

1 **Mini-Stern Trial: A randomised trial comparing mini-sternotomy to full median**
2 **sternotomy for aortic valve replacement**

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15 **Conflict of interest statement and source of funding:**

16 This work was supported by the National Institute for Health Research (NIHR) under its
17 Research for Patient Benefit (RfPB) Programme (grant number PB-PG-0408-16296). The
18 views expressed are those of the author(s) and not necessarily those of the NHS, NIHR or
19 Department of Health.

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25 **Trial Registration:** ISRCTN, number 58128724

26 **Article word count:** 3500 (including main text and figure legends)

27 **Justification for more than 7 authors:** This study was conducted in two surgical units in
28 the UK involving 8 Surgeons, 1 Anaesthetist/Intensivist, 2 Health Economists, 2 Trial
29 Managers and 2 Biostatisticians. Each co-author listed above have contributed significantly
30 to the co-ordination and management of the trial, data collection, data analysis and
31 preparation of the manuscript and hence included in the list of authors. A detailed author
32 contribution form with detailed justification of authorship will be submitted along with the
33 revised manuscript on acceptance for publication.

34

35 **Glossary of Abbreviations**

36

37	AVR	aortic valve replacement
38	mAVR	minimal access aortic valve replacement
39	BMI	body mass index
40	CI	95% confidence interval
41	COPD	chronic obstructive pulmonary disease
42	CPB	cardiopulmonary bypass
43	FEV ₁	forced expiratory volume in one second
44	FS	full median sternotomy
45	HR	hazard ratio
46	HRQoL	health-related quality of life
47	ICER	incremental cost-effectiveness ratio
48	LVEF	left ventricular ejection fraction
49	MS	mini-sternotomy
50	NHS	National Health Service
51	OR	odds ratio
52	QALY	quality-adjusted life year
53	RCT	randomised control trial
54	SAE	serious adverse event
55	SD	standard deviation
56	TLCO	transfer factor of the lung for carbon monoxide
57	TOE	transoesophageal echocardiogram
58	UK	United Kingdom

59

60 **Central Message**

61

62 In the UK NHS, compared to conventional median sternotomy approach for surgical AVR,

63 mini-sternotomy did not hasten recovery or hospital discharge, and was not cost-effective.

64 **Perspective Statement**

65 Minimal access surgery is appealing for its perceived advantages including better patient
66 recovery, satisfaction and cost-effectiveness. This RCT conducted within the UK NHS
67 setting did not demonstrate quicker patient recovery or cost-effectiveness associated with
68 mini-sternotomy compared to full median sternotomy approach. These findings are relevant
69 to physicians, patients and health care funders.

70

71 **Structured Abstract**

72 **Objective:** Aortic valve replacement (AVR) can be performed either through full median
73 sternotomy (FS) or upper mini-sternotomy (MS). The Mini-Stern trial aimed to establish
74 whether MS leads to quicker postoperative recovery and shorter hospital stay after first-time
75 isolated AVR.

76 **Methods:** This pragmatic, open-label, parallel RCT compared MS with FS for first-time
77 isolated AVR in two UK NHS hospitals. Primary endpoints were duration of postoperative
78 hospital stay and the time to fitness for discharge from hospital after AVR, analysed in the
79 intent-to-treat population.

80 **Results:** In this RCT, 222 patients were recruited and randomised (118 MS, 104 FS).
81 Compared to FS patients, MS patients had longer hospital stay (mean 9.5 vs. 8.6 days) and
82 took longer to achieve fitness for discharge home (mean 8.5 vs. 7.5 days). Adjusting for valve
83 type, sex and surgeon, hazard ratios (HR) from Cox models did not show a statistically
84 significant effect of MS (relative to FS) on either hospital stay (HR 0.874, 95% CI 0.668-
85 1.143, p-value 0.3246) or time to fitness for discharge (HR 0.907, 95% CI 0.688-1.197, p-
86 value 0.4914). During mean follow up of 760 days (MS:745 and FS:777 days), 12 (10%) MS
87 and 7 (7%) FS patients died (HR 1.871, 95% CI 0.723-4.844, p-value 0.1966). Average extra
88 cost for MS was £1,714, during the first 12 months after AVR.

