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4 **Relapse-free cure from multidrug-resistant tuberculosis in Germany**

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31 **Take home message:**

32 Under optimal conditions we observed similar rates of relapse-free cure in patients with
33 M/XDR-TB and non-M/XDR-TB.

35 **Running head:** Treatment Outcomes in M/XDR-TB

36

37 **Keywords:** MDR-TB, simplified definitions, TBNET, treatment outcome, XDR-TB

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40 Dear Editor,
41 Multidrug-resistant tuberculosis (MDR-TB; defined by bacillary resistance against rifampicin
42 and isoniazid) has been identified as a global threat to mankind [1]. According to the latest
43 report by the European Centres of Disease Prevention and Control and World Health
44 Organization regional office for Europe only approximately 50% of MDR-TB patients in
45 Europe reach favorable treatment outcomes [2]. Successful treatment outcomes are
46 achieved for less than 25% of patients with extensively drug-resistant TB (XDR-TB; MDR plus
47 resistance against a least one fluoroquinolone and one second-line injectable drug) in the
48 European Union/European Economic Area Countries [2].

49 Recently, new diagnostic methods and novel drugs have been introduced that may improve
50 treatment outcomes in countries, where these innovations are available to provide
51 personalized therapies [3-6]. In order to evaluate treatment outcomes in M/XDR-TB under
52 unrestricted health-care conditions, and to ascertain the difference to the treatment
53 outcome in patients with drug-susceptible TB, we performed a multicenter prospective
54 observational cohort study in patients with M/XDR- and non-M/XDR-TB at clinical centers in
55 Germany. We also sought to compare existing WHO and newly described “simplified”
56 therapy outcome definitions for both M/XDR- and non-M/XDR-TB patients [7, 8].

57 Patients with pulmonary TB confirmed by the GeneXpert MTB/RIF test (Cepheid, Sunnyvale,
58 USA) were enrolled at five hospitals in Germany (Medical Clinic, Research Center Borstel;
59 Karl-Hansen-Klinik, Bad Lippspringe; Sankt Katharinen-Krankenhaus, Frankfurt; Thoraxklinik-
60 Heidelberg, Heidelberg; Asklepios Fachkliniken München-Gauting, Munich) between March
61 2013 and March 2016. Patients less than 18 years of age and/or HIV-positive, or individuals
62 under legal supervision were excluded from the study. Written informed consent was
63 obtained from all patients.

64 Samples with a positive GeneXpert result for rifampicin resistance were further analyzed by
65 using line-probe-assays (Hain Lifesciences, Nehren, Germany) for the detection of additional
66 first- and second-line drug-resistances. Findings were later confirmed by culture based drug
67 susceptibility tests (DST) at the national reference center for mycobacteria in Borstel,
68 Germany. Individualized anti-TB drug regimens for patients with M/XDR-TB were designed
69 using current therapy recommendations on the basis of molecular and phenotypic DST [9,
70 10]. The algorithms were in main consent with the current WHO guidelines [11]. However,
71 the preferred usage of certain drugs changed over time (i.e. linezolid). Patients with non-
72 M/XDR-TB were treated following national recommendations [12]. In addition to WHO-
73 defined outcome definitions [8], we applied simplified outcome definitions that include a
74 one-year follow-up to both patient groups. According to the simplified outcome definitions
75 [7]:

76 Cure is defined as a negative culture status six months after treatment initiation, no positive
77 culture thereafter, and no relapses within one year after treatment completion.

78 Treatment failure is defined as a positive culture status six months after treatment initiation
79 or thereafter or a relapse within 1 year after treatment completion.

80 Undeclared outcome is defined as an outcome that was not assessed (owing to transferal
81 out of the cohort, no culture status at six months while the patient was receiving care, or no
82 post-treatment assessment).

83 Death is defined as death during observation.

84 Loss to follow-up is defined as non-receipt of care six months after treatment initiation.

85 For the follow-up one-year after therapy end, patients were contacted by telephone
86 interviews or/and during routine clinical follow-up visits.

