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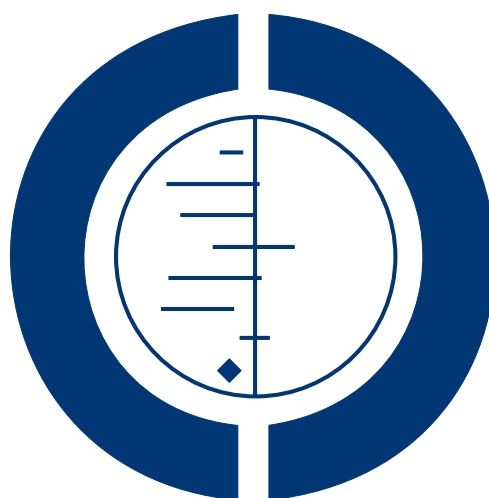
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Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease (Review)

Granger RH, Walters JAE, Poole P, Lasserson TJ, Mangtani P, Cates CJ, Wood-Baker R



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[Intervention Review]

Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

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ABSTRACT

Background

As chronic obstructive pulmonary disease (COPD) progresses, exacerbations can occur with increasing frequency. One goal of therapy in COPD is to try and prevent these exacerbations, thereby reducing disease morbidity and associated healthcare costs. Pneumococcal vaccinations are considered to be one strategy for reducing the risk of infective exacerbations.

Objectives

To determine the safety and efficacy of pneumococcal vaccination in COPD. The primary outcome assessed was acute exacerbations. Secondary outcomes of interest included episodes of pneumonia, hospital admissions, adverse events related to treatment, disability, change in lung function, mortality, and cost effectiveness.

Search strategy

We searched the Cochrane Airways Group COPD trials register using pre-specified terms. We also conducted additional handsearches of conference abstracts. The last round of searches were performed in April 2006.

Selection criteria

Only randomised controlled trials assessing the effects of injectable pneumococcal vaccine in people with COPD were included.

Data collection and analysis

Two review authors independently extracted data and three review authors independently assessed trial quality.

Main results

Although 10 studies cited in 11 publications were identified that met the inclusion criteria for this review, only four of these provided data on participants with COPD. The studies which did provide data for this review consisted of two trials using a 14-valent vaccine, and two using a 23-valent injectable vaccine.

Data for the primary outcome, acute exacerbation of COPD, was available from only one of the four studies. The odds ratio of 1.43 (95% confidence interval (CI) 0.31 to 6.69) between interventions was not statistically significant.

Of the secondary outcomes for which data were available and could be extracted, none reached statistical significance. Three studies provided dichotomous data for persons who developed pneumonia (OR 0.89, 95% CI 0.58 to 1.37, n = 748). Rates of hospital admissions and emergency department visits came from a single study. There was no significant reduction in the odds of all-cause mortality 1 to 48 months post-vaccination (Peto odds ratio 0.94, 95% CI 0.67 to 1.33, n = 888), or for death from cardiorespiratory causes (OR 1.07, 95% CI 0.69 to 1.66).

Authors' conclusions

There is no evidence from randomised controlled trials that injectable pneumococcal vaccination in persons with COPD has a significant impact on morbidity or mortality. Further large randomised controlled trials would be needed to ascertain if the small benefits suggested by individual studies are real.

PLAIN LANGUAGE SUMMARY

Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

There is strong evidence that vaccines can protect healthy persons against infection by the pneumococcus bacteria, but little is known about the effectiveness of the vaccine in persons with chronic obstructive pulmonary disease (COPD). The results from the four randomised controlled trials included in this review with 941 participants do not show that pneumococcal vaccination provides significant protection against disease caused by the bacteria.

BACKGROUND

Chronic obstructive pulmonary disease (COPD) is a common disease of older people characterised by airflow obstruction that is largely irreversible. According to World Health Organization estimates, COPD is the fourth leading cause of death worldwide resulting in more than 2.7 million deaths in 2000 (NHLBI 2001). As the disease progresses, exacerbations, some of which are infective in origin, can occur several times per year, and may require hospital admission. These exacerbations can take several weeks to resolve, and during this time cause considerable morbidity as well as leading to significant health care costs. Medicines have a limited role in the treatment of acute exacerbations and strategies that reduce exacerbation rates are therefore very appealing.

