#### 1 Can ultrasonic biometric indices with optimal cut-offs be a potential screening tool for

#### 2 primary angle closure disease? A case-control study

3 Sheetal Savur<sup>1,5</sup>, Soujanya Kaup<sup>1,5</sup> 🖾, Anagha Dinesh<sup>1</sup>, Siddharudha Shivalli<sup>2</sup> and Dimple 4 Kondal <sup>3,</sup>

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6 <sup>1</sup> Department of Ophthalmology, Yenepoya Medical College, Yenepoya Deemed to be University, 7 Mangalore, India.

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- <sup>2</sup> Department of Medical Statistics, London School of Hygiene and Tropical Medicine, London, UK.
- 9 <sup>3</sup> Public Health Foundation of India, Gurgaon, India.
- 10 <sup>4</sup> Hubert Department of Global Health, Rollins School of Public Health, Emory University,
- Atlanta, GA, USA. 11
- 12 <sup>5</sup> These authors contributed equally: Sheetal Savur, Soujanya Kaup. 🗠 email:
- 13 drsoujanyak@gmail.com

### 15 Abstract

Background/Objectives : Despite a significant disease burden and potential to cause blindness, 16 primary angle closure disease (PACD) does not have a population-based screening programme. 17 Biometric indices using ultrasound A-scan is a potential tool for glaucoma case-detection. 18 19 Given that genetic and environmental factors influence these parameters and paucity of data 20 on their discrimination thresholds in Indian populace, we conducted a matched case-control 21 study to determine the biometric indices and their discrimination thresholds associated with 22 PACD.

23 Methods: We studied 172 eyes of 86 participants (43 cases;43 controls). We compared the 24 following biometric parameters of cases (PACD, occludable angle  $\geq 180^{\circ} \pm$  raised intraocular 25 pressure) with age and gender matched controls (1:1): Anterior chamber depth (ACD), lens 26 thickness (LT), axial length (AXL), lens position (LP), relative lens position (RLP), lens axial 27 factor (LAF), simple crowding value (Cs), ACD/AXL). We performed conditional logistic 28 regression (to identify factors associated with PACD) and Receiver operating characteristic 29 (ROC) analysis (to determine discrimination thresholds).

30 *Results*: Reduced ACD (Adj OR 0.01; 95% CI: 0.0003-0.15, p<0.001) and increased LT (Adj 31 OR 10.3; 95% CI:2.42-43.93, p<0.001) were associated with PACD. On ROC analysis, ACD, 32 Cs, and ACD/AXL had optimum sensitivity/specificity at  $\leq$  3.015,  $\geq$  0.056, and,  $\leq$  0.1303, 33 respectively. ACD (88.4%) and Cs (94.2%) had highest sensitivity and specificity, 34 respectively.

35 *Conclusion:* Ultrasonic biometric parameters differed significantly between PACD and 36 controls. ACD and Cs (at discrimination thresholds of  $\leq 3.015$ mm and  $\geq 0.056$ , respectively) 37 using ultrasound A-scan could be a potential tool for PACD case-detection that requires 38 evaluation of its diagnostic yield and cost-effectiveness.

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# 40 Introduction

Glaucoma is the second leading cause of blindness worldwide and an estimated 12 million 41 people are blind due to the disease.(1) Globally, by 2040, the number of people affected by 42 glaucoma is projected to increase to about 112 million, and South Central Asia is projected to 43 record the steepest increase compared to other Asian sub-regions.(2,3) In India, one in every 44 eight persons aged  $\geq 40$  years has or is at risk of glaucoma.(4) Primary angle closure disease 45 (PACD) is estimated to affect 27.6 million persons in some form or the other.(4) A surge in 46 47 glaucoma cases is expected in the Indian subcontinent owing to the accelerated growth of population over 40 years of age, overburdening the scarce health resources.(5) Primary angle 48 49 closure glaucoma (PACG) is more blinding than primary open angle glaucoma, especially in 50 the Indian and Chinese populations.(4) The disease is largely asymptomatic and chronic in 51 India.(6)

Blindness from primary angle closure glaucoma can be prevented by established treatments
such as laser iridotomy and removal of the crystalline lens.(7,8)