87 Study approval was granted by the Ethics Committee of the University of Lübeck (AZ 12-233),
88 which subsequently was confirmed by the corresponding local Ethic Committees of all
89 participating centers. Statistic analyses were performed using STATA (Version 14, StataCorp
90 LLC, College Station, Texas, USA).

91

92 Seventy-five patients were enrolled, of whom 46 were infected with non-M/XDR and 29 with
93 MDR strains of *M. tuberculosis*. Of the 29 patients with M/XDR-TB, eight patients were
94 infected with an XDR strain of *M. tuberculosis*. In the cohort of non-M/XDR-TB patients, two
95 patients had isoniazid mono-resistant TB. Only 3/29 (10.4%) M/XDR-TB patients received an
96 anti-TB regimen containing bedaquiline or delamanid, which became available during the
97 study period. Of the 22/29 (75.9%) M/XDR-TB patients receiving fluoroquinolones 18/22
98 (81.8%) were treated with moxifloxacin while 4/22 (18.2%) received levofloxacin. Twenty-
99 one of 29 (72.4%) M/XDR-TB patients were administered second-line injectable drugs, of
100 whom 14/21 (66.7%) patients received capreomycin and 7/21 (33.3%) amikacin.

101 Patients with M/XDR- and non-M/XDR-TB showed similar frequencies of relapse-free cure
102 (65.5% and 63.0%, respectively, $p = 0.828$), as they did for death and failure (Figure). Just
103 eight patients (three M/XDR-TB, five non-M/XDR-TB) achieved cure by WHO-definitions.
104 Treatment success by WHO definition, driven by treatment completion, was markedly lower
105 for M/XDR-TB patients (58.6%) compared to non-M/XDR-TB patients (76.1%, $p = 0.110$).
106 Given the relatively low number of patients, we did not identify any specific properties that
107 characterize patients with therapy failure (Simplified outcomes: non-M/XDR-TB $n=7$ vs.
108 M/XDR-TB $n=3$).

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110 Under optimal management conditions and resources we observed similar frequencies of
111 relapse-free cure in patients with M/XDR-TB and non-M/XDR-TB when applying outcome
112 definitions that include a one-year follow-up period after the completion of treatment [7].
113 The lack of marked differences in treatment response between the two groups using new
114 definitions is encouraging and stands in sharp contrast to the low frequency of WHO-defined
115 cure for patients with TB ascertained on the last day of treatment. Frequency of treatment
116 success by WHO definition (the sum of those who achieve cure or complete their treatment
117 in the absence of failure) is nearly identical to the estimates reported by the European
118 Centre for Disease Prevention and Control (ECDC) surveillance data (2). A recent multi-
119 national observational cohort study in Europe showed that WHO-defined treatment success
120 is largely based on treatment completion rather than on cure [7, 8]. This was confirmed in
121 the present study where only 6.5% of patients with non-M/XDR-TB were cured and 69.6% of
122 patients had treatment completion. The main reason for the absence of cure is lack of the
123 required number of sputum samples in the final stage of treatment.

124 Prevention of relapse is the main purpose for the long duration of therapy in TB. Thus, cure
125 from TB's definition should include a relapse-free observation period after the end of
126 therapy, which is already the case in anti-TB drug trials [13]. In the field of oncology, which is
127 similar in this aspect to TB, determining cure (corresponding to end points such as
128 progression free survival) at the last day of chemotherapy would be unacceptable [14]. As the
129 majority of the relapse cases occur within twelve months of treatment completion, an
130 observation period of one year is plausible to define relapse-free cure as it was recently
131 proposed [7, 13]. A negative *M. tuberculosis* culture status at six-months of treatment, as the
132 critical assessment point for the simplified definitions, has been shown to be predictive for
133 cure in MDR-TB [7, 15]. The current study shows that applying the same outcome definitions
134 for patients with non-M/XDR-TB and M/XDR-TB gives plausible results in line with clinical

135 experience. This opens the door to adopt a single set of outcome definitions for all
136 pulmonary TB patient, regardless of resistance pattern or duration of therapy. Such a move
137 will simplify and improve outcome reporting.

