1 Word count: Abstract (213), Main text (2367)

#### 2 Abstract

**Purpose**: Intermittent preventive treatment with sulphadoxine-pyrimethamine for pregnant women
(IPTp-SP) coverage remains far below the desirable goal of at least 3 doses before delivery. This
study evaluates an innovative intervention using mobile phones as a means to increase the coverage
of the third dose of IPTp-SP.

Methods: This study was designed as an open-label pragmatic, two-arm, randomised trial conducted
in Burkina Faso. Pregnant women who attended antennal clinic (ANC) visits were included at their
first ANC visit and followed until delivery. The intervention consisted of mobile phones used to track
directly pregnant women.

**Results**: In total, 248 pregnant women were included in the study. The proportion of women who received at least three doses of IPTp-SP was 54.6%. In the intervention group, 54.1% of women received at least three doses of IPTp-SP versus 55.1% in the control group, but the difference was not significant (adjusted odds ratio "aOR", 0.86; 95% confidence interval "95% CI", 0.49-1.51). Women in the intervention group were likely to timely attend to their ANC visits than women in the control group (aOR, 3.21; 95% CI, 1.91-5.39).

17 Conclusions: Mobile phones intervention did not increase the proportion of women receiving 3 doses
18 of IPTp-SP; however, it may contribute to improve the rate of timely attendance to ANC visits.

19 Trial registration: PACTR202106905150440

20 Keywords: Mobile phones, Intermittent Preventive Treatment of malaria, Sulfadoxine21 Pyrimethamine, Antenatal care, Burkina Faso.

# 23 List of abbreviations and acronyms

- 24 ANC: Antenatal clinic
- 25 CI: Confidence interval
- 26 CRF: Case report form
- 27 IPTp: Intermittent preventive treatment in pregnancy
- 28 ITN: Insecticide-treated bed-nets
- 29 mHealth: Mobile health
- 30 OR: Odd ratio
- 31 SD: Standard deviation
- 32 SP: Sulfadoxine-pyrimethamine
- 33 SSA: sub-Saharan Africa
- 34 WHO: World Health Organisation

## 35 Introduction

36 In sub-Saharan Africa (SSA), over 30 million pregnant women are at risk of malaria infection each 37 year [1]. Pregnant women are particularly vulnerable to malaria infection, resulting in negative 38 consequences for the health of the mother and the new-born, mainly maternal anaemia, and low 39 birthweight, and increasing maternal and infant mortality and morbidity [2].

40 For malaria control in pregnancy, the World Health Organisation (WHO) recommends the use of 41 insecticide-treated bed-nets (ITNs), intermittent preventive treatment with sulfadoxine-42 pyrimethamine (IPTp-SP) and prompt and effective management of clinical cases [3]. IPTp-SP is a 43 key intervention and highly cost-effective in preventing the harmful consequences of malaria on 44 maternal and foetal outcomes [4]. The administration of SP consisted of at least two doses starting at 45 the second trimester with at least one month interval [3]. However, since October 2012, the policy 46 has been revised, and IPTp has been recommended at each scheduled antenatal clinical care visit 47 (ANC) from the second trimester of gestation, with the aim of ensuring the uptake of at least three 48 IPTp administrations of SP [5]. Several African countries have adopted the latest recommendation. 49 However, the uptake of the intervention is unacceptably low in areas of high-intensity transmission 50 of malaria [6], indicating the need of innovative tools and approaches to increase it.

51 With a rapid penetration of mobile phones in Africa, mobile health (mHealth) could be used as a 52 potential intervention to promote and enhance health care service utilization. Recent studies showed 53 that mHealth increase the utilization of maternal and child health services, clinic attendance, and 54 promote health-seeking behaviour [7]. Therefore, we aimed to evaluate the impact of mobile phone 55 intervention on the IPTp-SP uptake in Burkina Faso.

## 56 Material and methods

## 57 Study design

This was a pragmatic two-arms, open-label randomised trial carried out between November 2015 and January 2017 at the health districts of Koudougou and Boulmiougou, Centre and Central-West health regions of Burkina Faso. The 2 arms were composed of the intervention group (mobile phone use) and the control group, which received only the routine ANC visit package.

