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## Connectivity of diagnostic technologies: improving surveillance and accelerating TB elimination

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87 **ABSTRACT IN ENGLISH**

88 In regard to tuberculosis (TB) and other major global epidemics, the use of new diagnostic tests is  
89 increasing dramatically, including in resource-limited countries. Although there has never been as much  
90 digital information generated, this data source has not been exploited to its full potential. In this opinion  
91 paper, we discuss lessons learned from the global scale-up of these laboratory devices and the pathway  
92 to tapping the potential of laboratory-generated information in the field of TB by using connectivity.  
93 Responding to the demand for connectivity, innovative third-party players proposed solutions that have  
94 been widely adopted by field users of the Xpert MTB/RIF assay. The experience associated with the  
95 utilization of these systems, which facilitate the monitoring of wide laboratory networks, stressed the  
96 need for a more global and comprehensive approach to diagnostic connectivity. In addition to  
97 facilitating the reporting of test results, the mobility of digital information allows the sharing of  
98 information generated in programme settings. These data, when they become easily accessible, can be  
99 used to improve patient care, disease surveillance and drug discovery. Therefore they should be  
100 considered as a public health good. We list several examples of concrete initiatives that should allow  
101 data sources to be combined to improve the understanding of the epidemic, support the operational  
102 response, and finally accelerate TB elimination. With the many opportunities that the pooling of data  
103 associated with the TB epidemic can provide, pooling of this information at an international level has  
104 become an absolute priority.

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## 109 **Résumé en Français**

110 Dans le domaine de la tuberculose (TB) et d'autres épidémies majeures au niveau international,  
111 l'utilisation de nouvelles technologies pour le diagnostic s'est largement répandue, y compris dans les  
112 pays à faible ressources. Cependant, malgré la grande quantité de données générées par ces nouveaux  
113 outils, cette source d'information reste aujourd'hui largement inexploitée. Dans cet article d'opinion,  
114 nous discutons les leçons tirées de l'utilisation de ces nouveaux outils diagnostics et certaines pistes  
115 pour mieux mettre à profit, grâce à la connectivité, les informations générées par les laboratoires TB. En  
116 réponse à l'absence de solutions permettant cette connectivité, des solutions innovantes ont été  
117 proposées par des acteurs tiers et ont été largement adoptées par les utilisateurs du test Xpert  
118 MTB/RIF. L'utilisation croissante de ces solutions qui permettent la surveillance de larges réseaux de  
119 laboratoires a porté l'attention sur la nécessité de proposer une approche plus globale et intégrée par  
120 rapport à la connectivité des laboratoires diagnostiques. Ces solutions facilitent la transmission des  
121 résultats, mais permettent également le partage d'informations générées en situation réelle. Ces  
122 données, lorsqu'elles deviennent aisément accessibles, peuvent être utilisées pour améliorer la qualité  
123 des soins prodigués aux malades, la surveillance des maladies et la découverte de médicaments. Pour  
124 ces raisons, elles doivent être considérées comme un bien de santé publique. Nous dressons une liste  
125 d'exemples d'initiatives concrètes qui devraient permettre de faciliter le partage de données de  
126 laboratoire dans le but de renforcer notre compréhension de l'épidémie, soutenir les réponses  
127 opérationnelles, et accélérer l'élimination de la TB. En raison des nombreuses opportunités associées au  
128 partage d'information liées à l'épidémie de TB, la centralisation des données au niveau international est  
129 devenue une priorité absolue.

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## 132 **Resumen en español**

133 En el contexto de la tuberculosis (TB), la utilización de nuevas pruebas diagnósticas está aumentando de  
134 manera espectacular, especialmente en los países en desarrollo. Pese a que nunca se ha generado tanta  
135 cantidad de datos, aún no se aprovechan todas las posibilidades que ofrece esta nueva fuente de  
136 información. En el presente artículo de opinión, se examinan las enseñanzas extraídas del uso en todo el  
137 mundo de estos nuevos instrumentos diagnósticos y se analiza la hoja de ruta hacia la explotación de las  
138 ventajas y el potencial de la conectividad para el diagnóstico de la TB. Respondiendo a la falta de  
139 conectividad incorporada a las herramientas de diagnóstico, se han creado soluciones de conectividad,  
140 que a su vez han sido adoptadas por usuarios en el terreno con el fin de monitorizar la utilización del  
141 test Xpert MTB/RIF. El uso creciente de estas soluciones ha centrado la atención sobre la necesidad de  
142 explorar de manera más general y exhaustiva la conectividad destinada al diagnóstico. Además de  
143 facilitar a los laboratorios la tarea de comunicar los resultados, la información digital debería favorecer  
144 el intercambio y el acopio de la información recogida en el marco programático. Dado que estos datos  
145 pueden mejorar la atención al paciente, la vigilancia de enfermedades y el descubrimiento de nuevos  
146 medicamentos, es preciso considerarlos como un bien de salud pública. Aquí, enumeramos varios  
147 ejemplos de iniciativas concretas que deberían facilitar la combinación de diferentes fuentes de datos  
148 para mejorar la vigilancia de la TB y acelerar su eliminación. Habida cuenta de las múltiples soluciones  
149 que ofrece, la combinación de datos a escala internacional constituye una prioridad absoluta, pues  
150 agilizará el progreso en sectores primordiales como la atención al paciente, la vigilancia epidemiológica  
151 y la respuesta operativa.

