

1 ORIGINAL RESEARCH ARTICLE

2

3 **RECRUDESCENCE OF ONCHOCERCIASIS IN THE COMOÉ VALLEY IN**

4 **SOUTHWEST BURKINA FASO**

5

6 Lassane Koala<sup>a</sup>, Achille Nikiema<sup>a</sup>, Rory J. Post<sup>b,c\*</sup>, Alain Brice Paré<sup>d</sup>, Claude Montant

7 Kafando<sup>e</sup>, François Drabo<sup>e</sup> and Soungalo Traoré<sup>f</sup>

8

9 <sup>a</sup>*Ministère de l'Enseignement supérieur, de la Recherche scientifique et de l'Innovation,*

10 *Direction régionale de l'Institut de recherche en sciences de la santé (IRSS/Bobo),*

11 <sup>b</sup>*Liverpool John Moores University, School of Natural Sciences and Psychology,*

12 *Byrom Street, Liverpool L3 3AF, UK*

13 <sup>c</sup>*Disease Control Department, Faculty of Infectious and Tropical Diseases, London*

14 *School of Hygiene and Tropical Medicine, Keppel Street, London WC1E 7HT, UK*

15 <sup>d</sup>*Helen Keller International, Ouagadougou 06 BP 9515 Ouaga 06, Burkina Faso*

16 <sup>e</sup>*Ministère de la Santé, Direction de la Lutte Contre la Maladie, Ouagadougou 01 BP*

17 *7003 Ouaga 01, Burkina Faso*

18 <sup>f</sup>*Ouagadougou 01 BP 2938 Ouaga 01, Burkina Faso*

19

20 \*Corresponding author.

21 *Email address: r.j.post@ljmu.ac.uk*

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24 ABSTRACT

25

26 Onchocerciasis control by vector control was instigated in southwest Burkina Faso in  
27 January 1969 by ORSTOM/OCCGE, and continued until operations were taken over  
28 by the WHO Onchocerciasis Control Programme (OCP) in February 1975, which  
29 itself ceased operations in the area in 1989 when onchocerciasis was judged to have  
30 been reduced to insignificant levels. Initially (1969-1975) vector immigration  
31 maintained unacceptably high levels of transmission, but OCP was much larger than  
32 the preceding campaign and in 1975 the Annual Transmission Potential (ATP)  
33 dropped below 100 at all sites in the Comoé river valley except Folonzo, which  
34 continued to be subject to reinvasion, along with the whole of the Léraba river valley.  
35 However, after the southern extension of the OCP in 1979, ATPs dropped below 100  
36 everywhere in the Comoé basin (including the Léraba valley), and further dropped to  
37 insignificant levels after the western extension of the OCP in 1985. Thus transmission  
38 dropped more quickly in the Comoé river valley than the Léraba river valley (which  
39 had been subject to vector reinvasion), and this was also reflected in prevalence of  
40 microfilaraemia in the human population. After 1986 prevalence was less than 5% in  
41 all villages in the Comoé river valley (except for two, which subsequently dropped to  
42 0% and 3.7% by 1999). However, in 2001 (12 years after the cessation of vector  
43 control) the prevalence in one village in the Comoé river valley had increased to  
44 39.6%, and two more had increased above 5% by 2007. New epidemiological  
45 surveys in 2011 and 2012 showed that in 13 out of 30 villages in the Comoé river  
46 valley prevalence of microfilaraemia was above 5%, although this was not observed  
47 in the Léraba river valley where prevalence remained low. This is the first  
48 documented case of recrudescence of onchocerciasis in the old OCP area, and the

49 reasons are not clear. It is possible that there has been immigration of parasites with  
50 humans or vectors from areas where there has been a shorter period of control, or that  
51 control has been less effective. It is possible that in spite of very low levels of  
52 transmission the local parasite population was never reduced to a level below the  
53 transmission breakpoint, or that there has been a local recrudescence due to stochastic  
54 population effects. In any case it is clear that the distribution of ivermectin against  
55 lymphatic filariasis in the area since 2004 has failed to prevent the recrudescence of  
56 onchocerciasis, and the Burkina Faso *Programme National de Lutte contre*  
57 *l'Onchocercose* (PNLO - Ministère de la Santé) has instigated a programme of  
58 Community Directed Treatment with Ivermectin specifically aimed at onchocerciasis  
59 in accordance with the strategy developed by APOC and recommended to  
60 governments by OCP when it was dissolved in 2002.

61

62 *Keywords:* onchocerciasis, river blindness, *Onchocerca volvulus*, *Simulium*  
63 *damnosum*, Onchocerciasis Control Programme, Burkina Faso.

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65

66 **1. Historical introduction**

67

68 In 1974 the World Health Organisation Onchocerciasis Control Programme  
69 (OCP) began operations with the objective “to eliminate onchocerciasis as a disease  
70 of public health importance and socio-economic importance” in the Volta river basin  
71 of West Africa (Boatin et al., 1997). The OCP was located in this area because there  
72 was already a significant body of knowledge concerning the clinical aspects of the  
73 disease, its entomology, parasitology, epidemiology and socio-economics (PNUD,  
74 1972; Philippon and Le Berre, 1974), and because of the high rates of the disease in  
75 the West African savannah belt, especially the Volta river basin and surrounding  
76 areas. For example, at that time Burkina Faso (as Haute-Volta) had a population of 4.5  
77 million people, of whom 400,000 were infected and 10% of these had serious ocular  
78 manifestations (WHO, 1994). In the worst affected areas blindness might afflict 10%  
79 of the adult population (including 50% of males over 40 years of age) (WHO, 2002).  
80 Elimination of the disease was to be achieved by weekly aerial application of  
81 insecticide (temephos) to the riverine breeding sites of the vector *Simulium damnosum*  
82 s.l. The original OCP area included all of Burkina Faso (except the extreme north  
83 where there were no vector breeding sites) and parts of Mali, Côte d’Ivoire, Ghana,  
84 Togo, Benin and Niger (Figure 1). Parts of southwest Burkina Faso had already been  
85 subject to ground larviciding with DDT. In 1962-1963 the Comoé river was treated  
86 every ten days for 13 months by an ORSTOM-OCCGE team led by Drs René Le  
87 Berre and Max Ovazza, and in January 1969 larviciding recommenced to cover  
88 60,000 km<sup>2</sup> including the Comoé basin (consisting of the Comoé river with its main  
89 tributaries, the most important being the Léraba river), and known as the FED  
90 campaign (because it was supported by the Fonds Européen de Développement) and

91 continued until OCP commenced operations (Walsh, 1990). This local control led to  
92 a significant drop in annual biting rate (ABR). For example, at Folonzo (6 km from  
93 the Comoé river) ABR dropped from 65,000 to 25,000, but with the commencement  
94 of the OCP, covering a much wider area, ABR immediately dropped to less than 200  
95 in most years (Philippon et al., 1990). The important events in the control history of  
96 the Comoé basin are summarised in Table 1.

