

1 **Invariant Natural Killer T cell dynamics in HIV-associated tuberculosis**

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**Key points**

iNKT cells were depleted in patients with advanced HIV infection, most significantly immunoregulatory CD4+ subsets.

In patients with HIV-associated TB who developed TB-IRIS, iNKT cells were elevated with increased degranulation compared to non-IRIS patients, implicating iNKT cells in TB-IRIS immunopathology.

41 **Abstract**

42

43 *Rationale:* Tuberculosis (TB) is the leading cause of mortality and morbidity in people living  
44 with HIV infection. HIV-infected patients with TB disease are at risk of the paradoxical TB-  
45 associated immune reconstitution inflammatory syndrome (TB-IRIS) when they commence  
46 anti-retroviral therapy. However, the pathophysiology is incompletely understood and specific  
47 therapy is lacking.

48

49 *Objectives:* We investigated the hypothesis that invariant Natural Killer T (iNKT) cells  
50 contribute to innate immune dysfunction associated with TB-IRIS.

51

52 *Methods:* In a cross-sectional study of 101 HIV-infected and -uninfected South African patients  
53 with active TB and controls, iNKT cells were enumerated using  $\alpha$ -galactosylceramide-loaded  
54 CD1d tetramers and subsequently functionally characterised by flow cytometry. In a second  
55 study of 49 HIV-1-infected TB patients commencing anti-retroviral therapy, iNKT cells in TB-  
56 IRIS patients with non-IRIS controls were compared longitudinally.

57

58 *Measurements and main results:* Circulating iNKT cells were reduced in HIV-1 infection, most  
59 significantly the CD4<sup>+</sup> subset, which was inversely associated with HIV-1 viral load. iNKT  
60 cells in HIV-associated TB had increased surface CD107a expression, indicating cytotoxic  
61 degranulation. Relatively increased iNKT cell frequency in HIV-infected patients with active  
62 TB was associated with development of TB-IRIS following anti-retroviral therapy initiation.  
63 iNKT cells in TB-IRIS were CD4<sup>+</sup>CD8<sup>-</sup> subset deplete and degranulated around the time of  
64 TB-IRIS onset.

65

66 *Conclusions:* Reduced iNKT cell CD4<sup>+</sup> subsets as a result of HIV-1 infection may skew iNKT  
67 cell functionality towards cytotoxicity. Increased CD4<sup>-</sup> cytotoxic iNKT cells may contribute  
68 to immunopathology in TB-IRIS.

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71

## 72 **Introduction**

73 Tuberculosis (TB) causes 1.6 million deaths annually and is the leading cause of death in HIV-  
74 1 infected people (1). Anti-retroviral therapy (ART) naive HIV-1-infected patients with TB are  
75 at risk of the paradoxical TB immune reconstitution inflammatory syndrome (TB-IRIS) after  
76 commencing ART (2). Paradoxical TB-IRIS is characterised by an acute inflammatory  
77 response to *Mycobacterium tuberculosis* (Mtb) presenting as a clinical deterioration in a patient  
78 already receiving TB treatment, typically around two weeks post ART initiation (3).  
79 Paradoxical TB-IRIS is difficult to manage, frequently requiring non-specific  
80 immunosuppression with corticosteroids. Risk factors include disseminated TB and low CD4  
81 T cell count at ART initiation, but the pathophysiology is incompletely defined (4). Recent  
82 studies have identified potential contributory innate immune mechanisms, including neutrophil  
83 recruitment, inflammasome activation and proinflammatory cytokine excess (5-10). These  
84 potential mechanisms have been recently reviewed (2)

85

86 Invariant natural killer T (iNKT) cells are a T cell subset that bridge innate and adaptive  
87 immunity, therefore are of interest in TB-IRIS pathogenesis (11). Distinct from NK cells and  
88 conventional T cells, iNKT cells express an invariant T cell receptor comprised of V $\alpha$ 24 and  
89 V $\beta$ 11 in humans, and specifically recognise CD1d-presented lipid antigens, responding on  
90 activation with rapid cytokine production. Additionally, iNKT cells recognise and are potently  
91 activated by the marine sponge glycolipid  $\alpha$ -galactosylceramide ( $\alpha$ -galcer), bound to CD1d  
92 (12, 13).

93

94 Mtb cell wall is lipid-rich and therefore CD1d-presented molecules that activate iNKT cells  
95 may have a role in host immunity to Mtb (14, 15). *In vitro*, iNKT cells directly restricted Mtb  
96 growth and were bactericidal (16). In mice, augmenting iNKT cell responses with  $\alpha$ -galcer

97 improved BCG vaccine efficacy and anti-tuberculosis treatment responses (17, 18). In non-  
98 human primates, increased iNKT cell frequency was associated with TB resistance (19). In  
99 humans, a limited number of studies have demonstrated numerical and functional defects of  
100 iNKT cells in active TB (20-23).

101

102 We previously reported elevated expression of cytotoxic mediators, perforin and granzyme B,  
103 in peripheral blood mononuclear cells (PBMC) in response to Mtb antigen stimulation and  
104 elevated frequencies of cytotoxic cells expressing CD3 and V $\alpha$ 24 T cell receptor in TB-IRIS  
105 patients compared to non-IRIS controls, suggesting that iNKT cells may play a role in TB-IRIS  
106 (24). Here, we systematically investigated iNKT cells in cross-sectional and longitudinal  
107 studies addressing the hypothesis that iNKT cell dysfunction contributes to TB-IRIS  
108 immunopathology. We describe for the first time iNKT cell aberration in HIV-associated TB  
109 disease and increased cytotoxic iNKT cells in TB-IRIS patients.

110

## 111 **Methods**

112 Full methods are provided in the online supplement.

### 113 *Study Participants*

114 The study was approved by the University of Cape Town Human Research Ethics Committee  
115 (REF 516/2011). All participants provided written informed consent. Cross-sectional study  
116 participants were retrospectively designated into four categories:

117 1) HIV-uninfected patients without active TB (HIV-TB-)

118 2) HIV-uninfected patients with a new diagnosis of active TB (HIV-TB+)

119 3) ART naïve, HIV-infected patients without active TB (HIV+TB-)

120 4) ART naïve, HIV-infected patients with a new diagnosis of active TB (HIV+TB+).

121 Longitudinal study participants were ART naïve HIV-1-infected patients with a CD4 count

122 <200 cells/ $\mu$ L and recently diagnosed TB. Longitudinal study visits occurred at TB diagnosis  
123 (TB0), ART initiation (ARV0), two (ARV2) and four (ARV4) weeks of ART and if new  
124 symptoms suggesting TB-IRIS occurred. TB-IRIS diagnosis was assigned retrospectively on  
125 expert case review, using consensus criteria (3).

126

#### 127 *iNKT cell enumeration and characterisation*

128 PBMC were isolated over Ficoll and cryopreserved. Cells were rapidly thawed in warmed  
129 RPMI/10% FCS, before viability staining with Violet LIVE/DEAD<sup>®</sup> Fixable stain kit (VIVID,  
130 Invitrogen, Paisley, UK), then washed and re-suspended for incubation with either  $\alpha$ -galcer-  
131 loaded CD1d tetramer or control CD1d tetramer (Proimmune, Oxford, UK) for 30 minutes on  
132 ice, protected from light. Subsequently, cells were washed, stained with antibody mastermix 1  
133 (Supplementary Table S1) for 30 minutes at 4°C, washed and re-suspended in PBS, 1% Hi-  
134 FCS and 2% paraformaldehyde, then incubated for 1 hour, washed and resuspended for  
135 acquisition.

136

#### 137 *Data acquisition and analysis*

138 Data were acquired on an LSRFortessa<sup>™</sup> (BD Biosciences, USA) and analysed using Flowjo  
139 software (Tree Star, Ashland, OR). iNKT cells were defined as CD3<sup>+</sup> CD19<sup>-</sup> CD1d  $\alpha$ -galcer  
140 tet<sup>+</sup> V $\beta$ 11<sup>+</sup> T cells. The gating strategy is shown in Figure 1A. iNKT cell frequency was  
141 calculated as a percentage of CD3<sup>+</sup> CD19<sup>-</sup> live lymphocytes, with subtraction of the equivalent  
142 tetramer negative control proportion, and reported per million CD3<sup>+</sup>CD19<sup>-</sup> live lymphocytes.  
143 iNKT cell numbers were calculated by multiplying the iNKT cell frequency as a percentage of  
144 live lymphocytes with the total lymphocyte count per millilitre of peripheral blood (22).

145

146 Statistical analysis was performed using Prism 6 (GraphPad, UK) and STATA 14. Unadjusted  
147 non-parametric analyses were by two-tailed Fisher's Exact or Mann-Whitney U, or for  
148 comparisons of more than two groups, by Kruskal-Wallis with Dunn's multiple comparisons  
149 test. In the cross-sectional study, we used a multivariable linear regression model to investigate  
150 differences in iNKT cell frequency and in percentage iNKT cell CD4/CD8 expression by  
151 disease category. In the longitudinal study, a multivariable negative binomial model was fitted  
152 to examine associations of iNKT cell frequency and number with TB-IRIS status, and  
153 a multivariate linear regression model to estimate difference in CD4/CD8 cell subset  
154 percentages between TB IRIS and non-IRIS patients.

155

## 156 **Results**

157 PBMC samples were available from 101 patients (see Table 1). HIV+TB+ patients compared  
158 to HIV+TB- patients had lower total CD4 counts but similar CD4 percentages, and higher HIV-  
159 1 viral loads. In HIV+TB+ compared to HIV-TB+, there were trends towards reduced cavitary  
160 (p=0.067), but increased miliary (p=0.051) and extra-pulmonary (p=0.054) TB presentation,  
161 indicating reduced destructive pulmonary pathology but more widely disseminated TB disease  
162 in HIV-infected patients (25).

