Age Interactions in the Development of Naturally Acquired Immunity to *Plasmodium falciparum* and Its Clinical Presentation

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**Abbreviations:** CI, confidence interval; CR, cumulative rate; EIR, entomological inoculation rate; IDIBAPS, Institut d’investigacions Biomèdiques August Pi i Sunyer; PCV, packed cell volume; PYAR, person-year(s) at risk; SFDDH, St. Francis Designated District Hospital

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**ABSTRACT**

**Background**

Naturally acquired malaria immunity has many determinants and, in the absence of immunological markers of protection, studies assessing malaria incidence through clinical endpoints remain an approach to defining immunity acquisition. We investigated the role of age in disease incidence and the effects of chemoprophylaxis on clinical immunity development to *Plasmodium falciparum* during a randomised controlled trial.

**Methods and Findings**

A total of 415 Tanzanian infants were randomly assigned to receive weekly malaria prophylaxis with Deltaprim (3.125 mg of pyrimethamine plus 25 mg of dapsone) or placebo between the ages of 2 and 12 mo. Children were followed up until 4 y of age. Uncomplicated febrile malaria, severe malaria, and anaemia morbidity were assessed through hospital-based passive surveillance. Compared with the group of control participants, there was a marked reduction in the incidence of clinical malaria, severe malaria, and anaemia in the group of children who had received chemoprophylaxis during the first year of life. After discontinuing the intervention, there was a significant increase in the incidence of clinical malaria for 2 y. The cumulative rates of clinical malaria, by age 4 y, were slightly higher in the group of children who had previously received chemoprophylaxis: 3.22 episodes versus 3.02 episodes in the group of control participants; rate difference 0.20 (95% confidence interval [CI]: −0.21 to 0.59). By age 4 y, the cumulative rates of severe malaria, however, were slightly lower in chemosuppressed children (0.47 versus 0.59) (rate difference −0.12 [95% CI: −0.27 to 0.03]). The number of episodes of anaemia was also slightly lower in chemosuppressed children by age 4 y: 0.93 episodes (95% CI: 0.79 to 0.97) versus 1.12 episodes in the group of control participants (95% CI: 0.97 to 1.28) (rate difference −0.19 [95% CI: −0.40 to 0.01]), respectively.

**Conclusions**

Reducing exposure to *P. falciparum* antigens through chemoprophylaxis early in life can delay immunity acquisition. Infants appear to acquire immunity faster than older children, but have a higher risk of developing severe forms of malaria and anaemia. These findings provide insight on the interplay between immunity and exposure-reduction interventions.

The Editors’ Summary of this article follows the references.
Introduction

More than 100 y ago on the island of Java, Koch described how the clinical and parasitological manifestations of malaria decreased with age. He interpreted this as a function of acquired resistance through exposure to the parasite [1]. However, despite a plethora of immunological investigations, no surrogate marker of protective immunity has been identified. A number of humoral and cellular immune responses are known to be associated with reduced risk from *Plasmodium falciparum* infection and disease [2], but none has been shown to be causally related. Hence, studies assessing malaria incidence through its different clinical endpoints remain the best approach to determine the rate of acquiring immunity.

The relationship between the risk of malaria—as a surrogate of acquired immunity—and age is confounded by the intensity of transmission, because people living in high-transmission areas will tend to be exposed at younger ages. Hence, it is unclear as to whether it is the frequency of infection or age itself which is the prime determinant of the clinical manifestations. To our knowledge, few studies have had the opportunity to investigate the effect of age on the development of malaria immunity. Perhaps the best information comes from a descriptive study of parasitaemia in non-immune migrants to malaria-endemic areas of Irian Jaya, which suggested that adults acquired sterilizing immunity more rapidly than children [3].

Information is also available on the age-related changes in the manifestations of clinical malaria, principally cerebral malaria and anaemia [4]. Malaria-associated anaemia is typically described in younger children (i.e., <2 y) [5]. Historically, older children are also suspected to have a higher risk of cerebral malaria, but this age dependency is less well defined, owing partly to the difficulties in objectively assessing the neurological status in infants.