97

98         The vector populations breeding in the Comoé river in both Burkina Faso and  
99 northern Côte d'Ivoire, along with its major tributary, the Léraba river, have been  
100 identified as predominantly savannah cytospecies (*S. damnosum* s.str. and *S.*  
101 *sirbanum*) along with *S. soubrense* in the Léraba and a few *S. squamosum* near the  
102 source of the Comoé (Quillévére, 1975; Vajime and Quillévére, 1978; Boakye et al.,  
103 1998). This area came under control by OCP in February 1975 and weekly treatments  
104 with larvicide (temephos) continued until late 1989 when onchocerciasis had been  
105 reduced to levels that were considered insignificant such that it was no longer of  
106 public health importance in the area. However, at the beginning (after 1975) ABRs  
107 had remained high at some localities in the Comoé basin, especially along the Léraba  
108 river and the Comoé river valley near Folonzo. As a consequence, the Annual  
109 Transmission Potential (ATP) had quickly dropped below 100 (considered to be the  
110 epidemiological threshold, below which onchocerciasis would not represent public  
111 health significance – WHO, 1994; Diawara et al., 2009) at all sites, except those along  
112 the Léraba river and the Comoé near Folonzo (Garms et al., 1981). The biting flies  
113 were not breeding locally. They were found to be old (parous) savannah flies which  
114 were migrating into the area with the prevailing monsoon winds in the first part of the  
115 rainy season from uncontrolled rivers to the southwest outside of the OCP area

116 (Bellec et al., 1984), and they were often carrying infective *Onchocerca* larvae. To  
117 solve this problem OCP operations were extended southwards in Côte d'Ivoire, and  
118 after the rivers which were the sources of the immigrants came under continuous  
119 control in March 1979 ABRs and ATPs were reduced to acceptable levels throughout  
120 the Comoé basin (which includes both the Comoé and Léraba river valleys) in  
121 Burkina Faso and northern Côte d'Ivoire (Philippon et al., 1990). For example, at  
122 Léraba Bridge (on the Léraba river between Côte d'Ivoire and Burkina Faso) in the  
123 first part of the rainy season (April-August) the monthly biting rate (MBR) and  
124 monthly transmission potential (MTP) dropped from 4697 and 511 to 428 and 34  
125 respectively, and at Kafolo (by the Comoé river in northern Côte d'Ivoire) they  
126 dropped from 2006 and 51 to 119 and 0 respectively (Walsh et al., 1981). Extension  
127 of larviciding operations westwards in 1984 further reduced the reinvasion of the  
128 Léraba river in 1985 when the Upper Sassandra river basin in SE Guinea was brought  
129 under control, and average MBRs and MTPs were reduced to 75 and 1 (respectively)  
130 over the three-month reinvasion season April-June 1985-1989 (Baker et al., 1990).

131

132         During the course of the OCP there have been a number of interruptions to the  
133 normal pattern of weekly aerial applications of larvicide in the Comoé basin.  
134 Insecticide treatments were suspended at the Gréchan rapids on the river Léraba  
135 between the 13 January and 10 February 1976 (Elsen et al., 1981) and between the 13  
136 January and 16 March 1977 (Davies et al., 1981) to carry out observations on the rate  
137 of vector recolonisation, the build-up of the biting fly population, the fly dispersal  
138 from the breeding site and its decline following the reintroduction of control. This  
139 was considered to have had minimal effect on transmission because the Gréchan  
140 rapids are situated in the uninhabited Comoé Game Park, and whilst the daily biting

141 rate (DBR) reached a maximum of 150 (on 18 March) on the banks of the rapids, at  
142 inhabited localities outside the game park (including Folonzo near the Comoé river)  
143 there was no detectable effect (Davies et al., 1981). Larvicide treatments were also  
144 suspended along a 120 km stretch of the Comoé river near Folonzo from the  
145 beginning of October 1977 until 12 February 1978 to investigate the effectiveness of  
146 adulticides. By the end of December 1977 a moderate local population of *S.*  
147 *damnosum* s.l. had become established giving DBRs of 30-50 at Folonzo ford (Davies  
148 et al., 1982), and experimental doses of adulticides were then applied to the riverside  
149 vegetation between 27 January and 01 February. Daily biting rates averaged  
150 approximately 104, 180, 24 and 30 (depending upon catching site) in the three days  
151 before adulticiding, and approximately 100, 180, 1 and 10 after adulticiding until 12  
152 February when larviciding recommenced. It is difficult to estimate the excess  
153 transmission that these experiments might have yielded, but it is likely to have been  
154 minimal in the southern part of the project area where the river runs through an  
155 uninhabited forest reserve. Further north in the project area, DBRs between 30 and  
156 180 would be expected to yield a transmission potential of around 300-1800 over  
157 three months (assuming approximately 100 third stage *Onchocerca* larvae/1000 biting  
158 *S. sirbanum/damnosum* s.str. – Quillévééré et al., 1978), which is much higher than the  
159 target ATP of 100 (see above). However, the period of this research was before the  
160 southern extension of the OCP, and so this part of the Comoé valley was still subject  
161 to moderate reinvasion during the rainy season. It was only after the southern  
162 extension of OCP operations in 1979 that the area was subject to satisfactory levels of  
163 control.

164

165 In 1980 resistance to temephos appeared in *S. sanctipauli* on the lower  
166 Bandama river in southern Côte d'Ivoire. Resistant populations quickly spread and  
167 occupied the previous range of *S. sanctipauli* and *S. soubrense* in Côte d'Ivoire,  
168 including the Léraba river but not the Comoé river in Burkina Faso (Kurtak, 1990).  
169 Chlorphoxim was introduced as a replacement larvicide for weekly treatment of  
170 resistant populations, but in 1981 *S. sanctipauli* became resistant to Chlorphoxim, and  
171 *Bacillus thuringiensis* (*B.t.* H14) was introduced. Temephos resistance appeared in  
172 savannah flies (*S. sirbanum/damnosum* s.str.) in the 1981/82 dry season on the lower  
173 Bandama river, but the resistant population was eradicated using alternative  
174 larvicides. Resistant savannah cytospecies vector populations eventually reappeared  
175 in the rainy season of 1985 and spread widely including the Comoé basin in Burkina  
176 Faso (Kurtak, 1990). However, by that time OCP had developed an effective  
177 management strategy, and by the rotation of seven larvicides good control was  
178 maintained throughout the OCP area (Hougard et al., 1993).  
179  
180 Larviciding in the Comoé basin in Burkina Faso (Comoé and Léraba rivers) and  
181 northern Côte d'Ivoire was terminated at the end of the rainy season in 1989 after 15  
182 years of more or less continuous treatment. Entomological surveillance was  
183 continued for a further two years and ATPs in the Comoé basin in Burkina Faso were  
184 reported to be zero or close to zero (Boatin et al., 1997), confirming permanent  
185 cessation of larviciding. This was not the case, however, in the adjacent river basins  
186 of the upper Black Volta near Dienkoa and the Bougouriba, north and northeast  
187 (respectively) of the Comoé basin (Boatin et al., 1997). In these two areas ATPs were  
188 above 100 and prevalence of microfilaraemia was 15-30% in some villages. Dienkoa  
189 has a complex history of treatment and monitoring. Larviciding turned out to be very



190 difficult, and had been carried out irregularly and local breeding had maintained a  
191 focus of transmission. Post-1989 control measures included ivermectin distribution  
192 (already started in 1988) and ground larviciding (Borsboom et al., 2003). At  
193 Bougouriba it seemed that transmission had been successfully controlled for more  
194 than 14 years by regular larviciding (Hougard et al., 1997). After the cessation of  
195 control, biting fly populations increased immediately (as expected) but there was also  
196 an unexpected steady rise in infectivity rate in the fly population (which reached  
197 almost 6% by 1998) and a corresponding rise in mf prevalence in the human  
198 population (around 20% in some villages in 1996). Ivermectin distribution was  
199 instigated in 1996 and by 2001 mf prevalence had dropped below 10%. The reasons  
200 for the recrudescence are not properly understood, but in retrospect larviciding may  
201 have been stopped prematurely (Hougard et al., 1997). There had been many  
202 difficulties in accessing the rivers and on some tributaries larviciding had already  
203 stopped in 1987. Furthermore, there had been government-sponsored immigration  
204 and it had not been appreciated that the settlers had modified the environment and  
205 thereby created new artificial breeding sites (Borsboom et al., 2003).