To reduce the burden of illness, pneumococcal vaccination is recommended by the major COPD guidelines (Balter 1994; NCCCC 2004), although it is not endorsed by some authorities (Chapman 1991; BTS 1997; Pauwels 2001). This recommendation appears to have been based largely on results of the efficacy of pneumococcal vaccination in observational studies in general populations, and in randomised clinical trials (RCT) in persons without COPD. Pneumococcal infection is a major cause of pneumonia, resulting in over one million deaths per year worldwide.

Streptococcus pneumoniae (pneumococcus) is one of two organisms commonly isolated from the sputum during exacerbations of COPD, the other being *Haemophilus influenzae*. Both a large indirect cohort study (Butler 1993) and a meta-analysis (Fine 1994) of pneumococcal vaccination have confirmed protection against invasive bacteraemic disease.

This systematic review evaluates the evidence for the efficacy of injectable pneumococcal vaccines in persons with COPD in randomised and controlled clinical trials.

OBJECTIVES

1. To determine if pneumococcal vaccination reduces respiratory illness (acute exacerbations of COPD or pneumonia) in people with COPD.
2. To ascertain whether pneumococcal vaccination in people with COPD is associated with excess adverse events.
3. To ascertain whether pneumococcal vaccination in people with COPD reduces mortality.

4. To ascertain whether pneumococcal vaccination in people with COPD reduces health care costs.

5. Change in lung function.
6. Adverse effects of treatment.
7. Costs of pneumococcal vaccination (including acquisition, cost savings, health economics).

METHODS

Criteria for considering studies for this review

Types of studies

Only randomised controlled trials (RCT) using injectable pneumococcal vaccines were included in the review.

Types of participants

Adults with COPD defined by the American Thoracic Society (ATS 1995). This statement recognises people with a significant smoking history are at risk of developing COPD and fundamental to the diagnosis is the demonstration of airflow obstruction by lung function testing. This is demonstrated by spirometric measures; Forced expiratory volume in one second (FEV1)/Forced vital capacity (FVC) < 0.7 and FEV1 < 80% predicted.

Types of interventions

At least one injectable pneumococcal vaccination.

Types of outcome measures

The outcomes sought in the trials are divided into those of primary and secondary interest.

Primary outcomes

The number of acute exacerbations of COPD, defined as an increase in breathlessness and/or volume and/or purulence of sputum.

Secondary outcomes

1. The number of episodes of pneumonia.
2. The number of hospital admissions or visits to the emergency department.
3. Mortality in the year following vaccination. This may include mortality from respiratory disease, all causes, and causes other than respiratory disease.
4. The number of days of disability from respiratory illness variously defined as days in bed, days off work or days when the participant was unable to undertake normal activities.

Search methods for identification of studies

We identified RCTs using the Cochrane Airways Group Specialised Register of trials which is derived from systematic searching of electronic databases including CENTRAL, MEDLINE, EMBASE and CINAHL, and handsearching of respiratory journals and meeting abstracts.

We searched all records in the Specialised Register coded as 'COPD' using the following terms: (vaccin* or immuni*) and pneum*

An advanced search of the Cochrane Central Register of Controlled Trials (CENTRAL) was also carried out using these terms. From the full text papers obtained, we searched the bibliographic lists for additional articles.

Searches are current as of April 2006.

Data collection and analysis

We obtained the full text version of all identified articles for assessment of relevance. From the full text articles, the two review authors (PM or RG and RWB) independently established whether each study met the inclusion criteria as a RCT or CCT of pneumococcal vaccination in COPD, and that some data on one of the primary endpoints were included in the paper. The percentage agreement was recorded and disagreement was resolved by discussion between the two review authors.

It was anticipated that the follow-up period in the trials would be 12 months. If this was not the case, event rates were corrected as if they applied to a 12 month period, although this assumes that the event rate is constant over that time period.

Three review authors (RWB, RHG, JW) agreed the format of the data extraction sheets. Data was extracted by two review authors (RWB, RHG) to data extraction sheets of an agreed format, and then entered into RevMan 4.2. A third review author (JW) verified data extraction. Two review authors (RHG, JW) independently double-checked each entry.