In our opinion, the effects of a limited period of suppression of malaria infection on the development of naturally acquired immunity have been insufficiently addressed by current research. The most complete evaluation of potential risks was carried out in the Gambia in the context of a placebo-controlled intervention trial [6]. Maloprim was administered for 5 y consecutively during the transmission season to children aged between 6 mo and 5 y. The study showed that there was a small, though not statistically significant, increase in the probability of dying during the year after chemoprophylaxis was stopped, but that chemoprophylaxis was associated with an overall improvement of survival [7].

A similar result was observed for malaria attacks. Malaria-specific antibody levels at the end of chemoprophylaxis and 1 y later were lower, but the lymphoproliferative responses to malaria antigens were increased in children who had received chemoprophylaxis (chemo-suppressed group) [8,9]. More recently, a study in Tanzania gave infants malaria chemoprophylaxis with Deltaprim (3.125 mg of pyrimethamine plus 25 mg of dapsone) and/or iron supplementation between 2 and 12 mo of age for the prevention of malaria and anaemia in infants. Details of the study design and results during the first 18 mo of follow-up have been described elsewhere [10]. Only infants whose mothers had given written informed consent took part in the study. Research and ethical clearance was granted by the Medical Research Coordination Committee of the National Institute for Medical Research through the Tanzanian Commission of Science and Technology and the Hospital Clinic Ethics Committee in Barcelona, Spain. The present analysis is based on the incidence of overall and severe malaria morbidity, as well as severe anaemia, detected through the hospital-based...
passive case-detection system during the 4-y period following recruitment. In order to avoid any possible confounding effect of the iron supplementation, the two cohorts of children receiving iron are not included in this analysis. The long-term effects and safety of iron supplementation are presented elsewhere (Menendez et al., unpublished data).

**Morbidity Surveillance**

Since 1994, SFDDH and its adjacent maternal and child-health clinic have used a round-the-clock, passive case-detection surveillance system. Project officers issued a questionnaire, which recorded a patient’s main symptoms and signs upon admission, and they also conducted basic laboratory investigations for all children who were ill. A unique identification card given to all study participants (children who had received chemoprophylaxis [chemosuppressed group] as well as control participants) at recruitment allowed their identification during all contact with the project personnel. A system of bi-monthly demographic surveillance provided information on vital events not registered at the hospital. Malaria smears were prepared for all inpatients and for out-patients when there was fever, and signs upon admission, and they also conducted basic laboratory investigations for all children who were ill. A unique identification card given to all study participants (children who had received chemoprophylaxis [chemosuppressed group] as well as control participants) at recruitment allowed their identification during all contact with the project personnel. A system of bi-monthly demographic surveillance provided information on vital events not registered at the hospital. Malaria smears were prepared for all inpatients and for out-patients when there was fever, history of fever, or pallor.

**Laboratory Methods**

Thick blood films were stained with Giemsa and read on a light microscope following standard quality-control procedures [15]. The PCVs were measured using a micro-centrifuge and a Hawsley haematocrit reader (http://www.hawksley.co.uk/).

**Statistical Methods and Definitions**

Clinical malaria was defined as an episode of fever (axillary temperature >37.5 °C) plus *P. falciparum* parasitaemia of any density at any contact with the health services. Severe anaemia was defined as a PCV of less than 25% at any contact with the health services. Severe malaria was defined as the presence of parasitaemia together with any of the following risk factors: dehydration, respiratory distress, impaired consciousness, and hypoglycaemia. These factors were selected because they had been previously shown to be independently associated with death for children in the study setting [5]. In order to avoid double counting of episodes, children who had been treated for clinical malaria, severe malaria, or severe anaemia were considered not to be at risk for a new episode during a 28-d period after beginning treatment. For each outcome (clinical malaria, severe malaria, and severe anaemia), a monthly incidence was calculated using the number of episodes divided by the time during which the patient had been at risk and expressed as episodes per person-years at risk (PYAR) for the two groups.