163

### 164 *Circulating iNKT cells are depleted in HIV-1 infection and active TB*

165 In an unadjusted analysis, comparing iNKT cell frequency in HIV-1-infected and -uninfected  
166 patients, with and without active TB, we found that iNKT cell frequency was reduced in  
167 HIV+TB+ (p=0.001) and HIV+TB- (p=0.005) compared to HIV-TB- patients (Figure 1B and  
168 Table 2). Example plots are shown in Supplementary Figure S1. A similar pattern was observed  
169 in comparison of iNKT cell numbers (iNKT cells per ml, Figure 1C) and reduction in iNKT  
170 cells numbers was found in HIV-TB+ compared to HIV-TB- patients (p=0.044). Linear

171 regression comparing HIV-TB+, HIV+TB- and HIV+TB+ to HIV-TB- provided further  
172 evidence of association between reduced iNKT cell frequency in HIV+TB- ( $p=0.023$ ) and  
173 HIV+TB+ ( $p=0.024$ ) after adjustment for age and sex, but there was no evidence of a reduction  
174 in iNKT cell frequency in HIV-TB+ compared to HIV-TB- ( $p=0.301$ ).

175

#### 176 *CD4+ iNKT cell subsets are depleted in HIV-1 infection*

177 iNKT cells may exist as CD4+CD8-, CD4-CD8+, CD8+CD4+, or double negative (DN)  
178 subsets. CD4+ iNKT cells secrete both Th1 and Th2 cytokines and may be immunoregulatory,  
179 whilst CD8+ iNKT cells and DN iNKT cell subsets predominantly secrete Th1 cytokines and  
180 have increased cytotoxic functionality (26, 27). Unadjusted analyses showed that HIV-1  
181 infection was associated with lower CD4+ iNKT cell percentages (Figure 2A) and frequency  
182 (CD4+ iNKT cells per million CD3+ CD19- live lymphocytes, Figure 2B) in patients with  
183 ( $p=0.007$ ) and without ( $p=0.005$ ) active TB. Active TB did not clearly reduce CD4+ iNKT cell  
184 percentage, but was associated with reduced CD4+ iNKT cell frequency in HIV-uninfected  
185 patients (Figure 2B,  $p=0.016$ ). In HIV-infected patients, total iNKT cell frequency did not  
186 correlate with peripheral blood CD4 T cell count, peripheral blood CD4 T cell percentage or  
187 HIV-1 viral load. However, CD4+ iNKT cell percentage was correlated with total peripheral  
188 blood CD4 T cell count (Figure 2C,  $r=0.456$ ,  $p=0.001$ ) and there was an inverse correlation  
189 with HIV-1 viral load (Figure 2D,  $r=-0.571$ ,  $p<0.001$ ), indicating most severe depletion of  
190 CD4+ iNKT cells occurred in advanced HIV infection.

191

192 Next, we examined CD4 and CD8 co-expression on iNKT cells. In HIV-TB-, we found  
193 CD4+CD8- (Figure 2E) and DN iNKT cells (Figure 2F) to be the predominant iNKT cell  
194 subsets constituting a median of 42.1% and 43.7% of the iNKT cell population respectively.  
195 However, compared to HIV-TB- patients, HIV-infected patients had reduced percentages of

196 CD4+CD8- iNKT cells, constituting a median of only 1.55% iNKT cells in HIV+TB+  
197 ( $p < 0.001$ ). In HIV-infected patients, there was a trend towards an increased percentage of CD4-  
198 CD8- iNKT cells (Figure 2F) and CD4-CD8+ iNKT cells (not shown), compared to HIV  
199 uninfected patients. To explore this further, we performed regression analysis comparing  
200 CD4/CD8 iNKT cell percentages in each group to HIV-TB-, adjusting for age and sex  
201 (Supplementary Table S2). This analysis showed evidence of reduced CD4+CD8- iNKT cells  
202 in HIV+TB- and HIV+TB+ compared to HIV-TB- ( $p < 0.001$  for both) and increased CD4-  
203 CD8- percentage in HIV+TB- ( $p = 0.010$ ). CD4-CD8+ cells were increased in HIV+TB-  
204 ( $p = 0.037$ ) and HIV+TB+ ( $p = 0.016$ ) compared to HIV-TB-. For CD4/CD8 subset iNKT cell  
205 frequencies, see Supplementary Figure S2.

206

#### 207 *iNKT cells in HIV-associated TB are pro-inflammatory with a cytotoxic phenotype*

208 There was high iNKT cell surface expression of the maturation marker, CD161, CD95 and  
209 PD1 in HIV+TB+, but not more than in the control groups (data not shown). We investigated  
210 iNKT cell degranulation by measuring CD107a surface expression (28). CD107a+ iNKT cells  
211 were increased in HIV+TB+ patients, compared to HIV+TB- patients, suggesting increased  
212 cytotoxic degranulation (Figure 3A), but this phenotype was not observed in all HIV+TB+  
213 patients. To explore this further, we investigated association between CD107a+ iNKT cell  
214 positivity and TB disease phenotype in HIV+TB+. We found significantly increased CD107a+  
215 iNKT cell percentage in HIV+TB+ patients with clinical features of extrapulmonary TB  
216 compared to those without, consistent with the hypothesis that disseminated Mtb might lead to  
217 peripheral blood iNKT cell degranulation (Figure 3B).

218

219

220 In summary, we found that HIV infection was associated with iNKT cell depletion and CD4+  
221 iNKT cell subsets were most significantly depleted in advanced HIV. Active TB was associated  
222 with a modest reduction in iNKT cell number in HIV-uninfected patients, but did not clearly  
223 reduce iNKT cell frequency. The immunoregulatory CD4+CD8- iNKT cell subset, the  
224 predominant subset in the healthy repertoire, was depleted in HIV-infected patients with and  
225 without active TB. CD4-CD8+ and DN iNKT cells were the dominant iNKT cell subsets in  
226 HIV-infected patients. There were increased CD107a+ iNKT cell percentages in HIV-infected  
227 patients with active TB, indicating a cytotoxic phenotype, which was associated with extra-  
228 pulmonary TB.

229

### 230 *iNKT cell frequency is increased in TB-IRIS patients*

231 Next, in a longitudinal study, we evaluated iNKT cells in patients with advanced HIV and  
232 recently diagnosed TB, who commenced TB treatment and then ART, and were at risk of  
233 paradoxical TB-IRIS. Fifty-seven participants were enrolled. Clinical features of this cohort  
234 have previously been reported (25). Paradoxical TB-IRIS was diagnosed in 29 (59.2%)  
235 patients. Participants were included if PBMC were available at least one study timepoint (TB0,  
236 ARV0, ARV2 and ARV4) and there was follow up to ARV12. One participant was excluded  
237 as no PBMC samples were available, another as they were an elite controller and therefore  
238 likely to be immunologically distinct, and a third due to hepatotoxicity on TB treatment  
239 resulting in a significant delay to ART initiation. The subsequent analysis reports findings from  
240 29 TB-IRIS patients and 17 non-IRIS controls. Patient demographics and TB diagnosis are  
241 provided in Table 3 and were not significantly different comparing TB-IRIS with non-IRIS  
242 patients. Between ARV0 and ARV4, peripheral blood CD4 T cell counts increased ( $p < 0.001$ )  
243 from median 101 cells/ $\mu\text{l}$  to 206 cells/ $\mu\text{l}$  in TB-IRIS patients and from 99 cells/ $\mu\text{l}$  to 175 cells/ $\mu\text{l}$   
244 in non-IRIS patients.

245

246 First, we enumerated iNKT cells. We found an elevated iNKT cell frequency in TB-IRIS  
247 compared to non-IRIS patients (Figure 4A). At ARV2, the most frequent time of TB-IRIS  
248 presentation, the median iNKT cell frequency per million CD3+CD19- live lymphocytes in  
249 TB-IRIS was 992 (IQR, 166-5682) compared to 100 (IQR 24.5-440) in non-IRIS patients  
250 ( $p=0.025$  in unadjusted analysis). Multivariable modelling including data from timepoints  
251 ARV0, ARV2 and ARV4 demonstrated a significant association between TB-IRIS and  
252 increased iNKT cell frequency, adjusted for age and sex ( $p=0.022$ , Supplementary Table S3),  
253 but no increase in iNKT cell frequency over time and the association did not differ with total  
254 peripheral blood CD4 T cell count, nor HIV viral load. A similar trend was found for iNKT  
255 cell numbers in the adjusted logistic regression analysis ( $p=0.062$ , Supplementary Figure S3).

256

#### 257 *iNKT cell function and phenotype in TB-IRIS*

258 Next, we examined CD4/CD8 iNKT cell subsets in the longitudinal study. CD4+ iNKT cell  
259 percentage and frequency were low, both in TB-IRIS and non-IRIS patients and did not  
260 increase in the first four weeks of ART, despite an increased peripheral blood CD4 T cell count.  
261 CD4+CD8- iNKT cell percentage was significantly lower in TB-IRIS patients than non-IRIS  
262 patients, ( $p=0.015$  by multivariate linear regression modelling, Figure 4B). Supplementary  
263 Figure S4 shows CD4/CD8 subset frequency demonstrating a predominance of DN and CD4-  
264 CD8+ iNKT cells in TB-IRIS compared to non-IRIS patients, at ARV2 ( $p=0.029$  and  $p=0.036$   
265 respectively).

266

267 In both TB-IRIS and non-IRIS patients, CD161+ iNKT cell and CD107a+ iNKT cell  
268 percentages were dynamic (Supplementary Figure 5 A-B). CD95 cell surface expression,  
269 indicative of cytotoxicity, and PD1+ iNKT cell percentages were high both in TB-IRIS and

270 non-IRIS patients whilst CD40L+ iNKT cell percentages were relatively low, possibly  
271 indicating iNKT cell exhaustion (Supplementary Figure S5 C-E) (22, 29). In TB-IRIS, CD161+  
272 iNKT cell percentages decreased between ARV0 and ARV2, suggesting a loss of mature iNKT  
273 cells, whereas in non-IRIS patients, iNKT cell CD161 positivity was similar (Figure 5A). In  
274 TB-IRIS patients, CD107a+ iNKT cells increased between ARV0 and ARV2 relative to non-  
275 IRIS patients, suggesting degranulation occurred at the time of IRIS symptom onset (Figure  
276 5B). CD107a+ iNKT cell frequency was increased in TB-IRIS compared to non-IRIS patients  
277 at ARV2 (Figure 5C).