Despite the high disease burden and availability of amenable treatment options, glaucoma was not included in the initial five-year priority list of vision 2020 mainly due to a lack of practical and cost-effective population-based strategies, to prevent glaucoma-blindness.(9) Currently it is diagnosed by opportunistic screening.(10) A better understanding of PACD characteristics and its epidemiology, especially in Asia, has offered the potential for screening of risk factors so that timely prophylaxis can be implemented to prevent blindness.(9,11)

60 Although gonioscopy remains the gold standard for diagnosing angle closure, it is subjective and moderately reproducible, thus unsuitable for mass screening.(6,12) Furthermore, routine 61 ophthalmic examination in India, seldom involves gonioscopy, resulting in a low PACD 62 detection rate.(6,10) The flashlight test, a commonly used screening tool in the field, has a low 63 64 positive predictive value (43.5-45%).(13) Van Herick's test is known to miss a significant number of angle closures and incorrectly identify around 1 in 8 open-angle eyes as closed, 65 66 even in experienced hands.(14) The newer and expensive non-contact techniques such as the IOL Master, scanning peripheral anterior chamber depth analyzer and anterior segment optical 67 68 coherence tomography (AS-OCT) have poor to moderate specificity (55.4-84%), and are not suitable for mass screening.(12,15) Over diagnosing PACD (high false positives) will result in 69 70 excessive referrals and overtreatment of the condition. Ultrasound biomicroscopy permits a 71 detailed evaluation of the angle, but the need for a water bath, supine position, and greater skill 72 of the examiner, makes it an inconvenient screening tool.(16) Evidence suggests that 73 integration of genetic screening is not advantageous in identifying PACD beyond what is 74 achieved with anatomical ocular parameters.(17) Thus, mass screening for PACD remains 75 challenging due to technical difficulties, cost and scalability.

In contrast to various screening methods described above, the A-scan ultrasound machine is
relatively inexpensive, portable equipment, and an integral part of any cataract treating facility.
A technician can be trained with relative ease to obtain accurate scans.(18) Previous studies

79 have explored the association of the following biometric indices with a spectrum of PACD: anterior chamber depth (ACD), axial length (AXL), ACD/AXL, lens thickness (LT), lens axial 80 81 factor (LAF), relative lens position (RLP) and simple crowding value(Cs).(16,19,20) With an 82 appropriate cut-off point having optimal sensitivity and specificity, these indices can be used as potential surrogates to detect PACD. A few studies have determined these cut-off values 83 84 among East Asian and Iranian populations. (20–23) Although certain studies from India have 85 assessed few biometric indices, there is a lack of data on optimal cut-offs (discrimination thresholds) to differentiate individuals with and without PACD.(24,25) 86

Given that genetic and environmental factors influence the ocular biometric parameters (26,27)
and paucity of data in the Indian populace, we conducted a hospital-based case-control study
in a coastal town of South India, with the following objectives

90 1. To determine the ultrasonic biometric indices associated with PACD and

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91 2. To determine the optimal discrimination thresholds of ultrasonic biometric indices to92 detect PACD.

93 Methodology

After obtaining approval from Institutional Ethics Committee (Reference number YEC2/258),
we conducted this case-control study in the department of ophthalmology of a tertiary care
hospital from February to March 2020. The study adhered to the tenets of the Declaration of
Helsinki. We obtained written informed consent from the study participants.

Inclusion criteria: All consecutive patients ≥18 years who consented to take part in the study
and who fulfilled the criteria for cases and controls were enrolled.

100 Cases: PACD was defined as those with occludable angle (non-visualisation of posterior 101 trabecular meshwork for  $\geq 180^{\circ}$ ), on gonioscopy without indentation or manipulation, with or 102 without evidence of raised intraocular pressure (IOP). Undilated fundus examination was performed using indirect ophthalmoscopy with 78D lens wherever possible. Cases who had
undergone laser peripheral iridotomy (LPI) were also included as existing evidence suggests
that LPI does not affect the biometric variables of the eye including central ACD, LT, AXL.(7)

106 Controls: Subjects who had come for a routine eye examination, correction of refractive errors, 107 lid or ocular surface disorders, or any other issue but with otherwise healthy eyes were 108 considered as controls after matching for age (same calendar year of birth) and gender. One 109 control was selected for each case.

