

Exploration of the understanding and etiology of ADHD in HIV/AIDS as observed by adolescents with HIV/AIDS, caregivers and health workers- using case vignettes

Richard Stephen Mpango^{1,2}, Eugene Kinyanda¹, Godfrey Zari Rukundo², Joseph Osafo³, Kenneth D Gadow⁴

1. Mental Health Project, MRC/UVRI and LSHTM Uganda Research Unit, Entebbe, Uganda.
2. Department of Psychiatry, Mbarara University of Science and Technology, Uganda.
3. Department of Psychology, University of Ghana, Legon.
4. Department of Psychiatry, Stony Brook University, Stony Brook, New York.

Emails:

- Eugene Kinyanda, Tel; 0788461950, E mail: ekinyanda@hotmail.com & Eugene.kinyanda@mrcuganda.org
- Godfrey Zari Rukundo, Tel: 0772663671, E mail: grukundo@must.ac.ug
- Joseph Osafo, Tel: +233244296435 or +233207373222, E mail: josaforo@gmail.com
- Kenneth D. Gadow, Tel: 631-638-1549 FAX: 631-632-3703, E mail: kenneth.gadow@stonybrook.edu

Abstract

Background: Attention-Deficit / Hyperactivity Disorder (ADHD) is one of the most prevalent behavioural disorder among children and adolescents with HIV infection (CA-HIV).

Objective: To explore the explanations used by adolescents with HIV/AIDS, caregivers and health workers to understand and explain ADHD in HIV/AIDS.

Methods: This was a qualitative sub-study nested within a larger research project whose focus was on mental health among HIV infected children and adolescents in Kampala and Masaka, Uganda (CHAKA study, 2014-2017). Participants were recruited from five study sites: two in Kampala and three in Masaka. We purposively sampled 10 ADHD adolescent-caregiver dyads equally divided between the Masaka and Kampala sites, age groups and gender. Semi-structured interviews were carried out within 12 months of baseline. Ten HIV health workers (two from each study site) participated. The ten health workers were assessed about their knowledge related to psychiatric disorders (especially ADHD in HIV/AIDS), services available for such clients and gaps in service provision for CA-HIV with behavioural / emotional disorders. Participants were recruited over one month. Taped interviews were transcribed and preliminary coding categories generated based on the research questions. Broad categories of related codes were then generated to derive a coding framework. Thematic analyses were conducted to elicit common themes emerging from the transcripts.

Results: Explanations used by respondents to express their understanding related to ADHD among CA-HIV included; psychosocial stressors, biomedical manifestations, personal traits and supernaturalism, which affected health seeking behaviour.

Conclusion: In contexts similar to those in Uganda, treatment approaches for ADHD among HIV positive CA-HIV should consider the explanations provided by CA-HIV, caregivers to CA-HIV and HIV health workers.

Keywords: ADHD, explanations, children / adolescents with HIV/AIDS (CA-HIV), compliance, Uganda.

DOI: <https://dx.doi.org/10.4314/ahs.v18i3.4>

Cite as: Mpango RS, Kinyanda E, Rukundo GZ, Osafo J, Gadow KD. Exploration of the understanding and etiology of ADHD in HIV/AIDS as observed by adolescents with HIV/AIDS, caregivers and health workers - using case vignettes. *Afri Health Sci.* 2018;18(3): 488-495. <https://dx.doi.org/10.4314/ahs.v18i3.4>

Corresponding author:

Richard Stephen Mpango,
Mental Health Project, MRC/
UVRI and LSHTM Uganda Research Unit,
Uganda.
P. O. Box 49 Entebbe, Uganda.
Email: Richard.Mpango@mrcuganda.org &
richmpan@gmail.com