206

207         Regular larviciding within the boundaries of the original OCP area was only  
208 terminated after a minimum of 14 years of vector control and when epidemiological  
209 indicators (principally prevalence of microfilaraemia, CMFL and ATP) were judged  
210 to be below the threshold for a 1% risk of recrudescence (Agoua et al., 1995). OCP  
211 strategy was to continue entomological surveillance for two years, to confirm that  
212 there was no immediate recrudescence of transmission, and eventually to devolve  
213 responsibility for periodic surveillance (and possible control) to the member countries  
214 with support from NGOs as necessary. There is no doubt that by the end of 1989

215 OCP had succeeded in its objective and that onchocerciasis was no longer a disease of  
216 public health importance throughout the original OCP area (with a few exceptions  
217 such as Dienkoa and Bougouriba – see above) (Hougard et al., 1997), and extensive  
218 post-control entomological studies up to 1994 confirmed the continued absence of  
219 significant transmission (Agoua et al., 1995). Intensive studies over a longer time  
220 frame in the Centre-Sud and Centre-Est administrative regions of Burkina Faso also  
221 confirmed this in a hyperendemic area which had not been subject to vector  
222 reinvasion and the local vectors were savannah cytospecies (Hougard et al., 2001). In  
223 this area 14 years of vector control (1976 to the end of 1989) had reduced average  
224 ABRs to between 233 and 1465 (depending upon locality), and this was accompanied  
225 by a steady reduction in ATP, which was below 100 in the period 1982-1989, and for  
226 the two years of continued monitoring after control had ceased. In 2000 only one  
227 infective fly was found amongst 27,100 flies dissected (Hougard et al., 2001).  
228 Prevalence of infection in humans dropped from pre-control average of 71.9% to 0.6-  
229 7.7% (depending upon locality) when control ceased, and was negligible (or nil) in  
230 2000 (with only three likely autochthonous cases).

231

232         After vector control had ceased throughout most of the original OCP area  
233 (including the Comoé basin) in 1989, it was continued in the southern and western  
234 extensions, where it was combined with ivermectin distribution (Boatin et al., 1997).  
235 The aim was to reduce onchocerciasis to a state where it was no longer of public  
236 health significance in these areas, but control operations would also have the effect of  
237 suppressing the emigration of infective flies into the original OCP area until the  
238 source areas no longer posed a threat. The OCP programme formally ceased its  
239 operations in December 2002, after responsibility for onchocerciasis surveillance and

240 any necessary control operations in each member country had been devolved to the  
241 respective Ministry of Health. The strategy for surveillance and control (in the event  
242 of a recrudescence) recommended by OCP to Ministries of Health consisted of  
243 epidemiological surveillance of sentinel villages (Prost and Prod'hon, 1978; WHO,  
244 1994), entomological surveillance of sentinel sites (WHO, 1988; Toé et al, 1997;  
245 Yaméogo et al, 1999), CDTI in any health districts affected by recrudescence (WHO,  
246 1994; Amazigo and Crump, 1998), and information, education and communication to  
247 the affected communities.

248

249           Unfortunately civil unrest in Côte d'Ivoire has interfered with the full  
250 implementation of CDTI since 2002, and the national and regional impact of this  
251 interruption is unknown (Thylefors and Alleman, 2006). The Comoé basin in Burkina  
252 Faso was never subject to ivermectin treatment against onchocerciasis, but mass drug  
253 administration against lymphatic filariasis was instigated by the Ministry of Health in  
254 2004, with annual doses of ivermectin (at 150 mg per kg – which is similar to the  
255 normal therapeutic dose for onchocerciasis) and albendazole.

256

257           Routine evaluation of onchocerciasis prevalence and CMFL (Community  
258 MicroFilarial Load) were carried out in the Comoé basin under the auspices of the  
259 OCP after the cessation of larviciding in 1989 until the programme closed in 2002  
260 after which responsibility for surveillance was devolved to the Burkinabé Ministry of  
261 Health. The results of the epidemiological surveillance in 2001 showed higher than  
262 expected prevalence, and this was also the case in 2007 and 2008. We report here the  
263 results of epidemiological surveys carried out by the Burkinabé Programme National  
264 de Lutte contre l'Onchocercose (PNLO – part of the Ministry of Health) in 2010 and

265 2011 which confirm this recrudescence, along with the historical data starting in 1973,  
 266 and the instigation of control by Community Directed Treatment with Ivermectin  
 267 (CDTI).

268

269 **Table 1**

270 Summary history of the main events in the control of onchocerciasis in the Comoé  
 271 and Léraba river valleys in SW Burkina Faso.

Year	Event	Valleys affected*	
		Comoé	Léraba
1962/63	Larviciding with DDT introduced by OCCGE for 13 months	✓	-
1969	OCCGE-FED Campaign commences larviciding with DDT	✓	✓
1975	OCP follows on from OCCGE larviciding with temephos	✓	✓
1976	Suspension of larviciding at Gréchan rapids for 1 month (Jan-Feb)	-	✓
1977	Suspension of larviciding at Gréchan rapids for 2 month (Jan-Mar)	-	✓
1977/78	Suspension of larviciding at Folonzo for 4.5 month (Oct-Feb)	✓	-
1979	Southern extension of OCP	✓	✓
1980	Temephos resistance in <i>S. sanctipauli</i>	-	✓
1984/85	Western extension of OCP	(✓)	✓
1985	Temephos resistance in savannah cytospecies	(✓)	(✓)
1987/89	OCP introduces CDTI to western extension	(✓)	✓
1989	OCP stops larviciding in core area	✓	✓
1992	OCP introduces CDTI to southern extension	✓	✓
1998	OCP stops larviciding in southern extension	✓	✓
2001	First indication of recrudescence in Comoé valley at Sakora village	✓	-
2002	OCP stops larviciding in western extension	(✓)	✓
2004	MDA with ivermectin initiated against lymphatic filariasis	✓	✓
2010/11	Recrudescence in Comoé valley confirmed	✓	-
2011	CDTI introduced against onchocerciasis	✓	-

272 \*✓, event relevant to valley indicated; (✓), event may have had minor affect; -,  
273 event not relevant.

