

LETTER • **OPEN ACCESS**

## Evidence of rapid adaptation integrated into projections of temperature-related excess mortality

To cite this article: Veronika Huber *et al* 2022 *Environ. Res. Lett.* **17** 044075

View the [article online](#) for updates and enhancements.

You may also like

- [The impact of weather changes on air quality and health in the United States in 1994–2012](#)

Iny Jhun, Brent A Coull, Joel Schwartz et al.

- [Impact on air quality and health due to the Saddleworth Moor fire in northern England](#)

A M Graham, R J Pope, K P Pringle et al.

- [Greater understanding is need of whether warmer and shorter winters associated with climate change could reduce winter mortality](#)

Kristie L Ebi



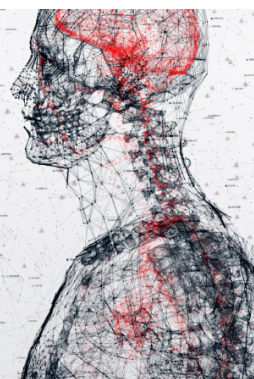
physicsworld

AI in medical physics week

20–24 June 2022

Join live presentations from leading experts in the field of AI in medical physics.

[physicsworld.com/medical-physics](https://physicsworld.com/medical-physics)



ENVIRONMENTAL RESEARCH  
LETTERS

## LETTER

Evidence of rapid adaptation integrated into projections  
of temperature-related excess mortality

## OPEN ACCESS

## RECEIVED

14 December 2021

## REVISED

7 March 2022

## ACCEPTED FOR PUBLICATION

15 March 2022

## PUBLISHED

8 April 2022

Original content from  
this work may be used  
under the terms of the  
[Creative Commons  
Attribution 4.0 licence](#).

Any further distribution  
of this work must  
maintain attribution to  
the author(s) and the title  
of the work, journal  
citation and DOI.

Veronika Huber<sup>1,2,\*</sup> , Cristina Peña Ortiz<sup>1</sup> , David Gallego Puyol<sup>1</sup> , Stefan Lange<sup>3</sup>   
and Francesco Sera<sup>4,5</sup> <sup>1</sup> Department of Physical, Chemical and Natural Systems, Universidad Pablo de Olavide, Sevilla, Spain<sup>2</sup> IBE-Chair of Epidemiology, LMU Munich, Munich, Germany<sup>3</sup> Potsdam Institute for Climate Impact Research (PIK), Member of the Leibniz Association, Potsdam, Germany<sup>4</sup> Department of Public Health, Environment and Society, London School of Hygiene & Tropical Medicine, London, United Kingdom<sup>5</sup> Department of Statistics, Computer Science and Applications 'G. Parenti', University of Florence, Florence, Italy

\* Author to whom any correspondence should be addressed.

E-mail: [vehub@upo.es](mailto:vehub@upo.es)**Keywords:** temperature-related excess mortality, adaptation, climate change, minimum mortality temperature, human health, heat stressSupplementary material for this article is available [online](#)**Abstract**

Few studies have used empirical evidence of past adaptation to project temperature-related excess mortality under climate change. Here, we assess adaptation in future projections of temperature-related excess mortality by employing evidence of shifting minimum mortality temperatures (MMTs) concurrent with climate warming of recent decades. The study is based on daily non-external mortality and daily mean temperature time-series from 11 Spanish cities covering four decades (1978–2017). It employs distributed lag non-linear models (DLNMs) to describe temperature-mortality associations, and multivariate mixed-effect meta-regression models to derive city- and subperiod-specific MMTs, and subsequently MMT associations with climatic indicators. We use temperature projections for one low- and one high-emission scenario (ssp126, ssp370) derived from five global climate models. Our results show that MMTs have closely tracked mean summer temperatures (MSTs) over time and space, with meta-regression models suggesting that the MMTs increased by 0.73 °C (95%CI: 0.65, 0.80) per 1 °C rise in MST over time, and by 0.84 °C (95%CI: 0.76, 0.92) per 1 °C rise in MST across cities. Future projections, which include adaptation by shifting MMTs according to observed temporal changes, result in 63.5% (95%CI: 50.0, 81.2) lower heat-related excess mortality, 63.7% (95%CI: 30.2, 166.7) higher cold-related excess mortality, and 11.2% (95%CI: -5.5, 39.5) lower total temperature-related excess mortality in the 2090s for ssp370 compared to estimates that do not account for adaptation. For ssp126, assumptions on adaptation have a comparatively small impact on excess mortality estimates. Elucidating the adaptive capacities of societies can motivate strengthened efforts to implement specific adaptation measures directed at reducing heat stress under climate change.

**1. Introduction**

Excess mortality associated with non-optimal temperatures have been documented across the world, together with a pronounced capacity of the populations to adapt to their local climate (Burkart *et al* 2021, Zhao *et al* 2021). One often used measure of this adaptation is the minimum mortality temperature (MMT), at which the temperature-attributable mortality risk is lowest. There is ample evidence from many regions of the world that the MMT is the higher

the warmer the local climate, as exemplified by large cross-sectional studies finding positive associations between location-specific MMT and climate indicators, such as the annual mean temperature, most frequent temperature, or mean summer temperature (Guo *et al* 2014, Yin *et al* 2019, Tobías *et al* 2021).

Fewer studies have investigated changes in the MMT over time, generally finding increasing MMTs over recent decades (Todd and Valleron 2015, Åström *et al* 2016, Chung *et al* 2018, Achebak *et al* 2019, Folkerts *et al* 2020, Follos *et al* 2021). Rising MMTs

have been paralleled with increasing ambient temperatures in most locations, but not all studies found a significant relationship between experienced shifts in the local climate and observed temporal changes in the MMT (Todd and Valleron 2015, Chung *et al* 2018, Achebak *et al* 2019).

Better understanding the temporal pace of adaptation is imperative in the context of climate change (Davis *et al* 2003, Bobb *et al* 2014, Kinney 2018), and will help to reduce the enormous adaptation uncertainty affecting currently available projections of temperature-related excess mortality (Gosling *et al* 2017). In fact, due to this large uncertainty on adaptation, many studies estimating temperature-related excess mortality under climate change scenarios have so far ignored adaptation (Gasparrini *et al* 2017, Weinberger *et al* 2017, Vicedo-Cabrera *et al* 2018, Huber *et al* 2020). The majority of studies which do consider adaptation have taken the approach of shifting the MMT (sometimes also referred to as optimal temperature), changing the slope of the temperature-mortality association, or combining these two approaches (Gosling *et al* 2017). Partly because of the sparsity of long-term mortality time-series, few of these studies have actually used empirical evidence to inform the magnitude of change assumed in the employed adaptation scenarios (notable exceptions are (Muthers *et al* 2010, Petkova *et al* 2017, Carleton *et al* 2018, Wang *et al* 2018, Lay *et al* 2021). A common approach for constructing adaptation scenarios has also been to place the MMT at a constant percentile of the temperature distribution (Honda *et al* 2014, Sanchez-Martinez *et al* 2018, Díaz *et al* 2019), although the available empirical evidence from longitudinal studies that would support this approach is limited.