89 **Conclusions:** Compared to FS for AVR, MS did not result in shorter hospital stay, faster
90 recovery or improved survival and was not cost-effective. MS approach is not superior to FS
91 for performing AVR.

92 **Word count for Abstract:** 248

93 **Introduction**

94 Aortic valve replacement (AVR) is the second commonest cardiac surgery in the UK [1] with
95 an increasing proportion of older patients [1, 2]. Minimal access AVR (mAVR) might
96 shorten hospital stay and postoperative recovery period and could be beneficial if offered
97 safely and cost-effectively.

98

99 Currently, most AVRs are performed safely through full median sternotomy (FS) [2-6].

100 However, mAVR may be associated with less postoperative pain, blood loss, pulmonary and
101 wound complications and shorter hospital stay [2]. The most commonly practised mAVR
102 involves mini-sternotomy (MS), which could potentially hasten postoperative recovery,
103 shorten hospital stay and improve patient satisfaction [2-10].

104

105 Most studies comparing MS and FS for AVR are non-randomised. Although systematic
106 reviews with meta-analyses [11, 12] have been conducted, inadequate statistical power and
107 heterogeneity of studies calls for prospective, randomised control trials (RCTs) to assess
108 benefits and risks of mAVR. Published evidence on cost-effectiveness comparing MS to FS
109 is sparse and weak. A recent review comparing cost-effectiveness of FS and MS called for a
110 well-designed RCT to evaluate cost-effectiveness of mAVR up to at least a year after surgery
111 [13]. Recently, a propensity-matched study from the UK national data concluded that mAVR
112 is safe and was associated with shorter postoperative hospital stay [14]. The authors
113 concluded that although general clinical equipoise exists between FS and MS, it is essential
114 to have a well-constructed and adequately powered RCT before widespread adoption of MS.
115 This retrospective study did not analyse cost-effectiveness of either surgical approach.

116

117 The Mini-Stern trial assessed whether MS is superior to FS in shortening postoperative
118 recovery time and improving patient outcomes without compromising patient safety. It also
119 assessed cost-effectiveness of MS from the perspective of the UK NHS as a health care
120 provider.

121

122 **Materials and Methods**

123 Mini-Stern was a two-centre, pragmatic, open-label RCT conducted in the UK. Patients were
124 randomised (1:1) to AVR either by MS or FS.

125

126 **Sample Size**

127 Considering four published RCTs [5, 6, 9, 10] and two cohort studies [7, 8], a 20% reduction
128 in hospital stay from 11.7 to 9.36 days was considered clinically significant. Based on an
129 internal audit of 252 first-time elective AVRs performed at Papworth Hospital in 2007/08
130 (mean hospital stay 11.7 days, SD 6.2), to detect this change with 80% power and 2-sided
131 significance of 5%, 110 patients per group were required. As randomisation was performed
132 on the day of surgery after induction of anaesthesia and introduction of the transoesophageal
133 echocardiogram (TOE) probe, no subjects dropped out between randomisation and surgery
134 thereby making the total trial recruitment target, 220 patients.

135

136 **Recruitment**

137 Adult patients undergoing first-time isolated AVR were included. Exclusion criteria included
138 emergency AVR, LVEF \leq 30%, chest wall deformities, severe COPD (FEV₁ or TLCO < 40%
139 predicted), BMI > 35kg/m², concomitant cardiac surgery, redo-surgery and inability to
140 perform TOE. Details of patient enrolment are given in the online protocol.