Exclusion criteria: All cases of secondary angle closure glaucomas, advanced cataracts (≥grade
III cataracts), previous ocular trauma, intraocular surgeries (other than LPI) or any other
condition that prevented gonioscopic examination were excluded.

Sample size: Based on reported mean lens thickness in cases  $(4.52\pm 0.515)$  and controls (4.235±0.44) (24,28–30), a sample of 43 participants for each group was required, for detecting a true mean difference of 0.285 (i.e. 4.52-4.235) with 80% power and 5% (two sided) level of significance.

Data Collection: Demographic data included age and gender. All patients underwent a 117 118 thorough ophthalmic examination including best corrected visual acuity, slit lamp bio-119 microscopy, Goldmann applanation tonometry. Wherever possible, we performed an undilated 120 indirect fundus examination using a 78D lens. One of the authors (AD) measured the ultrasonic 121 biometric variables using A-Scan ultrasonography (Echorule Pro, Biomedix Optotechnik & 122 Devices, Bengaluru, India). After anaesthetising the cornea with 0.5% Proparacaine (0.5% Paracaine, Sunways India Pvt Ltd, Ahmedabad, India), A-scan was performed without 123 124 applying any pressure on the cornea with the subject's gaze fixed on a distant target. We took three successive readings until the standard deviation of AXL and ACD were within 0.3mm 125

126 and 0.1 mm, respectively. The different ultrasonic biometric variables included LT, ACD, and127 AXL.

We calculated the following composite indices: Lens position (LP)= ACD + 0.5 LT; Relative lens position (RLP) = (LP/AXL); Lens axial factor (LAF) = (LT/AXL)x 10; Simple crowding value (Cs)= (LT -ACD)/AXL.(19,20,31) A senior ophthalmologist (SSav) performed the gonioscopy. We used Goldmann's 3-mirror gonio-lens (Volk optics, Ohio, USA) under standardized conditions namely dim illumination, narrow slit beam with the patient's gaze in primary position.

Statistical analysis: We calculated mean value ± standard deviation for all continuous data. An 134 Independent two-sample t-test was used to compare continuous data between both eyes and 135 also between cases and controls. A p-value <0.05 (two-tailed) was considered statistically 136 significant. Biometric parameters with statistically significant differences between cases and 137 138 controls were used to build a conditional logistic regression analysis for matched case control study. We plotted receiver operating characteristic (ROC) curves for the independent and 139 composite factors to assess PACD. The area under the ROC curve (AUROC), sensitivity, 140 discrimination thresholds were calculated. 141 and specificity, The most optimal 142 sensitivity/specificity relationship (discrimination thresholds) was determined using Youden's index [(Sensitivity +specificity)-1].(32) We used Stata 15 software (StataCorp. 2017. Stata 143 144 Statistical Software: Release 15. College Station, TX: StataCorp LLC.) for analysis.

145 **Results:** 

A total of 62 patients were screened for eligibility and 43 cases (86 eyes) were included (five no consent; ten not eligible and four non-cooperative for Gonioscopy/ A-scan). A total of 51 control were approached and 43 (86 eyes) were included (four no consent; four noncooperative for gonioscopy/ A-scan). The mean age of the participants was 53.47 ±9.1 years.

- 150 Most of the participants (72, 83.72%) were females. Observed differences in mean ACD, AXL,
- 151 and LT among right and left eyes in cases and controls were not statistically significant.
- 152 On independent sample t-test, the following factors were significantly different among cases
- and controls: ACD, LT, AXL, LP, RLP, LAF, Cs, ACD/AXL (Table 1). The mean IOP among
- 154 cases was significantly higher (20.26mmHg  $\pm$  5.04) than controls (11.95 mmHg  $\pm$  1.27) with
- 155 p<0.001. Twenty five cases had IOP > 21mmHg (range: 22 to 32 mmHg).
- 156 On conditional logistic regression, shorter ACD and increased LT were significantly associated

157 with PACD (Table 2). Every millimetre increase in ACD was associated with 0.01 times lower

158 odds (95% CI: 0.0003-0.15; p<0.001) of PACD. Similarly, every millimetre increase in lens

- thickness was associated with 10.3 times higher odds of PACD (95% CI: 2.42- 43.93;
  p<0.001).</li>
- 161 On ROC curves, ACD, simple crowding value (Cs), and ACD/AXL had optimum sensitivity 162 and specificity with discrimination thresholds of  $\leq 3.015$ ,  $\geq 0.056$ , and  $\leq 0.1303$ , respectively

163 (Table 3 and Figure 1).