Introduction

Attention-deficit/hyperactivity disorder (ADHD) is a neurodevelopmental disorder characterized by inattention, impulsivity, and hyperactivity¹⁻³. Addressing the personal experiences and understanding empowers the patient, educates the caregiver, helps practitioners to appreciate that caregivers have some knowledge thus focusing on 'a two-way flow of information'⁴. There is a belief in the role of the environment in the causation of

ADHD and that changes in the environment are needed for treatment⁴. In addition, it is believed that children with ADHD are talented, gifted, with unique learning styles and strong interests, which, when accommodated, may assist their condition⁴. Children with ADHD may be drawn to innate healing measures, namely, engaging in rhythmical activities likely to assist their unique brain physiology⁴. Pharmaceutical treatment may not be sufficient for children and adolescents with ADHD and as a result, parents may use spirituality or religion as a resource⁵.

Illness explanations can determine help-seeking behaviour and service utilization. Illness explanations and help-seeking behaviors vary greatly depending on factors such as location, religion and social class. Individuals use resources practically, often hold multiple models of health/illness and as a result, the same people may seek help from multiple sources, when available⁶.

There is paucity of information concerning illness explanations about adolescent health in Uganda. Hitherto, no publication has attempted to establish how adolescents with HIV/AIDS, caregivers to CA-HIV and HIV health workers understand and explain ADHD in HIV/AIDS in the Ugandan situation. The current study was done to bridge this knowledge gap.

Methodology

This study was part of a larger research project (A cohort of 1336 children / adolescent-caregiver dyads), on mental health among HIV infected children and adolescents in Kampala and Masaka, Uganda (CHAKA study, 2014-2017). CHAKA aimed to investigate the prevalence and incidence of mental health problems among children and adolescents with HIV/AIDS. This qualitative sub-study to investigate the illness explanations related to ADHD used a methodology previously used in Goa India⁷. In

this sub-study, the researcher purposively sampled 10 ADHD CA-HIV-caregiver dyads in which the child / adolescent had ADHD at baseline, equally divided between the Masaka and Kampala sites, children and adolescent age groups, and gender. Serial semi-structured interviews were carried out within 12 months of baseline. Baseline interviews addressed the illness explanations. Interview guides were used to explore how adolescents with HIV/AIDS, caregivers of CA-HIV and HIV health workers understood and explained ADHD in HIV/AIDS in the Ugandan situation. Participants were recruited over a month's period.

Study site

In Kampala, CA-HIV-caregiver dyads and HIV health workers were recruited from Lubowa Joint Clinical Research Centre (Lubowa, JCRC) Department of Paediatrics Clinic and from the Children's HIV Care Clinic of Nsambya hospital. In Masaka, CA-HIV-caregiver dyads were recruited from the Children's Clinic at The AIDS Support Organisation (TASO), Uganda Cares Masaka (both of which are located on the grounds of Masaka Regional Referral Hospital) and Kitovu Mobile AIDS Organisation which is located at Soweto, Masaka.

Study population

Study participants were adolescents with HIV/AIDS and ADHD, caregivers to CA-HIV with ADHD and HIV health workers at the five HIV specialized clinics in Kampala and Masaka, Uganda. The 30 participants included 10 adolescents with HIV/AIDS and ADHD, 10 caregivers to CA-HIV with ADHD, and 10 HIV health workers. In cases where both mother and father were willing to participate, one parent chose to be the caregiver and completed the demographic form. The demographic data of the participants are presented in Table 1.