141

142 **Randomisation**

143 Randomisation (1:1) used random permuted blocks of variable lengths (6 or 8), stratified by
144 surgeon and valve prosthesis (bio-prosthetic or mechanical). Random allocations were pre-
145 generated, held in secure files by Papworth Trials Unit. During early days of the trial, TOE
146 probe could not be passed in four patients due to technical reasons. These patients underwent
147 the allocated procedure and were included in the trial. Later the Trial Steering Committee
148 decided that under such circumstances, MS would be unsafe and patients should be excluded
149 from the trial to FS. Since eligibility for MS required TOE, in order to avoid post-
150 randomisation drop-out, group allocation for the study subjects was retrieved via telephone
151 by theatre staff soon after anaesthesia and introduction of the TOE probe. Due to the nature
152 of interventions, this trial could not be blinded.

153

154 **Outcomes**

155 **Primary endpoints:** Two closely related primary endpoints were measured. Firstly, length
156 of postoperative hospital stay (days between surgery and actual hospital discharge) which is
157 easily measured, a surrogate for early postoperative events and sensitive to outcomes that
158 affect health-related quality of life (HRQoL). Secondly, the interval in days between surgery
159 and the patient being medically fit for discharge. To reduce investigator bias, standard
160 discharge criteria were followed to decide the day of fitness for discharge. This endpoint was
161 chosen to address exogenous effects (social factors, lack of transport, non-availability of
162 space in nursing homes etc.) that commonly delay hospital discharge in the UK.

163

164 **Clinical secondary endpoints:** duration of surgery, total theatre time, aortic cross-clamp
165 and cardiopulmonary bypass (CPB) times, blood loss in the first 12 hours after surgery,
166 transfusion of blood and clotting products in the first 48 hours (blood transfusion trigger was

167 haemoglobin level < 80g/L), frequency of re-intubation, time to initial extubation,
168 mediastinal drain removal and first independent mobilisation, daily pain scores at rest and on
169 deep breath (over the first ten days or until hospital discharge) on a scale of 0 to 10, LVEF
170 and severity of para-prosthetic regurgitation at hospital discharge and at 6 months, and time
171 to all-cause death. Definitions of adverse events and details of their reporting are in the online
172 protocol. To exclude bias, clinical outcome data were collected by research team who were
173 not involved in routine care of subjects, following standardised protocols.

174

175 **Non-clinical secondary endpoints:** Health-related Quality of Life and Healthcare resource
176 use.

177 **HRQoL:** Patients completed EQ-5D-3L [15] and SF-36 [16, 17] questionnaires at baseline,
178 6 weeks, 6 months and 12 months following surgery. EQ-5D-3L was repeated on fourth
179 postoperative day and at discharge.

180 **Healthcare resource use:** Patient-specific resource use collected from hospital records and
181 patient interviews during the primary admission included phases of care including operative
182 surgery, critical care, post-surgical ward care and medications. Post-discharge resource use
183 included attending wound clinics, community nurse visits, physiotherapy sessions,
184 occupational therapy services, medical tests, cost of analgesics and other drugs and further
185 hospitalisation within the first year after AVR.

186

187 **Surgical details**

188 All participating surgeons were consultants experienced in performing AVR by both FS and
189 MS. They followed the operative surgical protocol as described below.

190 **MS approach:** With the patient anaesthetised as per standard protocol, skin was incised from
191 half-way between the suprasternal notch and the sternal angle to the level of the fourth