138 Recently, two groups have demonstrated six-months culture conversion rates of 96% [16]
139 and 100% [17] in patients with M/XDR-TB treated with bedaquiline-based regimens
140 providing hope that much higher cure-rates from M/XDR-TB can be achieved in the future.

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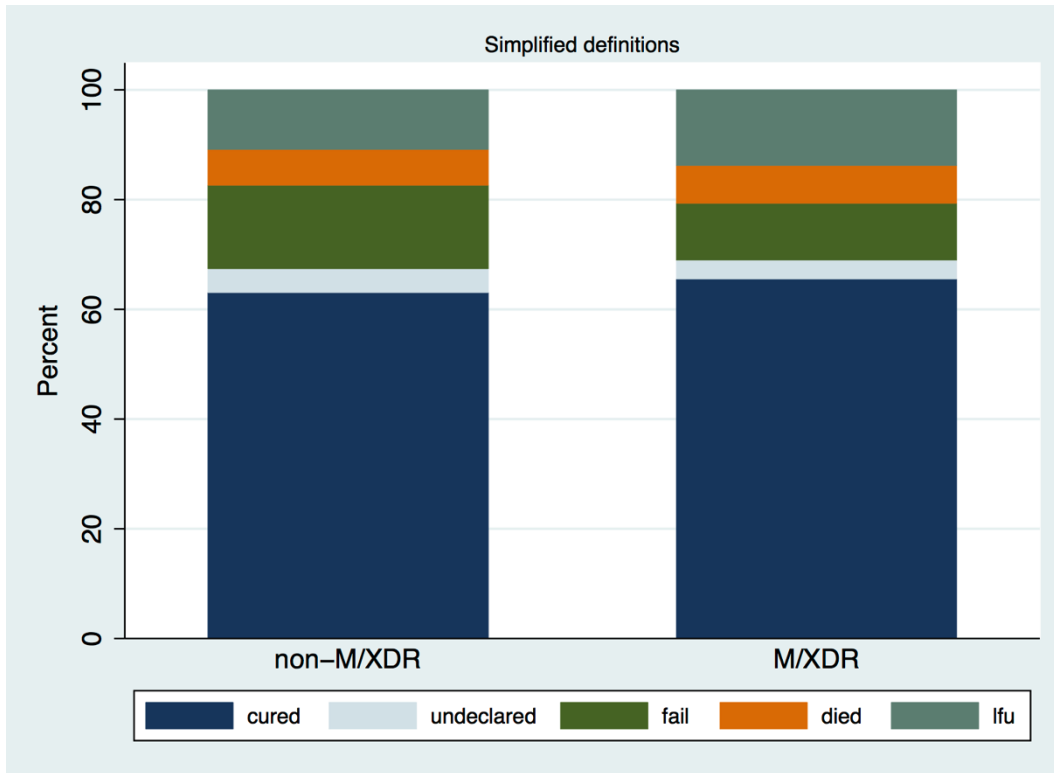
142 In conclusion, in a country where sufficient resources for the management of patients with
143 M/XDR-TB are available, we now observe substantial improvements in treatment outcomes
144 resulting in a high frequency of relapse-free cure indistinguishable from cure in patients with
145 non-M/XDR-TB. This “honeymoon” may last until strains of *M. tuberculosis* that have
146 developed resistance against novel and refurbished second-line drugs start circulating in the
147 community. WHO treatment outcome definitions for TB should be revised to describe cure
148 only in the in the absence of disease recurrence one year after the end of treatment.