274

275

276

## 277 **2. Materials and Methods**

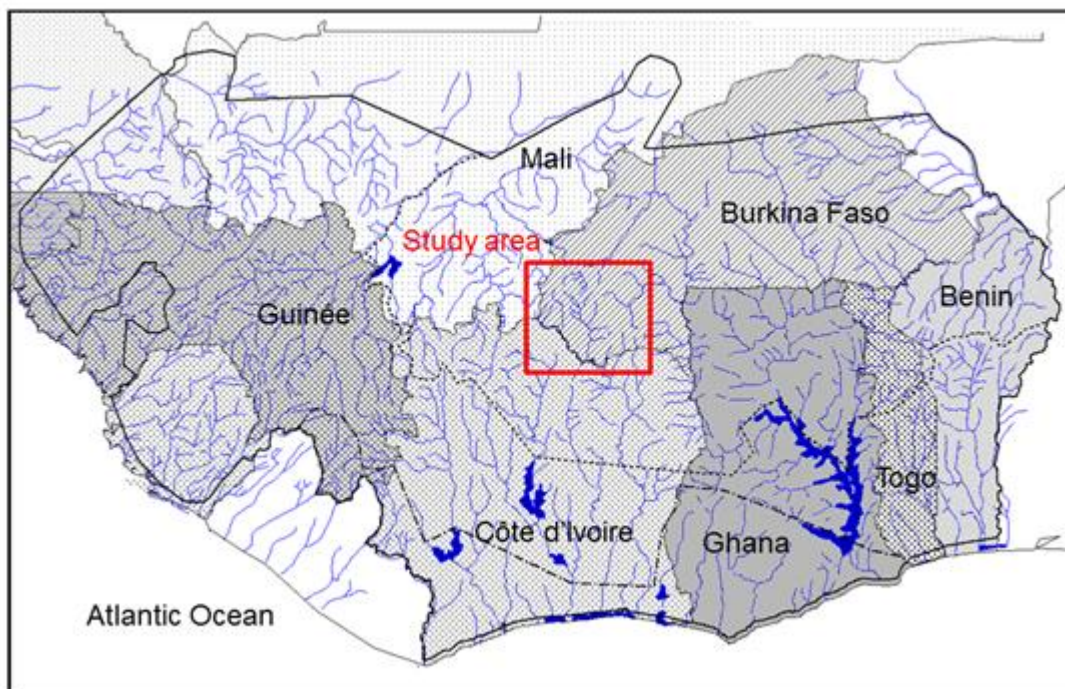
278

### 279 *2.1 Description the study area*

280

281           The Comoé basin in SW Burkina Faso includes both the Comoé and Léraba  
282 river valleys, and is situated in the southern sudan savannah vegetation zone (=  
283 sudano-guinea savannah) with annual rainfall mostly between 900 and 1100 mm (but  
284 reaching 1300 mm near the border with Côte d'Ivoire). There are two seasons, a wet  
285 season from April to October in which the SW monsoon wind predominates and a dry  
286 season in which the NE dry harmattan wind predominates. The temperature usually  
287 lies between 39°C and 17°C, which are the extremes of the monthly maxima and  
288 minima respectively. The vegetation is open savannah woodland, but with gallery  
289 forests fringing the permanent watercourses to a width of more or less 50 m  
290 (Molyneux et al., 1978; Jeune Afrique, 2005). The major rivers are the Comoé river  
291 which arises near Peni and its various tributaries, of which the most important is the  
292 Léraba river (which arises in Burkina Faso but runs along the border with Côte  
293 d'Ivoire before it joins the Comoé), all of which are situated in the Burkinabé  
294 administrative and health region of Les Cascades (Figure 1).

295



296

297 **Fig. 1.** Map of the Onchocerciasis Control Programme in West Africa showing the  
 298 study area. Rivers and lakes are shown in blue. International borders are shown with  
 299 solid grey lines and the different countries have different shading. The maximum  
 300 extent of the Onchocerciasis Control Programme (OCP) is outlined in solid black line,  
 301 whereas the original core area is shown by a black dotted line, and the southern  
 302 extensions are indicated by a black dash-dot line.

303

304

## 305 2.2 *Epidemiological methods*

306

307 The epidemiological evaluation was carried out by Ministry of Health officials  
 308 from the Direction de la Lutte contre la Maladie (DLM) and the Région Sanitaire des  
 309 Cascades in collaboration with the health districts and their respective Centres de  
 310 Santé et de la Promotion Sociale (CSPS) according to the standard methods used by  
 311 OCP (Prost and Prod'hon, 1978; WHO, 1994). Twenty eight villages were evaluated  
 312 between January and April 2010 and a further eight between January and February  
 313 2011. In 2010, whenever possible, villages were chosen which had been sentinel

314 villages from the old OCP, otherwise they were chosen because they were closest to  
315 the river and would give an even distribution of villages from Moussodougou dam to  
316 the border with Côte d'Ivoire. In 2011 they were chosen mostly from the Léraba  
317 valley (six villages) but with two villages along the Comoé river which were close to  
318 the Léraba. The geographic distribution of the villages surveyed in the Comoé basin  
319 is shown in Figure 4.

320

321           Since 2004 the villages in the study area had been undergoing Mass Drug  
322 Administration (MDA) with ivermectin against lymphatic filariasis. MDA was  
323 carried out in April and our village surveys were carried out 9-12 months later. In  
324 each village to be evaluated a census was made of the whole population. A bloodless  
325 skin snip was taken from both iliac crests using a Holth corneo-scleral punch from all  
326 inhabitants over the age of three years. The skin snips were incubated in distilled  
327 water for 30 minutes at ambient temperatures in a microtitre plate, and examined  
328 under a dissecting microscope, and the numbers of emergent microfilariae were  
329 recorded on the evaluation form. The distilled water was removed and replaced with  
330 normal saline and the skin snips were re-examined after 24 hours to count any more  
331 microfilariae which had emerged. Inhabitants found to be positive were interrogated  
332 to determine their migration history to investigate the possibility that the parasites  
333 might have been picked up elsewhere. The geographical co-ordinates of the village  
334 were recorded from a GPS. Any microfilariae which were found were preserved dry  
335 between slide and cover slide and labelled according to patient for any possible future  
336 reference that might be required. Finally, any microfilariae-positive inhabitants were  
337 treated with ivermectin (as an individual therapy before the recrudescence became

338 evident and a CDTI campaign could be organised – see section 4 below) and the  
339 preliminary results communicated to the village, with thanks.

340

341           The National Onchocerciasis Control Programme (NOCP) was established in  
342 1992 by the Ministry of Health to eliminate onchocerciasis as a public health issue.  
343 As such all its standardised activities (including CDTI, entomological surveillance  
344 and epidemiological evaluation) were given blanket ethical approval, and the Ministry  
345 of Health determined that this would not need to be reconsidered for individual  
346 projects within the programme.

347

### 348 *2.3 Sources of historical data*

349

350           Historical data covering the period up to 2010 were originally collected by  
351 either the WHO Onchocerciasis Control Programme (including all entomological  
352 data) or the Ministry of Health of Burkina Faso (with the support from the WHO  
353 African Programme for Onchocerciasis Control - APOC). Surveys were carried out  
354 according to standardised methods (WHO, 1987; Davies and Crosskey, 1991) which  
355 were similar to those described above (including the protocol for examination of skin  
356 snips), and data are the property of the Ministry of Health of Burkina Faso.

357

358

## 359 **3. Results and discussion**

360

### 361 *3.1 Identifying the recrudescence*

362



363 Historical and new results for the prevalence and CMFL in all villages  
364 surveyed epidemiologically in the Comoé basin from 1973 to 2011 are shown in  
365 Supplementary Material Table 1, and as separate time-series for the villages along the  
366 Léraba and Comoé valleys in Figures 2 and 3, and the geographic distribution of  
367 prevalence of onchocerciasis in the villages surveyed in 2010/2011 is shown in Figure  
368 4. Current WHO elimination guidelines (WHO, 2016) make no recommendations on  
369 the issue of post-elimination recrudescence (although they do say that ‘surveillance  
370 can be centred on entomological assessments’). However, at the time when this  
371 recrudescence was being documented WHO-APOC guidelines (APOC, 2010)  
372 stipulated that recrudescence was counter-indicated if prevalence was less than 1% in  
373 all villages. On that basis recrudescence is not judged to be occurring in the Léraba  
374 valley where 100% of villages (n=6) surveyed in 2010-2011 had prevalence <1%, in  
375 contrast to the Comoé valley where 70% of villages were >1% (n=30) (see  
376 Supplementary Material Table 1), and this difference is statistically significant (Fisher  
377 exact test P=0.0026). The results clearly confirm the recrudescence of onchocerciasis  
378 in the Comoé river valley, and illustrate the absence of recrudescence in the Léraba  
379 river valley.

380

381 In retrospect, the first indication of a recrudescence occurred in 2001 when the  
382 village of Sakora in the Comoé valley was found to have a prevalence rate of 39.6%,  
383 compared with a mean of 3.7% over the preceding ten years (four surveys 1990-  
384 1999).