Here, we use a long-term dataset of daily non-external mortality and mean temperature records for 11 cities in Spain to explore the temporal and spatial variability of the MMT over four decades and to evaluate longitudinal and cross-sectional associations of the MMT with the mean summer temperature (MST). Subsequently, we apply the found MMT-MST associations to account for adaptation in future projections of temperature-related excess mortality based on two different climate change scenarios (ssp126, ssp370), using data from five global climate models. To the best of our knowledge, we are the first to undertake a comprehensive assessment of historical changes in the MMT related to past warming in order to inform scenarios of future adaptation to heat. Our study employs advanced methods based on distributed lag non-linear models (DLNMs) (Gasparrini 2014) to estimate temperature-mortality associations, and recently developed mixed-effect meta-regression techniques (Sera *et al* 2019) to obtain stable estimates of MMTs, and to explore associations of MMTs with climatic indicators.

## 2. Methods

### 2.1. Mortality and temperature data

Data on daily non-external mortality (ICD-9: 0-799; ICD-10: A00-R99) for 11 Spanish capital cities in the period 1978–2017 were provided by the Spanish National Institute of Statistics (see figure S1 for a map indicating city locations available in the supplementary online material at [stacks.iop.org/ERL/17/044075/mmedia](https://stacks.iop.org/ERL/17/044075/mmedia)). Detailed information on the data collection methodology is given in INE (2013). Among the most populated cities in Spain, we selected those with time series of daily mean temperature covering the longest time period. There were no missing values in the mortality time series selected. Data of daily mean temperatures from a representative weather station in each city, corresponding to the average of maximum and minimum daily temperatures, were provided by the Spanish National Meteorology Agency (see table S1 for more information on selected weather stations). None of the temperature series had more than 0.3% of missing values (table S1). Mean summer temperatures (MSTs) were computed from the daily data as June-July-August averages.

Projections of daily mean temperature were derived from global climate model (GCM) simulations carried out in the Scenario Model Intercomparison Project (ScenarioMIP; O'Neill *et al* 2016) that was part of the 6th phase of the Coupled Model Intercomparison Project (CMIP6; Eyring *et al* 2016). The data used here comprises future simulations covering 2015–2100 from 5 GCMs (GFDL-ESM4, IPSL-CM6A-LR, MPI-ESM1-2 h, MRI-ESM2-0, UKESM1-0-LL). We considered one low-emission scenario (ssp126) and one high-emission scenario (ssp370). To avoid deviation between observed and simulated historical temperature distributions, the temperature time series were bias-adjusted using the available weather station data, employing a method developed for the third phase of the Inter-Sectoral Impact Model Intercomparison Project (ISIMIP3; Lange 2019, Lange 2021). This method is a parametric quantile-mapping method that preserves the projected temperature trends in all distribution quantiles. For the mapping, the daily mean temperature values were assumed to follow a normal distribution with seasonally dependent mean value and standard deviation.

### 2.2. Statistical analysis

The statistical analysis consisted of 3 stages. First, we divided the data (1978–2017) into 8 non-overlapping 5-y subperiods. For each city and each period, we fitted a quasi-Poisson regression model using distributed lag non-linear models (DLNMs) to represent the complex temperature-mortality associations. Specifications of the models were adopted from previous studies, showing their suitability for projections

of temperature-related mortality (Gasparrini *et al* 2017, Vicedo-Cabrera *et al* 2019, Huber *et al* 2020). Specifically, we used natural cubic splines for both the exposure-response and lag-response curves, with three internal knots placed at the 10th, 75th and 90th percentile and equally spaced in the log-space, respectively. Lags of up to 21 d were accounted for. The model also included an indicator for the day of the week, and we used a natural cubic spline of time with 7 degrees of freedom per year to control for seasonal and long-term trends. We undertook a sensitivity analysis altering the specifications of the models (see table S2 for a description of the alternative modelling choices). We also tested other temporal subdivisions of the data, namely using five 8-y subperiods and four 10-y subperiods, respectively, and repeating the analysis based on 5-y subperiods for 1978–1997 and 1998–2017, separately (table S3).

In the second stage, we combined the reduced model coefficients from the first stage, describing the overall cumulative exposure-response curves in each city and each subperiod, with a multivariate meta-regression model (Sera *et al* 2019, 2020). This meta-regression model included an intercept only and one random-effect term at city-level. We chose not to include any meta-predictors at this stage to avoid spurious correlation in the subsequent analysis of possible association between climatic indicators and the minimum mortality temperature percentiles (MMTPs) and minimum mortality temperatures (MMTs). MMTPs and MMTs were derived based on best linear unbiased predictors (BLUPs) from the intercept-only meta-regression model, and the city- and subperiod-specific temperature distributions. We chose to use BLUPs to determine MMTPs and MMTs, because estimates based on first-stage model coefficients were unreliable due to the small sample sizes resulting from 5-y subperiods. Following (Tobías *et al* 2017), we used Monte Carlo simulations ( $n = 5000$ ) to empirically estimate 95% confidence intervals and variances of the MMTP and MMT estimates. We restricted the searching of the MMTP to the 70th to the 99th percentiles for more robust estimates, given that for some cities and some subperiods the cumulative exposure-response curves showed two distinct minima in the lower and higher temperature range. This restriction was widened to the 1st to 99th percentiles in the sensitivity analysis. Excess mortality estimates (attributable numbers and fractions) by observational subperiod and city were computed based on BLUPs and MMTs using the forward approach described by (Gasparrini and Leone 2014).

The third stage consisted of a mixed-effect meta-regression, pooling MMT estimates for each city  $i$  and subperiod  $p$  from the previous stage. Given prior evidence on the role of mean summer temperatures (MST) in explaining adaptive processes regarding vulnerability to non-optimal temperatures (Todd

and Valleron 2015, Wang *et al* 2018) we focused our analysis on potential associations of MMT with MST. This choice also makes sense conceptually because the MMT usually falls in the upper range of the temperature distribution (Gasparrini *et al* 2015), corresponding to the summer season. In order to separate longitudinal (i.e. intra-city) variability from cross-sectional (i.e. inter-city) variability we adopted a Cronbach decomposition (Cronbach and Webb 1975) with the following formula:

$$MMT_{ip} = \alpha + \beta_1 (MST_{ip} - MST_{i.}) + \beta_2 (MST_{i.} - MST_{..}) + b_i + \varepsilon_{ip}, \quad (1)$$

where  $MST_{ip} - MST_{i.}$  are mean summer temperatures for city  $i$  and subperiod  $p$  centred on the city mean (i.e. city-specific averages across subperiods) and  $MST_{i.} - MST_{..}$  the city means centred on the overall mean, which are included as fixed-effect predictors. The random terms  $b_i$  represent the city effect on the estimates and they are assumed to follow a normal distribution  $b_i \sim N(0, \theta)$ , where  $\theta$  is the between cities variance term. The cities random terms allow to consider possible non-independence of the multiple MMTs and MMTPs estimated over the different periods in each city. We assumed  $\varepsilon_{ip} \sim N(0, S_{ip})$ , where  $S_{ip}$  is the estimation error of MMT for each city and subperiod, computed as the variance of MMTs from the Monte Carlo simulations in the second stage of the analysis. We fitted the same type of meta-regression model to the city- and subperiod-specific MMTP estimates. Heterogeneity was assessed using the  $I^2$  statistics. In a supplementary analysis, we used centred mean annual temperatures instead of centred MST as meta-predictors.