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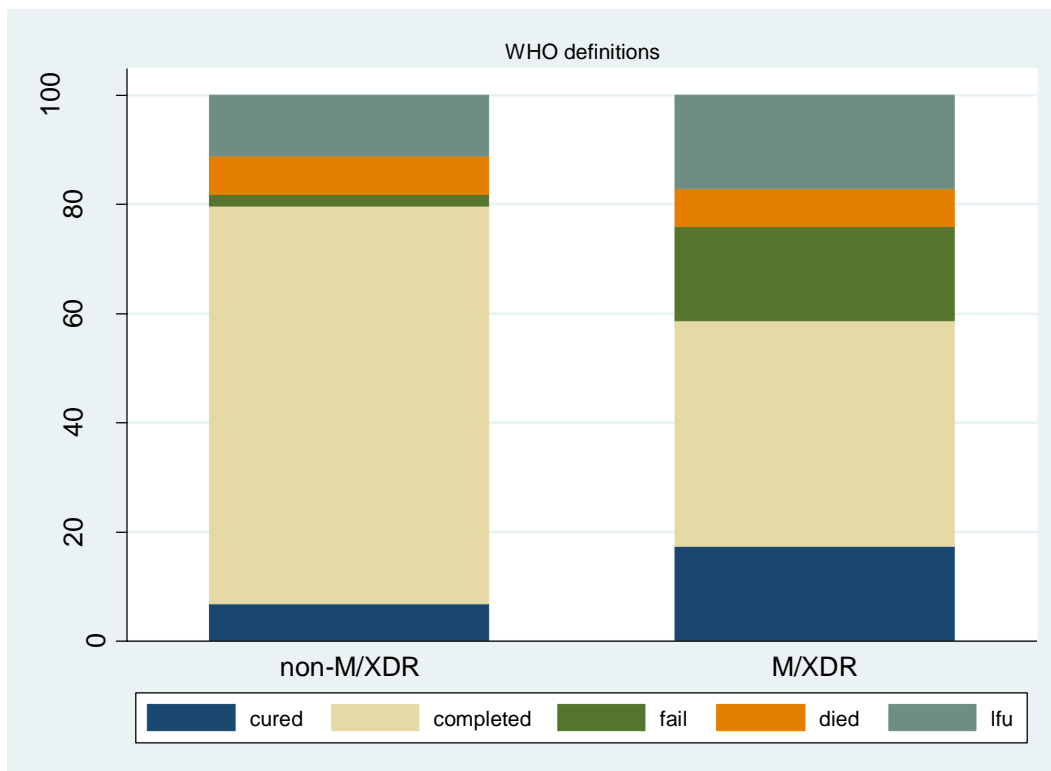
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158 **Figure.** Treatment outcomes for patients with non-M/XDR-TB and M/XDR-TB by simplified
 159 (TBNET) definitions (a) and WHO definitions (b). The simplified outcomes yielded the
 160 following results: Death: non-M/XDR-TB n=3 (6.5%) vs. M/XDR-TB n=2 (6.9%); Lost to follow-

161 up: non-M/XDR-TB n=5 (10.9%) vs. M/XDR-TB n=4 (13.8%); Failure: non-M/XDR-TB n=7
162 (15.2%) vs. M/XDR-TB n=3 (10.3%); Cure: non-M/XDR-TB n=29 (63.0%) vs. M/XDR-TB n=19
163 (65.5%); Undeclared: non-M/XDR-TB n=2 (4.4%) vs. M/XDR-TB n=1 (3.5%). Outcomes
164 following the WHO' definitions were: Death: non-M/XDR-TB n= 3 (6.5%) vs. M/XDR-TB n=2
165 (6.9%); Lost to follow-up: non-M/XDR-TB n=5 (10.9%) vs. M/XDR-TB n=5 (17.2%); Failure:
166 non-M/XDR-TB n=1 (2.2%) vs. M/XDR-TB n=5 (17.2%); Cure non-M/XDR-TB n=3 (6.5%) vs.
167 M/XDR-TB n=5 (17.2%); Completed non-M/XDR-TB n=32 (69.6%) vs. M/XDR-TB n=12
168 (41.4%); Not evaluated non-M/XDR-TB n=2 (4.4%) vs. M/XDR-TB n=0 (0.0%). Total for both
169 analysis: non-M/XDR-TB n=46 (100%) vs. M/XDR-TB n=29 (100%).

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