Prevalence of ocular *Chlamydia trachomatis* and active trachoma among children in Merhabete district, Amhara, Ethiopia

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Abstract Word Count: 234

Word Count: 1862 Tables: 1 Figures: 2 References: 20

1 ABSTRACT

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There have been large reductions in the burden of trachoma worldwide. However, some districts

- 4 have had persistently high trachoma prevalence despite many years of intervention. Here, we
- 5 report the epidemiology of trachoma in Merhabete, Ethiopia, a district in Amhara Region that
- 6 has been receiving azithromycin mass drug administration (MDA) since 2009. Data arose from
- 7 the baseline survey of a cluster randomized trial evaluating targeted treatment strategies for
- 8 trachoma elimination. An enumerative census was conducted in February 2022 to generate lists
- 9 of children aged 6 months to 9 years in 80 sentinel communities participating in the trial. All
- 10 children in the sentinel communities who were on the census were examined. Field grades and
- conjunctival swabs were collected for assessment of active trachoma (based on clinical
 assessment) and ocular chlamydia (based on PCR to identify *Chlamydia trachomatis*). 5,935
- 13 children were examined in 80 communities. Prevalence of trachomatous inflammation-follicular
- 14 (TF) was 46.6%, trachomatous inflammation-intense (TI) was 17.5%, and ocular chlamydia was
- 15 28.0%. The correlation between TF and ocular chlamydia (correlation coefficient 0.54, 95%
- 16 confidence interval, CI, 0.34 to 0.70) was similar to the correlation between TI and ocular
- 17 chlamydia (correlation coefficient 0.49, 95% Cl 0.30 to 0.65). The prevalence of ocular
- 18 chlamydia remained high in this district that had received more than 10 rounds of azithromycin
- 19 MDA. Ocular chlamydia was moderately correlated with both TF and TI. Intensive interventions
- 20 may be required to eliminate trachoma in settings with persistently high ocular chlamydia
- 21 prevalence despite many years of intervention.

22 INTRODUCTION

23 Azithromycin mass drug administration (MDA) is highly effective at reducing the prevalence of the ocular strains of *Chlamydia trachomatis* that cause trachoma.^{1,23} As a result, tremendous 24 25 progress has been made towards global control of trachoma.⁴ However, some areas of sub-26 Saharan Africa have had persistently high prevalence of trachoma despite many years of azithromycin MDA, many of which are in Ethiopia.⁵ As more districts achieve control of 27 28 trachoma and phase out of meeting criteria for continued azithromycin MDA, understanding the 29 epidemiology of trachoma at scales smaller than a district may help target interventions and identify hot spots for more intensive intervention.⁶ 30

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32 Trachoma programs use the clinical sign trachomatous inflammation—follicular (TF) to monitor 33 indication for and response to azithromycin MDA. The target of azithromycin is ocular chlamydia, and TF is known to decline more slowly following mass azithromycin than ocular 34 chlamydia.⁷ Alternative indicators, including trachomatous inflammation—intense (TI), may be 35 36 useful for trachoma surveillance. At the district level in a study conducted in a high trachoma 37 prevalence setting, TI was shown to correlate more closely with ocular chlamydia than TF during azithromycin MDA.⁸ At the community level, a study in Niger demonstrated strong 38 39 correlation between TF and TI and ocular chlamydia at baseline that weakened with azithromycin MDA in communities with approximately 20% prevalence at baseline.⁷ 40

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Several districts in Amhara, Ethiopia have had persistently high prevalence of TF (e.g., TF ≥
30%) despite many years of intervention.⁹ We evaluated the relationship between TF, TI, and
ocular chlamydia at the community level in Merhabete district, Amhara, Ethiopia, with the goal of
understanding the small-scale epidemiology of trachoma in a district with hyperendemic
trachoma.

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48 METHODS

Study Setting. This study was conducted in Merhabete District in the North Shewa Zone of 49 50 Amhara, Ethiopia. Azithromycin MDA for trachoma began in Merhabete in 2009. The most 51 recent trachoma impact survey conducted in Merhabete (2019) demonstrated the prevalence of both TF and ocular chlamydia infection to be approximately 30%.¹⁰ The most recent 52 53 azithromycin MDA prior to data collection was in February 2021, approximately 15 months 54 before sample collection began. Data were collected as part of the baseline assessment of the 55 Kebele Elimination of Trachoma for Ocular Health (KETFO) study (ClinicalTrials.gov 56 NCT03335072). This study was conducted in collaboration between the Amhara Regional 57 Health Bureau, Evu-Ethiopia, Bahir Dar University, the Amhara Public Health Institute, The 58 Carter Center, and the University of California, San Francisco. The study was reviewed and 59 approved by the institutional review boards at the University of California, San Francisco, Emory 60 University, and the London School of Hygiene and Tropical Medicine, the National Ethics 61 Review Committee of Ethiopia, the Amhara Public Health Institute, and the Ethiopian Food and 62 Drug Administration.