## 62 Study sites

The study took place in the maternity clinics of Kokologo, in the health district of Koudougou 63 64 (Central-Western Region) and Tanghin Dassouri in the health district of Boulmiougou (Centre 65 Region). These sites were selected by convenience based on the geographical accessibility and their 66 rural (maternity clinic of Kokologo) and semi-rural (maternity clinic of Tanghuin-Dassouri) 67 characteristics. Most of the residents in the study areas are subsistence farmers with 'mooré' being 68 the most popular language. The climate is characterized by a long dry season running from October 69 to May, followed by a single short rainy season. Malaria transmission is perennial, with a peak period 70 of transmission running from June to September.

## 71 Sample size

72 Several studies carried out in Africa have reported that the proportion of women who received a third 73 dose of IPTp-SP was around 35% [8]. In Burkina Faso, data from the Ministry of Health showed that 74 68.6% of pregnant women received at least 2 doses of IPTp-SP in 2014 (one year before the start of 75 our study). We therefore assumed at 30% the proportion of pregnant women who will receive at least 76 3 doses of IPTp-SP in a routine situation, and this proportion would increase by at least 60% (an 77 increase from 30% to 48%) in a context of use of the mobile phone to relaunch appointments for 78 ANC visits. Under these assumptions, 230 pregnant women (115 per arm) were sufficient to observe 79 the difference of 18% (48% - 30%) due to the intervention with a power of 80% and a margin of error 80 of 5%.

## 81 Study participants

All pregnant women attending the ANC services were screened for participation in the study. Pregnant women were enrolled if they had provided signed/thumb printed informed consent form, had a gestational age at the first ANC < 20 weeks, had access to a mobile phone, had decided to reside in study area throughout the period of the study and had agreed to comply with the study procedures.

## 86 Intervention

The mobile phone intervention consisted of making two systematic reminder phone calls for the ANC visits appointment, the first and the second reminder calls one week and a day before the appointment respectively. A standardised short text/voice message was delivered to those unable to be reached out.

## 91 Randomisation and masking

Eligible and consenting pregnant women were randomly assigned (1:1) to either the mobile phone intervention or control group. The allocation of the participants to the study arms was done centrally. The principal investigator produced the computer-generated randomization list for each recruiting site. Treatment allocation for each participant was concealed in opaque sealed envelopes that were opened only after recruitment. Study participants were assigned a unique study number linked to the allocated treatment group. Neither study participants nor clinical staff was masked because of the nature of the intervention requiring overt participation.

## 99 Outcomes

100 The primary outcome was the proportion of pregnant women who received at least three doses of 101 IPTp-SP. Secondary outcomes were the proportion of pregnant women who attended at least four 102 ANC visits, the proportion of pregnant women with anaemia (Hb < 11 g/dL) at delivery, the 103 proportion of pregnant women with malaria infection (positive slide) at delivery, prevalence of low 104 birthweight (birthweight < 2500g) and the proportion of women who timely attended to their ANC 105 visits appointment. A woman was considered "regular" in prenatal consultation or "having a timely 106 attendance" when the date of consultation corresponded to the given appointment date.

#### 107 **Procedures and data collection**

At the inclusion, demographic and socio-economic characteristics were collected and recorded in a standardized case report form (CRF). Medical history including the obstetric history and chronic conditions was also collected. Physical and obstetrical examination were performed. IPTp-SP was administered to pregnant women with a gestational age > 13 weeks.

Blood sample by finger prick was collected at inclusion and at delivery for malaria parasitaemia andhaemoglobin concentration determination.

114 At delivery, the new-born was examined, and the Ballard's score assessed to determine the gestational

age. A home visit was conducted within a week to women who delivered outside the health facility.