### 2.3. Future projections

For future projections of MMTs and excess mortality, we used the last of the observational subperiods as a baseline, i.e. using estimated BLUPs, MMTs, observed temperatures and death counts from 2013 to 2017. First, for each city  $i$ , 5-y subperiod  $p$  over 2016–2100, GCM  $g$  and climate scenario  $s$ , we computed the projected minimum mortality temperatures  $pMMT_{ipgs}$  based on the projected mean summer temperatures  $pMST_{ipgs}$  with the following equation:

$$pMMT_{ipgs} = MMT_{i(2013-2017)} + \beta_1 (pMST_{ipgs} - MST_{i(2013-2017)}), \quad (2)$$

where  $\beta_1$  is taken from equation (1). We repeated these computations for the lower and upper value defining the 95% CI of  $\beta_1$  to account for uncertainty in the observed MMT-MST association. The rationale of choosing  $\beta_1$  here was that we meant to capture short-term adaptive processes, rather than the long-term adaptation reflected by the cross-sectional MMT-MST association (i.e.  $\beta_2$  in equation (1)).

**Table 1.** Descriptive statistics and attributable fractions by city, based on 8 non-overlapping 5-y periods covering 1978–2017.

City	Total deaths	Mean annual temperature (°C)		Mean summer temperature (°C)		Average attributable fractions (%) (95% CI)		
		Mean (min, max)	$\Delta T^a$	Mean (min, max)	$\Delta T^a$	Total	Cold	Heat
Alicante	93 501	18.3 (17.8, 19.2)	1.3	24.9 (24.1, 25.8)	1.7	7.2 (5.0, 9.3)	6.6 (4.2, 9.0)	0.6 (0.2, 0.9)
Barcelona	643 884	16.2 (15.3, 17.4)	2.0	23.2 (21.7, 24.7)	2.9	7.1 (5.3, 8.7)	6.4 (4.4, 8.2)	0.7 (0.4, 1.0)
Bilbao	137 647	14.7 (14.1, 15.2)	1.1	19.9 (18.6, 21.0)	2.0	6.7 (4.6, 8.6)	6.2 (3.9, 8.3)	0.5 (0.3, 0.7)
Madrid	1 011 140	14.6 (14.0, 15.5)	1.4	24.0 (23.0, 25.2)	2.3	6.5 (4.6, 8.2)	5.4 (3.4, 7.3)	1.0 (0.7, 1.3)
Malaga	166 989	18.6 (17.9, 19.5)	1.7	25.0 (23.7, 26.1)	2.2	6.2 (4.1, 8.2)	5.4 (3.1, 7.7)	0.7 (0.4, 1.0)
Murcia	103 647	18.3 (17.9, 19.2)	1.2	26.1 (25.3, 27.0)	1.6	7.4 (4.9, 9.5)	6.8 (4.1, 9.2)	0.6 (0.2, 1.0)
Palma de Mallorca	112 071	16.6 (15.8, 17.5)	1.7	23.9 (22.7, 24.9)	2.2	7.7 (5.4, 9.6)	7.1 (4.5, 9.3)	0.6 (0.2, 1.0)
Sevilla	223 709	19.2 (18.3, 19.9)	1.4	27.1 (25.6, 28.3)	1.9	5.2 (3.4, 6.9)	3.9 (1.8, 5.8)	1.4 (1.0, 1.7)
Valencia	276 575	17.6 (17.1, 18.2)	1.0	24.7 (23.6, 25.4)	1.7	7.2 (5.2, 9.1)	6.7 (4.6, 8.7)	0.5 (0.2, 0.7)
Valladolid	95 373	11.5 (11.1, 11.8)	0.6	19.6 (18.9, 20.2)	1.2	5.5 (3.4, 7.6)	4.6 (2.1, 7.0)	1.0 (0.6, 1.3)
Zaragoza	211 923	15.6 (14.8, 16.4)	1.6	24.3 (22.9, 25.3)	2.4	6.8 (4.5, 8.8)	5.9 (3.4, 8.1)	0.9 (0.5, 1.2)
All cities	3 076 459	16.5 (15.9, 17.2)	1.4	23.9 (22.8, 24.8)	2.0	6.7 (4.9, 8.2)	5.8 (3.9, 7.5)	0.9 (0.6, 1.0)

<sup>a</sup> Difference between last (2013–2017) and first 5-y (1978–1982) averages.

In the next step, we computed daily attributable numbers based on projected temperatures in subsequent 5-y periods over 2016–2100, without adaptation (i.e. constant MMTs) and with adaptation (i.e. shifting MMTs). All of these computations were based on the overall cumulative exposure-response functions of the last observational subperiod (2013–2017), and used the average of daily death counts per day of the year from the same period to construct 5-y series of daily projected mortality. The approach taken to account for changing future MMTs in the computation of attributable mortality was to shift projected daily mean temperatures,  $T_{itgs}$ , for each GCM  $g$  and each climate scenario  $s$ , by the difference between projected and observed MMTs in each future 5-y period  $p$ , according to:

$$\tilde{T}_{itgs} = T_{itgs} - (pMMT_{itgs} - MMT_{i(2013-2017)}). \quad (3)$$

This is equivalent to re-centring the exposure-response functions on the projected MMTs, but easier to implement computationally. Under the assumption of no adaptation, we set  $pMMT_{itgs} = MMT_{i(2013-2017)}$ , thus simply using the projected temperature series.

The computations of daily attributable numbers, based on either  $\tilde{T}_{itgs}$  (with adaptation) or  $T_{itgs}$  (without adaptation) then followed the usual approach for the projection of temperature-related excess mortality (Vicedo-Cabrera *et al* 2019). We summed daily attributable numbers in defined 5-y periods, and specified 10-y time slices (2030s, 2050s, 2090s), separating components due to heat and cold by summing the subsets corresponding to days with  $\tilde{T}_{itgs}$  ( $T_{itgs}$ ) higher or lower than  $MMT_{i(2013-2017)}$ . Attributable fractions were computed by dividing the resulting sums by the total number of projected deaths in the respective periods.

Uncertainties in attributable mortality was assessed by drawing Monte Carlo samples ( $n = 1000$ ) from the multivariate normal distribution defined by the BLUPs and the corresponding co-variance matrices. In projections, this procedure was repeated for each scenario, GCM and, under the assumption of adaptation, each estimate of projected MMTs (central, low, high). Uncertainties are reported in terms of empirical 95% confidence intervals, which were estimated based on the 2.5th and 97.5th percentile of the resulting samples.