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64 Census. A census of all communities in Merhabete was conducted in February 2022. Of 184 65 communities (known as *gotts*) identified during the census, a random sample of 80 communities 66 were selected as sentinel communities for trachoma monitoring. In sentinel communities, a 67 door-to-door enumerative census was undertaken in which all residents in all communities were 68 enumerated.

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Participants. All children aged 6 months to 9 years in all sentinel communities were eligible for
 examination. In each study community, a community mobilizer informed residents that the study
 team would be visiting and to bring children under 9 years of age to a central examination point

in the community. We obtained written consent from the caregiver of each enrolled participant in
accordance with the Declaration of Helsinki.

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76 Outcome assessments. Clinical examination for signs of trachoma was done in the field according to the World Health Organization (WHO) simplified grading system.¹¹ Examiners in 77 78 the field were trained and certified by experienced graders following a standardized training and 79 passing an exam with 50 conjunctival photos with a kappa of > 0.7 versus a gold standard grader (an ophthalmologist with expertise in trachoma). The exam included all trachoma signs 80 81 (e.g., normal, TF, TI, scarring, and corneal opacity). All graders achieved a kappa of ≥ 0.75 . 82 Grading for clinical signs of trachoma was done using 2.5x binocular magnifying loupes with 83 penlight illumination. Ocular chlamydia infection was measured using polymerase chain reaction 84 (PCR) on conjunctival swabs collected in the field. Gloved examiners everted the right upper 85 tarsal conjunctiva and swabbed with a Dacron swab (Puritan Medical Products, Guildford, ME, 86 USA). Swabs were placed in tubes without media, and then stored on ice in the field and in a -20°C freezer until being transported to the Amhara Public Health Institute laboratory for long-87 term storage at -20°C and processing. Samples were eluted, and individual aliquots were 88 combined into pools of 5, stratified by study community.¹² Pools were processed to detect *C*. 89 90 trachomatis DNA using the Abbot RealTime assay (Abbot Molecular, Des Plaines, IL, USA) on the m2000 platform as in previous studies.¹³ Communities from which 100% of pools were 91 positive were re-tested using pools of 3.¹² 92

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Statistical analysis. Community level prevalence of ocular chlamydia was estimated from
pooled prevalence using maximum likelihood methods as previously described.¹² The
prevalence of TF and TI were calculated as the total number of positive children divided by the
total number of children examined in each community. Binomial 95% confidence intervals (CIs)

were calculated for each indicator. To assess spatial clustering of TF, TI, and ocular chlamydia
at the community level, we calculated the Moran's I statistic. We calculated Spearman rank
correlations to assess the degree of correlation between 1) TF and ocular chlamydia, and 2) TI
and ocular chlamydia at the community level. All analyses were done in R version 4.4.0 (The R
Foundation for Statistical Computing).

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104 **RESULTS**

105 A total of 6,010 children aged 6 months to 9 years were registered for examination in 80

106 communities, of whom 5,935 (99%) had a field grade recorded and 5,901 (98%) had a

107 conjunctival swab for ocular chlamydia assessment collected. Approximately half (51%) of the

108 examined children were female and median age was 6 years (interquartile range 4 to 9 years;

109 **Table 1**).

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111 The median prevalence of TF among study communities overall was 49% (interquartile range, 112 IQR, 34 to 57%) and TI was 16% (95% CI 6% to 25%). The median prevalence of ocular 113 chlamydia among all study communities was 23% (95% CI 11% to 37%). We found no evidence 114 of spatial autocorrelation of TF (Moran's I -0.03), TI (Moran's I -0.03), or ocular chlamydia 115 (Moran's I -0.02) (Figure 1). We found evidence of moderate correlation between TF, TI, and 116 ocular chlamydia. The correlation between mean TF and ocular chlamydia was 0.54 (95% CI 117 0.34 to 0.70; Figure 2) and the correlation between mean TI and ocular chlamydia was 0.49 118 (95% CI 0.30 to 0.65; Figure 2).

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120 **DISCUSSION**

121 We document persistent hyperendemic trachoma in this district in Amhara that had been

122 receiving azithromycin MDA for more than 10 years at the time of sample collection. Mass

123 distribution of azithromycin has been shown to dramatically decrease the prevalence of ocular

Chlamvdia trachomatis and clinical signs of trachoma.^{1,2,14,15} While many formerly endemic 124 districts globally have achieved elimination of trachoma as a public health problem, a number of 125 districts have experienced persistently high prevalence of trachoma despite many years of 126 intervention.¹⁶ Ethiopia has the greatest number of these districts, although overall many 127 formerly hyperendemic districts in Ethiopia have now achieved trachoma control.¹⁶ These 128 results, in combination with district-level survey results from Amhara¹⁷, suggest that more 129 130 intense interventions may be required to achieve trachoma control in districts that continue to 131 have persistently high trachoma prevalence despite many years of intervention.