164 **Discussion:** 

We found that cases of PACD had significantly shallower anterior chamber and thicker lens 165 (LT) compared to age and gender-matched controls. Eyes with PACD have a 166 167 disproportionately larger lens compared to their AXL. This is represented by a higher LAF 168 value which was reflected in our study (LAF of cases 1.95, controls 1.7).(19) Eyes with PACD 169 also had more anteriorly situated lenses suggested by the smaller LP and RLP values in the 170 PACD group (LP 4.93±0.41 vs 5.22±0.37; RLP 0.218±0.016 vs 0.226±0.016) as compared to 171 the controls. The number of lens fibres in the crystalline lens increases as we age and results in 172 increase in LT. In this study we have tried to negate the effect of age and cataract status on the LT by age-matching and by excluding participants with ≥grade III cataracts. Niu et al described 173 174 simple crowding value (Cs) as a composite factor of LT, ACD, and AXL associated with angle 175 closure.(20) A larger Cs value indicates a more crowded angle. We found a significantly larger
176 Cs value in the PACD group compared to normal (0.08± 0.03 vs 0.03± 0.02).

177 On conditional logistic regression, we found that the adjusted odds of PACD were highest for 178 shallower ACD (after adjusting for LT and AXL). ACD is the single most important factor 179 which differentiates PACD from normal eyes.(20) The diagnostic value of ACD for identifying 180 the risk of angle closure has been studied previously.(22,23,33) However, the cut-off values of the ocular biometric parameters differ significantly among different ethnicities as well as 181 182 different regions. Genetic and environmental factors are known to influence the ocular biometric parameters.(26,27) It is therefore pertinent to determine the region and population-183 specific optimal discrimination thresholds for the biometric indices. 184

185 On ROC analysis, ACD had the highest sensitivity (88.4%) at an optimal cut-off value of ≤3.015mm. We considered the distance from the anterior corneal epithelium to the anterior 186 lens surface as the ACD measurement. ACD, therefore, included the central corneal thickness 187 (CCT). The "true" ACD however is the axial distance from the corneal endothelium to the 188 189 anterior lens surface and does not include CCT ("true" ACD = ACD-CCT).(34) We did not 190 measure the CCT in our study. The average CCT in our population is about 0.536mm.(35) 191 Hence, if we assume a CCT of 0.536mm, the "true" ACD cut-off values would be  $\leq 2.479$ mm. Many studies do not specify if the ACD was measured from the corneal epithelium or 192 193 endothelium. The ACD values reported range from 1.53 to 3 mm.(24,25,36) The definition of 194 cases may be variable in different studies (non-visualization of posterior trabecular meshwork 195 ≥180 degrees vs 270 degrees), contributing to differences in cut-off values.(22,23) We did not 196 perform indentation Gonioscopy to rule out synaechial angle closure nor did we attempt to 197 categorize our cases into Primary angle closure suspect (PACS), Primary angle closure (PAC), 198 and Primary angle closure glaucoma (PACG). It is known that there is a linear trend towards 199 more shallow ACD in cases with PACG vs those with PAC vs PACS.(37) The varying accuracies of different measurement techniques (handheld/immersion ultrasound A scan/optical pachymeter) could also contribute to ACD variations.(23)

We found that simple crowding value (Cs) had the highest specificity (94.2%) at an optimal cut-off of  $\ge 0.056$ . Nui et al reported the Cs cut-off value as  $\ge 0.11$  in a study performed using an optical biometer, on Han Chinese patients with acute angle closure glaucoma and not on PACD cases. This could explain the variation in values. ACD/AXL had moderate sensitivity (81.4%) and specificity (86%).