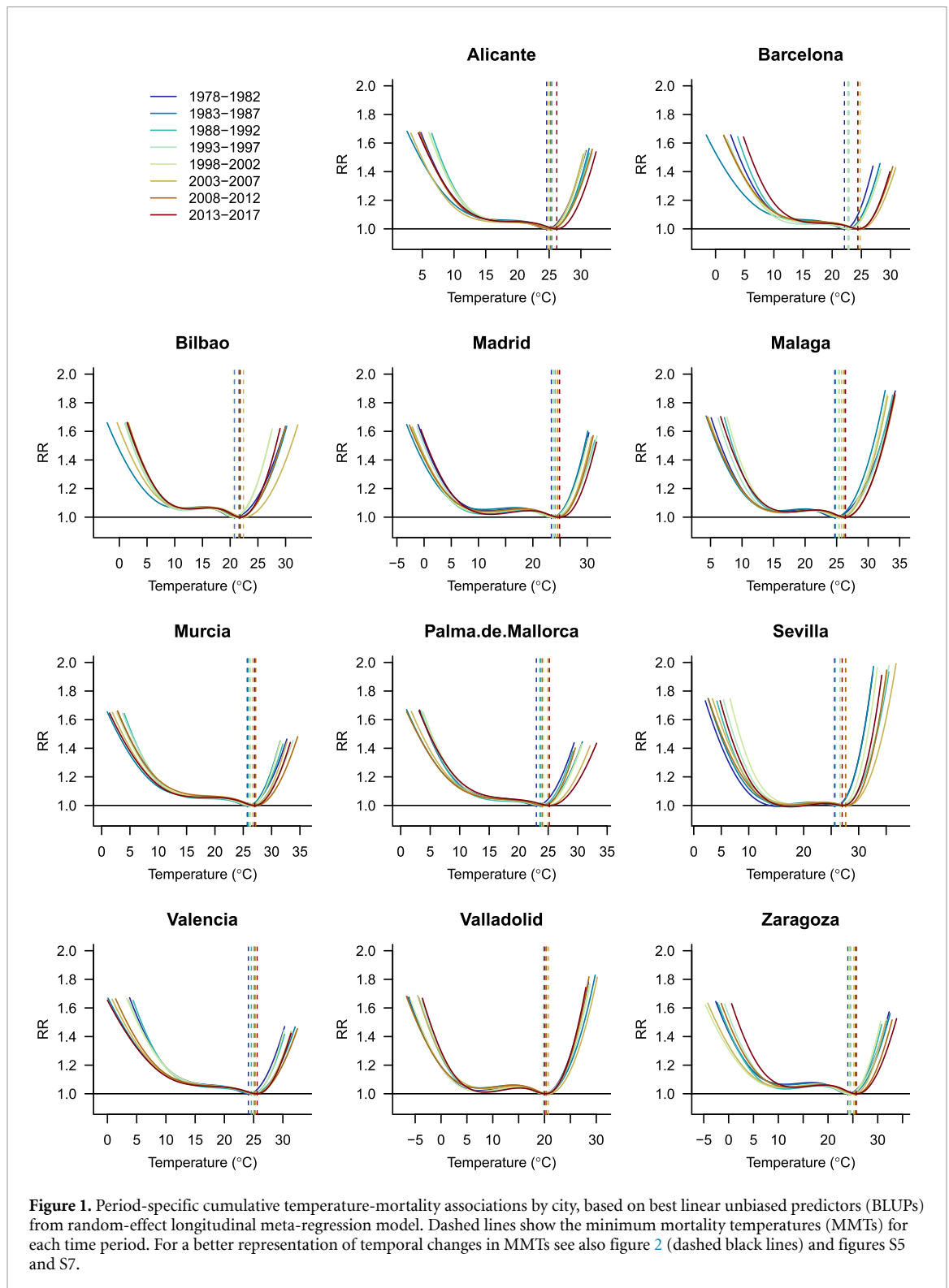
All computations were done using R (version 4.0.0) with packages *dlm* and *mixmeta*. The code is available on request from the first author.

### 3. Results

#### 3.1. Temperature-mortality associations by city and subperiod

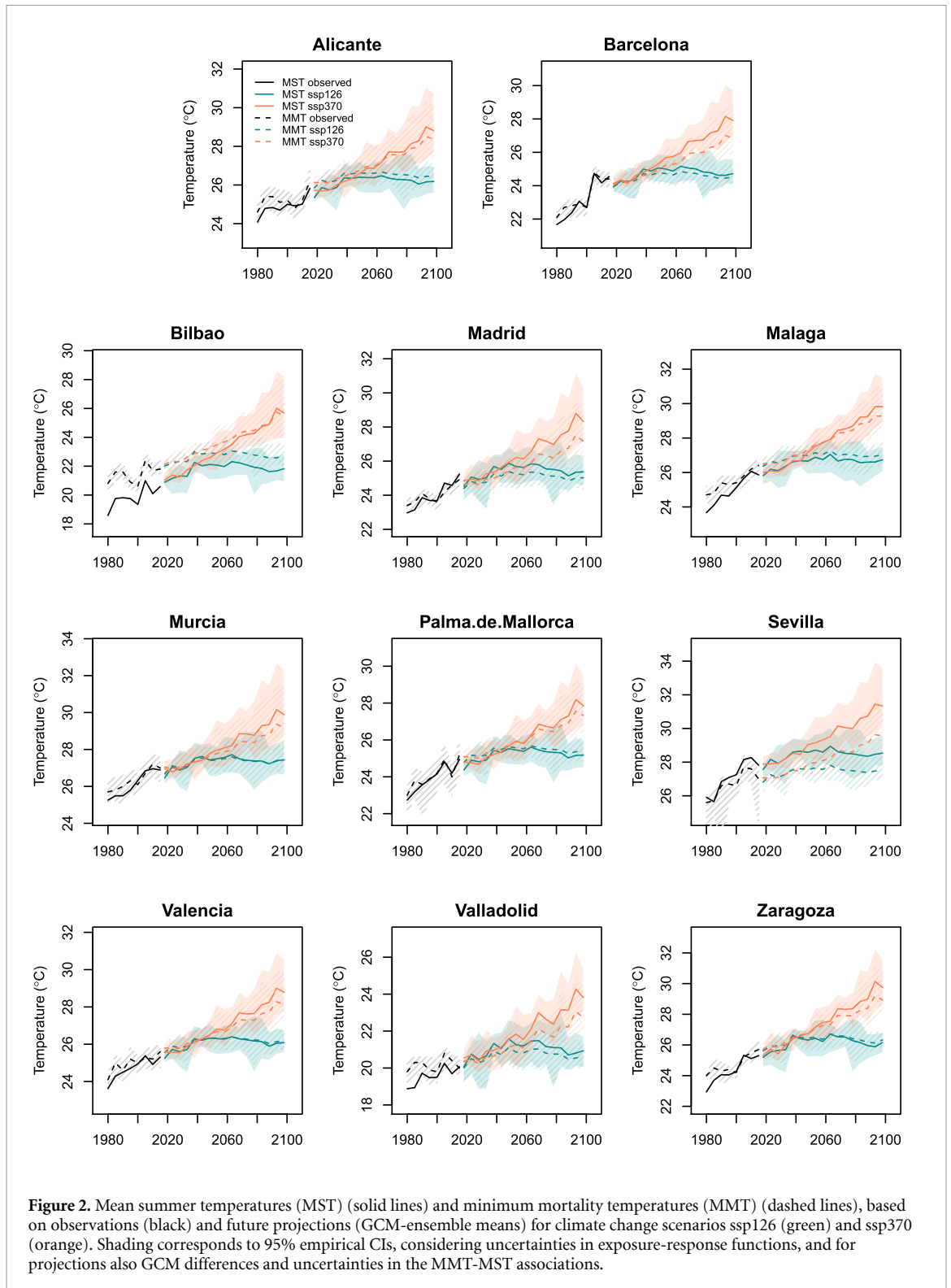
Our dataset from 11 cities in Spain included more than 3 million deaths registered over 1978–2017 (table 1). It spanned a range of summer climates with average MST of 19.6 °C (range: 18.9, 20.2) in the coolest city (Valladolid) and 27.1 °C (range: 25.6, 28.3) in the hottest city (Sevilla). On average across cities summers warmed by approximately 2 °C between the first and the last of the considered 5-y subperiods, exceeding the warming observed in annual mean temperatures (table 1).