278

279 In summary, patients with advanced HIV and active TB had low circulating iNKT cell  
280 frequency pre-ART initiation, but iNKT cell populations were skewed towards pro-  
281 inflammatory, cytotoxic subsets. Higher iNKT cell frequency was associated with TB-IRIS  
282 following ART initiation and iNKT cells in TB-IRIS patients were CD4+CD8- subset deplete,  
283 with increased DN and CD4-CD8+ iNKT cell frequency at the time of TB-IRIS onset.  
284 Increased CD107a+ iNKT cell subsets in TB-IRIS patients also at ARV2 suggested increased  
285 iNKT cell degranulation occurring at the time of TB-IRIS presentation.

286

## 287 **Discussion**

288 In this study, we demonstrated low iNKT cell frequency in ART-naïve patients with advanced  
289 HIV infection, with a paucity of CD4+ iNKT cells, and relatively increased proportions of  
290 CD4-CD8- iNKT cells, representing a shift from CD4+ subsets found in HIV-uninfected  
291 patients. Decreased iNKT cell numbers and CD4+ iNKT cell frequency were associated with  
292 active TB in patients without HIV infection, but this finding was not consistent in HIV-infected  
293 patients. In HIV-infected patients with active TB, increased degranulation of iNKT cells was  
294 found. Despite low iNKT cell frequencies in these patients, there were relatively increased

295 iNKT cells in patients who went on to develop TB-IRIS compared to those who did not and  
296 these were predominantly DN or CD4-CD8+ iNKT cells. There was no significant recovery in  
297 peripheral blood CD4+ iNKT cells in the first four weeks of ART, despite increased peripheral  
298 blood CD4 count (25).

299

300 Our findings are consistent with prior human studies measuring iNKT cells in HIV infection,  
301 which report reduced iNKT cell frequency in HIV-infected patients (23, 30, 31). A previous  
302 study demonstrated that *in vitro* HIV-1 infection directly infects and selectively depletes CD4+  
303 iNKT cells. Activated iNKT cells were more susceptible to HIV-1 infection than conventional  
304 CD4 T cells. (32). In HIV-leprosy co-infection, iNKT cell populations were found to be  
305 reduced more profoundly than in leprosy or HIV infection alone (33). iNKT cell activation due  
306 to mycobacterial infection might exacerbate iNKT cell depletion in HIV-1 infected patients.  
307 Although we found the lowest iNKT cell frequency and numbers in patients with HIV-1  
308 infection and active TB, active TB did not clearly have an additive effect of iNKT cell depletion  
309 in HIV-infected patients.

310

311 There are a number of potential mechanisms by which iNKT cells may contribute to  
312 immunopathology in TB-IRIS. They may directly recognise foreign or self lipid antigens  
313 presented via CD1d, or become activated by local cytokine networks (26). Once activated  
314 iNKT cells may rapidly secrete proinflammatory cytokines and chemokines promoting CD4 T  
315 cell expansion, activation and neutrophil infiltration, features of TB-IRIS we have previously  
316 shown, in addition to causing cell death (5, 6, 9, 10, 26, 34). Ultimately, this cascade may lead  
317 to MMP activation and tissue destruction, in turn propagating proinflammatory cytokine  
318 secretion in the vicious cycle of hyperinflammation that is the hallmark of TB-IRIS (7, 25).

319

320 iNKT cell quantification using  $\alpha$ -galcer-loaded CD1d-loaded tetramers, is recognised as a  
321 stringent method of iNKT cell quantification (35, 36). However, we cannot extrapolate our  
322 findings beyond the limitations of this methodology, which may be affected by TCR  
323 downregulation on activation, nor beyond peripheral blood into tissue compartments (35). It is  
324 possible that increased circulating iNKT cells in TB-IRIS patients represents failure of  
325 migration to tissues. We found evidence of increased iNKT cell degranulation in extra-  
326 pulmonary TB, compared to pulmonary TB, raising the possibility that more abundant,  
327 disseminated Mtb antigen may drive iNKT cell degranulation in HIV-associated TB and  
328 increased iNKT cell cytotoxicity in TB-IRIS patients (24).

329

330 As a rare T cell subset, iNKT cells have formerly been difficult to study and iNKT cell function  
331 in infection is a relatively understudied area. However, in the field of oncology, adjuvants to  
332 boost iNKT cell cytotoxicity have been the focus of translational research and have entered  
333 early phase clinical trials (37, 38). Our study suggests that boosting iNKT cell cytotoxicity  
334 would not be an appropriate strategy in HIV-associated TB. However, an improved  
335 understanding of the role of iNKT cells in TB immunopathology could identify novel  
336 therapeutic targets. As human and mouse iNKT cell physiology differ, further human clinical  
337 and cellular studies are required, including study of iNKT cells in tissue compartments.

338

### 339 **Conclusion**

340 This study supports a role for iNKT cells in innate immune dysfunction in paradoxical TB-  
341 IRIS. We have shown profound CD4+ iNKT cell subset depletion in advanced HIV-1 infection  
342 and a lesser effect of active TB in HIV-uninfected patients. In patients with advanced HIV and  
343 a new diagnosis of active TB, iNKT cell populations were skewed towards a proinflammatory,  
344 cytotoxic phenotype. Patients who developed TB-IRIS had increased iNKT cells compared to

345 non-IRIS patients and iNKT cell degranulation occurred at the time of IRIS, potentially  
346 contributing to immunopathology.

347

348 **Author Contributions**

349

350 N.F.W., G.M., R.J.W., J.S.F., P.T.E. and K.A.W. conceived and designed the clinical study.

351 N.F.W., G.M., and R.J.W. recruited the clinical cohort. N.F.W. N.J. R.J.W. and K.A.W.

352 conceived and designed the cellular studies. N.F.W. and K.A.W. conducted the cellular studies.

353 N.F.W and C.O. performed data analysis. N.F.W and K.A.W. hold all primary data and are

354 responsible for the integrity of the data. All authors contributed to the writing of the manuscript

355 and approved the final submitted version.

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486 **Figure Legends**

487 **Figure 1 Reduced iNKT cells in HIV-1 infection and active TB**

488 iNKT cells were enumerated by flow cytometry using  $\alpha$ -galcer loaded CD1d tetramers. Each  
489 sample was stained in parallel with a control tetramer (without  $\alpha$ -galcer) to identify non-  
490 specific tetramer binding for subtraction. The gating strategy shown in (A) demonstrates an  
491 iNKT cell frequency of 0.77%, with no control tetramer binding, equivalent to 7700 cells per  
492 million CD3+CD19- live lymphocytes. Decreased iNKT cell frequency (B) was found in HIV-  
493 infected patients with active TB (HIV+TB+) and without active TB (HIV+TB-), compared to  
494 HIV-uninfected patients without active TB (HIV-TB-). Similarly, in HIV-infected patients with  
495 and without active TB, decreased iNKT cell numbers (cells per millilitre peripheral blood (C))  
496 were found compared to HIV-uninfected patients without active TB. Additionally, in HIV-  
497 uninfected patients with active TB (HIV-TB+), iNKT cell numbers were reduced compared to  
498 HIV-uninfected patients without TB. Analysis was by Kruskal Wallis with Dunn's multiple  
499 comparison's test to calculate adjusted p values: \*p<0.05; \*\*p<0.01; \*\*\*p<0.001. In (B) and  
500 (C), zero values were replaced by one for representation on a log scale.

501

502 **Figure 2 CD4+ iNKT cell subset depletion in HIV-1-associated TB**

503 HIV-infected patients, most significantly those with active TB, had depleted CD4+ iNKT cells  
504 as measured by percentage of total iNKT cell count (A) and frequency per million CD3+ CD19-  
505 live lymphocytes (B). In HIV-infected patients, peripheral blood CD4 T cell count positively  
506 correlated with CD4+ iNKT cell percentage (C). HIV-1 viral load negatively correlated with  
507 CD4+ iNKT cell percentage (D). In HIV-uninfected patients without active TB, iNKT cells  
508 were mostly either CD4+ CD8- or double negative (CD4-CD8-), whilst in HIV-infected  
509 patients CD4+ CD8- iNKT cells were depleted and double negative iNKT cells were the  
510 predominant subset (E, F). Analysis was by Kruskal Wallis with Dunn's multiple comparisons

511 test to calculate multiplicity-adjusted p values: \*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$  or by  
512 Spearman's correlation (C, D).

513

### 514 **Figure 3 iNKT cell cytotoxicity in HIV-associated TB**

515 In HIV-associated TB, there were increased percentages of CD107a+ iNKT cells, suggestive  
516 of cytotoxic degranulation (A). In HIV-infected patients with clinical features of extra-  
517 pulmonary TB (EPTB), there were increased CD107a+ iNKT cell percentages compared to  
518 HIV-infected patients with pulmonary TB (B). Analysis was by Kruskal Wallis with Dunn's  
519 multiple comparisons test to calculate multiplicity-adjusted p values or by Mann-Whitney U in  
520 (D): \*  $p < 0.05$ .

521

### 522 **Figure 4 iNKT cells are elevated in TB-IRIS patients and are CD4+CD8- subset**

#### 523 **deplete**

524 iNKT cells were enumerated longitudinally by flow cytometry using  $\alpha$ -galcer loaded CD1d  
525 tetramers, in a cohort of 46 HIV-1-infected patients with active TB. Samples were collected  
526 around the time of TB diagnosis (TB0), at anti-retroviral therapy initiation (ARV0, a median  
527 of 17.5 days post TB treatment initiation) and at two weeks (ARV2) and four weeks (ARV4)  
528 post-ART initiation although not all patients contributed data to the first timepoint as patients  
529 who had taken more than four doses of TB treatment at enrolment contributed data from ARV0,  
530 resulting in fewer data points at TB0. TB-IRIS presentation was typically at ARV2. Increased  
531 iNKT cell frequency (A) was observed in TB-IRIS patients compared to non-IRIS controls.  
532 CD4+CD8- iNKT cell percentages were reduced in TB-IRIS patients (B). Statistical analysis  
533 was by multivariable negative binomial modelling to examine associations of iNKT cell  
534 frequency and number with TB-IRIS status and by multivariate linear regression modelling to  
535 estimate difference in CD4/CD8 cell subset percentages between TB IRIS and non-IRIS

536 patients, including data from all timepoints to derive p values which are reported on the  
537 corresponding figure. In (A), zero values were replaced by one for representation on a log scale.