Table 1: Socio-demographic characteristics

Subgroup	N	Age categories	Kampala sites		Masaka study sites		
			Nsambya Homecare	JCRC Lubowa	TASO Masaka	Uganda cares Masaka	Kitovu Mobile AIDS Support Organisation
Total	30		6(20%)	6(20%)	6(20%)	6(20%)	6(20%)
Adolescents with ADHD and HIV/AIDS	10	12-17	2(20%)	2(20%)	2(20%)	2(20%)	2(20%)
Caregivers to CA-HIV and ADHD	10	18-52	2(20%)	2(20%)	2(20%)	2(20%)	2(20%)
HIV health workers	10	25-40	2(20%)	2(20%)	2(20%)	2(20%)	2(20%)
Male	14		3(21.4%)	1(7.1%)	2(14.3%)	3(21.4%)	5(35.7%)
Female	16		3(18.8%)	5(31.3%)	4(25%)	3(18.8%)	1(6.3%)

CA-HIV and their caregivers were eligible for study enrolment if: i) the adolescent was aged between 12 and 17 years of age; ii) their caregiver was older than 17 years of age; and iii) both were able to speak English or Luganda (the local language spoken in the study area). In households where more than one CA-HIV was attending the study clinic, only one child per household was selected for inclusion into the quantitative (main) study by simple random sampling whereby all CA-HIV had an equal chance of being selected but in this sub-study, the researcher used purposive sampling. The children and adolescents involved in the study were 10. There were 10 caregivers to CA-HIV involved in the study; and 10 HIV health workers. Participants were enrolled from the five clinics. CA-HIV and their caregivers were excluded from the study if they were: i) unable to understand the study instruments for whatever reason; ii) too sick and required immediate medical attention; iii) experiencing severe psychiatric problem(s) that required admission and treatment at a major psychiatric hospital.

Materials

An MP3 recorder (Olympus Digital Voice Recorder WS-320M) was used to audio record interviews. Voice files were stored on the computer. A safety cabinet at MRC Entebbe was used to store consent forms for 3 years. A locked cabinet in the office at MRC Entebbe was used to store demographic data and transcribed interview data, which did not have participant names or identifiers.

Data collection procedure

Initially a pilot study was undertaken at Nsambya hospital where up to three CA-HIV-caregiver dyads and three HIV health workers were interviewed using the translated interview guides (separately attached). An interview schedule was piloted to assess comprehension of items and correct potential conceptual confusion. Case vignettes were used to enable participants identify manifestations of ADHD, reflect upon such experiences, enable them express their own experiences and share their illness explanations. Consent was obtained from the caregivers

and assent from children older than 8 years and adolescents. As far as possible, appointments were scheduled to coincide with regular clinic visits. All interviews were carried out at the clinics in partitioned study tents which were erected to ensure privacy and limit distraction. Information was audio recorded by the researcher using digital recording. All interviews had to last between 30 to 45 minutes. This data was downloaded into computer storage and transcribed. Participants were re-reimbursed their transport costs and each child / adolescent was given a small gift as a token of appreciation for their participation at the close of each appointment. Participants not attending scheduled study appointments were contacted by telephone. Thirty interviews were conducted: sixteen in Luganda (local language), and fourteen in English. Interviews conducted in Luganda were translated into English and all interviews were transcribed.

Analysis

The taped interviews were transcribed. Special attention was given to the way local vocabulary was used for psychological phenomena. The interviews were read and, through an inductive process, preliminary coding categories were generated based on the research questions. The reliability of the coding system was tested by carrying out

blinded, double coding of four interviews and, as a result, a refined consensus coding category was derived. Broad categories of related codes were then generated to derive a coding framework. After coding all the interviews, thematic analyses were conducted to elicit common themes which emerged from the transcripts.

Conceptual framework

The ‘conceptual framework for ADHD illness narratives’ is based on extant literature⁸ as illustrated in Figure 1 which suggests that biological influences (e.g., genetics, CA-HIV born with HIV/AIDS, HIV encephalopathy, HIV disease progression, personality traits, etc), plus environmental influences (e.g taking ART and its side effects, psychosocial stressors, supernaturalism, etc) give rise to individual differences in the functional properties of neurobiological systems (e.g, dopaminergic-noradrenergic neurotransmission) that are etiologically responsible for the core psychological (i.e., cognitive and behavioural) features of ADHD. Secondary and peripheral features of ADHD (e.g, those listed as associated features in the Diagnostic and Statistical Manual of Mental Disorders 4th ed. [DSM-IV]; American Psychiatric Association, 1994) are conceptualized here as causal by-products of core features⁵.