The effect of chemoprophylaxis on the risk of clinical malaria and anaemia changes with age, and is different before and after the period of chemoprophylaxis. Thus, in order to produce smooth estimates of the effect of chemoprophylaxis with age, we modelled the incidence of clinical malaria, severe anaemia, and other outcomes using Poisson regression models of small time intervals, and imposing Bayesian autoregressive constraints. This type of model allows the effect of chemoprophylaxis to change with time but, for any given time period, the effect should be similar to the effect in the previous period of time. Details of the models are presented in Protocol S1.

In order to compare the occurrence of malaria, anaemia, or other outcomes, we calculated the cumulative rate (CR) as the area under the curve for the estimated monthly incidence in each treatment group. The CR at any given age could be interpreted as the expected number of episodes that a child experiences up to that age. Details of the CR estimation are presented in Protocol S1. The graphs were produced using Stata version 8.0 (Stata Corporation, http://www.stata.com/) software. For the Bayesian models, we present the mean posterior value and the 95% CIs. Bayesian models were fitted using WinBugs 1.4 [16] and the Bugs.R procedure [17].

**Results**

The trial profile for the intervention and corresponding cohort of control participants is shown in Figure 1. Of the original 415 children, 256 (61.7%) completed the 4 y of follow-up. Thirty-two children (7.7%) died, 121 (29.2%) migrated or were lost to follow-up, and six (1.4%) refused to continue in the study.

**Risk of Clinical Malaria**

Figures 2–4 present the relationship between the different outcomes and age. The age-specific monthly incidence of clinical malaria in the two cohorts is shown in Figure 2A. The malaria risk in children receiving placebo declined slowly during the first 4 y of life, from 0.96 episodes/PYAR in children aged between 2 and 12 mo of age to 0.72 episodes/PYAR in children aged between 1 and 4 y (Table 1). The risk of malaria during the first year of life was dramatically reduced to 0.31 episodes/PYAR by chemoprophylaxis, although there was a clear tendency for the size of this effect to decrease as the infants became older. Once prophylaxis was stopped, chemosuppressed children had a significantly higher rate of clinical malaria compared with their contemporary control participants, and this higher rate lasted for at least 18 mo (Figure 3A).

Figure 4A shows the CR for clinical malaria in the two cohorts. The advantage gained by the group of children who had received chemoprophylaxis during the first year of life
was lost within the following 12 mo. At the end of the follow-up period, the CR was slightly higher in the chemosuppressed group versus the group of control participants; 3.22 episodes versus 3.02 episodes, CR difference of 0.20 (95% CI: 0.21 to 0.59).

Risk of Severe Malaria

Figure 2B shows the monthly incidence of severe malaria between the two cohorts. Among children who were chemosuppressed during their first year of life, the distribution of severe malaria episodes is shifted to the right; by the age of 4 y, they experience an incidence rate of 0.32 episodes/PYAR. Children in the placebo group (control participants) had an average of 0.59 (95% CI: 0.48 to 0.71) episodes of severe malaria (Table 1) by the age of 4 y. Figure 3B shows the evolution of the relative rate of having a severe malaria episode during the 4 y of follow-up. As with the pattern for
clinical malaria, the rebound in the risk of severe malaria starts immediately after discontinuing prophylaxis, but in this case is shorter, lasting for approximately 1 y. Thereafter, there is a tendency for the risk of severe malaria to be lower in the chemosuppressed group, but the difference is not significant. By the end of the follow-up period at the age of 4 y, the CR of severe malaria episodes was slightly lower in the intervention cohort of children who had received chemoprophylaxis during the first year (0.59 versus 0.47), with a rate difference of –0.12 (95% CI: –0.27 to 0.03) (Figure 4B).

Risk of Severe Anaemia

Figure 2C shows the monthly incidence of severe anaemia. On average, by the age of 4 y, children will have had 1.12 (95%
(CI: 0.97 to 1.28) episodes of anaemia with a PCV of <25%; nearly half these episodes are in children aged less than 1 y (Table 1). This corresponds to an incidence rate of 0.77 episodes/PYAR.