Assessment of Study Quality

Three review authors (RWB, RHG, JW) independently assessed quality by three review authors (RWB, RHG, JW) using two methods. First, using the Cochrane approach to assessment of allocation concealment, all trials were scored and entered according to the following variables:

A: ADEQUATE if there was true randomisation i.e. a central randomisation scheme, randomisation by external person or use of coded containers/envelopes;

B: UNCLEAR it is not possible to establish the method of allocation concealment based on the published article and/or from correspondence with the trialists;

C: INADEQUATE if there was alternate allocation, reference to case record number, date of birth, day of the week, or an open test or random numbers;

D. NOT RANDOMISED e.g. a case control or cohort study

In addition, we assessed each study using a scale (scores 0 to 5) based upon the method described by Jadad (Moher 1996) and summarised as follows:

1. Was the study described as randomised (1 = yes; 0 = no)?
2. Was the study described as being double blind (1 = yes; 0 = no)?
3. Was there a description of withdrawals and dropouts (1 = yes; 0 = no)?
4. Was the method of randomisation well described and appropriate (1 = yes; 0 = no)?
5. Was the method of double blinding well described and appropriate (1 = yes; 0 = no)?
6. Deduct 1 point if methods for randomisation or blinding were inappropriate.

Inter-rater reliability was measured using simple agreement, kappa and weighted kappa statistics.

In addition it was noted, if stated in the published results, whether the study outcomes were assessed by a person who was blinded to the treatment allocation.

Analysis

Although other study designs are referred to, only data for RCTs are analysed in this review. Only dichotomous outcomes were available for analysis, and were assessed using the following statistical techniques:

- Odds ratios (OR) were calculated with 95% confidence intervals using Peto's methods.
- Event rates are expressed as rate ratios, which is the ratio of the rate in the intervention group to the rate in the control group. The rate ratio was subsequently converted into a natural logarithm before entering into RevMan. A correction of 0.5 was added to each count where there were cells with zero events. An approximate standard error of the log rate ratio was calculated with $\sqrt{(1/A + 1/C)}$, where A is the rate for the intervention group, and C is the rate for the control group.
- Funnel plots which display sample size against effect size were checked, where possible, to test for publication bias.

We carried out tests for heterogeneity during RevMan analyses. If the percentage variation not attributable to chance exceeded 30%, a random-effects analysis was used to determine the impact of between study variation on the overall pooled estimate. If significant heterogeneity existed we performed sensitivity analysis using study quality as a categorising variable. If the heterogeneity was not sufficiently accounted for by study quality, we identified the following sub-group analyses *a priori*:

1. vaccine type;

2. severity of COPD (assessed by lung function; mild = FEV1 50 to 79% predicted, moderate = FEV1 35 to 49% predicted and severe = FEV1 < 35% predicted);

3. setting of study;

4. match between strain of vaccine and infecting strains;

5. age of patients.

RESULTS

Description of studies

See: [Characteristics of included studies](#); [Characteristics of excluded studies](#).

Results of the search

Searches conducted in 2003 of the Airways Group COPD trials register yielded a total of 46 references. However, additional hand searching of conference proceedings and bibliographies of published articles identified a further 27 references that were subsequently retrieved. Of the 73 articles, 65 did not meet the inclusion criteria (review article: N = 25; cohort or case controlled study: N = 12; inappropriate patient population: N = 3; participants unlikely to have had COPD: N = 6; retrospective studies of efficacy or isolates: N = 3; cost benefit or effectiveness analysis: N = 3; study of antibody response: N = 2; position paper: N = 1; editorial: N = 1; inappropriate comparison: N = 1; inappropriate randomisation: N = 1; meta-analysis: N = 1; trial of immunization rates: N = 1; case report: N = 1; survey: N = 1; duplicate publication: N = 1; correspondence: N = 1; commentary: N = 1); six contained participants with COPD for which no data were available for this sub-group, and two trials met all criteria and for which data could be extracted for this review. A further search of the Airways Group trials register in April 2004 yielded an additional 16 citations of which 15 failed to meet the inclusion criteria (totally irrelevant: N = 8; inappropriate intervention: N = 2; inappropriate (oral) vaccination: N = 2; study of antibody response: N = 1; inappropriate comparison: N = 1; commentary: N = 1). A further search in 2006 produced one further publication of interest.

For full details of exclusions, see [Characteristics of excluded studies](#).

Included studies

For specific details of each study included in the review, see [Characteristics of included studies](#).

Two studies required translation into English based upon a standardised translation pro forma used by the Airways Group: [Gaillat 1985](#) from French, and the pre-published report of [Alfageme 2006](#) from Spanish.