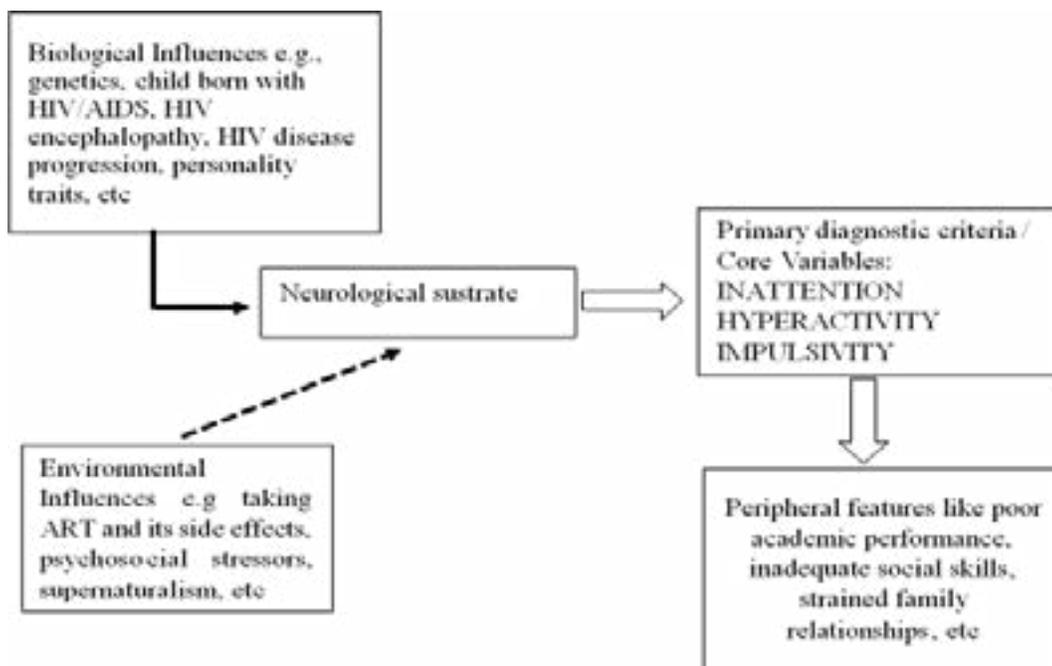


Figure 1. Conceptual framework for ADHD illness narratives

Ethical and scientific clearance

Ethical and scientific clearance for this study was sought and obtained from the Science and Ethical Committee of the Uganda Virus Research Institute (Ref: GC/127/14/04/459) and the Uganda National Council for Science and Technology (Research Registration number : HS 1601). It was made clear to all participants (adolescents and caregivers) that refusal to participate in this study would not have any negative impact upon their treatment and care. Some of the interview questions were likely to cause psychological distress; the researcher had received training on how to sensitively deliver interviews and handle situations in which sensitive information was disclosed or emotional distress observed. Participants found to have ADHD were managed in the children /

adolescent mental health clinic at Butabika Hospital childrens' ward. In cases of psychiatric emergencies, the researcher (who is a Clinical Psychologist) provided emergency intervention and consulted a specialized child / adolescent mental health professional for further management.

Results

Thematic analysis showed four themes: 1) "Psychosocial Stressors, 2) "Biomedical manifestations", 3) "Personal Traits", and 4) "Supernaturalism", as reflected in Table 2. Most participants were females (16) who accounted for 53% and males (14) accounted for 47%. Each of the five study sites had an equal number of participants (6) who accounted for 20%.