538

539 **Figure 5 iNKT cell cytotoxicity associated with TB-IRIS**

540 iNKT cells were characterised longitudinally by flow cytometric analysis for surface markers  
541 CD161 and CD107a, in TB-IRIS patients and non-IRIS patients . Between ARV0 and ARV2,  
542 CD161+ iNKT cells there was a reduction in CD161+ iNKT cell percentage in TB-IRIS  
543 compared to non-IRIS patients (A), whereas CD107a+ iNKT cell percentage increased in TB-  
544 IRIS patients between ARV0 and ARV2, compared to non-IRIS patients (B). CD107a+ iNKT  
545 cell frequency (cells per million CD3+CD19- live lymphocytes) was increased in TB-IRIS  
546 patients compared to non-IRIS controls at ARV2, the most common time of TB-IRIS  
547 presentation (C). Mann-Whitney U analysis for TB-IRIS vs non-IRIS: \* p<0.05; \*\*p<0.01.

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**Table 1** Demographic and clinical features of the cross-sectional study participants

	<i>HIV-TB-</i>	<i>HIV-TB+</i>	<i>HIV+TB-</i>	<i>HIV+TB+</i>	<i>p value</i>
<i>n</i>	32	20	26	23	
<i>Female, n (%)</i>	14 (43.8)	7 (35.0)	15 (57.7)	9 (39.1)	>0.100 <sup>a</sup>
<i>Smoking status: current or ex., n (%)</i>	17 (53.1)	10 (50.0)	9 (34.6)	9 (39.1)	>0.100 <sup>a</sup>
<i>Age, median years (IQR)</i>	29.0 (23.3-38.8)	38.0 (30.0-42.8)	32.5 (28.5-35.3)	31.0 (28.0-40.0)	0.059 <sup>b</sup>
<i>CD4 T cell count, median cells/ml (IQR)</i>	N/A	N/A	349 (204-483)	187 (104-386)	0.041
<i>CD4 T cell percentage, median (IQR)</i>	N/A	N/A	17.8 (12.0-22.3)	13.7 (9.22-26.3)	0.901
<i>HIV viral load, median copies/ml (IQR)</i>	N/A	N/A	25735 (6807-92169)	296196 (13540-503097)	<b>0.031</b>
<i>Symptomatic, n (%)</i>	16 (50.0)	20 (100)	10 (38.5)	23 (100)	
<i>Duration of symptoms, median days (IQR)</i>	14.0 (4.00-150)	28.0 (14.0-30.0)	60.0 (11.3-82.5)	30.0 (21.0-30.5)	0.595 <sup>c</sup>
<i>Miliary TB, n (%)</i>	0 (0)	0 (0)	0 (0)	5 (21.7)	0.051 <sup>d</sup>
<i>Extrapulmonary TB, n (%)</i>	N/A	3 (15.0)	N/A	10 (43.5)	0.054 <sup>d</sup>
<i>Smear positive TB, n (%)</i>	0 (0)	13 (65.0)	0 (0)	8 (34.8)	0.069 <sup>d</sup>
<i>Culture positive TB, n (%)</i>	0 (0)	10 (50.0)	0 (0)	18 (78.3)	0.064 <sup>d</sup>
<i>Clinical diagnosis TB, n (%)</i>	0 (0)	3 (15.0)	0 (0)	2 (8.70)	0.650
<i>Cavitary disease on CXR, n (%)</i>	0 (0)	14 (70.0)	0 (0)	9 (39.1)	0.067 <sup>d</sup>

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<sup>a</sup>for comparison between each group by Fisher's Exact test  
<sup>b</sup>for comparison of all groups by Kruskal-Wallis test, Dunn's multiple comparisons test for a difference between HIV-TB- and HIV-TB+ (p=0.033)  
<sup>c</sup>for comparison of all groups by Kruskal-Wallis test  
<sup>d</sup>for comparison between HIV-TB+ and HIV+TB+ by Fisher's Exact test

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**Table 2** iNKT cell enumeration in cross-sectional study participants by diagnosis

Patient category				Dunn's multiple comparisons test				
HIV- TB-	HIV- TB+	HIV+TB-	HIV+TB+	HIV- TB- vs. HIV- TB+	HIV- TB- vs. HIV+TB-	HIV- TB- vs. HIV+TB+	HIV+TB- vs. HIV+TB+	HIV- TB+ vs. HIV+TB+
<i>iNKT cell frequency, median per million CD3+CD19- live lymphocytes (IQR)</i>								
1700 (1125, 2600)	735 (253, 1800)	375 (198, 1775)	280 (62.7, 1300)	0.149	<b>0.005</b>	<b>0.001</b>	>0.999	0.731
<i>iNKT cell number, median cells per ml blood (IQR)</i>								
282628 (151100, 487870)	88580 (29600, 203771)	44965 (19635, 219669)	24439 (3789, 119449)	<b>0.044</b>	<b>0.002</b>	<b>&lt;0.001</b>	0.432	0.161
<i>CD4+ iNKT cells, median percentage (IQR)</i>								
44.5 (27.9, 61.1)	38.9 (16.3, 67.1)	13.67 (2.77, 39.2)	3.15 (0, 39.6)	>0.999	<b>0.005</b>	<b>&lt;0.001</b>	>0.999	<b>0.007</b>
<i>CD4+ iNKT cell frequency, median per million CD3+ CD19- live lymphocytes (IQR)</i>								
712 (451, 1043)	202 (78.8, 601)	100 (11.5, 236)	18.9 (0, 148)	<b>0.016</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	>0.999	<b>0.013</b>

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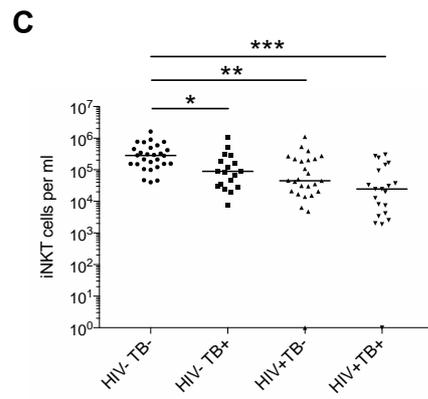
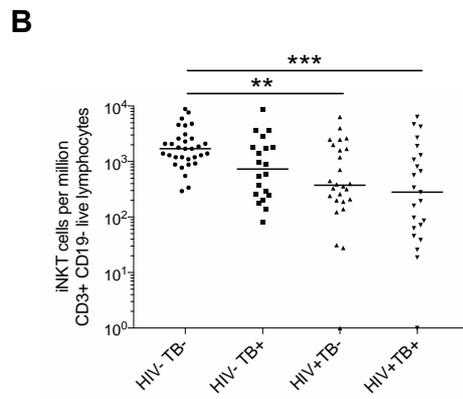
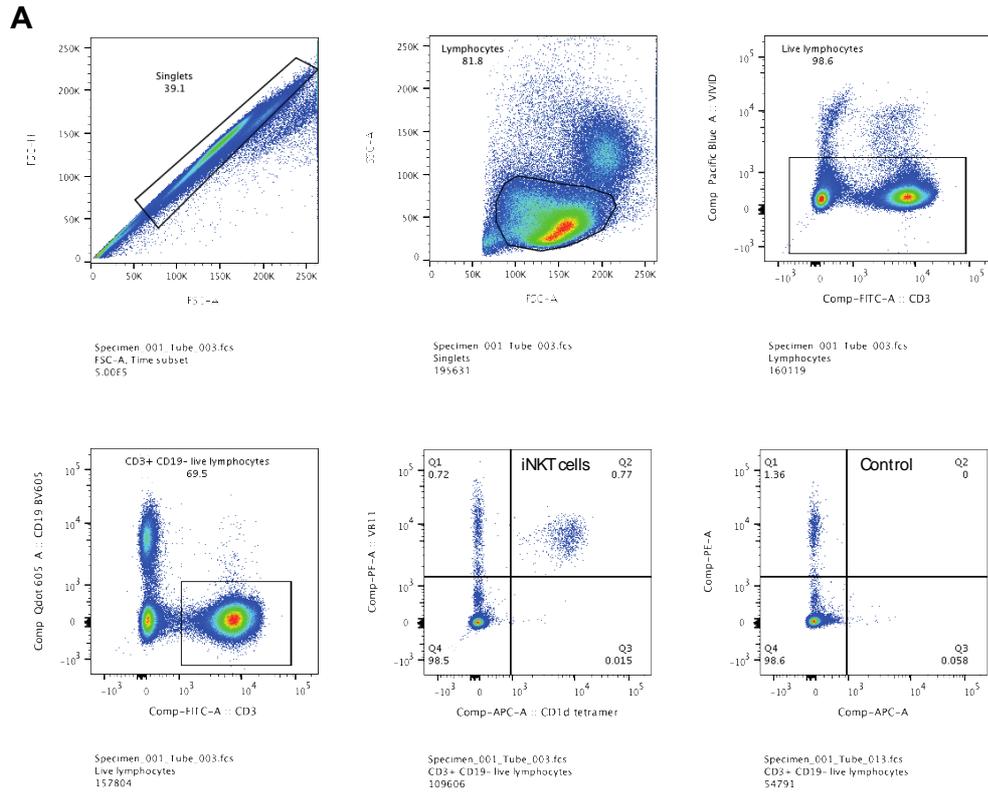
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**Table 3** Demographic and clinical features of participants in longitudinal study at enrolment