## 116 Laboratory tests

Haemoglobin (Hb) level was measured using a Hemo-Control photometer (EKF Diagnostics, Barleben/Magdeburg, Germany) device with 10  $\mu$ L of blood and anaemia was defined as Hb < 11 g / dL. Malaria infection was determined using the Lambaréné technique [9]. Ten microliters of blood were spread on a rectangular area of 1.8 cm2 (1.8 cm x 1 cm) of a slide. The slide was stained with Giemsa and read at a magnification of 1,000 with an oil immersion lens. A multiplication factor was applied to the average parasitemia per field to determine the number of parasites per mL. The Lambaréné technique detection threshold has been estimated to be 5 parasites per mL.

## 124 Ethical statement

The study protocol received approval from the Comité d'Ethique pour la Recherche en Santé (Reference ID 2014-12-142) in Burkina Faso. The study was conducted in accordance with the Declaration of Helsinki, Good Clinical Practice, and applicable national regulations. All study participants provided written informed consent.

## 129 Statistical analysis

130 Data were managed with Microsoft Access 2013 and analysed with STATA software version 13.0 (Stata Corp, College Station, TX). Analyses were performed according to the intention-to-treat 131 132 population. We first describe the general characteristics of the study population. After then, univariate 133 and multivariate logistic regressions were performed to evaluate the impact of the intervention. 134 Because of the hierarchical structure of the data, we used generalised estimating equations to take 135 into account for within-sites correlation. Results were expressed as odds ratios (ORs) for primary and 136 secondary outcomes with 95% confidence intervals (95% CI). The statistical significance was set at 5%. 137

## 138 Results

A total of 1,569 pregnant women were screened. Of which, 248 were enrolled and data of 26 women were analysed (Figure 1). At enrolment, the mean age of the study participants was 26.2 years, (SD  $\pm$  6.3) and 45.8% (99/216) pregnant women with a gestational age < 16 weeks attended the first ANC visit. The study participants' general characteristics were similar between the study groups (Table 1).

143 The overall proportion of women who received at least three doses of IPTp-SP (the study primary 144 endpoint) was 54.6 % (118/216). The proportion of pregnant women who received at least three doses 145 of IPTp-SP in the intervention group (54.1%, 59/109) was not different from that observed in the 146 control group (55.1%, 59/107) (adjusted Odds Ratio; aOR: 0.86; 95% CI: [0.49 - 1.51]). There was 147 no significant difference between women who attended four ANC visits between the two groups: 148 93.6% (102/109) in the intervention group versus to 97.2% (104/107) in the control group (aOR: 0.40; 95% CI: [0.10-1.62]). Similarly, there was no difference between the two groups on the 149 150 secondary outcomes i.e. low birth weight, anaemia and malaria infection at delivery (Table 2). 151 However, women in the intervention group were more likely to timely attend the ANC visit 152 appointments than those in the control group (aOR: 3.21; 95% CI: [1.91-5.39]) (Table 3).

#### 153 Discussion

Our results failed to depict an improvement in the coverage of the third dose of IPTp-SP, but they showed a timely attendance of ANC visits appointments by pregnant women who were exposed to mobile phones intervention at Kokologo and Tanghin Dassouri, in Burkina Faso.

157 Low coverage and poor quality of ANC visits are known to be associated with poor pregnancy 158 outcomes such as abortion, abnormalities, stillbirth, preterm birth, low birthweight and anaemia [10]. 159 Evidence of interventions that improve the coverage and the quality of ANC visits is then crucial in 160 SSA, where the lowest rates of ANC visits attendance and IPTp-SP coverage are reported. [11]. The 161 ANC visits are essential to prevent and identify complications during pregnancy, improve maternal 162 and child outcomes [12,13] and especially, prevent malaria and malaria-related illness such as 163 maternal anaemia and placental malaria [12]. In this study, 54.6% of pregnant women had received 164 at least three doses of IPTp-SP. This result is substantially better than previous findings that reported 165 35% of pregnant women receiving three IPTp-SP doses in most African settings [8,14]. Although the 166 proportion of women who received at least 3 doses of IPTp-SP in our study is higher than those 167 reported by several African studies, it is still low compared to the available potential, since the 168 proportion of women who performed at least four ANC visits was 95.4%. IPTp-SP delivery is closely 169 related to the access of ANC visits. However, we observed an unexpectedly lower proportion of 170 women who received at least three doses of IPTp-SP despite the higher proportion of pregnant women 171 who completed at least four ANC visits. There are then important bottlenecks for high coverage of 172 IPTp-SP that should be considered such as the low socioeconomic status, high parity or unplanned or 173 mistimed pregnancies [15]. There are also social factors among young pregnant women such as 174 adolescents social position, acknowledgment of the pregnancy, health centre as public space who are 175 importantly related to the number of ANC visits [16]. Furthermore, although adopted by most national 176 malaria control programs in SSA, the effective implementation of the new policy of administering at 177 least 3 doses of IPTp-SP to pregnant women before delivery is not yet generalized in health facilities,178 especially in peripheral areas.