Table 2: Explanatory models (illness narrative) used by CA-HIV, caregiver to CA-HIV and HIV health workers

	Psychosocial stressors (53.3%) n=16	Biomedical manifestations (33%) N=10	Personal Traits (6.7%) n=2	Supernaturalism (6.7%) n=2
Illness narrative of Adolescent with HIV/AIDS	Extreme life-events (especially loss of parent(s) Rejection from relatives / caregivers	ART and its side effects	Genetic factors	Evil spirits (demons) sent from relatives
Illness narrative of Caregivers to CA-HIV	HIV related Stigma Orphan-hood and long-term grief / worries Family related issues (conflicts) Lack of adequate resources within the home environment	HIV disease progression ART and its side effects	Stubbornness	Witch-craft Evil spirits (demons) sent from relatives
Illness narratives of HIV Health workers	Inadequate parenting Inadequate care Lack of love / attention Rejection from families of CA-HIV	HIV encephalopathy		Witch-craft

Naming of the condition in the vignettes (ADHD)

Participants provided varying responses regarding the name of the condition in the vignette (ADHD). The naming of a condition had a greater cultural significance because the name gave significant control, power, and authority to a CA-HIV and their caregivers. Majority (9 out of 10) of adolescents who had ADHD with HIV/AIDS referred to the condition in the vignette as “*eddalu hya kavukka ka siriimu*”, which literally meant “mental illness related to HIV/AIDS” and certainly believed that such a condition could be treated by medical professionals specialized in HIV care at one of the specialized HIV facilities. Most (5 out of 10) caregivers to CA-HIV with ADHD named the condition in the case vignette as some form of a learning difference (Style / Difficulty / Disability) and believed that such a condition could be managed by educational professionals in a special needs school. Similarly, other caregivers to CA-HIV referred to the condition in the case vignette as “their child’s / adolescents’ nature”, locally expressed in Luganda as “*kikula kye*”, and believed that such a condition could be managed by strict parenting. Some caregivers (2 out of 10) to CA-HIV with ADHD referred to the condition as “mental illness related to HIV/AIDS”, which was expressed in Luganda language as “*eddalu hya kavukka ka siriimu*”. Two other caregivers to CA-HIV with ADHD called it “Stubbornness”, locally expressed in Luganda language as “*mputtu*”, and believed that such a condition could be managed by strict parenting and use of disciplinary measures. Most (7 out of 10) of the HIV health workers called the condition as “attentional and hyperactive disorder”, while some (3 out of 10) HIV health workers called the condition “an HIV related mental illness”, and they suggested that such a condition could be managed by psychiatrists and other related mental health professionals.

Common illness explanations (themes) used by respondents to understand and explain ADHD among CA-HIV

Psychosocial stressors (53.3%); four adolescents who had HIV/AIDS suggested that “loss of a parent(s) and the HIV stigma are responsible for the extreme behavioural difficulties similar to the difficulties expressed by the child /adolescent in the vignette”. A typical example is an adolescent (interview#1) who expressed his con-

cerns in Luganda as “*okufirwaako abazadde, okusosolebwa olwookuba n’akawukka akaleeta obulwadde bwa’mukenenya, by’ebimmu ebileeta obuzibu mu’nneeyisa efaananako ne’eyo esomedwa*”, which literally meant “loss of parent(s) and HIV related stigma are some of the causes of the behavioural difficulties related to those in the vignette”. Two other adolescents suggested that “this behavioural problem in the case vignette was a result of rejection from significant caregivers that caused CA-HIV to act-out in that way”. An example is the adolescent (interview#2) who expressed his related concerns; “*I was rejected by my father because of being HIV positive, my father rented for me a house when I was 11 years and later abandoned me there, I starved, I tried to stay with other relatives but faced the same rejection due to my status.....all that could explain the difficulties am experiencing that are similar to those expressed in the vignette that I read*”. Six caregivers suggested that “orphan-hood (long-term grief) and lack of adequate resources within the home environment for CA-HIV caused this behavioural problem in this case vignette”. An example is a caregiver (interview#3) who narrated that “*okufirwaako a bazadde, ebbula ly’ebyeetaagisa ebimala, n’obutabanguko mu makka byebireeta obuzibu mu’nneeyisa efaananako ne’eyo esomedwa*”, which literally meant that “orphanhood, inadequate resources and family conflicts were some of the causes of the behavioural difficulties similar to those expressed in the vignette”. Another caregiver suggested that “*the cause of the behavioural problem in the vignette could be due to family related issues (conflicts)*”. Two HIV health workers suggested that “*inadequate parenting and lack of love / attention caused this behavioural problem and the symptoms of this problem may be the way that CA-HIV happen to express their call for attention (love)*”. One HIV health worker suggested that “*the problem in the vignette was caused by inadequate care, coupled with rejection from families of CA-HIV*”