Of the 10 studies identified that included participants with chronic lung disease (in 11 citations; [Davis 1984](#) briefly cites preliminary results of the study published later in [Davis 1987](#)), only four studies could be included in the analyses. The four randomised controlled trials of pneumococcal vaccines included a total of 937 participants with outcome data for COPD participants ([Alfageme 2006](#); [Davis 1987](#); [Leech 1987](#); [Steenstof 2006](#)). Two of these studies used 23-valent pneumococcal vaccines ([Alfageme 2006](#); [Steenstof 2006](#)) while the other two studies used 14-valent pneumococcal vaccines ([Davis 1987](#); [Leech 1987](#)). Three of the four trials were placebo-controlled, while the participants in [Alfageme 2006](#) were randomised to receive either vaccination or no vaccination and thus by definition was only single-blind.

The six trials that included persons with chronic lung diseases for which outcome data were unavailable are [Gaillat 1985](#), [Klustersky 1986](#), [Koivula 1997](#), [Ortqvist 1998](#), [Riley 1977](#) and [Simberkoff 1986](#). All authors responded to our requests for sub-group analyses for COPD participants, though none were able to provide data. The six studies were conducted in mainly elderly and/or chronically ill participants of whom a proportion had chronic lung disease. [Riley 1977](#) included 11,958 participants in the highlands of Papua New Guinea, some of which had “chronic lung disease”. The proportion of persons with lung disease is not stated. [Gaillat 1985](#) reports results on 1,686 persons living in aged care facilities in France. The author indicated that it was highly likely that persons with COPD were among the studied participants, though no analyses are available for this subgroup. The proportion of persons with lung disease is not stated. The study by [Klustersky 1986](#) was conducted in 50 persons with bronchogenic carcinoma. The author mentioned that many of these persons had COPD, though the original analyses did not stratify by this group. [Simberkoff 1986](#) studied 2,295 high risk individuals from north-eastern USA, and which included persons with chronic pulmonary diseases (23.4% of all participants). The single-blinded study by [Koivula 1997](#) was conducted in 2,837 elderly participants living in Sweden, and included participants with “lung disease” (4.5% of all participants). The trial by [Ortqvist 1998](#) studied 691 non-immunocompromised participants aged 50 to 85 years from Sweden, of whom 21.7% had self-reported chronic pulmonary disease.

It is worthwhile noting why one particular study ([Halasa 2001](#), written in the Polish language) was not included in this review. It is a double blind, placebo controlled trial with 24 patients who had non-atopic infectious asthma and COPD. Phase 1 of the trial was a parallel study, with phase 2 being a cross-over study. Although the placebo used a saline injection, the reason for exclusion was that the intervention used an injectable auto vaccine prepared from pathogenic and physiological bacterial strains. The study protocols strictly limited the injectable vaccine to a standardised dose of either 14- or 23-valent pneumococcal antigen.

The following descriptions refer only to the four studies which met the inclusion criteria for this review. Further details are available in the table for ‘Characteristics of included studies’.

Study setting and design

All studies were conducted in a community setting.

All four studies were randomised, parallel group trials. [Davis 1987](#) allocated participants to treatment groups using random number tables. [Steenstof 2006](#) used block randomisation to assign participants to either one of three treatment groups or to the control group, and is not described as a double-blind study. [Alfageme 2006](#) assigned participants in blocks of 10 per group by means of a random number generator. [Leech 1987](#) does not describe the randomisation methods. Blinding was briefly described for [Davis 1987](#), [Leech 1987](#), and [Steenstof 2006](#) clearly describes its method of allocation concealment.

Patient population

The common inclusion criterion for each of the four studies was for participants to have COPD, and the common exclusion criteria was previous pneumococcal vaccination. [Davis 1987](#) included 103 COPD participants with disease status determined by clinical and pulmonary function criteria (not further defined). The average FEV1 in the active group was 1.33 L. Participants were followed for 24 to 32 months. [Leech 1987](#) recruited 189 participants with COPD (FEV1 < 1.5 L) diagnosed by a physician. The average FEV1 for the active group was 0.94 L. Participants were followed for a total of two years. The subjective nature of the diagnoses made in both of these studies, together with the absence of reversibility testing, does not exclude the possibility that study samples were contaminated with asthmatic participants. For details of lung function parameters at baseline in both studies please see “Characteristics of included studies”. [Alfageme 2006](#) recruited 600 participants with a loss of four to follow up. Of those in the active group, 44% of experimental participants had an FEV1 < 40% expected (38% in the control group). Thirty females were included in the study. The study by [Steenstof 2006](#) included a total of 49 participants (27 male) and was primarily aimed at assessing the efficacy of pneumococcal vaccination with a co-intervention of steroid treatment. Participants were assigned to one of three intervention groups that differed according to the timing, duration and dose of systemic steroid treatment. The control group was not given a vaccine, and it is assumed that it also did not receive any steroid treatment. COPD was diagnosed according to GOLD criteria.