192 intercostal space, measuring approximately 8cm. The manubrium was divided in the midline
193 from the suprasternal notch inferiorly and then into the right 4th intercostal space. Thymus
194 was divided and pericardium opened exposing the ascending aorta, aortic root and right atrial
195 appendage. A loading dose of unfractionated heparin 300U/kg followed by boluses of 5000U
196 was administered to achieve activated clotting time above 450 seconds. Aorta was
197 cannulated using a wired flexible aortic cannula. Right atrial appendage was cannulated using
198 a flat venous cannula and CPB commenced. The ascending aorta was cross-clamped and
199 intermittent, antegrade, cold blood cardioplegia administered. The aorta was then incised
200 open in an oblique or transverse fashion, the diseased valve excised and annulus decalcified.
201 A suitably sized aortic valve prosthesis was inserted using either horizontal mattress, 2-0
202 Ethibond sutures or semi-continuous, 2-0 Prolene sutures. Surgeons adopted either of these
203 suture techniques and adhered to the same technique irrespective of the type of valve
204 prosthesis or the surgical approach. Aortotomy was then closed, heart de-aired, right atrial
205 and ventricular epicardial pacing wires inserted and patient weaned off CPB. After
206 confirming satisfactory functioning of the aortic valve prosthesis by TOE, heparin was
207 reversed with protamine (1mg/100U of heparin). Chest drains were inserted into the anterior
208 mediastinum, posterior pericardial space and pleural space if necessary. Sternal wires were
209 inserted and incision closed in layers. Conversion to FS was performed to ensure patient
210 safety if access was difficult or if intraoperative complications occurred.

211

212 **FS approach:** Anaesthesia and positioning of patients was the same as for MS approach.
213 The skin incision was made between the suprasternal notch and the xiphoid process and
214 sternum divided in the midline from the suprasternal notch to the xiphoid process. A two-
215 stage venous cannula was used for atrial cannulation. Remaining steps were similar to MS
216 approach.

217 **Statistical analysis**

218 Analyses of primary and secondary endpoints used intention-to-treat and included all
219 randomised patients. Unless stated otherwise, statistical models included treatment (MS vs.
220 FS), valve (mechanical vs. bio-prosthetic) and sex as fixed effects, and surgeons as random
221 effects. Hypothesis testing was two-sided at the 5% significance level, with no adjustments
222 for multiple testing. All confidence intervals (CI) were estimated at the 95% confidence level.

223 Distributions of time-to-event endpoints were compared between study groups using Kaplan-
224 Meier curves and log-rank tests (stratified by sex, valve and surgeon). Hazard ratios (HR) for
225 MS relative to FS were estimated from a Cox model. The null hypothesis of no treatment
226 effect (HR = 1) was tested. Patients who were lost to follow-up, withdrew or died before the
227 event were censored at the latest time they were known to be event-free. Models were
228 checked by plotting Schoenfeld and deviance residuals. For primary endpoints, Cox models
229 were re-fitted using the per-protocol population and in sensitivity analyses (Appendix A.
230 Table A4).

231 Need for reintubation and other dichotomous endpoints were compared between groups by
232 estimating a MS/FS odds ratio (OR) via logistic regression. EQ-5D, SF-36 and pain scores
233 were modelled using repeated measures linear regression. Where possible, random intercepts
234 and random time coefficients for patients were included. For EQ-5D and SF-36, fixed effects
235 for baseline scores were included. Models were fitted using complete cases, then re-fitted
236 with multiple imputation of missing scores via chained equations.

237 Serious adverse events (SAEs) were analysed in the safety population according to
238 intervention received. Patients randomised to MS who crossed over to FS prior to surgery
239 were considered to have received FS; those who crossed over after MS had commenced were

240 considered to have received MS. Rates of SAEs were explored using Poisson regression with
241 a random patient effect.

242 CONSORT guidelines [18] were followed. Analyses were performed in SAS version 9.4
243 (SAS Institute Inc., Cary, NC, USA). No interim analyses were undertaken but reports were
244 presented annually to the Data Monitoring and Ethics Committee.

245 **Economic analysis**

246 Unit costs were obtained from nationally published sources in the UK [19, 20, 21, 22] or
247 from the Finance department, Papworth Hospital when the former did not provide the
248 required information. Total cost per patient was calculated by summing resource use items
249 multiplied by unit costs across the in-patient stay and the 12-month postoperative follow-up
250 period (Appendix B. Table B7). Health state utilities from the EQ-5D-3L and SF-36, based
251 on UK value sets [15, 23] were used to generate quality-adjusted life years (QALYs) using
252 the area under the curve method and assigning a value of zero from date of death. Missing
253 values were imputed using chained predictive mean matching, stratified by treatment and
254 conditional on age, sex and baseline EQ-5D-3L.