Biomedical manifestations (33%): Three adolescents suggested that “*this case in the vignette was a result of the ART and its side effects for an extended period of time plus the advanced HIV disease*”. A typical example is an adolescent (interview#4) who narrated in Luganda as “*okumira eddagala ly’obulwadde obuleeta akawukka ka mukenenya ekiseera ekiwanvu, n’okukula kw’obulwadde bwa’mukenenya by’ebireeta ebuzibu mu’nneeyisa efaananako ne’eyo esomedwa*”, which literally meant that “*taking ART for an extended period and advanced HIV were the causes of the behavioural difficulties similar to the ones expressed in the vignette*”.

Conclusion

The understanding and illness explanations used by respondents to explain the cause of ADHD among CA-HIV included: psychosocial stressors, biomedical manifestations, personal traits and supernaturalism. In contexts similar to those in Uganda, treatment approaches for ADHD among HIV positive CA-HIV should consider the explanations provided by CA-HIV, caregivers to CA-HIV and HIV health workers.

Authors' contributions

RSM, EK, GZR, JO and KDG have made substantial contributions to conception, design, acquisition of data, drafting the manuscript, revising it critically and gave the final approval of this version to be published. Each author participated sufficiently in this work and takes public responsibility for appropriate portions of the content.

Acknowledgments

The authors wish to thank the managers and staff at the five study sites (Lubowa Joint Clinical Research Centre, Nsambya homecare department Children's HIV Care clinic - Nsambya hospital, the Children's clinic at The AIDS Support Organisation - TASO Masaka, Uganda Cares / Masaka Regional Referral Hospital and Kitovu Mobile AIDS Organisation - Masaka for permitting the study to be conducted at their specialised HIV/AIDS clinics. Appreciation is extended to the Medical Research Council, Uganda (MRC, Uganda) for funding and facilitating the study. Appreciation is extended to the diligent work of research assistants Fred Nyiuro Alabiike, Jalia Nakaweesa, Diana Namugumya, Jackie Mary Mbabazi, Silvan Turinayo, Philip Amanyire, Ponsiano Twinomujuni and Gerald Ssegawa. Appreciation is extended to the participants for their time and trust. This study was funded by an MRC/DfID (MRC African Research Leaders MR/L004623/1) grant awarded to Professor Eugene Kinyanda after winning an African Leadership Award.

Conflict of interest disclosure

The authors report no conflict of interest in this work.

References

1. Biederman J, Monuteaux MC, Mick E, Spencer T, Wilens TE, Silva JM, et al. Young adult outcome of attention deficit hyperactivity disorder: a controlled 10-year