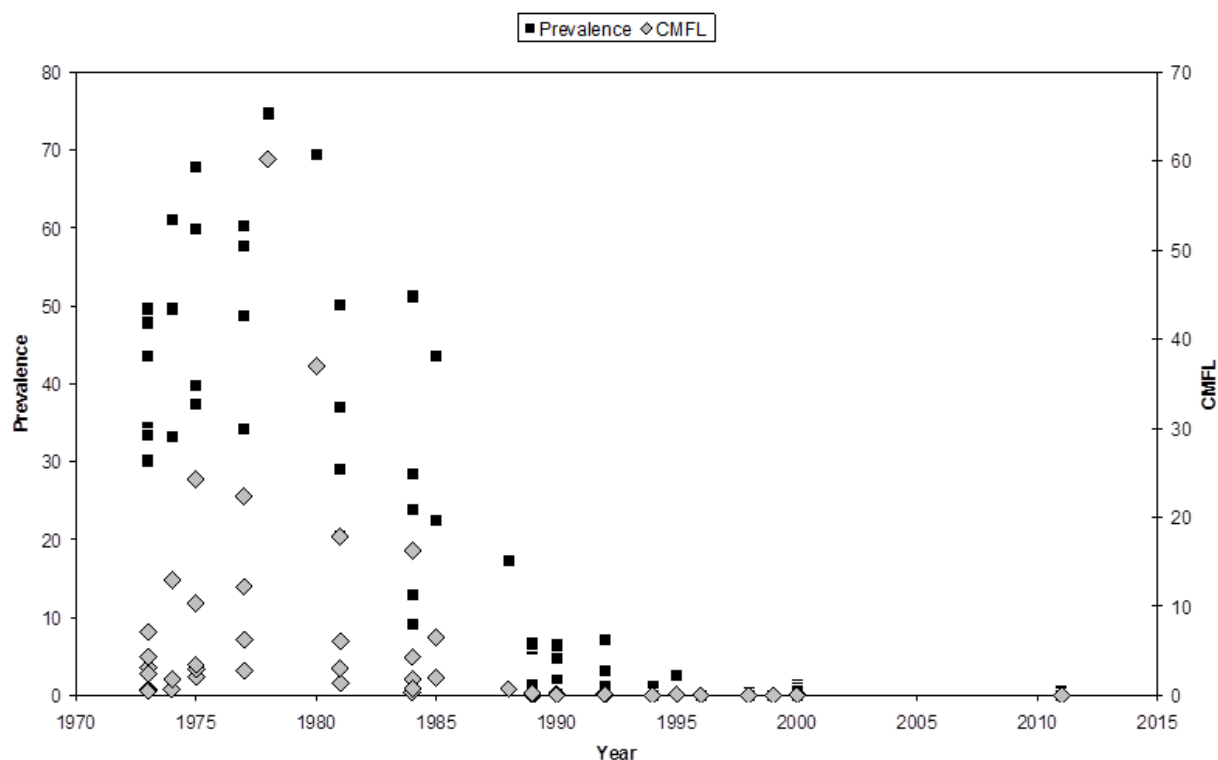
385

386 There are a number of possible reasons for this recrudescence. It could have  
387 been the result of parasites being carried back into the area by vector and/or human

388 immigration. It could be that the local population of parasites had never been reduced  
389 to a level where it was not self-sustaining (i.e. below the epidemiological transmission  
390 breakpoint), and this could have been due to unsatisfactory historical vector control  
391 operations or a highly efficient local vector population. It is also possible that  
392 ivermectin resistance played a role in the recrudescence in combination with some of  
393 these other factors.

394

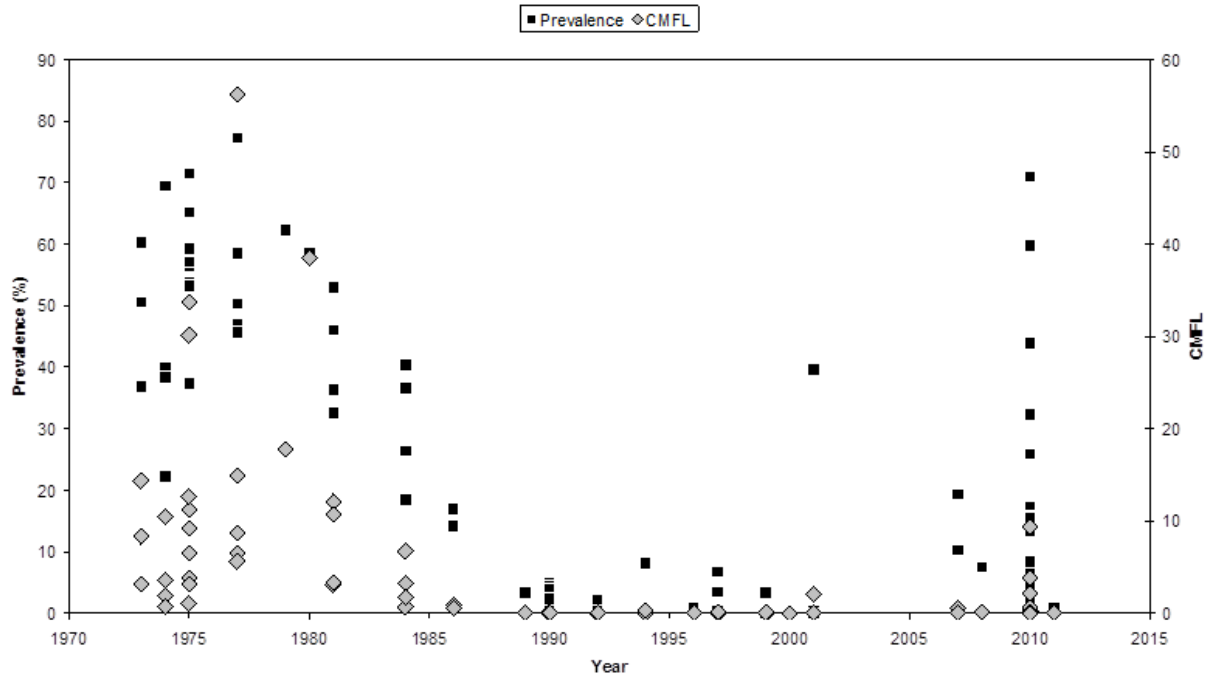
395



396

397 **Fig. 2.** Trends in the prevalence and community microfilarial load (CMFL) of  
398 onchocerciasis in villages along the Léraba river valley 1973-2011.

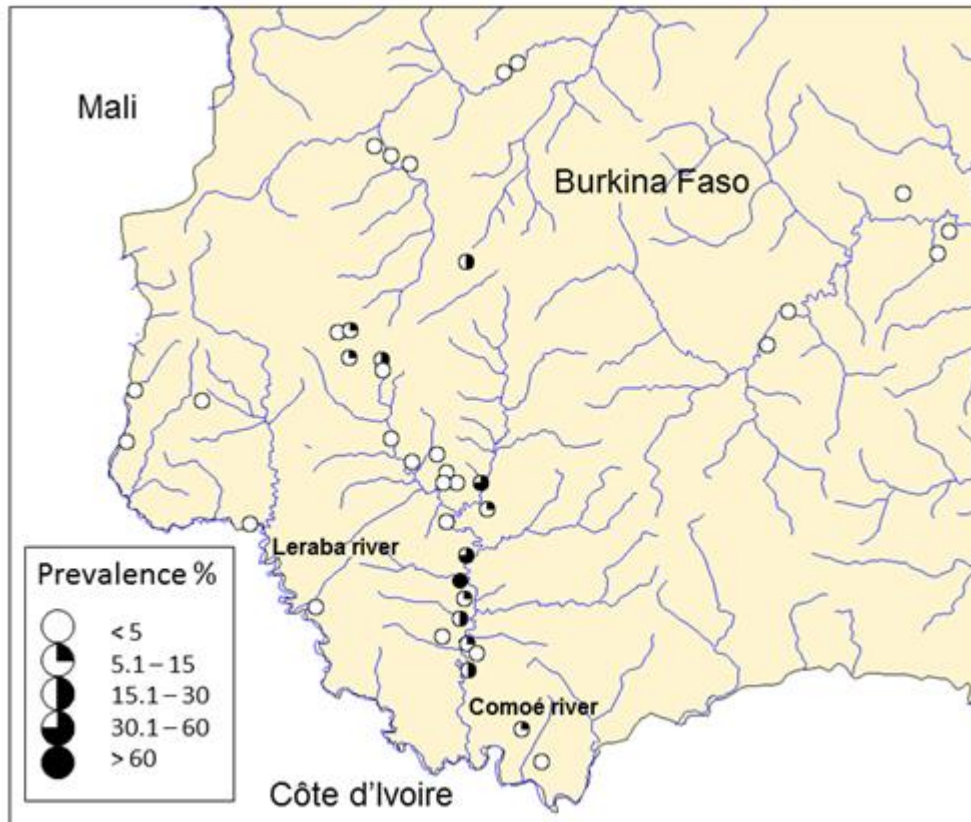
399



400

401 **Fig. 3.** Trends in the prevalence and community microfilarial load (CMFL) of  
 402 onchocerciasis in villages along the Comoé river valley 1973-2011.