255

256 Differences in mean costs and QALYs were estimated using seemingly unrelated regression,
257 controlling for age, sex, valve, baseline EQ-5D-3L and treatment, to accommodate skewness
258 [24]. Uncertainty in cost-effectiveness was estimated by drawing 1000 bootstrapped samples
259 and conducting probabilistic sensitivity analysis. Results are presented as incremental net
260 monetary benefit at various thresholds of willingness to pay per QALY, cost-effectiveness
261 planes and cost-effectiveness acceptability curves. Deterministic sensitivity analyses explored
262 effects of using complete cases only, SF6D-based QALY estimates, the procedure inpatient

263 admission only, excluding patients who died and excluding additional equipment costs
264 (Appendix B. Table B11).

265

266 **Results**

267 Overall 1024 patients were screened between 28 January 2010 and 13 April 2015, of whom
268 222 were recruited and randomised to MS (118) or FS (104). One-year follow-up was
269 completed on 23 May 2016.

270 Study groups were similar at baseline except for a non-significant sex imbalance (Table 1). In
271 this trial, MS was not completed in 14 (12%) of 118 patients randomised to MS. Of these
272 patients, 6 (5%) had conversion from MS to FS due to reasons listed in Figure 1. The
273 remaining 8 patients underwent FS after randomisation to MS but without initial MS incision
274 as MS was considered unsafe/impractical. The true rate of intraoperative conversion of MS
275 to FS was therefore 5%. Four patients (2%, Table 2) were censored before discharge: one
276 withdrawal before surgery (FS) and three deaths (all randomised to and received MS). A
277 further thirteen (6%) were censored before fitness for discharge: six discharged to acute
278 hospital (three MS, three FS), seven to long-term care or rehabilitation (three FS, four MS).

279 Mean time to hospital discharge was longer for MS than FS (9.5 vs. 8.6 days), as was mean
280 time to fitness to discharge (8.5 vs. 7.5 days). However, distributions of these endpoints were
281 similar in both groups (Figure 2, Table 2). The difference was not statistically significant in
282 either primary analyses using Cox models (Figure 3), log-rank tests (Table 2) or sensitivity
283 analyses (Appendix A. Table A4). The gamma-distributed frailty term in the Cox models was
284 estimated to have variance 0.006675 for time to fitness and 0.000100 for time to discharge,
285 suggesting that surgeon heterogeneity was negligible.

286 Time to drain removal (including drains inserted/retained to treat complications) was longer
287 for MS, but times to extubation and independent mobilisation did not differ significantly
288 between groups (Table 2, Figure 3), nor did numbers of patients re-intubated (six MS vs. five
289 FS, OR 1.039, CI 0.306-3.531, $p=0.9512$). Statistically significant HRs indicated longer
290 surgery, CPB, cross-clamp and theatre times for MS (Figure 3). No significant differences
291 were seen in blood loss (Appendix A. Table A3), or in numbers of patients requiring
292 transfusion of blood (50 MS vs. 51 FS, OR 0.797, CI 0.453-1.402, $p=0.4310$) or clotting
293 products (11 MS vs 4 FS, OR 2.616, CI 0.801-8.541, $p=0.1112$).

294 Regression models for pain at rest, EQ-5D utilities and SF-36 domain scores (Appendix A.
295 Tables A6, A7, A8) estimated greater rate of improvement over time in MS patients for three
296 SF-36 domains (social functioning, vitality and role physical). After multiple imputation, the
297 difference was only significant for the role physical domain (Appendix A. Table A9). Pain on
298 deep breath was not analysed as only less than half the data were collected due to poor patient
299 compliance.