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153 **Background**

154 In the past decade, the use of new diagnostic tests has increased dramatically in developing  
155 countries' laboratories and more recently in decentralised point-of-care facilities. Self-contained  
156 molecular diagnostic devices have been successfully deployed to detect tuberculosis (TB) (e.g.  
157 GeneXpert<sup>1</sup>) or monitor treatment for HIV (e.g. PIMA<sup>2</sup>) in very basic clinical facilities.

158 Despite the accumulating evidence that these tools can be successfully used in the most challenging  
159 environments<sup>3,4</sup> and the establishment of distribution and funding channels that should theoretically  
160 allow any country to access and scale-up these new technologies, the majority of patients that could  
161 benefit from these technical evolutions still do not have access to them. It is clear that the  
162 introduction of an improved TB diagnostic is not sufficient for assuring improved outcomes for  
163 patients as the details of implementation within existing health-delivery systems have critical  
164 influence on impact<sup>5</sup>. We suggest that the introduction of new tools such as GeneXpert offers an  
165 important opportunity to better understand, monitor and improve such delivery systems to assure  
166 greatest impact. If scale-up of novel diagnostic devices can be accompanied by the simultaneous  
167 introduction of up-to-date quality indicators and technical connectivity solutions, the vast amount of  
168 data generated by these new generation of automates could actually both simplify and potentiate  
169 the global response to the TB epidemic.

170 On a national and global level, the quantity of information produced following the introduction of  
171 new-generation laboratory instruments was not anticipated, thus there were no plans in place for  
172 how to manage the information flow or orient it in such a way that it could generate an evolution in  
173 the organisation of the epidemic response. In the absence of adequate laboratory information  
174 technology infrastructure, complemented with standardised reporting solutions for screening  
175 activities and treatment follow-up, many low-resource countries have continued to use slow and  
176 error-prone paper-based recording systems. In such systems, editing and transmission of paper  
177 reports cause inherent delays and contribute to the cost, complexity and relative inaccuracy of data

178 interpretation.

179 Diagnostic ehealth solutions have the potential to help overcome some of these problems and  
180 maximize patient and public health impact following the introduction of a particular technology. The  
181 combination of this unprecedented evolution of the laboratory landscape and the potential of  
182 eHealth could be leveraged to generate evolution in national and global health-delivery systems that  
183 is needed to achieve TB elimination. Pragmatically, this requires device connectivity, wherein testing  
184 data and results are automatically and securely sent to repositories, translated into useful  
185 information and channeled to appropriate parties. Although device connectivity within other  
186 industries has been commonplace for some time, within the healthcare community it is still  
187 considered to be in its infancy <sup>6</sup>.

188 In this paper, we discuss lessons learned from the global scale-up of the first generation of easy-to-  
189 connect diagnostic tools <sup>7</sup> and the pathway to tapping the potential of connectivity in the field of TB  
190 diagnostics <sup>8</sup>.

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## 192 **Experience from first-generation connected diagnostics: the example of Xpert MTB/RIF**

193 During the last decade, several diagnostic companies, such as Cepheid Inc. (Sunnyvale, USA) and Alere  
194 Inc. (Waltham, USA), began developing a new generation of tests—essential to fight diseases of poverty  
195 such as TB and HIV—with significant support from public and philanthropic funders including NIH and  
196 BMGF.

197 The Xpert MTB/RIF, run on the GeneXpert platform, was the first truly game-changing test to come out  
198 of this work and has since been widely distributed in health facilities with limited human and  
199 infrastructure resources. The coverage of GeneXpert varies importantly between countries, with some  
200 countries still having only a couple of machines based in reference laboratories, and other countries  
201 such as South-Africa which rapidly realized the advantages of implementing this novel platform as a

202 first-line test<sup>9</sup>. In the last five years, more than 13 million Xpert MTB/RIF tests have been procured  
203 worldwide. When GeneXpert was rolled out in 2010, the instrument had no built-in connectivity outside  
204 basic standards and the TB community did not have the software tools to connect to GeneXpert  
205 machines and optimally use the data being generated. As a consequence, valuable information was  
206 housed in the hard drives of local computers, was never used to inform surveillance efforts or health  
207 care providers, and has largely been lost.