Figure 3C compares the relative rate of developing anaemia up to the age of 4 y in the two cohorts. During prophylaxis, the anaemia rate was significantly lower in the chemosuppressed group, but there was a tendency for the efficacy of the intervention to decrease over time. Thereafter, there was an increased risk of anaemia in the intervention group that did not equalize until around 30–36 mo of age. Figure 4C shows that the magnitude of the rebound for anaemia is relatively small. By the age of 4 y, chemosuppressed children had experienced a marked reduction in the risk of anaemia, and had a reduced CR (0.93 episodes [95% CI: 0.79 to 0.97] versus 1.12 [95% CI: 0.97 to 1.28]), corresponding to an overall difference of -0.19 (95% CI: -0.40 to 0.01).
Table 1. Incidence of Clinical Manifestation of Malaria by Follow-Up Period

<table>
<thead>
<tr>
<th>Follow-Up Period</th>
<th>Symptoms Manifested</th>
<th>Placebo Group</th>
<th>Chemoprophylaxis Group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Episodes</td>
<td>PYAR</td>
<td>Incidence</td>
</tr>
<tr>
<td><strong>During chemoprophylaxis</strong></td>
<td>Clinical malaria</td>
<td>147</td>
<td>153.0</td>
</tr>
<tr>
<td></td>
<td>Severe malaria</td>
<td>51</td>
<td>159.7</td>
</tr>
<tr>
<td><strong>After chemoprophylaxis</strong></td>
<td>Severe anaemia</td>
<td>119</td>
<td>155.2</td>
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<tr>
<td></td>
<td>Clinical malaria</td>
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<td>469.1</td>
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<tr>
<td></td>
<td>Severe malaria</td>
<td>47</td>
<td>490.5</td>
</tr>
<tr>
<td></td>
<td>Severe anaemia</td>
<td>72</td>
<td>488.5</td>
</tr>
</tbody>
</table>

Risk of Hospital Admissions

Children in the placebo group had, on average, 3.3 hospital admissions during the first 4 y of life (95% CI: 3.03 to 3.60), compared to 3.1 admissions (95% CI: 2.83 to 3.37) for children who had received chemoprophylaxis during the first year. The admission rate was highest in infants and decreased sharply with age (incidence rate of 1.25 admissions/PYAR).

Discussion

This study investigated the development of clinical immunity against malaria during the first 4 y of life in two contemporaneous cohorts of children experiencing *P. falciparum* infections at different ages. A major strength of this study was that, although transmission intensity varied over the course of follow-up, both cohorts were similarly exposed to malaria. The incidence of clinical malaria in children receiving placebo fell gradually during the first 4 y of life, reflecting the natural development of immunity to uncomplicated clinical disease. However, the documented rate of immunity acquisition was slower than expected given the moderate-to-high transmission rate that had been estimated in the area. This may be partly explained by the fact that the study population had prompt access to diagnosis and treatment, which may delay the development of immunity [18,19]. Nonetheless, immunity development against severe malaria and severe anaemia in the group of control participants was faster, and was consistent with the long-standing view that a relatively small number of infections are sufficient to develop reasonably solid protection against the more severe consequences of *P. falciparum* infection [20].

Malaria chemoprophylaxis with Deltaprim in children aged between 2 and 12 mo caused a significant decrease in the risk of febrile malaria, anaemia, hospital admission, severe malaria, and all causes of hospital attendance during the first year of life. However, the reduction in these endpoints decreased with increasing age, approaching zero towards the end of the first year of life. The cause of this trend is unknown. Compliance did not decrease, and it is unlikely that drug resistance would develop in such a short timeframe. It is possible that the use of a standard recommended dose during the prophylaxis period, which was unlinked to a dose per weight of the child, resulted in sub-therapeutic drug concentrations in older children. A hypothetical reduction with age in the synergy between declining maternally transferred immunity and a moderately efficacious drug may also contribute to the loss of drug efficacy during the first months of infancy. However, the loss in efficacy was also apparent in the latter months of infancy when transplacentally transferred antibodies are not expected to remain [21].

During the entire follow-up period, the difference in deaths was not statistically significant (19 versus 13 deaths, *p* = 0.512). There was an increased number of deaths in the chemosuppressed group (ten deaths versus three, *p* = 0.088) in the 2–4 y age group. However, we were unable to obtain information on the causes and circumstances of those deaths. Given that the number of deaths was low, this difference is most likely to be due to chance, although we cannot rule out a potential and transient increased risk in mortality.

