may be useful, as it is recognized that the more subtle anomalies (an isolated chorioretinal coloboma for example) may not be identified with the current screening method.

The aggregation of a disease within a family is often the first clue of underlying genetic susceptibility. In line with our findings, Morrison et al.<sup>2</sup> estimated a sib recurrence risk ( $K_s$ ) of 6% and a sib risk ratio ( $\lambda_s$ ) of 316 in Scotland. These figures provide genetic counselors with information that may help parents in planning future pregnancies. The modest sibling risk of AMC, which is similar to other complex diseases (e.g., neural tube defects),<sup>53</sup> reflects the role of genetic heterogeneity, the likely oligogenic effects, and the interaction with environmental factors in the etiology of AMC. Of importance, however, in view of emerging genetic identification of these syndromes,<sup>6</sup> is the offer of a genetic diagnosis.

Isolated colobomata were found to be diagnosed later than more severe anomalies in this study, which is relevant, as it has a bearing on the frequency estimate. In anomaly research, birth prevalence is a commonly quoted measure of disease frequency, but its reliability is dependent on the upper age limit for registration, meaning that milder anomalies, which are detected when the child is older, are more likely to be under-ascertained. Upper age limits for registration vary between registers. For example, the Alberta Congenital Anomalies Surveillance System<sup>12</sup> eligibility criteria specify that the child must be under the age of 1 year to qualify for registration but, in the

Spanish collaborative study,<sup>5</sup> the notification period was the first 3 days of life. This latter study reported a birth prevalence of anophthalmos similar to that of coloboma, suggesting that coloboma was markedly underreported. Our study therefore quotes age-specific and cumulative incidence of diagnosis of AMC as measures of disease frequency. In addition, such estimates provide insight into the diagnosing and notifying process of the health care system.

Completeness of ascertainment is always a concern in any epidemiologic study of rare disease. For this reason, we chose the method of active surveillance, as it yields a high ascertainment rate.<sup>54-57</sup> The method also allows standardized data collection, thus reducing observer bias.<sup>58</sup> In addition, the United Kingdom's National Health Service, which provides a universal cost-free service, is ideally suited for active surveillance. The unique BOSU system has a track record of supporting the study of rare pediatric ophthalmic disorders over the last 11 years.<sup>39,41,52,59</sup> But despite this, as ascertainment is unlikely to be 100%, the data quoted in our study are likely to be minimum estimates. The BOSU card return rate by ophthalmologists during the study period was 77% (Foot B, BOSU co-ordinator; personal communication, 2006), and return rates can vary by reporting region, allowing the possibility of differential case reporting. A further concern was that 13% of notifying ophthalmologists could not remember the child's details and therefore could not complete the questionnaire. This problem has been recognized in other BOSU studies. In our study, the successful development and use of a geographically widespread SIG as a safety net for case ascertainment has limited these concerns. The study requirement that every child be examined by an experienced ophthalmologist meant that the ocular phenotype was as accurate as possible, but it also meant that children born with life-threatening systemic anomalies who died before being seen by an ophthalmologist would be missed. As the study did not require ocular examination of siblings, underreporting of eye anomalies in siblings would lead to an underestimate of familial risk. It is also important to remember that associations between deprivation and AMC may be subject to the ecological fallacy (i.e., postal code data may not imply individual exposure). Several children reported to the study were not eligible for inclusion, as they did not fit the case definition. We recognize that the continued lack of international standardization of terminology<sup>60</sup> should be addressed.

In summary, accurate epidemiologic studies are an important step toward quantifying the public health importance of conditions and are necessary for planning eye care services for the children and their families, as well as for investigating secular trends and/or clustering. This study provides, for the first time, minimum incidence estimates of confirmed AMC for the United Kingdom. The methods used in this study were different from those used in earlier studies of AMC, but we believe the results to be applicable to other populations of similar composition. South Asian children appeared to be at higher risk and a U.K. cohort study of pregnant mothers, currently in recruitment in an area where almost half the infants born have parents of Pakistani origin, may reveal some of the complex interactions that affect health in these communities.<sup>61</sup> The apparently higher incidence in Scotland and evidence of late identification (possibly due to inadequate screening of neonates) also requires further investigation. Last, this study demonstrates the feasibility of using a prospective study design for recruitment to a collaborative multicenter study of AMC.

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## APPENDIX

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