- follow-up study. *Psychological Medicine*. 2006;36(2):167-79.
2. Group Health Cooperative. ADHD Diagnosis and Treatment Guideline 2006–2012.
3. Swanson JM, Kinsbourne M, Nigg J, Lanphear B, Stefanatos GA, Volkow N, et al. Etiologic subtypes of attention-deficit/hyperactivity disorder: brain imaging, molecular genetic and environmental factors and the dopamine hypothesis. *Neuropsychology review*. 2007;17(1):39-59.
4. Orlando CW. 'Parental Explanatory Models of Children's Attention Deficit Hyperactive Disorder'. Doctor of Philosophy in Psychology Saybrook Graduate School and Research Center.: Saybrook Graduate School and Research Center.; 2007.
5. Orlando CW. 'Parental Explanatory Models of Children's Attention Deficit Hyperactive Disorder'. San Francisco, California: Saybrook Graduate School and Research Center; 2007.
6. Pierre A, Minn P, Sterlin C, Annoual PC, Jaimes A, Raphael F, et al. [Culture and mental health in Haiti : a literature review]. *Sante mentale au Quebec*. 2010;35(1):13-47.
7. Andrew G, Cohen A, Salgaonkar S, Patel V. The explanatory models of depression and anxiety in primary care: a qualitative study from India. *BMC Research Notes*. 2012;5:499.
8. Rapport MD. A Conceptual Model of Child Psychopathology: Implications for Understanding Attention Deficit Hyperactivity Disorder and Treatment Efficacy. *Journal of Clinical Child Psychology*. 2001;30(1):48–58.
9. Fielden SJ, Shekter L, Chapman GE, Alimenti A, Forbes JC, Sheps S, et al. Growing up: perspectives of children, families and service providers regarding the needs of older children with perinatally-acquired HIV. *AIDS care*. 2006;18(8):1050-3. PubMed
10. Petersen I, Bhana A, Myeza N, Alicea S, John S, Holst H, et al. Psychosocial challenges and protective influences for socio-emotional coping of HIV+ adolescents in South Africa: a qualitative investigation. *AIDS care*. 2010;22(8):970-8. PubMed
11. Thapar A, Cooper M, Jefferies R, Stergiakouli E. What causes attention deficit hyperactivity disorder? *Archives of disease in childhood*. 2012;97(3):260-5.
12. Mellins CA, Brackis-Cott E, Leu CS, Elkington KS, Dolezal C, Wiznia A, et al. Rates and types of psychiatric disorders in perinatally human immunodeficiency virus-infected youth and seroreverters. *Journal of Child Psychology and Psychiatry, and allied disciplines*. 2009;50(9):1131-8.

13. Collins PY, Patel V, Joestl SS, March D, Insel TR, Daar AS, et al. Grand challenges in global mental health. *Nature*. 2011;475(7354): 27-30. PubMed
14. Gadow KD, Angelidou K, Chernoff M, Williams PL, Heston J, Hodge J, et al. Longitudinal study of emerging mental health concerns in youth perinatally infected with HIV and peer comparisons. *Journal of Developmental and Behavioral Pediatrics: JDBP*. 2012;33(6):456-68. PubMed
15. Nachman S, Chernoff M, Williams P, Hodge J, Heston J, Gadow KD. Human immunodeficiency virus disease severity, psychiatric symptoms, and functional outcomes in perinatally infected youth. *Archives of Pediatrics & Adolescent Medicine*. 2012;166(6):528-35.
16. Wood SM, Shah SS, Steenhoff AP, Rutstein RM. The impact of AIDS diagnoses on long-term neurocognitive and psychiatric outcomes of surviving adolescents with perinatally acquired HIV. *AIDS (London, England)*. 2009;23(14):1859-65.
17. Havens JF, Mellins CA, Hunter JS. Psychiatric aspects of HIV/AIDS in childhood and adolescence. In: RUTTER M TE, editor. *Child and adolescent psychiatry*. Oxford: Blackwell; 2008.
18. Mellins CA, Malee KM. Understanding the mental health of youth living with perinatal HIV infection: lessons learned and current challenges. *Journal of the International AIDS Society*. 2013;16:18593.
19. Monroe SM, Simons AD. Diathesis-stress theories in the context of life stress research: implications for the depressive disorders. *Psychological bulletin*. 1991;110(3):406-25.