The intercept-only meta-regression model used to derive BLUPs of the overall cumulative temperature-mortality associations (figure 1) suggested some heterogeneity across cities and subperiods ( $I^2 = 52.4\%$ ). Yet, apart from changes in the MMT, the shape of the city-specific associations remained quite similar between different subperiods (figure 1). In particular, we did not find any temporal trends in the RRs at low and high temperatures in the relative



percentile scale (figure S2). The average attributable fraction aggregated across cities during 1978–2017 was 6.7% (95%CI: 4.9,8.2), with 5.8% (95%CI: 3.9,7.5) attributable to low temperatures, and 0.9% (95%CI: 0.6,1.0) attributable to high temperatures (table 1). City-specific attributable fractions (figure S3) as well as pooled attributable fractions (figure 3) varied by subperiods but did not show any consistent temporal trends over the historical study period.

City-specific MMTs increased over time in parallel with MSTs (figure 2 solid and dashed black lines, table S4). The corresponding MMTPs ranged between the 89th and 97th percentile of the subperiod-specific daily mean temperature distributions (figure S4 and table S4). Widening the percentile range for searching of the MMTP only affected the estimates in one of the cities (Sevilla, figure S4).

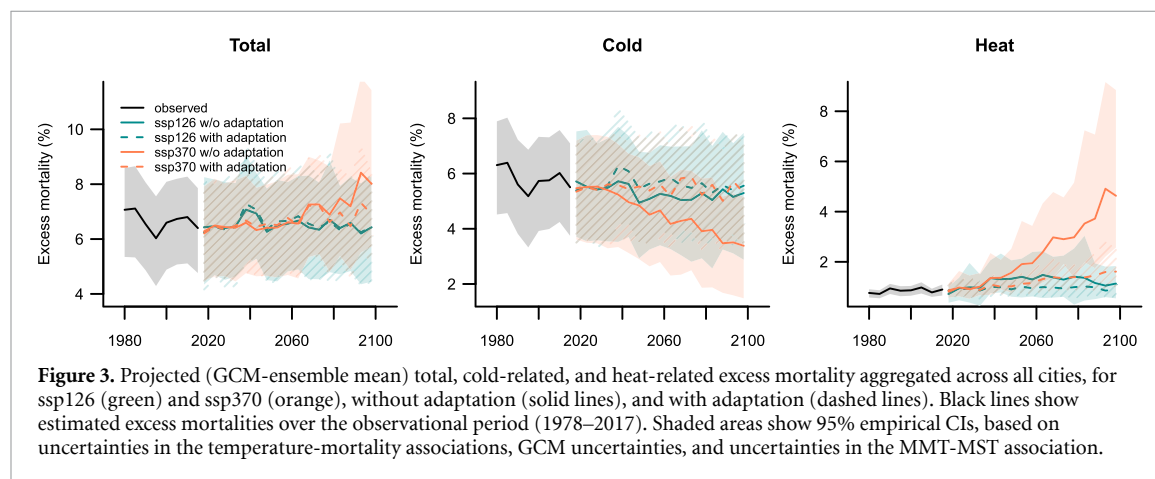


The Cronbach-type meta-regression models suggested that MMTs increased by  $0.73\text{ }^{\circ}\text{C}$  (95% CI: 0.65, 0.80) per  $1\text{ }^{\circ}\text{C}$  longitudinal (i.e. temporal) increase in the MST, and  $0.84\text{ }^{\circ}\text{C}$  (95% CI: 0.76, 0.92) per  $1\text{ }^{\circ}\text{C}$  cross-sectional (i.e. spatial) increase in the MST (table 2). For MMTP, the model indicated small decreases on the order of  $<1$  percentile point per  $1\text{ }^{\circ}\text{C}$  increase in the MST (table 2).

The sensitivity analysis showed that period-specific estimates of MMTs and MMTPs changed slightly according to specifications of the model for temperature-mortality associations (figures S5 and S6). However, coefficients of the meta-regression models describing the longitudinal and cross-sectional associations of the MMT with the MST, which we used to define adaptation in future

**Table 2.** Longitudinal and cross-sectional associations between the minimum mortality temperature (MMT) and the MMT percentile (MMTP) with the mean summer temperature (MST), from Cronbach-type mixed-effect meta-regression models. For comparison, the  $I^2$  statistics of the intercept-only model are reported.

	$MMT_{ip}$				$MMTP_{ip}$			
	$\beta_x$	95% CI	$p$ -value	$I^2$	$\beta_x$	95% CI	$p$ -value	$I^2$
Intercept-only				97.6%				67.5%
Cronbach-type				43.5%				53.1%
$MST_{ip} - MST_{i..}$	0.73	(0.65, 0.80)	<0.001		-0.75	(-1.11, -0.40)	<0.001	
$MST_{i..} - MST_{..}$	0.84	(0.76, 0.92)	<0.001		-0.55	(-0.96, -0.15)	<0.01	



**Figure 3.** Projected (GCM-ensemble mean) total, cold-related, and heat-related excess mortality aggregated across all cities, for ssp126 (green) and ssp370 (orange), without adaptation (solid lines), and with adaptation (dashed lines). Black lines show estimated excess mortalities over the observational period (1978–2017). Shaded areas show 95% empirical CIs, based on uncertainties in the temperature-mortality associations, GCM uncertainties, and uncertainties in the MMT-MST association.

projections, were relatively robust against changes in these model specifications (table S2). When testing alternative temporal subdivisions of the data, MMT and MMTP estimates generally fell within the 95% CIs of the default estimates (figures S7 and S8). Results from the Cronbach-type meta-regression model for MMT-MST associations were very similar to the default results, except when basing the analysis on the last 20 years rather than the full 40-y period (table S3). For MMTP, results were more strongly dependent on the choice of subperiods. Yet, with only a few exceptions, coefficients suggested a negative association of the MMTP with MST similar to our main analysis (table S3).

### 3.2. Future projections

Increases in projected decadal averages of the MST above the last observational decade (2008–2017) did not exceed 1 °C in the low-emission scenario ssp126 (figure 2 and table 3). By contrast, in the high-emission scenario ssp370, the MST averaged across cities was projected to increase by 1.6 °C (range: 0.9, 3.9) in the 2050s and by 3.9 °C (range: 2.6, 6.0) in the 2090s relative to 2008–2017 (figure 2 and table 3). The annual average temperature increase was projected to be only slightly lower (table 3).

Without adaptation, future heat-related excess mortality for all cities combined was projected to increase, reaching 1.9% (95%CI: 1.1, 3.5) by the 2050s and 4.8% (95%CI: 2.4, 9.0) by the 2090s under the high-emission scenario ssp370 (figure 3 and table 3). Under the same scenario, cold-related

excess mortality was projected to decrease to 4.6% (95%CI: 2.7, 6.4) by the 2050s and 3.4% (95%CI: 1.5, 5.3) by the 2090s (figure 3 and table 3). Total temperature-related excess mortality was estimated to change little until mid-century and then to increase to 8.2% (95%CI: 5.6, 11.6) by the 2090s. Under the low-emission scenario ssp126, without adaptation, attributable fractions were estimated to stay within the ranges observed over 1978–2017, except for a relatively small projected increase in heat-related excess mortality by the 2050s (figure 3 and table 3).