These findings have a number of important implications. Firstly, they lend support to the idea that there are a minimum number of episodes that an individual must experience before effective immunity is developed against mild uncomplicated malaria [22,23]. Secondly, it appears that children who start building immunity after the age of 1 y do so at a slower rate than those who start in infancy, explaining the long duration of the rebound. This difference may, in part, be a reflection of the decreasing EIR in the area. However, it is unlikely to be the main factor involved, as it would imply a rapid change in the transmission intensity that would have been reflected by a rapid drop in the malaria incidence in children receiving placebo—and this did not occur.

Thirdly, the results could be interpreted as suggesting that the benefits of chemoprophylaxis in infancy may be negated by the overall slight increase in the number of malaria episodes in the early years of life. The end of chemoprophylaxis was followed by an immediate increase in the incidence of febrile malaria, well beyond the incidence in placebo recipients—a so-called rebound effect. This increased risk continued for at least 18 mo and was large enough that, by the age of 2 y, the cohorts had experienced a similar number of febrile malaria episodes. Some authors have speculated that shifting the age pattern of disease to the right, in other words delaying the acquisition of immunity to older ages, may pose further hazards by increasing the more severe consequences of *P. falciparum* [24,25]. Our data do not support this conclusion, but instead suggest that a delayed acquisition of immunity may lead to a small, but not significant, increase in the cumulative number of malaria episodes and, importantly, to a lower CR of severe malaria. A similar pattern was observed for severe anaemia, which is the
other major life-threatening complication of malaria in young children. In summary, shifting the age pattern of disease to older age groups does not markedly affect the overall number of mild uncomplicated febrile episodes or lead to an increase in severe malaria, and it is associated with an overall decreased CR of severe anaemia.

Finally, the data provide insight into the effects of age on the clinical manifestations of infection. The observed reduction in the CR of severe anaemia in the intervention group provides a strong indication that malarial anaemia is dependent on the age at which infection takes place: children aged less than 1 y had a higher rate of anaemia than older children, as described by previous research [5]. Furthermore, it appears that this rate is not solely a function of acquired immunity or level of endemicity, but must be related to an age-dependent pathophysiological mechanism. Similarly, the CR of severe malaria was slightly lower in those children who had been previously chemosuppressed. Our data indicate that the risk of progressing from a mild to a severe episode of malaria or anaemia is greater in infants than in older children—a finding that has not been documented previously. Thus, delaying immunity acquisition does not appear to translate into increases in disease severity.

These results show that reducing exposure early in life in a very major way, such as through continuous prophylaxis, can slow down the development of clinical immunity and can lead to a rebound in the risk of disease. However, shifting the age pattern of disease may lead to an overall slightly increased cumulative rate of uncomplicated malaria which was not associated with an increase in severe malaria or severe anaemia. The rebound induced by continuous prophylaxis at this early age has not been documented in other exposure-reduction interventions such as insecticide-treated bednets or when administering two or three treatment doses of anti-malarials at routine immunization contacts during the first year of life (intermittent preventive treatment of malaria in infants) [26]. Finally, the results point at the intrinsic effect of young age in determining the risk of severe anaemia and of severe malaria and the need to target interventions that prevent malaria in young children and, particularly, in infants.

Supporting Information
Protocol SI. Details of the Statistical Models Used in the Study Found at doi:10.1371/journal.pmed.0040242.s001 (85 KB DOC).

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We are grateful to the parents and guardians of the children who participated in the study. We also thank the staff of the SFDDH and the Ifakara Health Research and Development Centre. Our thanks are also extended to the hospital superintendent, P. Kibata, and to the District Medical Officer, F. Lewila. We thank the invaluable collaboration of Dr. Margarita Navia and Ms. Carolyin Daher in the revision of this manuscript. The Ifakara Health Research and Development Centre received general core funding from the Swiss Agency for Development and Cooperation.