403



404

405 **Fig. 4.** The geographic distribution of prevalence of onchocerciasis in the villages  
406 surveyed in the Comoé basin (consisting of the Léraba and Comoé river valleys) and  
407 surrounding basins in SW Burkina Faso in 2010 and 2011.

408

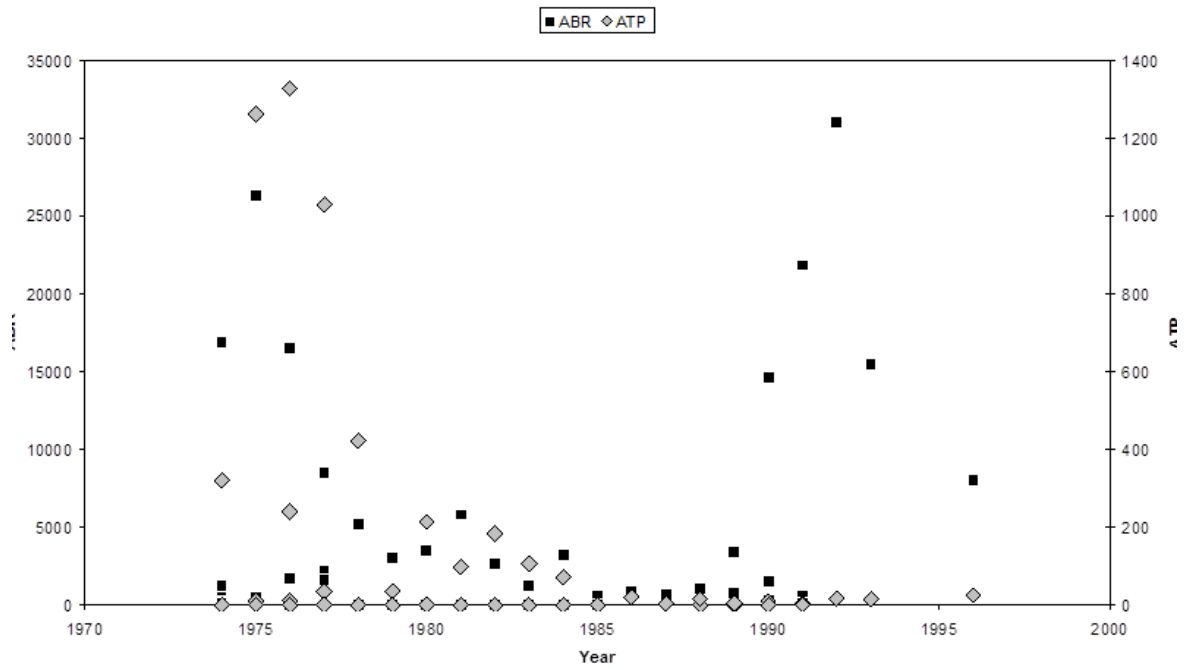
### 409 *3.2 Possible parasite reinvasion carried by immigrant vectors or humans*

410

411 The Léraba valley had been subject to vector reinvasion during the period of  
412 the OCP, and this is reflected in Figure 5, which shows continuing high levels of  
413 biting and transmission after the commencement of OCP control operations in  
414 February 1975, but after the instigation of the southern extension in March 1979 these  
415 parameters showed a marked improvement, although they were still high at some  
416 collecting points, and only after the instigation of the western extension in February  
417 1985 did ABR and ATP become insignificant everywhere (ABR range = 0 - 3418,  
418 mean = 832; ATP range = 0 - 21, mean = 5 at four collecting points, although not all  
419 four were operational every year). In 1990, after control was terminated, the biting  
420 rate immediately increased (as expected), but transmission remained insignificant up  
421 to 1996 (the last year of entomological surveillance) (ATP range = 0 – 26, mean = 6.5  
422 at five collecting points, although not all five were operational every year). The  
423 entomological results of vector control are also reflected in the levels of human  
424 infection illustrated in Figure 2. After the commencement of the OCP prevalence of  
425 onchocerciasis dropped steadily and was less than 5% in 10 out of 11 villages  
426 surveyed in 1990 in the Léraba valley (after the cessation of vector control) and no  
427 village has been above 3% since 1992, and only one out of six villages above zero  
428 (0.45%) in 2011. These excellent results have been obtained in the face of the history  
429 of reinvasion in the Léraba valley and the various disturbances to the weekly  
430 programme of larviciding (see above).

431

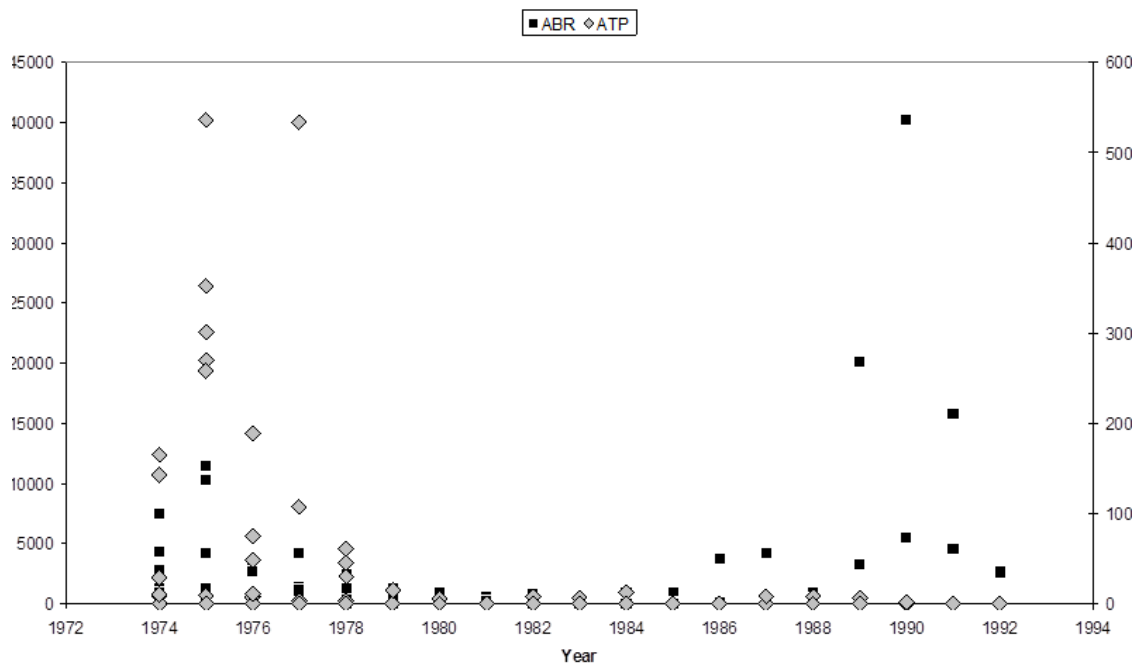
432           The Comoé valley in Burkina Faso was only subject to vector reinvasion along  
433 its lower length near Folonzo, and this is reflected in the entomological parameters  
434 (Figure 6). After commencement of the OCP control operations only two sites had  
435 ABRs above 10,000 in 1975 (Folonzo and Koflande) and this had dropped below  
436 5,000 at all points in 1976 and remained low until the cessation of control (except for  
437 one high ABR at Folonzo in 1989). ATP remained at high levels at a few sites until  
438 1978, when it dropped below 100 at all sites and thereafter never exceeded 15, and  
439 after the cessation of control at the end of 1989 ATP ranged from zero to two with a  
440 mean of 0.4. Thus transmission dropped more rapidly and was lower in the Comoé  
441 valley than in the Léraba valley, and this also seems to be reflected in the levels of  
442 human infection (Figure 3). Prevalence dropped rapidly after 1979 and after 1986 it  
443 was less than 5% in all villages surveyed up to 2000 except Fabledougou and Sakora.  
444 Fabledougou had a prevalence of 8.2% in 1994, although in subsequent surveys in  
445 1997 and 2001 it dropped to 3.5% and zero respectively. Sakora had a prevalence of  
446 2.4% in 1990, which rose to 6.7% in 1997, but dropped again to 3.7% in 1999. These  
447 results were generally better than those in the Léraba valley (see above) and gave no  
448 cause for concern, but in 2001 (one year before the termination of the OCP) the  
449 prevalence at Sakora had jumped to 39.6%. After the termination of OCP  
450 epidemiological surveys carried out by the Burkinabé Ministry of Health in 2007 and  
451 2008 also revealed higher levels of prevalence in three villages (all above 5%,  
452 including Sakora). The Ministry of Health instigated epidemiological surveys  
453 throughout the Comoé basin in 2010 and 2011 to investigate the extent of the  
454 problem, and 13 out of 30 villages in the Comoé valley showed prevalence levels  
455 above 5% (range 0 - 71%; mean 11.7% - Supplementary Material Table 1).