Assuming adaptation according to past MMT shifts (figure 2) strongly impacted the projected aggregated excess mortality under ssp370. Heat attributable fractions were 36.9% (95%CI: 21.3, 64.1) lower by the 2050s and 63.5% (95%CI: 50.0, 81.2) lower by the 2090s with adaptation relative to the estimates without adaptation (figure 3 and table 3). Cold attributable fractions were 20.7% (95%CI: 7.6, 60.3) higher by the 2050s and 63.7% (95%CI: 30.2, 166.7) higher by the 2090s. The relative percent differences with and without adaptation for total temperature-related excess mortality were comparatively small, with the confidence interval even for the 2090s estimate (−11.2%; 95%CI: −39.5, 5.5) including zero (figure 3 and table 3). Under ssp126, assuming adaptation in projections changed excess mortality estimates compared to projections without adaptation in the same direction as for ssp370 (figure 3). However, the magnitudes of these changes were small, and all confidence intervals included zero (table 3). For the 2030s, estimates



**Table 3.** GCM-ensemble mean projected warming, attributable fractions (%) and relative difference with vs. without adaptation (%), by climate change scenario, aggregated across cities.

	ssp126			ssp370		
	2030s	2050s	2090s	2030s	2050s	2090s
Temperature anomaly <sup>a</sup> (°C) (min, max)						
Mean annual temperature	0.5 (0.0, 1.1)	0.8 (0.4, 1.4)	0.7 (0.1, 1.8)	0.7 (0.2, 1.2)	1.4 (0.9, 2.4)	3.3 (2.1, 5.3)
Mean summer temperature	0.7 (−0.2, 1.4)	1.0 (0.3, 1.6)	0.7 (0.3, 1.7)	0.7 (0.2, 1.6)	1.6 (0.9, 3.0)	3.9 (2.6, 6.1)
Attributable fraction without adaptation (%) (95% CI)						
Total	6.8 (5.0, 8.5)	6.5 (4.7, 8.1)	6.3 (4.4, 8.2)	6.5 (4.7, 8.1)	6.5 (4.7, 8.1)	8.2 (5.6, 11.6)
Cold	5.6 (3.7, 7.4)	5.2 (3.3, 6.9)	5.2 (3.0, 7.3)	5.3 (3.3, 7.2)	4.6 (2.7, 6.4)	3.4 (1.5, 5.3)
Heat	1.2 (0.5, 1.8)	1.3 (0.7, 2.2)	1.1 (0.6, 1.8)	1.2 (0.7, 2.0)	1.9 (1.1, 3.5)	4.8 (2.4, 9.0)
Attributable fraction with adaptation (%) (95% CI)						
Total	6.9 (4.9, 8.7)	6.7 (4.7, 8.4)	6.3 (4.4, 8.2)	6.6 (4.7, 8.3)	6.6 (4.8, 8.3)	7.1 (5.3, 8.8)
Cold	5.9 (3.9, 7.9)	5.7 (3.7, 7.5)	5.5 (3.5, 7.4)	5.6 (3.6, 7.4)	5.5 (3.6, 7.2)	5.5 (3.6, 7.3)
Heat	0.9 (0.6, 1.3)	1.0 (0.7, 1.3)	0.9 (0.6, 1.2)	1.0 (0.7, 1.3)	1.1 (0.8, 1.6)	1.6 (1.0, 2.7)
Relative difference with versus without adaptation (%) (95% CI)						
Total	1.2 (−5.3, 5.3)	1.9 (−1.1, 5.9)	0.6 (−1.2, 4.2)	1.2 (−1.0, 5.6)	1.9 (−5.1, 5.8)	−11.2 (−39.5, 5.5)
Cold	6.0 (−8.4, 20.5)	9.8 (−1.2, 25.5)	5.7 (−1.5, 26.5)	5.5 (−1.9, 23.8)	20.7 (7.6, 60.3)	63.7 (30.2, 166.7)
Heat	−14.8 (−40.5, 21.6)	−23.5 (−44.6, −0.1)	−15.5 (−51.7, 2.6)	−13.4 (−42.7, 5.0)	−36.9 (−64.1, −21.3)	−63.5 (−81.2, −50.0)

<sup>a</sup> Above last observational decade (2008–2017).

of attributable fractions were very similar between low and high-emission scenarios, and adaptation assumptions had minor effects in both scenarios (figure 3 and table 3). City-specific results were qualitatively the same as results for all cities combined (figures S9–S11).

#### 4. Discussion

Here, we studied the variability of the MMT across space and time in 11 Spanish cities over four decades to inform adaptation scenarios in future projections of temperature-related excess mortality. We found positive associations of the MMT with MST, both geographically and temporally. Projections of temperature-related excess mortality that included future shifts in MMTs according to future projected summer warming suggested that, if the pace of adaptation observed over past decades was maintained in the future, a large portion of the possible additional heat mortality burden could be avoided. Reversely, cold-related excess mortality would not decrease as much as estimated under the assumption of no adaptation. Overall, the impact of adaptation

assumptions on excess-mortality estimates was much stronger for the high-emission scenario ssp370 than for the low-emission scenario ssp126.

Our results on the geographical MMT-MST associations agree with previously published findings. In a global study including data from 41 countries, (Tobías *et al* 2021) found that the MMT increased 0.8 °C (and the MMTP decreased 0.8 percentile points) per 1 °C increase in the annual mean temperature. In another global-scale study, (Yin *et al* 2019) reported an increase of 0.7 °C in the MMT per 1 °C increase in the most frequent temperature. Both alternative temperature indicators are closely correlated with MST in our dataset (annual mean temperature:  $r = 0.87$ ,  $p < 0.001$ ; most frequent temperature:  $r = 0.72$ ,  $p < 0.001$ ). Based on geographically highly resolved data from France, (Todd and Valleron 2015) reported an increase of 0.76 °C in the MMT per 1 °C increase in the MST, which is very close to the 0.84 °C rise in MMT per 1 °C cross-sectional increase in MST found here.

The same authors also investigated the temporal variability in MMT as a function of MST, finding an increase of approximately 0.4 °C in the MMT per

1 °C increase in MST over time (between 1968–1981 and 1996–2009). Our estimate of the temporal MMT-MST association is higher (0.76 °C rise in MMT per 1 °C temporal increase in the MST), and only slightly lower than our estimate for the spatial association. Therefore, our findings do not support the conclusion by (Todd and Valleron 2015) regarding the lag in adaptive processes over time compared to longer-term adaptation as reflected in the spatial MMT-MST relationship. This is in accordance with another study on sex and age-specific cardiovascular mortality in Spain (Achebak *et al* 2019), which reported positive associations between mean annual temperatures and the MMT of similar magnitude across space (48 provinces) and time (23 overlapping subperiods). Surprisingly, a study from Japan (Chung *et al* 2018) also found increases in MMTs over four decades, but none of the considered associations with climate indicators were significant. More studies on this topic are warranted, given that the velocity of past adaptive processes is crucial for evaluating the potential of adaptation to changing temperatures under future climate change.