Age: The average age of participants was similar in all studies (mid to high 60's).

Tobacco smoking status: [Davis 1987](#) describes 53% as current smokers in the vaccine group versus 33% in placebo, with five never smokers in each group. In [Alfageme 2006](#), there were 65 current smokers in the vaccine group and 77 in the control group. [Steenstof 2006](#) describes 46% of the participants in the intervention groups (n = 37) and 58% of the controls (n = 12) as being current smokers with the rest being previous smokers.

Co morbidities: [Davis 1987](#) indicates that the number of patients were similar in both groups for cardiovascular disease, diabetes, and history of excessive alcohol consumption. [Alfageme 2006](#) shows no difference between groups in terms of previous pneumonia or tuberculosis. There was also no between-group difference in detection of neoplasia during follow-up. [Steentoft 2006](#) and [Leech 1987](#) do not report comorbid conditions.

Drop-outs: For [Leech 1987](#), 23 persons in total were lost to follow up (group allocation not reported); [Alfageme 2006](#), two persons from each group lost to follow up; dropouts in [Davis 1987](#) and [Steentoft 2006](#) were not explicitly stated, giving the appearance that there were none.

Intervention

[Davis 1987](#) and [Leech 1987](#) used injectable vaccines of 14-valent pneumococcal capsular antigens in the active groups, with sterile physiologic saline placebo for the control groups. [Davis 1987](#) injected a standard dose of the 14 valent pneumococcal capsular antigens (0.5 ml containing 50 mcg of each of the 14 capsular antigens, totaling 700 mcg) . The dose was not stated for [Leech 1987](#), though it is assumed to be the same as that given in [Davis 1987](#). [Steentoft 2006](#) and [Alfageme 2006](#) used 0.5 ml of 23-valent pneumococcal vaccines for the treatment groups (containing 25 mcg of each antigen totaling 575 mcg). In both of these studies, the vaccine was withheld from the control groups instead of the administration of a placebo and are therefore single-blind studies by definition. Injections in all studies were given subcutaneously. Participants were followed up for 48 months post-vaccination in [Davis 1987](#) , 24 months in [Leech 1987](#) and six months for [Steentoft 2006](#). The median study duration for [Alfageme 2006](#) was approximately 980 days.

Outcomes

Available outcome data are summarised in the 'Additional Tables'. All studies except [Steentoft 2006](#) provided mortality data. The incidence of pneumonia was available from [Davis 1987](#), [Alfageme 2006](#), and [Steentoft 2006](#). In addition, [Davis 1987](#) and [Leech 1987](#) measured antibody titers. As this review is concerned with clinical rather than biological outcomes, these data were not extracted. [Leech 1987](#) also recorded emergency visits, admission to hospital, length of hospital stay and adverse events. No data are available for length of stay and adverse events.

Risk of bias in included studies

For the four studies in the review, quality was assessed using two methods:

1. Adequacy of concealment

Based on the published articles, it was possible to establish the method of allocation concealment for only one of the four studies ([Steentoft 2006](#)). This study was given an A grade while the remaining studies received a B. The trialists were not contacted for more details on this particular issue.

2. Jadad Score

One study had a score of 4/5 ([Davis 1987](#)), two studies 3/5 ([Leech 1987](#), [Alfageme 2006](#)) and one with a score of 2/5 ([Steentoft 2006](#)).

Effects of interventions

After extensive electronic and handsearching, we located 11 citations (reporting 10 studies) that met the inclusion criteria. Of these 10 studies, only four were conducted on participants where COPD was an inclusion criterion for study participation. In these four studies, there was a total of 937 case-available participants. The other six papers reported trials of elderly and /or chronically ill patients of whom a subset had chronic lung disease, but for which no data was available to include in this review. With one exception, data is displayed in the tables of comparisons by the number of capsular polysaccharide antigens used in the vaccine (greater than 14 versus 14 or less). The exception is for the pneumonia outcome, where provision is made for stratifying results according to baseline lung function. No data was available for 4 of the 8 proposed outcomes: days of disability; change in lung function; adverse effects of treatment; and cost of pneumococcal vaccination.