	<i>TB-IRIS</i>	<i>non-IRIS</i>	<i>p value</i>
<i>n (%)</i>	29 (63.0)	17 (37.0)	
<i>Female, n (%)</i>	14 (48.3)	10 (58.8)	0.552
<i>Smoking status: current or ex-, n (%)</i>	9 (31.0)	3 (17.5)	0.489
<i>Age, median years (IQR)</i>	35.0 (29.5-42.0)	35.0 (30.5-43.0)	0.924
<i>CD4 T cell count, median cells/<math>\mu</math>l</i>	89.0	82.0	0.987
<i>(IQR)</i>	(64.0-141.5)	(69.5-145.5)	
<i>HIV-1 viral load, median copies/ml</i>	621075	520295	0.343
<i>(IQR)</i>	(207018-1185455)	(126925-1029554)	
<i>Extrapulmonary TB, n (%)</i>	21 (72.4)	12 (70.6)	1.00
<i>Miliary TB, n (%)</i>	5 (17.2)	1 (5.88)	0.390
<i>Smear positive TB, n (%)</i>	14 (48.3)	5 (29.4)	0.235
<i>Culture positive TB, n (%)</i>	21 (72.4)	11 (64.7)	0.742
<i>Clinical diagnosis TB, n (%)</i>	2 (6.90)	4 (23.5)	0.174
<i>ART initiation, days post TB treatment initiation</i>	15	21	0.186
<i>(IQR)</i>	(14-28)	(14-41)	
<i>IRIS symptom onset, median days post-ART</i>	6	N/A	
<i>initiation (IQR)</i>	(4-10)		
<i>IRIS presentation, median days post-ART initiation</i>	14	N/A	
<i>(IQR)</i>	(9-15)		
<i>INSHI<sup>a</sup> criteria for paradoxical TB-IRIS fulfilled, n (%)</i>	25 (86.2)	0 (0)	

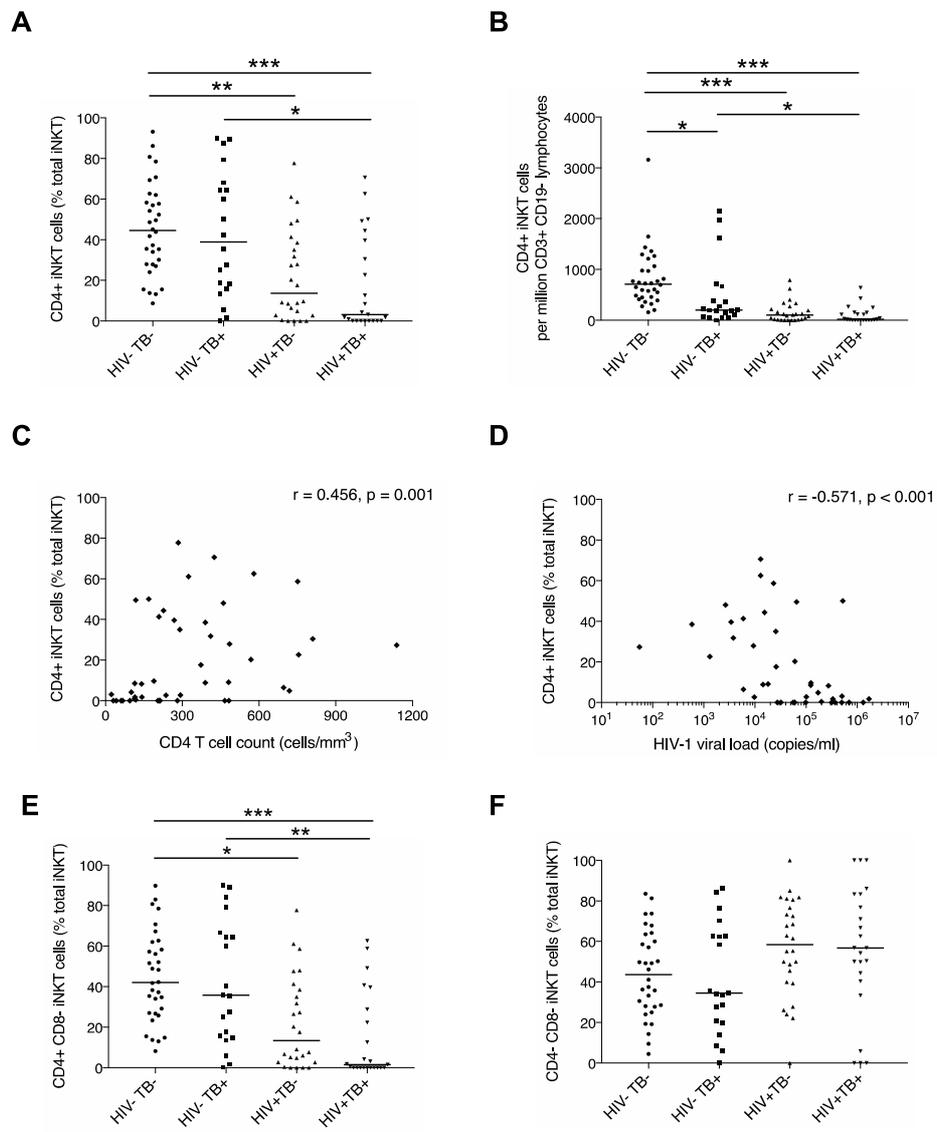
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<sup>a</sup>International Network for the Study of HIV-associated IRIS



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**Figure 1**



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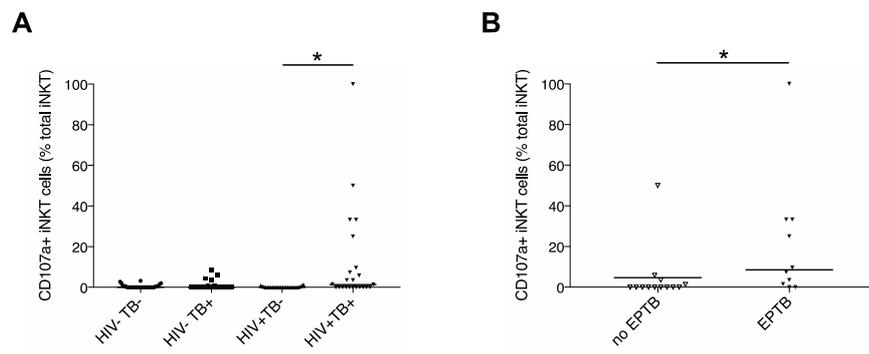
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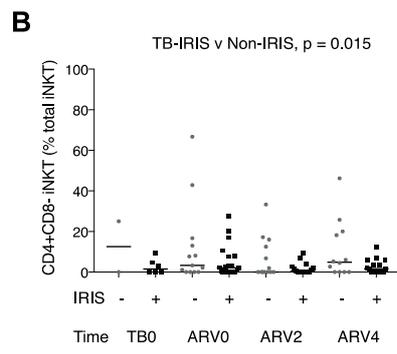
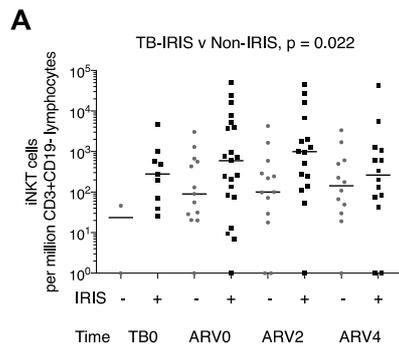
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**Figure 2**



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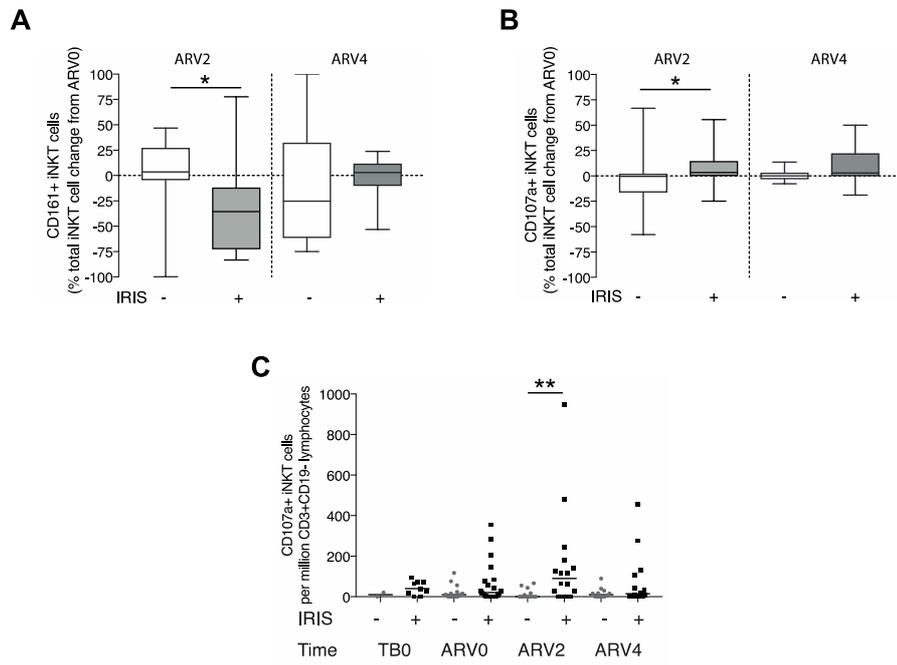
**Figure 3**



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**Figure 4**

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**Figure 5**

## Online Data Supplement

### **Invariant Natural Killer T cell dynamics in HIV-associated tuberculosis**

#### *Invariant Natural Killer T cells in TB-IRIS*

Walker NF, Opondo C, Meintjes G, Jhilmeet N, Friedland JS,  
Elkington PT, Wilkinson RJ, Wilkinson KA.

## **Materials and Methods**

### *Study Participants and clinical assessment*

The study was approved by the University of Cape Town Human Research Ethics Committee (REF 516/2011) and conducted in accordance with the Declaration of Helsinki. Cross-sectional study participants were recruited in an outpatient clinic in Khayelitsha, South Africa and were either healthy volunteers, patients with symptoms requiring assessment, or recently diagnosed TB patients. HIV-infected patients were ART naïve at enrolment. Cross-sectional study participants who were on anti-tuberculosis therapy were required to have had less than 3 doses prior to study samples being collected. Once enrolled, cross-sectional study participants were provided appropriate follow up by the research team for study clinical results and were then followed up routinely by clinic staff, unless also eligible for the longitudinal study.