208 In light of this, national TB programmes called for tools to reduce loss to follow-up and improve device  
209 and laboratory management—including a better ability to maintain cartridge supply and local  
210 redistribution and to evaluate and fulfill the training needs of device operators and lab technicians.  
211 Likewise, TB programmes voiced a need for connectivity systems that could relieve the high overhead  
212 costs of data aggregation and analysis that hamstrings the process of collecting raw data and turning it  
213 into useful information.

214 In 2012, responding to this critical gap in the implementation landscape, innovative third-party players  
215 developed connectivity solutions. For example, GxAlert (ABT and SystemOne), XpertSMS (Interactive  
216 Research and Development and TB REACH) and GenXchange (Université catholique de Louvain and the  
217 National TB Program of DRC) were devised to respond to the needs of low-resource countries where  
218 often internet is unavailable or unreliable and laboratory information systems or electronic medical  
219 records are not widely used. These tools offered immediate solutions and, based on national requests,  
220 hundreds of local laboratories have since been interconnected by implementing these systems. The  
221 scaling of these connectivity solutions has been taken back by dedicated companies<sup>10,11</sup>.

222 Cepheid, the manufacturer of GeneXpert, also worked to enable remote monitoring of their devices in  
223 response to expressed national needs and requests from the TB community. Like many developers,  
224 Cepheid lacked comprehensive information about what use-cases needed to be supported, and for  
225 ethical and regulatory reasons prioritized data security and confidentiality. As a result, the company  
226 launched an initial software tool that was a step forward but unable to fulfill all programme needs.

227 In response, a WHO-led alliance of key implementation partners (e.g. USAID, MSF, CHAI and FIND) and  
228 donors (e.g. UNITAID and GFATM) was formed to work with Cepheid to ensure secure, open access to  
229 critical data and to find a broader, holistic approach to connectivity and data management. An  
230 immediate solution was found and both Cepheid and the alliance remain interested in the creation of a  
231 non-proprietary, long-term connectivity platform (or a series of integrated and inter-operational  
232 platforms). This highlights how the global TB community can collectively define priority needs and work  
233 with manufacturers to negotiate and realize solutions for accessing and utilising key data.

234 Another important lesson from the implementation of first-generation connected diagnostics is the  
235 importance of a well-tailored delivery pathway for connectivity software that supports sustainable up-  
236 take in country. For instance, Alere, the manufacturer of PIMA, devised a country-based public-private  
237 partnership model to ensure appropriate training and support for their connectivity software. Without  
238 this support and engagement of key stakeholders, many countries would have struggled to make use of  
239 the influx of data. While the tool itself has limited wider applicability because of the proprietary nature  
240 of the software, the partnership model offers a valuable example of how non-proprietary, interoperable  
241 systems could be disseminated and nurtured in the future.

#### 242 **Connectivity of diagnostics: a shared responsibility and public health necessity**

243 WHO and research funding agencies have been advocating for, and implementing, data-sharing policies  
244 for some time. While these efforts have increased access to synthesized research data, efforts to make  
245 national programme data available are in their infancy. The use of new generation diagnostic platforms  
246 has triggered thinking about the potential utility of real-time analysis of national data and how  
247 diagnostic connectivity could further improve epidemiological surveillance and guide targeted public  
248 health responses. Accelerated TB elimination, for example, as called for in the WHO End TB strategy <sup>12</sup>,  
249 can only be realized if case detection, individual patient management and epidemiological surveillance  
250 are intensified simultaneously, and if these efforts are closely monitored and validated. Data generated  
251 by Xpert MTB/RIF testing can be used both to improve patient management and treatment efforts, and

252 to provide important population-level information on average infectiousness as a predictor for TB  
253 burden<sup>13</sup> and spread of new mutations. This requires optimized programmatic data management,  
254 pooling, sharing, analysis and use. Realizing improvements in surveillance and public health demands  
255 that information generated by diagnostic technologies in programmatic conditions be easily accessible  
256 and usable for national programmes. Ultimately, data access, enabled by diagnostic connectivity, should  
257 thus be seen as a public health good. Countries, international organizations, test developers and civil  
258 society organizations have a collective responsibility to work together to ensure sustainable use of  
259 information and communications technology to improve healthcare. In doing so, important questions  
260 regarding ethical obligations, data ownership and stakeholder interests, e.g. market competitiveness,  
261 need to be acknowledged and addressed. International collaborative efforts must furthermore address  
262 the issue of personal unique identifiers in a context of continuous human migrations and data mobility.