207 Evidence suggests that ocular biometric parameters can be used to predict the risk of PACD.(27) We found ACD and Cs as potential predictors which can be used for mass 208 209 screening of our population. Currently, in a developing country like India, opportunistic 210 screening when the patient presents to an eye clinic, is the best approach for glaucoma disease 211 detection.(10) The opportunity is however underutilized due to the time-consuming and skilled nature of Gonioscopic examination. Also, the utilisation of gonioscopy as a mass screening 212 tool appears unrealistic to a large extent. These hurdles in PACD screening can be overcome 213 214 by the utilisation of A-scan.

215 Do we need to screen and treat PACS?

Two large clinical trials, the Zhongshan Angle Closure Prevention (ZAP) Trial and the 216 Singapore Asymptomatic Narrow Angles Laser Iridotomy Study have attempted to answer this 217 important question.(38,39) They concluded that although the trials showed that LPI almost 218 219 halved the risk of progression of PACS (to PAC/PACG/ Acute angle closure), interventions 220 for community-level active case detection of PACS and LPI may not be recommended at a 221 programmatic level in view of lower rates of progression in their trial cohorts. The results of 222 this trial needs to be re-appraised in the Indian context. In the Indian population, PACS has 223 been shown to progress to PAC among 22% cases over a span of five years(40) as compared 224 to 4.05% over six years in the ZAP trial control arm (7.97 per 1000 eye-years) and 9.4% (21.84

per 1000 eye-years) in the Singapore study control arms. Also, Indian eyes are more prone to progression to PACG from PAC (28.5% in five years)(41) as compared to Chinese (4.1% in six years)(42). Hence, in view of the rapid progression of the disease in Indian eyes, the costeffectiveness of PACS screening and LPI need to be re-assessed in the Indian scenario.

Also, one in every twelve adults (more than 74 million) in India have diabetes(43) and need repeated dilated fundus examination for diabetic retinopathy screening. Dilatation again can precipitate an attack of angle closure glaucoma in PACS(38). This again illustrates the point that screening for PACS and LPI might still have a role to play in Indian scenario.

233 India has a robust cataract surgical programme.(11) India is one of the well-performing countries with respect to achieving the target cataract surgery rate (CSR) (i.e number of cataract 234 235 surgeries performed per million population). In the year 2018-19, around 6.6 million cataract 236 surgeries were performed, achieving the target CSR.(44) In 2019-20, 18,306 eye screening 237 camps were conducted across India. (44) In a resource-limited country like India, utilising equipment that is available and widely used for cataract surgery, for screening PACD, would 238 239 be a good option. There is also evidence suggesting that clear lens extraction is a cost-effective treatment of PAC and PACG.(8) Hence, these subgroups of PACD have emerged as newer 240 241 indications for cataract surgery. With a common treatment protocol for both the diseases 242 (cataract and PAC/PACG), it is logical to integrate PACD screening using ultrasound A-scan into the existing cataract screening programme. 243

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Strengths: Although biometric parameters have been studied in the context of PACD, there is a dearth of evidence for population-specific optimal discrimination threshold values in our population. This study attempts to fill in this gap in knowledge.

249 **Limitations**: Study findings apply to our population or population with similar racial, ethnic 250 and environmental factors. As we did not perform indentation gonioscopy and visual fields, we 251 did not classify PACD as PACS, PAC, and PACG. We did not measure the "true" ACD. The 252 outcome assessor measuring the ultrasonic biometric parameters was not masked to the 253 gonioscopic findings. However, measurement bias was reduced by repeated measurements by 254 a single investigator to obtain values that were within a known acceptable limit of standard deviation. The positive predictive value (the proportion of individuals with a positive result 255 256 who actually have the disease) is dependent on the prevalence of the condition being tested. 257 Thus, the true utility of this tool in community-level screening needs to be assessed by a large field-based diagnostic accuracy study. Such a study will also be able to address the concerns 258 259 associated with the sample size and hospital-based nature of this study.

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## 261 Conclusion:

The ultrasonic biometric parameters differed significantly between PACD and normal eyes. ACD and Cs, at discrimination thresholds of  $\leq 3.015$  mm and  $\geq 0.056$ , respectively, using hand-held ultrasound A-scan are potential tool for PACD case-detection in our population. The diagnostic yield and cost-effectiveness of incorporating A-scan into ongoing cataract screening programmes need further evaluation.