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133 At the zonal level, previous studies in Amhara have shown that TI may be more closely correlated with ocular chlamydia compared to TF⁸, although others at the district level have 134 135 shown TF to be more closely correlated with ocular chlamydia than TI.¹⁷ In general, the 136 correlation between TF and ocular chlamydia has been higher at the district level in districts with higher TF prevalence.¹⁷ In the present analysis, we found similar correlations between TI and 137 138 ocular chlamydia and TF and ocular chlamydia. Although the correlation coefficient was slightly 139 higher between TF and ocular chlamydia compared to TI, the 95% confidence intervals overlapped, suggesting there may be minimal true difference in correlation between TF and TI. 140 141 Despite very high TF prevalence, the correlations observed at the individual community level 142 between both TF and TI and ocular chlamydia were moderate compared to what has previously been shown in district-level analyses.¹⁷ 143

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In Amhara and elsewhere, the prevalence of TI and ocular chlamydia are typically more similar
to one another than TF and ocular chlamydia.^{7,8} In the present study, ocular chlamydia
prevalence was higher than TI prevalence but lower than TF prevalence. Both TF and TI are
lagging indicators of ocular chlamydia infection; typically, infection is present prior to
development of TF and/or TI and clear before TF and/or TI resolve.³ Ocular chlamydia has been

found more frequently in children with TI compared to TF.^{18,19} The present results may suggest 150 high levels of transmission of ocular chlamydia that have not manifested as TI. Monitoring 151 152 ocular chlamydia infection requires substantial costs and logistics. Alternative indicators, 153 including TI and serology, have been considered to complement the evaluation of TF and better 154 identify districts requiring further intervention for trachoma. These results suggest that TI may 155 underestimate the prevalence of ocular chlamydia at the community level in high prevalence 156 areas, and thus it may not be an adequate alternative indicator for ocular chlamydia if the goal 157 of surveillance is to detect ongoing transmission of ocular chlamydia.

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Like other areas receiving azithromycin MDA, Merhabete experienced treatment interruptions 159 during the COVID-19 pandemic.²⁰ One azithromycin MDA was missed during the height of the 160 161 COVID-19 pandemic in 2020. More recently, Merhabete and other regions of Amhara have 162 experienced treatment interruptions due to the civil war. In the present study, samples were 163 collected approximately 15 months after the most recent azithromycin MDA. Treatment 164 interruptions or delays may increase ocular chlamydia transmission, contributing to the high 165 prevalence of ocular chlamydia and TF observed in the present study. Given the cross-sectional nature of the current study and lack of data from before pandemic and civil war, we are unable 166 167 to comment on whether these treatment interruptions have increased transmission of trachoma. 168 However, the prevalence of TF has remained above 30% and infection above 10% despite 169 continuous rounds of intervention. Settings similar to Merhabete will likely need more intensive 170 treatments to achieve control of trachoma as a public health program, and alternative antibiotic 171 distribution strategies should be considered in these contexts.

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In this population-based survey using baseline data from a community randomized trial, we
demonstrated a very high prevalence of ocular chlamydia and the clinical signs TF and TI in a
district in Amhara that had received more than 10 years of MDA with azithromycin. In addition to

176 high prevalence, we found no evidence of spatial correlation and high prevalence of infection 177 was found throughout the district. At the community level, correlations between TF and TI and 178 ocular chlamydia were considerably more moderate than has been observed in previous studies 179 at the district level, and the prevalence of TI was substantially lower than ocular chlamydia. 180 These results suggest that TI alone may not be an adequate alternative indicator for ocular 181 chlamydia infection. Given the high prevalence of TF and ocular chlamydia despite many years 182 of azithromycin distribution, these results suggest that this setting is ideal for evaluating 183 intensive strategies for trachoma control.

Funding. The KETFO trial is supported by the National Eye Institute (UG1EY028088; MPIs Lietman and Oldenburg). The funders played no role in the design, analysis, interpretation, or decision to publish.

Acknowledgements. We thank Abbott for its donation of the m2000 RealTime molecular diagnostics system and consumables.

 Table 1. Demographic and trachoma characteristics of children surveyed at baseline, KETFO

Study, 2022.

	Outcome
Child's sex, N (%)	
Female	3,306 (50.5%)
Male	2,974 (49.5%)
Age, years, median (IQR)	6 (4 to 9)
TF prevalence (95% CI)	46.6% (43 to 50.3%)
TI prevalence (95% CI)	17.5% (14.5 to 20.5%)
Ocular chlamydia prevalence (95% CI)	28.0% (23.1 to 32.9%)

FIGURE LEGENDS

Figure 1. Prevalence of trachomatous inflammation—follicular (A), trachomatous inflammation—intense (B), and ocular chlamydia (C) in Merhabete, Ethiopia at the community level. Darker red colors indicate higher prevalence of each indicator.





Figure 2. Correlation between trachomatous inflammation—follicular (TF, left) and trachomatous inflammation—intense (TI, right) and ocular chlamydia prevalence at the community level.



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