Cross-sectional study participants were retrospectively designated into four categories:

- 1) HIV-uninfected patients without active TB (HIV-TB-)
- 2) HIV-uninfected patients with a new diagnosis of active TB (HIV-TB+)
- 3) ART naïve, HIV-infected patients without active TB (HIV+TB-)
- 4) ART naïve, HIV-infected patients with a new diagnosis of active TB (HIV+TB+).

Active TB was diagnosed on the basis of smear or culture positivity, or in cases of smear-negative TB according to international guidelines [1, 2]. This required suggestive symptoms

and at least one of:

- a) Sputum smear positive for acid fast bacilli on microscopy (Smear positive)
- b) Sputum Gene Xpert-RIF (Cepheid, Sunnyvale, CA) positive for *Mycobacterium tuberculosis* (Mtb)
- c) Sputum culture positive for Mtb (Culture-confirmed)
- d) Clinical features highly suggestive of TB such as diagnostic features on chest radiograph or other imaging modality and a decision to start TB treatment by the treating clinician (Clinical diagnosis)

Cross-sectional study participants who had symptoms but did not meet the criteria for TB diagnosis were designated controls if there was a low clinical suspicion for active TB and they had at least one induced sputum smear negative for acid fast bacilli and one induced sputum culture that was negative for Mtb. These patients were not started on anti-tuberculosis therapy. All HIV-infected patients who were designated non-TB controls also had at least one induced sputum smear negative for acid fast bacilli and one induced sputum culture that was negative for Mtb. HIV-infected active TB patients in the cross-sectional study who met eligibility criteria for the longitudinal study were co-enrolled, or if eligibility became apparent after enrolment, were invited to subsequently participate in the longitudinal study.

Longitudinal study participants were ART naïve HIV-infected patients with a low CD4 count (<200 cells/mm<sup>3</sup>) and a recent diagnosis of active TB. Following anti-tuberculosis therapy initiation and enrolment into the longitudinal study (study visit TB0) patients received counselling for ART initiation. Anti-tuberculosis therapy and ART followed national guidelines [3, 4]. First-line ART at the time of the study was principally tenofovir, lamivudine, and

efavirenz. ART was initiated typically two weeks after anti-tuberculosis therapy (study visit ARV0) and patients attended further scheduled study visits at two weeks post-ART initiation (ARV2, Day 14 +/- 72 hours) and four weeks post-ART initiation (ARV4, Day 28 +/-72 hours) for clinical assessment and sampling. Patients who had taken more than four doses of TB treatment at enrolment contributed samples from ARV0.

Patients were requested to attend for assessment if any new symptoms or clinical deterioration occurred (study interim assessment) and were followed up to twelve weeks post-ART initiation. Clinical research staff telephoned participants regularly to reinforce this, to remind patients about scheduled visits and to investigate non-attendance. If a case of TB-IRIS was suspected, study samples were collected as at a scheduled visit, in addition to clinically indicated diagnostic tests. Where possible, when patients were hospitalized at the time of a study visit, they were visited by the study team for data and sample collection. Retrospective designation into one of three longitudinal study categories (paradoxical TB-IRIS (INSHI IRIS), probable paradoxical TB-IRIS not meeting INSHI criteria (IRIS non-INSHI), and no paradoxical TB-IRIS (non-IRIS)) followed the results of all relevant investigations and clinical follow up, and was made on case review by a consensus panel (comprising the study clinician NFW, and two clinical specialists: GM, RJW). Designation as TB-IRIS included both INSHI-IRIS and non-INSHI IRIS.

In both cross-sectional and longitudinal studies, demographic information was recorded at enrolment, including gender and smoking status. At each study visit, symptoms and clinical examination, full blood count, albumin, C-reactive protein (CRP) and chest radiograph were

performed, plus additional investigations if clinically indicated. Induced sputum and venous blood were collected (see below) at each visit for microbiological and laboratory analysis.

Venous blood for PBMC isolation was collected in sodium heparin vacutainers, transported at room temperature to UCT, and processed within four hours of collection. PBMC were isolated by layering over Ficoll and cryopreserved in heat-inactivated fetal calf serum (FCS) with 10% dimethyl sulfoxide (DMSO) until used in batches.

Additional venous blood sample analysis was performed by the National Health Laboratory Service (NHLS), including full blood count and differential measured using a Siemens Advia 2120I (Siemens, Surrey, UK), albumin and C-reactive protein (CRP) quantification on a Roche Modular (F. Hoffman-La Roche Ltd) and for HIV-infected patients, CD4 count measured on a Beckman Coulter FC500MP (Beckmann Coulter, Inc, Buckinghamshire, UK) and HIV-1 viral load measured on an Abbott M2000 (Abbott Analytical Limited, London, UK). Induced sputum was collected for microscopy and mycobacterial culture.

#### *CD1d Tetramer staining*

Cryopreserved PBMC were rapidly thawed in warmed RPMI/10% FCS and washed. All wash steps consisted of centrifugation at 1500 rpm for 5 minutes, discarding of supernatant and disruption of the cell pellet by vortex. PBMC were counted using a Bio-rad TC20™ automated cell counter and viability was ascertained by trypan blue exclusion. For iNKT cell enumeration, one million cells per tube were transferred into two labelled fluorescence activated cell

sorting (FACS) tubes per patient: one for  $\alpha$ -galcer-loaded CD1d tetramer and one for CD1d (control) tetramer staining for each sample. Cells were washed in PBS and resuspended for viability staining with Violet LIVE/DEAD<sup>®</sup> Fixable stain kit (VIVID, Invitrogen, Paisley, UK) (1:1000 dilution, 200 $\mu$ l per tube) and incubated for 30 minutes at 4°C in the dark. They were then washed in PBS and resuspended in 30 $\mu$ l cold PBS prior to tetramer staining with  $\alpha$ -galcer-loaded CD1d tetramer (tet+) or control CD1d tetramer (tet-cont) (Proimmune, Oxford, UK), added at 0.5 $\mu$ l per tube and then incubated for 30 minutes on ice. Tet+ and tet-cont-stained cells were protected from light at all times.

#### *Characterisation by cell surface marker expression*

Following tetramer staining, cells for surface marker characterisation were stained with an antibody mastermix containing CD3, CD19, CD4, CD8, CD107a, CD95, CD161, CD40L, PD1, and V $\beta$ 11 for 30 minutes at 4°C (see Supplementary Table S1). They were then washed and re-suspended in PBS, 1% Hi-FCS, 2% paraformaldehyde for 1 hour. After a further wash, cells were re-suspended in 300 $\mu$ l wash buffer and acquired on an LSR Fortessa (BD Biosciences) within 24 hours of staining. Single fluorochrome-stained positive and negative control compensation beads were acquired for each experiment to enable fluorescence compensation. Data were analysed using Flowjo software (Tree Star, Ashland, OR).

iNKT cell frequency was calculated as a percentage of CD3<sup>+</sup> CD19<sup>-</sup> live lymphocytes, with subtraction of the equivalent tet-cont proportion, and reported per million CD3<sup>+</sup>CD19<sup>-</sup> live lymphocytes. Negative values were reassigned zero for analysis. Zero values were assigned 1

if graphically represented on a log scale. Absolute iNKT cell numbers were calculated by multiplying the iNKT cell count, as a percentage of live lymphocytes, with the total lymphocyte count per milliliter of peripheral blood, as previously reported [5]. Phenotypic characteristics of iNKT cells are reported as the iNKT cell percentage expressing cell surface markers (CD4, CD8, CD107a, CD95, CD161, CD40L, PD1). This proportion was multiplied by the total iNKT cell frequency to give the iNKT cell subset frequency.

### *Statistical analysis*

Flow cytometry data was analysed using Flowjo software (Treestar, USA). Gating was determined by comparison to samples stained by the control tetramer or fluorescence-minus-one controls. Statistical analysis was performed using Prism 6 (GraphPad, UK) and STATA version 14. Unadjusted non-parametric analyses were by two-tailed Fisher's Exact or Mann-Whitney U, or for comparisons of more than two groups, by Kruskal-Wallis with Dunn's multiple comparisons test. Comparison of total CD4 count changes over time in the longitudinal study was by two-way repeated measures ANOVA. In the cross-sectional study, we used a multivariable linear regression model to investigate differences in iNKT cell frequency and percentage CD4/CD8 expression by disease category. In the longitudinal study, a multivariable negative binomial model was fitted to examine the association of iNKT frequency with TB-IRIS status and a multivariate linear regression model was used to estimate the difference in CD4/CD8 cell subset percentages between TB IRIS and non-IRIS patients. Data from scheduled visits, ARV0, ARV2 and ARV4 was included in this analysis and ARV0 was used as the baseline timepoint.

## Supplementary References

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3. The South African Antiretroviral Treatment Guidelines 2013. Department of Health, Republic of South Africa, **2013**.
4. National Tuberculosis Management Guidelines 2014: Department of Health, Republic of South Africa 2014.
5. Kee SJ, Kwon YS, Park YW, et al. Dysfunction of Natural Killer T Cells in Patients with Active *Mycobacterium tuberculosis* Infection. *Infect Immun* **2012**; 80(6): 2100-8.

## **Supplementary Figure Legends**

### **Supplementary Figure S1 iNKT cell enumeration in cross-sectional study participants**

iNKT cells were enumerated using  $\alpha$ -galcer-loaded CD1d tetramers and control CD1d tetramers (no  $\alpha$ -galcer). Gating on CD3<sup>+</sup> CD19<sup>-</sup> live lymphocytes generated the plots shown. For each participant, peripheral blood mononuclear cells were stained using  $\alpha$ -galcer-loaded CD1d tetramers (column A) in parallel with a control tetramer (column B). iNKT cells (shown in Q2, column A) were defined as CD3<sup>+</sup> CD19<sup>-</sup> CD1d  $\alpha$ -galcer tet<sup>+</sup> V $\beta$ 11<sup>+</sup> T cells and enumerated by subtraction of non-specific tetramer staining in the equivalent control gate (Q2 column B). Representative plots are shown for each of the four patient categories in the cross-sectional study: HIV-uninfected patients without active TB (HIV-TB-); HIV-uninfected patients with active TB (HIV-TB+); HIV-1-infected patients without active TB (HIV+TB-); HIV-1-infected patients with active TB (HIV+TB+).