Our projections of temperature-related mortality assuming no adaptation were in accordance with recent studies that did not account for adaptation. As in our study, e.g. the estimates by Martínez-Solanas *et al* (2021) for Europe suggested that increases in heat-attributable mortality are likely to overcompensate decreases in cold-related mortality in the second half of the 21st century, especially under high-emission scenarios. Our projections also qualitatively agree with the results for Southern Europe (Italy and Spain) presented by Gasparrini *et al* (2017), although the net increases in temperature-related mortality found in this study under the high-emission scenario were larger than reported here. The difference might be due to the older climate change scenarios used (RCP8.5), and the application of time-invariant exposure-response functions estimated from Spanish data covering 1990–2014.

Similar to our findings, previous studies suggested that adaptive processes have the potential to mitigate large portions of the changes in temperature-related mortality projected by studies that ignore adaptation. For example, Lay *et al* (2021) estimated that for a 2 °C global warming scenario, temperature-related mortalities in the US were 97% lower when assuming recently observed vulnerabilities compared to earlier vulnerabilities. Wang *et al* (2018) reported that incorporating empirically estimated adaptation into projections resulted in little change in the projected heat wave-related mortality in the US compared to present-day, in accordance with our findings. These authors modelled adaptation by including interactions with MSTs in the regression equations for heat-wave related mortality. Interestingly, in our supplementary analysis, annual mean temperatures as meta-predictors explained less of the heterogeneity

of MMTs across periods and cities than MSTs (table S5).

Our results can also be used to evaluate previous projections that have included adaptation by setting future MMTPs to constant values estimated from observed data (Honda *et al* 2014, Sanchez Martinez *et al* 2018, Díaz *et al* 2019). Our results suggest that MMTPs have decreased slightly over the past four decades in Spain while the climate has warmed. Thus, holding the MMTP constant to mimic adaptation in future projection overestimates the pace of observed adaptation. However, given that the MMTPs would decrease only by a few percentile points even under high-emission scenarios according to the associations estimated in our study, this overestimation should be small. The major unknown is whether the pace of adaptation observed in the past can be maintained in the future. This is less questionable for the low-emission scenario ssp126, which implies additional summer warming of <1 °C according to our analysis, than for the high-emission scenario ssp370, which would bring about additional summer warming about twice as large of what was observed over the four decades studied here. Searching for empirical evidence on potential limits to adaptation (such as e.g. found in Wang *et al* 2018, Lay *et al* 2021), in this case related to past shifts in MMTs, would be an interesting avenue for future research.

A number of limitations of our study need to be mentioned. First, our results do not shed light on the possible adaptive mechanisms underlying the found correlations of the MMT with MSTs. Additional analyses should attempt to enlarge the number of meta-predictors included in the meta-regression models. Unfortunately, we did not have access to information on, e.g. air conditioning prevalence, urban green coverage, and heat-health action plan effectiveness, which could be related to actual mechanisms of heat adaptation. Second, by imposing a linear relationship between MMT and MST we assume no future limits to adaptation. Yet, there is evidence that at least some of the past shifts in temperature-mortality associations can be related to increasing prevalence of residential air conditioning in Spain (Sera *et al* 2020), which could ultimately reach the clearly defined limit of 100% prevalence. This suggests that the MST-MMT relationship would at a certain point level off, in contrast to our assumptions. Third, our projections heavily rely on the ability of the GCMs considered in realistically projecting future temperatures, including the extremes of the distributions. Interestingly, our data shows that average summer warming was stronger than average annual warming during recent decades in the Spanish cities studied here. This difference between summer and annual warming is not as clear in the GCM projections, suggesting that the considered GCMs might underestimate summer warming trends in Southern Europe, which has also been suggested by (Van Oldenborgh *et al* 2009). Fourth,

our study was restricted to analysing non-external mortality. Studying temperature-related respiratory mortality in Spain, (Achebak *et al* 2020) reported a shift in seasonality, related to a discontinuous drop in the MMT over 1980–2016. It is conceivable that similar changes in cause-specific mortality are the reason for the two distinct minima that we observed in some of the exposure-response curves.

## 5. Conclusion

We have found here that the MMTs in Spanish cities have increased in parallel with rising MSTs over recent decades, at a surprisingly rapid pace, similar to what is suggested by geographical MMT-MST associations. We projected temperature-related excess mortality under low- and high-emission scenarios, incorporating adaptation by shifting future MMTs according to observed associations with MSTs. Our findings showed that future adaptation at the pace registered during past decades would avoid a large proportion of the changes in temperature-related excess mortality expected under the assumption of no adaptation, especially under high-emission scenarios. Future research needs to identify the physiological, infrastructural and behavioural factors underlying the observed shifts in the MMTs, in order to inform specific adaptation policies and measures. Our findings also show that the risks in terms of increased death burden associated with a possible failure to adapt are smallest under the low-emission scenario. To avert major health risk from climate change, international efforts to reduce greenhouse gas emissions are thus indispensable, besides strengthened investment in adaptation.

## Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

## Acknowledgments

We thank Aleš Urban and two anonymous referees for helpful comments on previous versions of the manuscript.

## Conflict of interest

The authors declare that they have nothing to disclose.

## Funding information

This work received funding from the Spanish Ministry of Economy, Industry and Competitiveness (Grant ID: PCIN-2017-046), the German Research Foundation (DFG, Project No.: 427397136), the German Federal Ministry of Education and Research

(BMBF, Grant ID: 01LP1907A), and the European Union's Horizon 2020 research and innovation programme (Marie Skłodowska-Curie Grant Agreement No.: 101032087).

## ORCID iDs

Veronika Huber  <https://orcid.org/0000-0001-9633-2752>

Cristina Peña Ortiz  <https://orcid.org/0000-0002-5451-8521>

David Gallego Puyol  <https://orcid.org/0000-0002-2082-4125>

Stefan Lange  <https://orcid.org/0000-0003-2102-8873>

Francesco Sera  <https://orcid.org/0000-0002-8890-6848>

## References

- Achebak H, Devolder D and Ballester J 2019 Trends in temperature-related age-specific and sex-specific mortality from cardiovascular diseases in Spain: a national time-series analysis *Lancet Planet. Health* **3** e297–306
- Achebak H, Devolder D, Ingole V and Ballester J 2020 Reversal of the seasonality of temperature-attributable mortality from respiratory diseases in Spain *Nat. Commun.* **11** 2457
- Åström D O, Tornevi A, Ebi K L, Rocklöv J and Forsberg B 2016 Evolution of minimum mortality temperature in Stockholm, Sweden, 1901–2009 *Environ. Health Perspect.* **124** 740–4
- Bobb J F, Peng R D, Bell M L and Dominici F 2014 Heat-related mortality and adaptation to heat in the United States *Environ. Health Perspect.* **122** 811–6
- Burkart K G *et al* 2021 Estimating the cause-specific relative risks of non-optimal temperature on daily mortality: a two-part modelling approach applied to the global burden of disease study *Lancet* **398** 685–97
- Carleton T *et al* 2018 Valuing the global mortality consequences of climate change accounting for adaptation costs and benefits *University of Chicago, Becker Friedman Institute for Economics Working Paper No.* 2018–51
- Chung Y, Yang D, Gasparrini A, Vicedo-Cabrera A M, Ng C F S, Kim Y, Honda Y and Hashizume M 2018 Changing susceptibility to non-optimum temperatures in Japan, 1972–2012: the role of climate, demographic, and socioeconomic factors *Environ. Health Perspect.* **126** 057002-1-057002-8
- Cronbach L J and Webb N 1975 Between-class and within-class effects in a reported aptitude \* treatment interaction: reanalysis of a study by G L Anderson *J. Educ. Psychol.* **67** 717–24
- Davis R E, Knappenberger P C, Novicoff W M and Michaels P J 2003 Decadal changes in summer mortality in U.S. cities *International J. Biometeorol.* **47** 166–75
- Díaz J, Sáez M, Carmona R, Mirón I J, Barceló M A, Luna M Y and Linares C 2019 Mortality attributable to high temperatures over the 2021–2050 and 2051–2100 time horizons in Spain: adaptation and economic estimate *Environ. Res.* **172** 475–85
- Eyring V, Bony S, Meehl G A, Senior C A, Stevens B, Stouffer R J and Taylor K E 2016 Overview of the coupled model intercomparison project phase 6 (CMIP6) experimental design and organization *Geosci. Model Dev.* **9** 1937–58
- Folkerts M A, Bröde P, Botzen W J W, Martinius M L, Gerrett N, Harmsen C N and Daanen H A M 2020 Long term adaptation to heat stress: shifts in the minimum mortality temperature in the Netherlands *Front. Physiol.* **11** 1–7
- Follos F, Linares C, López-Bueno J A, Navas M A, Culqui D, Vellón J M, Luna M Y, Sánchez-Martínez G and Díaz J 2021 Evolution of the minimum mortality temperature