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# 268 Author Contribution Statement:

SSav: was involved in conceptualising and designing the study, literature search, data collection, performing the tests, manuscript preparation, editing and reviewing. SK was involved in conceptualising and designing the study, literature search, data analysis & interpretation of results, manuscript preparation, editing and reviewing. SK will act as the guarantor of the manuscript. AD was involved in literature search, data acquisition and

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282						
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## 449 **Titles and legends to figures:**

Figure 1: Fig. 1 Receiver operator characteristic (ROC) curves of the ocular biometric parameters with highest areas under the ROC curve. ROC curve of simple crowding value, Cs solid line with dot), anterior chamber depth, ACD solid line with square) and ratio of ACD to axial length, ACD/AXL solid line with triangle) of patients with primary angle closure disease (n = 86) and controls (n = 86) in a coastal town in South India.





Table 1: Comparison between different independent ultrasonic parameters and composite indices between cases and controls (n=86 cases; n=86 control ) 

Biometric	Cases	Controls	Mean difference* (95%	
variables	(Mean ± SD)	(Mean ± SD)	<b>Confidence Interval</b> )	p-value
AXL	22.6±0.63	23.1±0.75	-0.48 (-0.69 to -0.27)	< 0.001
ACD	2.7±0.34	3.2±0.32	-0.52 (-0.62 to -0.42)	<0.001
LT	4.4±0.49	3.9±0.41	0.47 (0.33 to 0.6)	<0.001
LP	4.9±0.41	5.2±0.37	-0.29 (-0.41 to -0.17)	<0.001
RLP	0.22±0.02	0.23±0.016	-0.008 (-0.013 to -0.003)	0.001
LAF	1.95±0.21	1.71±0.17	0.24 (0.182 to 0.3)	< 0.001
Cs	0.08±0.03	0.03±0.02	0.04 (0.036 to 0.051)	< 0.001
ACD/AXL	0.12±0.01	0.14±0.01	-0.02 (-0.024 to -0.16)	< 0.001

AXL= Axial length; ACD= Anterior chamber depth; LT= Lens thickness; LP= Lens position; 

RLP= relative lens position; LAF= Lens axial thickness, Cs= Simple crowding value 

- \*Difference calculated as Cases minus Control

- 479 Table 2: Conditional logistic regression of independent biometric variables and the
- 480 adjusted odds of primary angle closure disease (n=86 cases; n=86 control)
- 481

Biometric	Cases	Control	Adjusted Odds ratio	p-value
variables			(95%CI)	
AXL	22.6±0.63	23.1±0.75	0.60 (0.17, 2.18)*	0.442
ACD	2.7±0.34	3.2±0.32	0.01(0.0003, 0.15)*	0.002
LT	4.4±0.49	3.9±0.41	10.30 (2.42, 43.93) #	0.002

	LT	4.4±0.49	3.9±0.41	10.30 (2.42, 43.93)*	0.002			
482 483 484 485 486 487 488 489 490	ACD= Anterior chamber depth; LT= Lens thickness; AXL= Axial length *Adj OR for every millimetre decrease #Adj OR for every millimetre increase							
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502 Table 3: Area under the receiver operating characteristic curve (AUROC), sensitivity,

503	specificity.	and	discrimin	nation th	resholds	of biomet	ric variables
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Ultrasonic	AUROC	Sensitivity	Specificity	Cut-Off Value			
biometric variables	(95% CI)			(discrimination			
				thresholds)			
Anterior chamber	0.912 (0.82-						
depth	0.961)	88.4	88.4	≤ 3.015			
Simple crowding	0.895 (0.843-						
value	0.948)	83.7	94.2 •	≥ 0.056			
ACD/AXL ratio	0.879 (0.824-						
	0.933)	81.4	86	≤ 0.130			
Lens thickness	0.796 (0.724-						
	0.869)	80.2	82.6	≥4.18			
Lens position	0.703 (0.625-						
	0.781)	77.9	57	≤ 5.15			
Axial length	0.681 (0.601-						
	0.761)	67.4	67.4	≤ 22.85			
Lens Axial Factor	0.420 (0.322-0.518)	39.5	86.1	≥1.839			