Only dichotomous data are reported, as no continuous data for the outcomes of interest were available. The generic inverse variance methodology was used for determining rate ratios for intervention and control groups for two outcomes (hospital admissions and emergency department visits). Ninety five percent confidence intervals (95% CI) were applied to fixed odds ratios (OR) and fixed rate ratios (RR). No meta-analyses included data that were derived from all four studies.

Primary outcome

Acute exacerbations

Only [Steentoft 2006](#) provided data for participants who experienced acute exacerbations of COPD. There was no significant difference between vaccination and placebo for this outcome, OR 1.43 (95% CI 0.31 to 6.69). [Leech 1987](#) provided hospital admission rates due to acute exacerbations (rate ratio 0.83), but this also found no significant difference between interventions.

Secondary outcomes

Pneumonia

Davis 1987, Alfageme 2006, and Steentoft 2006 reported data for participants suffering one or more episodes of pneumonia. Overall, there was no significant difference between intervention and control groups, odds ratio 0.89 (95% CI 0.58 to 1.37). Only Alfageme 2006 reported results by COPD severity: vaccinated persons with FEV1 < 40% predicted at baseline had an odds of contracting pneumonia of 0.47 (95% CI 0.22 to 1.01) compared to control participants, whereas vaccinated participants with a FEV1 ≥ 40% predicted had an odds ratio of 1.12 (95% CI 0.5 to 2.49) compared to control. Leech 1987 provided rates of hospital admissions and emergency visits due to pneumonia (rate ratio 1.98 and 0.99 respectively, neither reaching statistical significance).

Hospital admissions

Leech 1987 and Steentoft 2006 reported details of hospitalisation, but it was not possible to combine the data in a meta-analysis. Leech 1987 reported rates of hospital admissions for pneumonia (rate ratio 1.98, 95%CI 0.66 to 5.91), for acute exacerbations (rate ratio 0.83, 95% CI 0.54 to 1.27), and for all causes (rate ratio 1.01, 95% CI 0.72 to 1.41), none of which were significantly different between intervention and control groups. Steentoft 2006 reported the actual numbers of participants admitted to hospital for the three intervention and single control groups. Once again no significant difference was found between interventions (OR 0.95, 95% CI 0.26 to 3.48).

Emergency department visits

Only Leech 1987 reported results for emergency department visits. As for hospital admissions, no significant difference between vaccination and control groups was found for emergency visits due to pneumonia (rate ratio 0.99; 95% CI 0.52 to 1.88), lower respiratory tract infections (rate ratio 1.00, 95% CI 0.75 to 1.33), or upper respiratory tract infection (rate ratio 1.29, 95% CI 0.68 to 2.47). There was no significant difference between interventions for all-cause visits to the emergency department, rate ratio 1.15 (95% CI 0.68 to 2.47).

Mortality

Three studies (Davis 1987; Leech 1987; Alfageme 2006) that included 888 participants provided data for death from all causes. There was no significant difference between interventions for all cause mortality, OR 0.94 (95% CI 0.67 to 1.33), or death from cardiorespiratory causes, OR 1.07 (95% CI 0.69 to 1.66). With respect to mortality, the follow-up period for each of these three studies differed. Davis and Alfageme followed participants

up to 48 months, while Leech followed participants for 24 months. None reported mortality statistics for the first 12 months only.

Other secondary outcomes

None of the studies reported data for disability, change in lung function, adverse effects nor costs associated with pneumococcal vaccination. However, Leech stated "There were no adverse reactions to pneumococcal vaccine" and Alfageme indicated that "no patient reported any local or systemic reaction to the vaccine". Davis 1987 and Steentoft 2006 do not make any specific reference to adverse effects, although Davis states that participants had a "normal response to the vaccine", which is believed to refer to antibody responses.

DISCUSSION

In this systematic review, we have included four RCTs which report the effects of injectable pneumococcal vaccination in persons with COPD. There were no statistically significant findings from this review to indicate whether pneumococcal vaccination provides protection against acute exacerbations or pneumonia in persons with COPD.