### 263 **The way forward: realizing the potential of connected diagnostics**

264 Built-in connectivity has become an evident prerequisite for upcoming diagnostic platforms<sup>14</sup>. Tests that  
265 until recently were un-connectable, such as rapid diagnostic tests (e.g. HIV, malaria), can now be  
266 connected to digital readers with results collected, stored and transferred (e.g. Fio Corp, Canada).

267 In the field of TB diagnostics, a wide range of laboratory tests are used in complementarity. This includes  
268 rapid diagnostic tests and more conventional approaches such as microscopy, culture, drug  
269 susceptibility testing and sequencing<sup>15</sup>. Inter-connecting these diagnostic devices and further  
270 integrating this information with clinical indicators is the upcoming challenge for the TB community.

271 The Connected Diagnostics Initiative (CDx), coordinated by FIND (Geneva, Switzerland), is an example of  
272 a potential solution for accelerating connectivity and interoperability of diagnostic devices. CDx is  
273 providing an open-source software platform allowing for centralised aggregation of data from  
274 diagnostics, regardless of manufacturer. For this new effort to succeed, wide buy-in from implementers,  
275 policymakers and developers will be essential. In parallel, FIND is working with WHO towards guidelines  
276 for standardised results reporting for diagnostic devices, and assisting developers to be in compliance

277 with these standards. These efforts go hand in hand with further deployment of local laboratory  
278 information systems and electronic medical records <sup>16</sup>.

279 Alongside this initiative, various groups are creating global databases with the intention of enhancing  
280 research and development applications of data. For instance, genTB (Harvard University) is an open-  
281 source platform that allows for the pooling, analysis and visualization of genetic, epidemiological and  
282 clinical data. A global partnership, including WHO, CDC, CPATH, Stop TB, NIAID and FIND, has been  
283 established to develop a data platform (ReSeqTB) to store, curate and provide access to globally  
284 representative TB data that can inform the development of new diagnostics, facilitate clinical decisions  
285 and improve surveillance of drug resistance. While the opportunities for sharing information at an  
286 international scale must be promoted, countries must be provided with technical solutions that can  
287 support them in efficiently managing with whom, and for what purposes, national data are shared, and  
288 to ensure that these database efforts ultimately benefit patients.

289 Consensus is forming around the central role that connected diagnostics and digitization can play in  
290 tackling health systems weaknesses and diseases of poverty. However, the global health community  
291 must also address complex question of how new tools and practices can be effectively implemented in  
292 health systems. Substantial programmatic changes will be required in countries to absorb the innovation  
293 of connectivity and capture its benefits. This demands a holistic approach to cultivating effective  
294 development and adoption of new diagnostic tools. In this context, laboratory connectivity may serve  
295 the need for more efficient post-marketing surveillance of newly rolled-out diagnostics both for national  
296 stakeholders and their global partners. As the amount of information collected will rapidly increase  
297 beyond our conventional capacities of analysis, the global health community will also need to initiate  
298 and intensify innovative collaborations to exploit the data collected, using big data analysis and self-  
299 learning algorithms. Managing, visualizing and analysing such big data creates challenges beyond the  
300 capacities of standard statistical methods, and thus generates an increasing demand for data science  
301 and multidisciplinary efforts.

302 **Conclusion**

303 Our common goal of TB elimination is not a dream anymore: it is an achievable objective with clear  
304 milestones <sup>17</sup>. The elimination effort will require a strengthened collaboration between information  
305 technology and big data specialists, social medicine and private companies <sup>6</sup>.

306 In the future, all diagnostic technologies should be inter-connected, allowing data generated by  
307 laboratories to merge in a common repository while safeguarding patient confidentiality. The TB  
308 community could use such a repository to monitor progress and identify problems and potential  
309 solutions, at both patient and global levels. Data pooling will open up opportunities to comprehend the  
310 rapid evolution of drug-resistant mutations, which will aid in selecting cost-efficient treatment schemes  
311 and improving patient management. With the many solutions it can provide, data pooling at an  
312 international level is an absolute priority, as it will accelerate progress in critical sectors including patient  
313 care, epidemiological surveillance and operational response. Being an international health emergency,  
314 the TB epidemic requires optimal international collaboration and unambiguous political commitment for  
315 intensifying data sharing efforts.

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