179 Specific interventions that are included in the ANC visits package, if pushed to high coverage have significant potential impact across many settings. In areas of high P falciparum burden, systematic 180 181 use of ITNs and/or IPTp-SP could reduce maternal mortality by up to 10%, newborn mortality by up 182 to 20%, and stillbirths by up to 25–30%; detection of pre-eclampsia followed by timely delivery could 183 prevent up to 25% of newborn deaths and stillbirth and over 90% of maternal eclampsia/pre-184 eclampsia deaths [17]. In our study we failed to establish a significant relationship between the use 185 of mobile phone and the risk of adverse pregnancy outcomes such as low birthweight, anaemia and 186 malaria infection at delivery. However, a cluster-randomized controlled trial that investigated the 187 impact of mobile phones on antenatal care attendance in Zanzibar a semi-autonomous part of the 188 United Republic of Tanzania showed a trend towards more antepartum referrals amongst the 189 intervention group, suggesting that more women with complications were being identified and treated 190 [18].

191 Worldwide, fewer newborns are dying but they account for a higher share of child deaths and 192 estimates indicate that 14% of all deaths amongst children under five are due to preterm birth 193 complications [19]. As there is an association between few ANC visits and a subsequent preterm 194 birth, regular and timely attendance to antenatal care is essential to improve child survival [20]. In 195 line with the study conducted in Zanzibar [19], we showed that the use of the mobile phones was 196 associated with regular and timely attendance to ANC visits. The proportion of women who timely 197 attend their ANC visits was higher in the intervention group than in the control group. A cross-198 sectional analysis performed on data of 4,494 mothers included in the Bangladesh Demographic and 199 Health Survey showed that women who use mobile phones are more likely to use ANC and 200 professional delivery services than those who do not [21]. The women may have used their own cell 201 phones during the survey; however, studies found an association between mobile phones ownership

and high socioeconomic level [22], which in turn affects women's ability to use health services [23].

The use of a mHealth intervention has been shown as a cost-effective strategy to promote prenatal health [18,24–27]. However, in this study, there was no significant association between mobile phone intervention and pregnancy adverse outcomes such as low birthweight, maternal anaemia and malaria infection at delivery. Few studies in Sub Saharan Africa assessed the direct effect of the mHealth) intervention on pregnancy outcomes [28,29]. Therefore, more in-depth assessments are needed to explore the public health benefits of such an intervention.

209 There were some limitations in this study. First, we chose a pragmatic approach and randomised 210 individuals rather than health facilities leading to a potential spillover effect from intervention to the 211 control group. Second, most of the time, women do not have their own mobile phones and depend on 212 someone else, often their husband. Unfortunately, no information on cell phones ownership was 213 collected, so we cannot confirm that secondary outcomes were not confounded in the intervention 214 and control groups. Finally, we did not collect some potential confounder factors (parity, bed net use, 215 iron supplementation) which would have explained the lack of association, but we assumed that 216 factors have been distributed equally between the two study arms thanks to the randomisation. 217 However, we adjusted the analysis for unbalanced factors such as maternal education.