- (1983–2018): is Spain adapting to heat? *Sci. Total Environ.* **784** 147233
- Gasparrini A 2014 Modeling exposure-lag-response associations with distributed lag non-linear models *Stat. Med.* **33** 881–99
- Gasparrini A et al 2015 Mortality risk attributable to high and low ambient temperature: a multicountry observational study *The Lancet* **386** 369–75
- Gasparrini A et al 2017 Projections of temperature-related excess mortality under climate change scenarios *Lancet Planet. Health* **1** 360–7
- Gasparrini A and Leone M 2014 Attributable risk from distributed lag models *BMC Med. Res. Methodol.* **14** 55
- Gosling S N, Hondula D M, Bunker A, Ibarreta D, Liu J, Zhang X and Sauerborn R 2017 Adaptation to climate change: a comparative analysis of modeling methods for heat-related mortality *Environ. Health Perspect.* **125** 1–14
- Guo Y et al 2014 Global variation in the effects of ambient temperature on mortality: a systematic evaluation *Epidemiology* **25** 781–9
- Honda Y et al 2014 Heat-related mortality risk model for climate change impact projection *Environ. Health Prev. Med.* **19** 56–63
- Huber V, Krummenauer L, Peña-Ortiz C, Lange S, Gasparrini A, Vicedo-Cabrera A M, Garcia-Herrera R and Frieler K 2020 Temperature-related excess mortality in German cities at 2 °C and higher degrees of global warming *Environ. Res.* **186** 109447
- INE 2013 Vital statistics *Methodology* (available at: [www.ine.es/en/metodologia/t20/t2030301\\_en.pdf](http://www.ine.es/en/metodologia/t20/t2030301_en.pdf)) (Accessed 7 February 2022)
- Kinney P L 2018 Temporal trends in heat-related mortality: implications for future projections *Atmosphere* **9** 409
- Lange S 2019 Trend-preserving bias adjustment and statistical downscaling with ISIMIP3BASD (v1.0) *Geosci. Model Dev.* **12** 3055–70
- Lange S 2021 ISIMIP3BASD: code base used for bias adjustment and statistical downscaling in phase 3 of the inter-sectoral impact model intercomparison project (ISIMIP) *Zenodo* [10.5281/zenodo.4686991](https://zenodo.org/record/4686991)
- Lay C R, Sarofim M C, Vodonos Zilberg A, Mills D M, Jones R W, Schwartz J and Kinney P L 2021 City-level vulnerability to temperature-related mortality in the USA and future projections: a geographically clustered meta-regression *Lancet Planet. Health* **5** e338–46
- Martínez-Solanas È, Quijal-Zamorano M, Achebak H, Petrova D, Robine J M, Herrmann F R, Rodó X and Ballester J 2021 Projections of temperature-attributable mortality in Europe: a time series analysis of 147 contiguous regions in 16 countries *Lancet Planet. Health* **5** e446–54
- Muthers S, Matzarakis A and Koch E 2010 Climate change and mortality in vienna-A human biometeorological analysis based on regional climate modeling *Int. J. Environ. Res. Public Health* **7** 2965–77
- O'Neill B C et al 2016 The scenario model intercomparison project (ScenarioMIP) for CMIP6 *Geosci. Model Dev.* **9** 3461–82
- Petkova E P, Vink J K, Horton R M, Gasparrini A, Bader D A, Francis J D and Kinney P L 2017 Towards more comprehensive projections of urban heat-related mortality: estimates for New York city under multiple population, adaptation, and climate scenarios *Environ. Health Perspect.* **125** 47–55
- Sanchez Martinez G, Diaz J, Hooyberghs H, Lauwaet D, De Ridder K, Linares C, Carmona R, Ortiz C, Kendrovski V and Adamonyte D 2018 Cold-related mortality vs heat-related mortality in a changing climate: a case study in Vilnius (Lithuania) *Environ. Res.* **166** 384–93
- Sera F et al 2020 Air conditioning and heat-related mortality: a multi-country longitudinal study *Epidemiology* **31** 779–87
- Sera F, Armstrong B, Blangiardo M and Gasparrini A 2019 An extended mixed-effects framework for meta-analysis *Stat. Med.* **38** 5429–44
- Tobías A et al 2021 Geographical variations of the minimum mortality temperature at a global scale *Environ. Epidemiol.* **5** e169
- Tobías A, Armstrong B and Gasparrini A 2017 Brief report: investigating uncertainty in the minimum mortality temperature *Epidemiology* **28** 72–76
- Todd N and Valleron A J 2015 Space-time covariation of mortality with temperature: a systematic study of deaths in France, 1968–2009 *Environ. Health Perspect.* **123** 659–64
- Van Oldenborgh G J, Drijfhout S, Van Ulden A, Haarsma R, Sterl A, Severijns C, Hazeleger W and Dijkstra H 2009 Western Europe is warming much faster than expected *Clim. Past* **5** 1–12
- Vicedo-Cabrera A M et al 2018 Temperature-related mortality impacts under and beyond Paris agreement climate change scenarios *Clim. Change* **150** 391–402
- Vicedo-Cabrera A M, Sera F and Gasparrini A 2019 Hands-on tutorial on a modeling framework for projections of climate change impacts on health *Epidemiology* **30** 321–9
- Wang Y, Nordio F, Nairn J, Zanobetti A and Schwartz J D 2018 Accounting for adaptation and intensity in projecting heat wave-related mortality *Environ. Res.* **161** 464–71
- Weinberger K R, Haykin L, Eliot M N, Schwartz J D, Gasparrini A and Wellenius G A 2017 Projected temperature-related deaths in ten large U.S. metropolitan areas under different climate change scenarios *Environ. Int.* **107** 196–204
- Yin Q, Wang J, Ren Z, Li J and Guo Y 2019 Mapping the increased minimum mortality temperatures in the context of global climate change *Nat. Commun.* **10** 1–8
- Zhao Q et al 2021 Global, regional, and national burden of mortality associated with non-optimal ambient temperatures from 2000 to 2019: a three-stage modelling study *Lancet Planet. Health* **5** e415–25