457

458 **Fig. 5.** Trends in annual biting rate (ABR) and annual transmission potential (ATP) at  
459 11 sites in the Léraba river valley 1974-1996. Not all sites were monitored every year.  
460 Each point represents the ABR or ATP for one site for one year.

461



462

463 **Fig. 6.** Trends in annual biting rate (ABR) and annual transmission potential (ATP) at  
464 15 sites in the Comoé river valley 1974-1992. Not all sites were monitored every year.  
465 Each point represents the ABR or ATP for one site for one year.  
466

467 The Comoé basin was only sparsely inhabited at the time of the old OCP and  
468 at that time the first line villages (nearest to the river) were typically more than 15 km  
469 away from the river, but now the area has been subject to significant human  
470 immigration and the first line villages are often less than 1 km from the river.  
471 Therefore, the explanation might be to do with immigration of humans or vectors  
472 carrying infection from outside sources. Civil unrest in Côte d'Ivoire interfered with  
473 the full implementation of CDTI in the south of the country since 2002, and  
474 immigrants settled primarily along the Comoé valley. Dienkoa (over the northern  
475 watershed of the Comoé valley) has had a difficult history of control, but this was  
476 recognised and corrected in 1988. The Bougouriba basin lies over the watershed  
477 northeast of the Comoé valley, and whilst OCP operations seemed to produce  
478 satisfactory results at the time, from 1992 to 1996 there were increasing levels of  
479 infection, reaching 20% in some villages, when ivermectin distribution was  
480 introduced, along with some ground larviciding in 1997 and 1998. Ivermectin was  
481 distributed 4-monthly and there was good therapeutic coverage (70-80%), but less  
482 good geographic coverage (Borsboom et al., 2003). In 2011 seven villages in the  
483 Bougouriba basin were recorded with 5.8%, 3.4%, 1.0%, 0.5%, 0.4% and zero (two  
484 villages) prevalence respectively (PNLO, 2011), and so onchocerciasis has still not  
485 been reduced to satisfactory levels in all villages. It is unclear whether population  
486 movement of vectors or humans from Bougouriba to the Comoé valley could have  
487 reintroduced the parasite in sufficient numbers to instigate recrudescence. Migration  
488 of vectors in a northeasterly direction with the monsoon rains is well recorded (Garms

489 et al., 1979; Baker et al., 1990), and migration in a southeasterly direction with the  
490 harmattan is presumed to occur on an unknown scale (Boakye et al., 1987; Garms et  
491 al., 1990; Boakye et al., 1998), and could bring infective flies from Bougouriba to the  
492 Comoé valley.

493

494 In summary, the pattern of vector immigration from poorly treated areas south  
495 of the study area does not support the idea that this was the cause of the  
496 recrudescence. However, refugees from civil disturbances in Côte d'Ivoire settled  
497 mainly along the Comoé valley. Reinvasion from Bougouriba might also have  
498 affected the Comoé valley disproportionately, but there is no evidence to assess this  
499 possibility.

500

### 501 *3.3 Persistence of a viable parasite population*

502

503 The Comoé valley seems to have had a better history of control than the  
504 Léraba valley, where there has been no recrudescence. For example, the Léraba  
505 valley had been subject to extensive reinvasion and as a result the entomological  
506 parameters and the levels of human infection had dropped more quickly in the Comoé  
507 valley, which had not been subject to significant vector reinvasion (except near  
508 Folonzo). Both valleys had experienced short periods of experimental suspension of  
509 larviciding in the early years, but only the Léraba valley had (short-lived) problems  
510 with insecticide resistance in the early 1980s. In summary, in comparison with the  
511 Léraba valley there is no evidence that recrudescence in the Comoé valley has been  
512 the result of an unsatisfactory history of control.

513



514 Parasitological examination of skin snips is not recommended by WHO (2016)  
515 for the assessment of onchocerciasis elimination (because it is not considered to be  
516 sufficiently sensitive to detect new infections), but it is considered to be a “useful tool  
517 for monitoring progress as an elimination programme ... moves towards that goal  
518 during treatment”. APOC (2010) considered that the epidemiological transmission  
519 threshold had been reached when human prevalence of infection was below 5% in all  
520 villages and below 1% in 90% of villages. During the period 1989 to 1998 (which  
521 immediately followed the cessation of larviciding in the study area) the mean  
522 prevalence of infection was slightly higher in the Comoé river valley (2.65%, n=19)  
523 compared with the Léraba river valley (1.72%, n=26), but neither valley satisfied the  
524 APOC criteria (in the Comoé valley 11% and 58% of villages had prevalences above  
525 5% and 1% respectively, compared to 12% and 42% in the Léraba valley).

526

527 With respect to entomological indices, onchocerciasis is considered to have  
528 dropped below the epidemiological transmission threshold (and effectively  
529 eliminated) when the upper 95% confidence interval of vector infectivity drops below  
530 one infective fly per 1000 parous flies, or the upper 95% confidence interval of the  
531 Annual Transmission Potential (ATP) drops below 20 (APOC, 2010; WHO, 2016).  
532 There was no distribution of ivermectin in the study area before 2004 (when MDA  
533 was instigated against lymphatic filariasis), and we can examine the ATPs in the two  
534 valleys in 2009 and 2010 immediately after the cessation of vector control in 2008  
535 (see Figures 5 and 6). The mean ATP in the Léraba river valley was 6.5 (n=11, 95%  
536 CI = 1.22-11.87) and in the Comoé river valley was 0.4 (n=5, 95% CI = 0.38-1.18),  
537 and so it appears that both valleys satisfied the criteria for having dropped below the  
538 transmission breakpoint.