## 218 Conclusions

The mothers' mobile phones intervention significantly increased the proportion of women who regularly and timely attend to their ANC visits, but the intervention did not increase the proportion of women receiving at least 3 doses of IPTp-SP. The current evidence remains insufficient to conclusively inform policy decisions and further quality research and cost-effectiveness analyses as well as factors influencing the IPTp-SP intake are required to draw more robust conclusions, particularly for poor-resource settings.

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## 233 Ethics approval and consent to participate

The present study received approval from the Comité d'Ethique pour la Recherche en Santé (Reference ID 2014-12-142). Women were included in the present trial after providing a signed written informed consent.

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- 327 Legends
- 328 Figure 1. Study flowchart. ANC: Antenatal clinical

Characteristics	Control group (n = 107)	Intervention group (n =109)	All (n=216)	
Study site, % (n)				
Kokologo	65.4 (70)	64.2 (70)	64.8 (140)	
Tanghin Dassouri	34.6 (37)	35.8 (39)	35.2 (76)	
Age, Mean (SD) (year)	26.6 (±6.3)	25.8 (±6.3)	26.2 (±6.3)	
< 20	13.1 (14)	22.0 (24)	17.6 (38)	
20-30	61.7 (66)	56.0 (61)	58.8 (127)	
$\geq$ 30	25.2 (27)	22.0 (24)	23.6 (51)	
– Marital status, % (n)				
Single	0.9 (1)	2.7 (3)	1.8 (4)	
Polygamy	23.4 (25)	27.5 (30)	25.5 (55)	
Monogamy	75.7 (81)	69.7 (76)	72.7 (157)	
Occupation, % (n)			/_// (//	
Housewife	46.7 (50)	50.5 (55)	48.6 (105)	
Farmer	18.7 (20)	14.7 (16)	16.7 (36)	
Business	21.5 (23)	22.9 (25)	22.2 (48)	
Student	4.7 (5)	5.5 (6)	5.1 (11)	
Civil servant	3.7 (4)	0.9 (1)	2.3 (5)	
Others	4.7 (5)	5.5 (6)	5.1 (11)	
Education, % (n)			- ( )	
No	58.9 (63)	70.6 (77)	64.8 (140)	
Yes	41.1 (44)	29.4 (41)	35.2 (76)	
Household income, % (n)				
Low	43.9 (47)	51.4 (56)	47.7 (103)	
Average	34.6 (37)	31.2 (34)	32.8 (71)	
High	21.5 (23)	17.4 (19)	19.4 (42)	
Gestational age (weeks)				
Mean	14.4 (±4.8)	14.6 (±4.6)	14.5 (±4.7	
<16	46.7 (50)	44.9 (49)	45.8 (99)	
≥16	53.3 (57)	55.1 (60)	54.2 (117)	
Hb level (g/dL) Mean (SD)	10.5 (±1.6)	10.3 (±1.6)	10.4 (±1.6	
<11 g/dL	57.6 (61)	61.7 (66)	59.6 (127)	
Malaria infection, % (n)			( )	
No	72.9 (78)	76.1 (83)	74.5 (161)	
Yes	27.1 (29)	23.8 (26)	25.5 (55)	
Number of IPTp doses, % (n)			~ /	
0	4.7 (5)	5.5 (6)	5.1 (11)	
1	11.2 (12)	9.2 (10)	10.2 (22)	
2	29.0 (31)	31.2 (34)	30.1 (65)	
≥3	55.1 (59)	54.1 (59)	54.6 (118)	
Number of ANC visit, % (n)			~ /	
1-3	2.8 (3)	6.4 (7)	4.6 (10)	
≥4	97.2 (104)	93.6 (102)	95.4 (206)	

329 **Table 1:** general characteristics of study participants (N = 216)

330 Abbreviations: IPTp, Intermittent preventive treatment during pregnancy; Hb, Haemoglobin; ANC,

331 Antenatal care

Table 2: association between mobile phone intervention and primary and secondary outcomes, logistic multilevel mixed model (N=216) 