Author contributions. JJA, CM, EK, HM, MT, and PLA designed the study. JJA, MT, and PLA analyzed the data. CM, DS, and EK enrolled patients. JJA, CM, DS, EK, HM, MT, and PLA contributed to writing the paper. EK, HM, and PLA collected data or performed experiments for this study. DS helped to coordinate the team that recruited and followed-up children in Ifakara. EK was involved in the recruitment of children for whom baseline data were collected through both clinical and laboratory tests. EK supervised the field work concerning weekly malaria chemoprophyaxis, and performed follow-up in cross-sectional surveys where physical examination of children was done and laboratory tests were taken. EK also performed follow-up in passive case detection if the child became ill and needed clinical attention. PV was involved in the study design and data management, and supported the statistical analysis. MT assisted in coordinating the whole programme of which this paper is part.

References

Age and Acquired Immunity to P. falciparum

Editors’ Summary

**Background.** Malaria is a life-threatening disease caused by *Plasmodium falciparum*, a parasite that is transmitted from person to person by infected mosquitoes. People living in areas where malaria is common (malaria-endemic regions) gradually acquire immunity to this parasite through repeated exposure to it, but the development of this immunity is complex. As with other infectious diseases, the immune system has to learn how to recognize and attack the parasite so that parasitaemia (the number of parasites in the blood) is kept to a minimum. In addition, because several symptoms of clinical malaria (for example, the characteristic fever) are side effects of the immune system’s response to the parasite, it also has to learn not to overreact to this foreign invader. Consequently, in malaria-endemic areas, where individuals first become infected with *P. falciparum* as infants, many children develop severe malaria and anemia (parasite-induced killing of red blood cells) during their first 5 y of life. Later, as their immunity to *P. falciparum* develops, they experience less severe disease and reduced parasitaemia.

**Why Was This Study Done?** Little is known about how quickly immunity to *P. falciparum* is acquired or how age affects this process. This study was done to document the long-term effects of malaria prophylaxis given to young Tanzanian infants. More specifically, the researchers examined whether and how prophylaxis affects the rate of acquisition of malaria immunity, and whether a possible delay in immunity would be associated with more severe forms of malaria in children.

**What Did the Researchers Do and Find?** Infants in Ifakara, a town in Tanzania, received either antimalarial tablets or placebo (inactive) tablets every week between the ages of 2 and 12 mo. Cases of clinical malaria (parasitaemia and fever), severe malaria (parasitaemia plus dehydration, breathing problems, impaired consciousness, or low blood sugar), and anemia among the children were recorded until they were 4 y old. During their first year, the children receiving antimalarial drugs had fewer episodes of malaria, severe malaria, and anemia than the children receiving the placebo. After the antimalarial drugs were stopped, cases of clinical malaria, severe malaria, and anemia were more frequent among the treated children than among the control children for the next 2 y. By the time they were 4 y old, the treated children had had slightly more episodes of clinical malaria in total than the control children, but slightly fewer episodes of severe malaria and anemia.

**What Do These Findings Mean?** These findings show that reducing exposure to *P. falciparum* in early life with continuous malaria prophylaxis delays the acquisition of immunity to the parasite. They also show that infants acquire this immunity slightly faster than do older children, but that this speedier acquisition of immunity is accompanied by a slightly increased risk of severe malaria and anemia. Because these findings may, in part, reflect a local decrease in malaria transmission that occurred during the study, they need confirming in other settings and with many more children. Nevertheless, they suggest that although age at first exposure to *P. falciparum* helps to determine both the rate at which immunity is acquired and the severity of disease caused by the parasite, delaying the acquisition of immunity through early prophylaxis is unlikely to have long-term negative health effects. This information will help public-health experts to plan prophylactic interventions designed to control malaria transmission in endemic regions.

**Additional Information.** Please access these Web sites via the online version of this summary at http://dx.doi.org/10.1371/journal.pmed.0040242.

- US Centers for Disease Control and Prevention information on malaria and on immune responses to malaria (in English and Spanish)
- MedlinePlus encyclopedia page on malaria (in English and Spanish)
- Information from the World Health Organization on malaria (in English, Spanish, French, Russian, Arabic, and Chinese)
- Short articles from the Wellcome Trust on immunity to malaria and on malaria and the human immune system