300 Nine (4%) patients died within a year of surgery: seven (6%) MS, two (2%) FS. Five deaths
301 were possibly related to treatment (four MS, one FS), none were probably or definitely
302 related (Appendix A. Table A15). Overall, twelve (10%) MS and seven (7%) FS patients died
303 during follow-up (mean follow-up 760 days: 745 MS, 777 FS). Time to all-cause death,
304 adjusted for age, showed a moderately large but statistically non-significant HR (MS/FS) of
305 1.871 (CI 0.723-4.844, $p=0.1966$).

306 Safety analyses excluded one patient who was withdrawn before surgery. There were
307 significantly more SAEs in MS recipients (rate ratio 1.615, CI 1.070-2.437, $p=0.0225$)
308 (Appendix A. Table A11). The numbers of patients experiencing SAEs were not
309 significantly different (OR 1.559, CI 0.895-2.715, $p=0.1161$). Incidence of para-prosthetic

310 regurgitation did not differ significantly between groups (Appendix A. Table A13). Seven
311 patients developed pericardial collection (three MS vs four FS, OR 0.680, CI 0.146-3.178,
312 $p=0.6229$). Wound infections (including superficial and deep infections) were more common
313 in FS recipients (thirteen FS vs four MS, OR 0.312, CI 0.097-1.005, $p=0.0511$). Deep sternal
314 wound infection developed in one MS and one FS recipient, neither of whom required plastic
315 surgical repair.

316 Economic analyses are summarised in Table 4. There was additional cost for MS relative to
317 FS (£1,714 per patient, $p=0.0765$) in the first year following surgery. MS patients had (non-
318 significant) better EQ-5D-based QALYs (0.03 per patient, $p=0.1509$). The incremental cost
319 per QALY gained was £61,379, but after adjusting for baseline characteristics, MS had
320 higher costs and lower QALYs (i.e. was dominated). In deterministic and probabilistic
321 sensitivity analyses, MS was either dominated or had a very large cost per QALY, except for
322 the complete case analysis (Appendix B. Tables B11, B12).

323 **Discussion**

324 The UK NHS is a free for patient at point-of-delivery healthcare system. Apart from good
325 recovery, hospital discharge of a significant proportion of elderly patients depends on the
326 timely availability of social care services in the community. The Mini-Stern trial is the first
327 RCT comparing FS and MS for isolated AVR when performed for UK NHS patients.

328

329 In this prospective, pragmatic, open-label RCT, MS did not reduce the total duration of
330 hospital stay after AVR. As hospital discharge is sometimes delayed due to social factors, we
331 included time until fit for discharge as a second primary endpoint. This was also not reduced
332 by MS. These endpoints were recorded by physiotherapists based on a common discharge

333 protocol with specific clinical milestones to achieve, thereby excluding physician-induced
334 bias.

335

336 In this study operation, total theatre, aortic cross-clamp and CPB times were significantly
337 prolonged with MS. This was expected as in general, minimal access valve operations take
338 longer [5, 9]. This is justifiable if MS resulted in either faster recovery, shorter postoperative
339 stay, reduced cost of treatment or more importantly a significant reduction in adverse events
340 and therefore superior patient safety. In this RCT, MS did not achieve these benefits and
341 hence we feel that the prolonged operation time, total theatre, cross-clamp and CPB times are
342 not justifiable for performing AVR through MS.

343

344 Previously, two meta-analyses [11, 12] concluded that mAVR approaches are superior in
345 certain aspects of postoperative recovery. However, both included studies on mini-
346 thoracotomy approach for AVR, and therefore inferences drawn cannot be extrapolated to
347 MS. A retrospective propensity-matched analysis of data from a UK national database
348 concluded that MS is safe and comparable to conventional AVR [14]. The authors found
349 that MS resulted in a shorter postoperative hospital stay, which disagrees with our findings.
350 However, a propensity-matched study can suffer from selection bias if its matching algorithm
351 produces treatment groups that are unbalanced in some unobserved characteristics. Recently,
352 a retrospective study demonstrated safety of right thoracotomy minimally invasive isolated
353 and concomitant AVR in patients of all age groups [25]. As randomisation balances study
354 groups in known and unknown characteristics, results of the Mini-Stern trial should be more
355 reliable than non-randomised studies.