539

540           It seems that both river valleys had a similar history of control, and there is no  
541 reason to believe that it was less efficiently applied in the Comoé valley than the  
542 Léraba valley. The Comoé valley was less affected by vector reinvasion from the  
543 south than the Léraba, but it was more affected by human migration from Côte  
544 d'Ivoire, and it is possible that the Comoé valley was more affected by either vector  
545 and/or human immigration from Bougouriba (because it is closer), but there is no  
546 evidence for this. Vector infectivity rates never reached zero, and although they were  
547 slightly higher in the Léraba valley, they seem have been below the transmission  
548 breakpoint in both valleys. There is not much evidence concerning vector biting rates  
549 in the two valleys (which would affect the force of infection) after the cessation of  
550 vector control operations in 1989, but the little evidence which is available indicates  
551 that biting rates were not higher in the Comoé valley (mean ABR 1990-1992: Comoé  
552 = 7,107, n=4; Léraba = 7,857, n=9). Similarly, vector efficiency will affect the force  
553 of infection and this can vary between cytospecies (Cheke and Garms, 2013). There  
554 are no contemporary identifications but the historical cytospecies composition was  
555 dominated by the savannah cytospecies (*S. damnosum* s.str. and *S. sirbanum*) in both  
556 the Léraba and the Comoé river valleys. There was in addition some *S. soubrense*  
557 breeding in the Léraba river, but this cannot explain the lack of recrudescence because  
558 *S. soubrense* is a more efficient vector than the savannah cytospecies (Cheke and  
559 Garms, 2013), and so its presence should have made recrudescence more likely, not  
560 less likely.

561

562           Vector control had resulted in a huge drop in the levels of onchocerciasis  
563 throughout the study area, but prevalences of infection in the human population never

564 dropped to zero everywhere in the ten years after the cessation of larviciding in 1989,  
565 and they were not below the level thought to represent the transmission breakpoint  
566 (APOC, 2010) in either the Comoé or Léraba river valleys. In any case, whilst  
567 epidemiological modelling indicated that prevalence below 5% had less than 1%  
568 chance of recrudescence (Diawara et al., 2009), recrudescence is still a stochastic  
569 possibility. Therefore considering the very wide area covered by OCP and the very  
570 large number of communities it is quite likely that stochastic recrudescence will occur  
571 in a few unpredictable localities even when the criteria are met.

572

### 573 *3.4 Ivermectin resistance*

574

575 Control of onchocerciasis in the Lower Black Volta and Pru river valleys in Ghana  
576 has been historically problematic. These areas are more than 200 km south east of the  
577 Comoé basin. Vector control had been initiated in 1975 and mass distribution of  
578 ivermectin was introduced to support vector control in 1987 (the same year that it was  
579 introduced into the Comoé basin in Burkina Faso). In 1997 skin snip surveys in the  
580 Lower Black Volta and Pru river valleys revealed the existence of persistent skin  
581 microfilariae in some people treated with ivermectin (Awadzi et al., 2004), and this  
582 remains a problem to this day. The explanation for this phenomenon is still uncertain,  
583 and the parasites in question are known as ‘non-responders’, but one of the  
584 possibilities is that non-responsiveness is due to the evolution of ivermectin resistance  
585 in the parasites (Osei-Atweneboana et al., 2007). The River Pru is southeast of the  
586 Lower Black Volta River and they occupy adjacent river valleys in Ghana. The  
587 middle part of the Black Volta river forms the international border between Ghana  
588 and Burkina Faso, and is east of and adjacent to the Comoé river valley in Burkina

589 Faso. Long-range migration of onchocerciasis vectors in West Africa is usually  
590 thought to follow a SW-NE axis in either direction, but occasional E-W dispersal  
591 between river valleys cannot be discounted. Non-responders were first recorded in  
592 Ghana in 1997 and the first sign of a recrudescence of onchocerciasis in SW Burkina  
593 Faso was seen in 2001 (and was well established by 2007). Mass distribution of  
594 ivermectin against lymphatic filariasis had begun in the study area in 2004. Large  
595 scale population movements of humans from Ghana into Burkina Faso have not  
596 occurred during this time frame (or during the preceding years). Vector control was  
597 stopped on the Lower Black Volta river in 1997 but continued along the river Pru  
598 until well after the recrudescence in SW Burkina Faso. Whilst the recrudescence event  
599 in SW Burkina Faso seems to have started before any distribution of ivermectin in the  
600 area, it is possible that immigrant vectors from the Lower Black Volta could have  
601 introduced parasites from ‘non-responders’. However, because of the limited  
602 distribution of non-responders in Ghana at this time and the vector migration route  
603 would have been inconsistent with normal patterns, it is likely that such immigration  
604 would be small, although it might still have played a role in recrudescence in SW  
605 Burkina Faso after ivermectin was introduced in 2004 against lymphatic filariasis.  
606 However, the geographic and therapeutic coverage of ivermectin distribution was  
607 poor (see below) and the conditions were probably suitable for recrudescence of  
608 onchocerciasis even without ivermectin resistance. In summary, ‘non-responsive’  
609 (potentially resistant) parasites might have been introduced into the study area by  
610 vector immigration, but this is likely to have been in small numbers because it would  
611 have been contrary to normally expected migration routes, and the poor coverage of  
612 ivermectin distribution against lymphatic filariasis in the Comoé river valley is  
613 probably sufficient explanation as to why the recrudescence was not prevented by

614 ivermectin MDA. In any case future surveys are planned and should reveal the  
615 presence or absence of ‘non-responders’.

616

617

618 **4. Instigation of community directed treatment with ivermectin in the Comoé**  
619 **valley**

620

621           Whatever the reason for the recrudescence of onchocerciasis in the Comoé  
622 valley it was clear that control measures would have to be put in place to prevent the  
623 levels of infection increasing and possibly spreading to other areas. PNLO (with  
624 assistance from its partners - APOC and SightSavers) therefore instigated a  
625 programme of Community Directed Treatment with Ivermectin (CDTI) in the two  
626 affected health districts (Banfora and Mangodara) in Région des Cascades in  
627 accordance with strategy developed by APOC and recommended by OCP when it was  
628 dissolved in 2002 and responsibility for monitoring and control was devolved to  
629 Ministries of Health. The first round of treatment was carried out 13-18 July 2011.

630

631           Ivermectin had been distributed in these two health districts since 2004 against  
632 lymphatic filariasis (see above), but during this time the prevalence of onchocerciasis  
633 had increased, and it became evident that therapeutic coverage had often fallen short.  
634 Inhabitants gave a number of reasons for non-participation, including the undesirable  
635 effects of the drugs (which had not been dealt with) and a perception that lymphatic  
636 filariasis was not a grave problem. Furthermore, the programme achieved only weak  
637 geographic coverage in these zones (with certain villages untreated).

638

639 CDTI was to be implemented against onchocerciasis under the supervision of  
640 PNLO and the two district health authorities to treat all of the villages in the area  
641 affected by the recrudescence and at least 80% of the total population in each village.  
642 The approach to CDTI was the classic APOC method to treat the entire eligible  
643 population (Amazigo and Crump, 1998), except that it was coupled with the  
644 distribution of albendazole for the elimination of lymphatic filariasis and the control  
645 of intestinal helminths.

646

647 During the first round of treatment (in July 2011) 100% geographic coverage  
648 was achieved (71 villages), with an average therapeutic coverage of 72.8% (27,543  
649 people out of a total population of 37,846), but in 17 villages CDTI failed to reach  
650 65% (the minimum acceptable) of the total population due to absences (range 0 -  
651 34.5%), exclusions (range 0 - 25%) and refusals (range 0 - 5%). The high number of  
652 absences was probably due to the time of year, which corresponded to the ‘cultural  
653 season’ when there is a lot of migration to and from various festivals. In conclusion  
654 the results of the first round of CDTI are considered satisfactory, and improved  
655 communication and changing the time of year has resulted in an improvement in the  
656 levels of therapeutic coverage which is expected to lead to the successful containment  
657 and eventual elimination of the recrudescence of onchocerciasis in the Comoé valley.

658

659

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661

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665 2015.

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