### **Supplementary Figure S2 CD4 and CD8 iNKT cell subset frequency in cross-sectional study patients**

CD4<sup>+</sup>CD8<sup>-</sup> iNKT cell subset frequency (cells per million CD3<sup>+</sup>CD19<sup>-</sup> live lymphocytes) was reduced in HIV infection and in active TB in HIV-uninfected patients (A). There was reduced CD4<sup>-</sup>CD8<sup>-</sup> iNKT cell frequency in HIV-infected patients with active TB compared to HIV-uninfected patients without active TB (B). There was no difference in iNKT cell frequency of CD<sup>-</sup>CD8<sup>+</sup> (C) or CD4<sup>+</sup> CD8<sup>+</sup> (D) iNKT cell frequency between HIV-infected and uninfected patients, with and without active TB. Analysis was by Kruskal Wallis with Dunn's multiple comparison's test to calculate multiplicity adjusted p values: \*p<0.05; \*\*p<0.01; \*\*\*p<0.001.

### **Supplementary Figure S3 iNKT cell numbers in longitudinal study patients**

iNKT cells were enumerated by flow cytometry using  $\alpha$ -galcer loaded CD1d tetramers, in a cohort of 46 HIV-1-infected patients with active TB. Following TB diagnosis (TB0), TB treatment was initiated. Anti-retroviral therapy was initiated at ARV0, a median of 17.5 days post TB treatment initiation. Patients who had taken more than four doses of TB treatment at enrolment contributed data from ARV0, resulting in fewer data points at TB0. Additional study visits occurred at two (ARV2) and four (ARV4) weeks post-ART initiation. TB-IRIS presentation was typically at ARV2. iNKT cell numbers were calculated by multiplying the iNKT cell count, as a percentage of live lymphocytes quantified by flow cytometry, with the total lymphocyte count per millilitre of peripheral blood as recorded on the full blood count. There was a trend towards increased iNKT cell numbers in TB-IRIS patients compared to non-IRIS controls in the age and sex-adjusted multivariate model ( $p=0.062$ ). Zero values were replaced by one for representation on a log scale.

### **Supplementary Figure S4 CD4 negative iNKT cell subsets predominate in TB-IRIS and non-IRIS patients**

CD4-CD8- and CD4-CD8+ iNKT cell subset percentage (A, B) and iNKT cell frequency per million CD3+ CD19- live lymphocytes (C, D) are shown. CD4-CD8- iNKT cells were the most abundant as a percentage of total iNKT cells (A), followed by CD4-CD8+ iNKT cells (B) in both TB-IRIS and non-IRIS patients. However, in TB-IRIS patients CD4-CD8- (C) and CD4-CD8+ (D) iNKT cell frequency was increased compared to non-IRIS patients at ARV2, the usual time of IRIS presentation. CD4+CD8+ iNKT cells were infrequent (data not shown). Zero values were

replaced by 0.1 for representation on a log scale. Analysis was by Mann Whitney-U comparing TB-IRIS with non-IRIS at each timepoint: \* $p < 0.05$ .

### **Supplementary Figure S5 iNKT cell phenotype in HIV-associated TB**

iNKT cells were characterized by proportional surface expression of CD161, CD107a, CD95, PD1 and CD40L, indicating maturation, degranulation, cytotoxicity, anergy and activation, respectively. CD161+ (A) and CD107a+ (B) iNKT cell proportions were dynamic. CD95+ iNKT cell proportions were very high in both TB-IRIS and non-IRIS patients (C). PD1+ iNKT cell proportions were relatively high (E) whereas CD40L+ iNKT cell proportions were relatively low, possibly indicating an exhausted state (F). Individual patient data are shown and consecutive visits are joined by a line. Routine study visits were at ARV0 (anti-retroviral therapy initiation), ARV2 and ARV4 (two and four weeks post-ART initiation). Unscheduled study visits indicating new symptoms were at ARV1 and ARV3 (one and three weeks post-ART initiation).

## Supplementary Tables

**Supplementary Table S1** Flow cytometry panel for iNKT cell enumeration and phenotype, comprising LIVE/DEAD<sup>®</sup> Fixable stain (VIVID), either  $\alpha$ -galcer-loaded CD1d tetramer or control CD1d tetramer and antibodies for cell surface markers (surface antibody mastermix 1).

Surface Marker	Function	Fluorochrome	Volume/million PBMC stained ( $\mu$ l)
	Viability	VIVID	200 (1:1000)
CD3	Identify T cells	FITC	1
CD19	Gate out B cells	BV510	3
CD1d tetramer	iNKT cell identification	APC	0.5
VB11		PE	5
CD4	Assess functional maturity & Th1 (CD4+/-)/Th2 (CD4+) polarisation	PerCP-Cy5.5	5
CD8	Cytotoxicity (CD8+)	APC-H7	1
CD161 (NK1.1)	Activation / maturation	BV510	5
	NK marker		
CD107a	Degranulation	Alexa Fluor 700	5
CD95	Fas- Fas ligand mediated cytotoxicity	BV711	5
PD-1	Induction and maintenance of iNKT cell anergy (in TB)	PE-Cy7	5
CD40L (CD154)	Activation	PE-CF594	5
	Interaction with innate immune cells / B cells		

**Supplementary Table S2** Multivariable linear regression model of CD4/CD8 percentage expression on iNKT cells in the cross-sectional cohort by clinical category in comparison to HIV-uninfected controls without active TB (HIV-TB-), adjusted for age and sex.

		Coefficient	95% CI	p value
CD4-CD8+				
	HIV-TB+	4.92	-3.58, 13.4	0.257
	HIV+TB-	6.97	0.42, 13.5	<b>0.037</b>
	HIV+TB+	12.4	2.28, 22.5	<b>0.016</b>
CD4+CD8+				
	HIV-TB+	-0.38	-2.49, 1.73	0.725
	HIV+TB-	-0.39	-1.18, 0.40	0.334
	HIV+TB+	2.22	-1.87, 6.31	0.288
CD4+CD8-				
	HIV-TB+	-4.00	-17.9, 9.91	0.573
	HIV+TB-	-23.8	-33.7, -13.8	<b>&lt;0.001</b>
	HIV+TB+	-30.5	-41.3, -19.6	<b>&lt;0.001</b>
CD4-CD8-				
	HIV-TB+	0.45	-13.2, 14.1	0.949
	HIV+TB-	13.6	3.31, 23.8	<b>0.010</b>
	HIV+TB+	11.8	-4.45, 28.0	0.155

**Supplementary Table S3** Multivariable negative binomial model assessing relationship between iNKT cell frequency and TB-IRIS status.

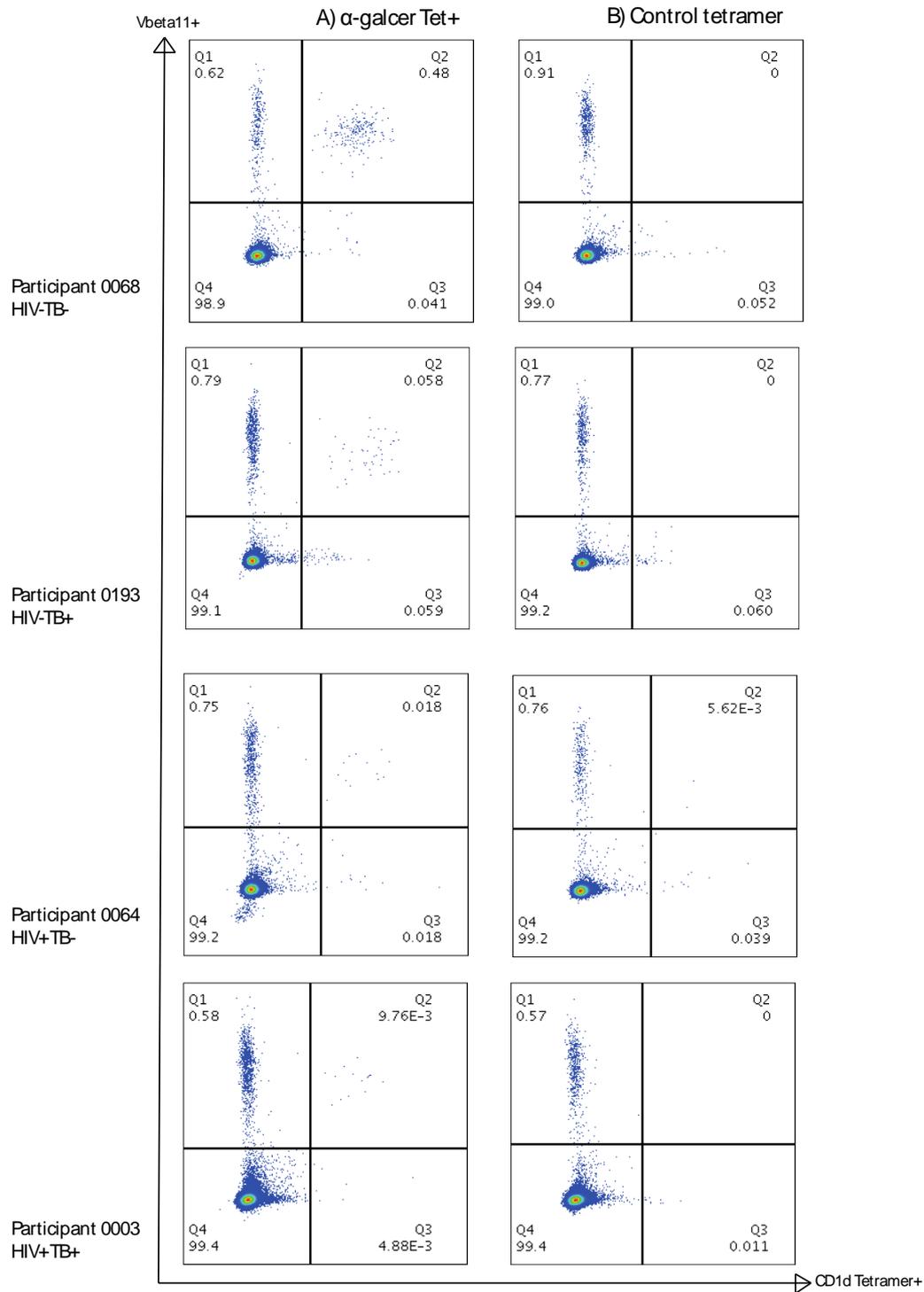
Unadjusted	Coefficient	95% CI	p value
	3.99	1.18, 13.5	<b>0.026</b>
Adjusted <sup>a</sup>	Coefficient	95% CI	p value
	4.13	1.23, 13.9	<b>0.022</b>