356

357 Previous studies investigating cost-effectiveness provided unclear answers. A report
358 analysing registry data from patients who underwent isolated primary AVR [26] reported
359 lower hospital cost when AVR was performed through right anterior thoracotomy compared
360 to sternotomy-based approaches with no significant differences in outcome. The main reasons
361 attributed to lower costs were earlier hospital discharge and reduced use of blood products.
362 Ghanta et al [27] noted that exclusion of rehabilitation costs could alter this finding. A review
363 by Glauber et al [13], based on uncontrolled studies, noted that higher cost of instruments and
364 devices in mAVR could be offset by economic advantage gained by shorter hospital stay and
365 lower complication rates. The Mini-Stern trial assessed cost-effectiveness using a range of
366 sensitivity analyses, but only the complete case analysis showed MS to be cost-effective,
367 suggesting lower costs but slightly worse outcomes with MS. However, this analysis used a
368 potentially unrepresentative sample of just 90 patients. Our analysis was restricted to the
369 first year following operation without long-term analysis beyond 1 year.

370

371 This RCT is robust with many merits including on-table randomisation, comprehensive and
372 independent outcome assessment without physician-bias, longer-term clinical assessment,
373 HRQoL analysis and economic analysis. However there were some limitations. Although we
374 report on secondary endpoints, this trial was powered only to address the primary endpoint.
375 A total of 14 patients (12%) allocated to MS received FS, which could be another limitation.
376 However, only 6 patients (5%) had true conversion after an attempted MS, while 8 patients
377 (6.7%) went on to FS for safety reasons. Although this RCT took place in only two centres,
378 thereby limiting generalisability, recruitment by eight surgeons improves generalisability. A
379 total of 1024 patients were screened to recruit 222 (21.7%) patients. Although this
380 potentially suggests selection bias, only 125 eligible patients (12.2%) failed recruitment while
381 the remaining 667 patients (65.1%) did not meet inclusion criteria. Blinding was not

382 practical as sternotomy dressings were usually changed 48 hours after surgery and patients
383 became aware of the approach. This could have caused bias in self-reported outcomes.
384 Missing ‘pain at rest’ data were unlikely to be missing at random, and therefore imputation
385 might not have addressed all potential biases. Despite having two primary outcomes, we did
386 not adjust for multiple testing. However, as neither showed a significant difference between
387 groups, this would not have affected our conclusions.

388

389 In conclusion, MS for AVR did not result in quicker recovery or earlier hospital discharge.
390 MS resulted in longer operations, increased costs, and resulted in more SAEs than FS.
391 Overall, this pragmatic RCT did not provide evidence that MS results in better clinical or
392 quality of life outcomes, or that MS is cost-effective compared to FS in the first year after
393 AVR.

394

395 **Acknowledgement:**

396 Prof Linda Sharples was part-funded by the MRC, UK. We thank Dr Matt Glover, Ms
397 Jacinta Nalpon and Mr Chelliah Paramasivam for their contributions.

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407 **Legends**

408 **Central Picture Legend:** Duration of hospital stay after AVR: FS versus MS.

409 **Video Legend:** MS approach for AVR.

410 **Figure 1.** Trial flow diagram.

411 **Figure 2.** Kaplan-Meier curves for primary endpoints. Points indicate censoring and dashed
412 lines represent 95% confidence intervals.

413 **Figure 3.** Forest plot of HRs and 95% confidence intervals from Cox models.

414 **Figure 4.** Cost-effectiveness planes. Proportion of points below each threshold gives the
415 probability that MS is more cost-effective than FS. This probability is 3.7% for willingness to
416 pay £20,000/QALY and 5.1% for willingness to pay £30,000/QALY.