Characteristics	Control	Intervention	Unadjusted OR (95% CI)	adjusted OR* (95% CI)
Primary outcome				
Number IPTp intake during pregnancy <sup>£</sup>				
$\geq$ 3 doses	59/107 (55.1%)	59/109 (54.1%)	0.96 (0.56-1.64)	0.86 (0.49-1.51)
Secondary outcomes				
At least four ANC visits	104/107 (97.2%)	102/109 (93.6%)	0.42 (0.11-1.67)	0.40 (0.10-1.62)
Anaemia at delivery	33/86 (38.4%)	31/78 (39.7%)	1.06 (0.56-1.98)	1.01 (0.53-1.91)
Malaria infection at delivery	0/81 (0%)	2/76 (2.6%)	-	-
Low birthweight	11/106 (10.4%)	10/104 (9.6%)	0.91 (0.37-2.26)	0.97 (0.39-2.42)

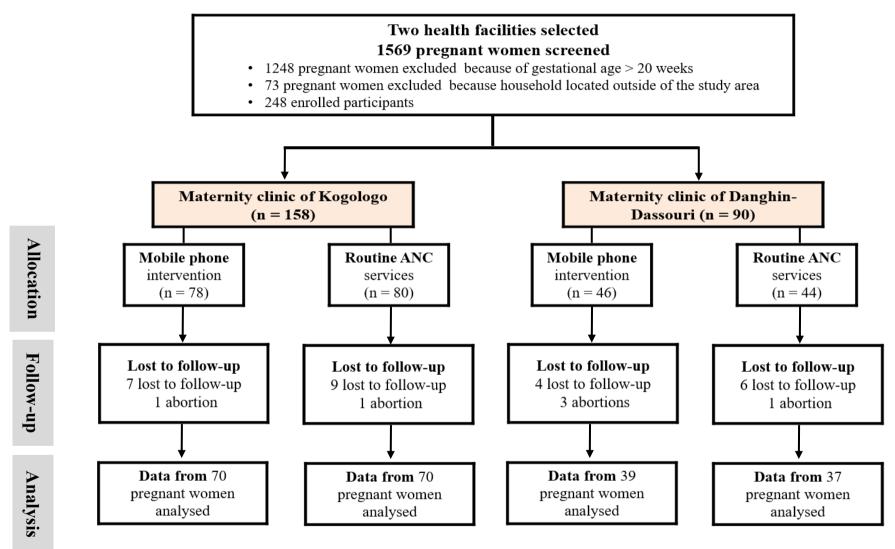
Abbreviations: OR, Odds ratio; IPTp, Intermittent preventive treatment in pregnancy; ANC, Antenatal care, anaemia defined as haemoglobin level <11g/dL. \* Adjusted for maternal education and within cluster effect; £ At the first antenatal visit, no intermittent preventive treatment was administered

#### Table 3: association between mobile phone intervention and regularity in the ANC visit appointment, logistic multilevel mixed regressions 343

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Characteristics	Control	Intervention	Unadjusted OR (95% CI)	adjusted OR* (95% CI)
Regularity in the ANC visit appointment				
ANC visit 2	56/106 (52.8%)	84/109 (77.1%)	2.99 (1.67-5.39)	2.91 (1.61-5.26)
ANC visit 3	63/104 (60.6%)	70/101 (69.3%)	1.47 (0.82-2.62)	1.53 (0.84-2.77)
ANC visit 4	41/82 (50.0%)	63/87 (72.4%)	2.62 (1.38-4.97)	2.74 (1.43-5.27)
ANC visit 5	22/42 (52.4%)	37/42 (88.1%)	6.72 (2.21-20.5)	6.63 (2.17-20.2)
Overall ANC visits <sup>£</sup>	182/334 (54.5%)	254/339 (74.9%)	2.49 (1.80-3.46)	3.21 (1.91-5.39)

Abbreviations: CI, Confidence interval; OR, Odds ratio; ANC, Antenatal care. \* Adjusted for maternal education; £ Adjusted for within and inter individual effects



ANC: antenatal clinical care

Figure 1: study flowchart