<sup>a</sup>adjusted for age and sex

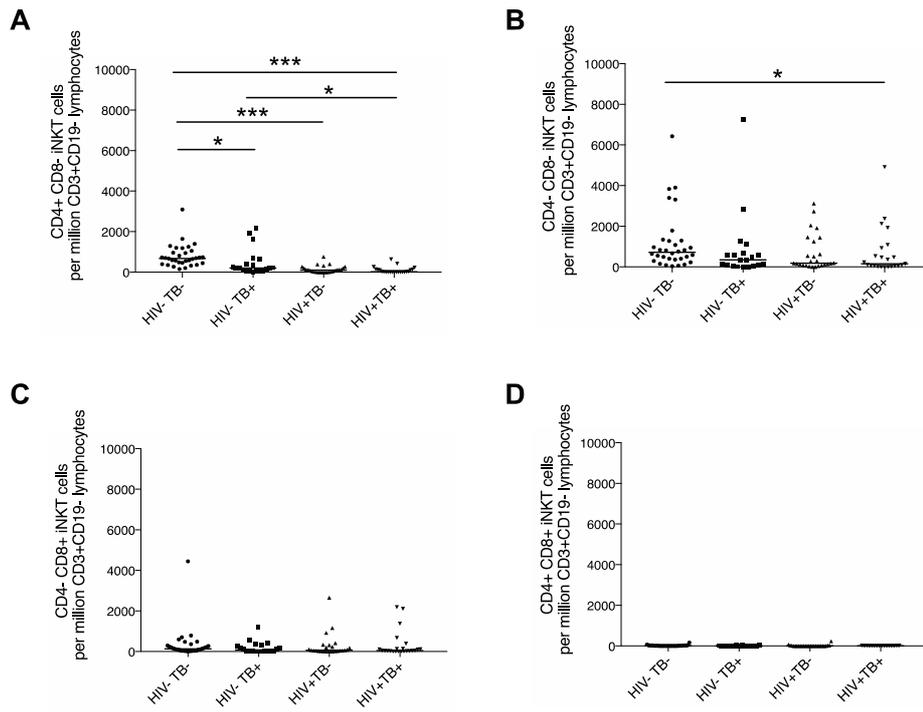
**Supplementary Table S4** Multivariate linear regression model to estimate the difference in CD4/CD8 cell subset percentage between TB IRIS and non-IRIS patients, adjusted for time.

	Difference in cell subset percentage (TB-IRIS vs non-IRIS)	95% CI	p value
CD4-CD8+	-3.02	-14.4, 8.36	0.603
CD4+CD8+	1.03	-10.5, 12.6	0.861
CD4+CD8-	-6.64	-12.0, -1.28	<b>0.015</b>
CD4-CD8-	8.63	-5.49, 22.2	0.231

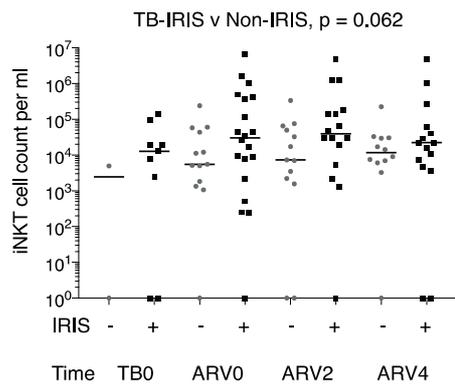
## Supplementary Figures



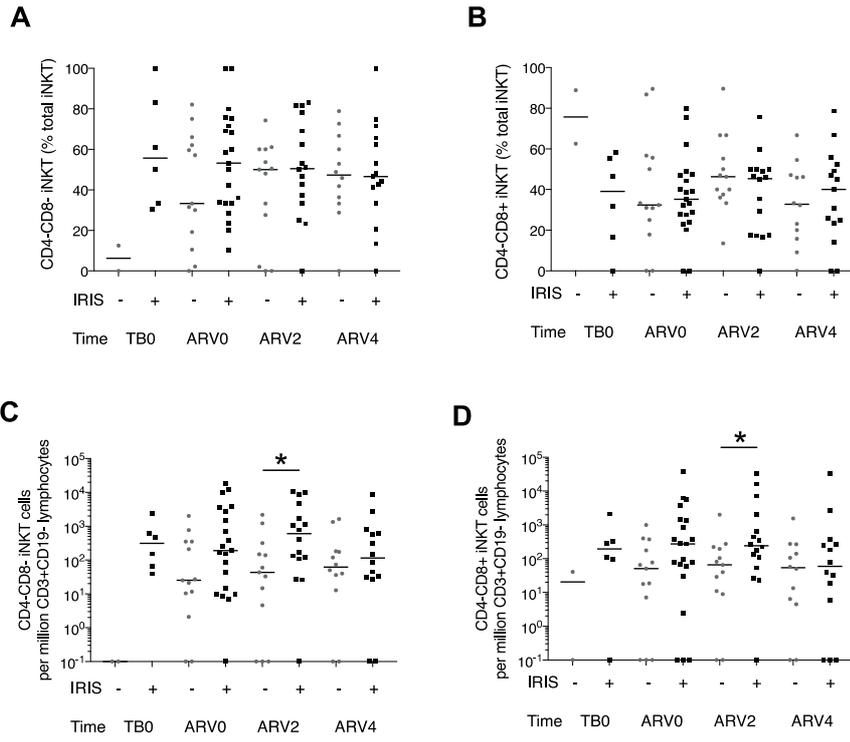
Supplementary Figure S1



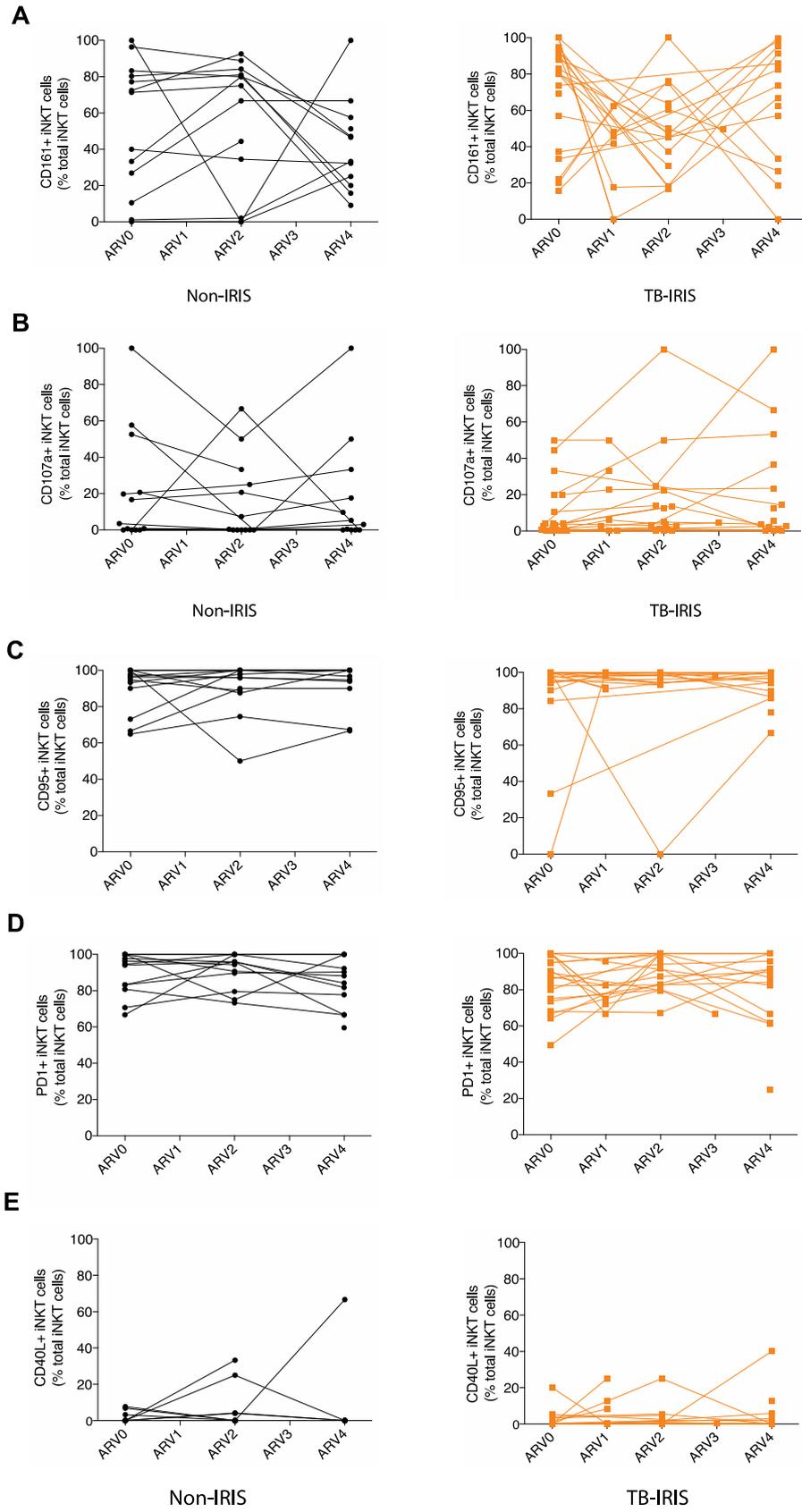
Supplementary Figure S2



**Supplementary Figure S3**



Supplementary Figure S4



Supplementary Figure S5