417

418 **Table 1. Baseline characteristics**

	MS (n = 118)	FS (n = 104)
Age (years) - Mean (SD)	71.3 (12.3)	72.1 (10.9)
BMI (kg/m²) – Mean (SD)	26.6 (3.2)	27.7 (3.7)
Sex - frequency (%)		
Female	53 (45%)	57 (55%)
Male	65 (55%)	47 (45%)
Valve type - frequency (%)		
Mechanical	15 (13%)	14 (13%)
Tissue	103 (87%)	90 (87%)
EuroSCORE (%) - Mean (SD)	5.9 (2.1) *	6.1 (2.1)

419 * EuroSCORE was missing for one MS patient.

420

421

422

423 **Table 2. Kaplan-Meier medians (quartiles) for time-to-event endpoints**

	MS (n = 118)	FS (n = 104)	p-value*
Time to discharge (days)	7 (6, 10)	7 (6, 10)	0.6924
Censored	3	1	
Time until fit for discharge (days)	6 (5, 10)	6 (5, 9)	0.5597
Censored	10	7	
Time to independent mobilisation (days)	4 (3, 7)	4 (3, 6)	0.5819
Censored	8	7	
Time to mediastinal drain removal (hours)	26.1 (20.6, 53.3)	22.5 (19.4, 37.8)	0.0157
Censored	2	2	
Time to extubation (hours)	9.2 (7.8, 12.1)	8.3 (6.8, 11.7)	0.5488
Censored	1	1	
Theatre time (minutes)	191 (172, 225)	176 (152, 203)	< 0.0001
Censored	0	0	
CPB time (minutes)	80 (70, 95)	66 (52, 85)	< 0.0001
Censored	0	0	
Cross-clamp time (minutes)	65 (53, 76)	49 (39, 64)	< 0.0001
Censored	0	0	
Surgery duration (minutes)	163 (139, 190)	149 (114, 167)	< 0.0001
Censored	3	4	

424 **Log-rank test. Seven surgery durations were not recorded and censored at 1 minute.*

426 **Table 3. Costs, QALYs and Cost-effectiveness**

Cost and QALYs (with imputation)		FS (n = 118)		MS (n = 104)	
		Mean Cost per patient	SD	Mean Cost per patient	SD
Primary Admission	Theatre use	£3,824	£1,243	£4,422	£2,053
Costs	Additional surgical items	£16.52	£0.0	£52.0	£0.0
	Critical care (ITU)	£1,834	£3,023	£2,934	£5,030
	Cardiac ward	£2,744	£1,664	£2,676	£1,500
	Physio- and Occupational Therapy	£77	£55	£78	£68
	Rehabilitation	£384	£1,878	£263	£1,621
	Acute hospital	£347	£1,919	£298	£1,971
	<i>Sub-total cost</i>		<i>£9,226</i>	<i>£6,511</i>	<i>£10,724</i>
Post primary admission costs to 12 months	Hospital Re-admission	£418	£1,475	£575	£1,863
	Follow up tests	£224	£258	£282	£279
	Follow up healthcare visits	£373	£359	£311	£263
<i>Sub-total cost</i>		<i>£1,015</i>	<i>£1,778</i>	<i>£1,168</i>	<i>£2,079</i>
	Drugs	£379	£548	£441	£977
<i>Total cost over 12 months</i>		<i>£10,620</i>	<i>£7,624</i>	<i>£12,333</i>	<i>£9,864</i>
Incremental cost-effectiveness* (probabilistic analysis with baseline)	Incremental cost at 12 months (MS-FS)		£2,154.0 (SE £36)		
	Incremental EQ-5D-3L QALYs (MS-FS)		-0.0122 (SE 0.0008)		
	ICER		MS dominated by FS		
	NMB (at WTP £20,000/QALY)		-£2,397		
NMB (at WTP £30,000/QALY)		-£2,519			

adjustment)

SD: standard deviation, SE: standard error, WTP: willingness to pay, NMB: net monetary benefit, ICER: incremental cost-effectiveness ratio. * Incremental costs and effects estimated using SUR, adjusting for baseline differences.

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