Unfortunately the outcomes reported in the studies allowed few to be combined in any of the meta-analyses we performed. Rates of hospital admissions and emergency department visits were derived from only one study (Leech 1987). The methodological quality of this study involving 189 participants was acceptable, but failed to show any difference in rates between the two interventions. The inability to detect a difference may have been due to a combination of small sample size, and a low frequency of pneumococcal infection. The authors performed a power calculation which suggested that at least 500 participants in each group would have been required to show a statistically-significant risk reduction of 40% for pneumococcal infection. In addition, the authors also suggest that the low infection rates in this population may have been due to naturally-acquired antibodies from prior infections, effectively diminishing the apparent efficacy of the vaccine.

Davis 1987 also had a small number of participants with COPD in the trial (n = 103). Of interest is the prevalence of pre-vaccination isolation of pneumococcus from sputum (active = 9%; placebo = 13%) compared to post-vaccination isolation (active = 13%; placebo = 7%). The placebo group in this study had an estimated post-intervention rate of pneumonia of 49/1000 pt-yrs, versus 30.3/1000 pt-yrs in the active group. Interpretation of these results is confounded by the difference between the two groups for rates of pneumococcal pneumonia prior to the study (placebo = 22.9/1000 pt-yrs, active 13.8/1000 pt-yrs) and any pneumonia (placebo = 57/1000 pt-yrs, active 35/1000 pt-yrs).

The largest study is by [Alfageme 2006](#), though it does not appear to have the same methodological rigour as that of the others. The study was initially identified in a hand-search of abstracts from conference proceedings, with preliminary results obtained from direct contact with the authors. The full results of the study were formally published in 2006. It could be argued that since the single-blind study design failed to include a placebo comparison, it is inappropriate for inclusion in this review. It is nonetheless a randomised trial where one group of patients were allocated to receive the 23-valent vaccine, and the other (control) group allocated to receive no intervention. Even with the limitations inherent with this study design, the results are in keeping with the other trials of injectable vaccines. If gender is thought to modify the biological activity of the vaccine, the generalisability of the results may be limited since only 5% of the participants were female. As cigarette smoking is widely recognized as the single biggest risk factor in the development of COPD, the imbalance of gender in this study may simply be a reflection of the imbalance of male and female smokers in the country where the study was conducted (Spain). Across all studies, there were insufficient data to stratify results by gender.

[Steenfot 2006](#) was the smallest of the four studies ($n = 49$), and interpretation of the results are complicated by the fact that there was a co-intervention requiring participants to be placed into one of three steroid treatment groups ($n = 13, 9, 15$) or a control group. It appears that the researchers in this study were particularly interested, as were those in [Leech 1987](#) and [Davis 1987](#), in biological outcomes such as antibody levels.

Disappointingly, analysis by severity of COPD was possible for only one study ([Alfageme 2006](#)). In addition, no studies provided data for side effects of the vaccination under investigation, other than stating that the vaccines were well tolerated.

There were a further six RCTs that could have contributed data for this review if sub-group analyses had been available for the participants with COPD. In the study by [Klastersky 1986](#), all participants were admitted with bronchogenic carcinoma and it is likely some of these would have had coexistent COPD. Most other studies excluded persons with malignancies. There were no differences in clinical outcomes (11.5% in the vaccine group versus 19% in the placebo developed pneumococcal infections) between the interventions. The study by [Gaillat 1985](#) undertaken among residents living in aged-care facilities favoured vaccination with respect to pneumonia, though there was no reduction in risk of mortality. In the single-blind study of elderly participants by [Koivula 1997](#), the overall results showed no reduction in events of pneumonia between interventions. A sub-group analysis showed a protective effect of pneumococcal vaccination in those persons at increased risk of pneumonia (age ≥ 70 years, heart disease, lung disease, bronchial asthma, alcoholism, institutionalised, or permanently bedridden). The protective efficacy, defined as $100 \times (1 - \text{odds ratio of having been vaccinated})$, was 59% (95% CI 6

to 82%) in this increased risk sub-group. This finding is not in keeping with that of [Simberkoff 1986](#), who conducted a study in 2295 high-risk patients aged > 50 years and found no difference in events of pneumonia or bronchitis. Persons at high risk of pneumonia in this population were defined by having one or more of the following risk factors: age > 55 , chronic renal, hepatic, cardiac or pulmonary diseases, alcoholism, or diabetes mellitus. The study by [Riley 1977](#) in 11,958 persons in the highlands of Papua New Guinea showed a reduction in deaths from respiratory causes, though the vaccine had little efficacy in protecting against lower respiratory tract infections. The trial by [Ortqvist 1998](#) in persons aged 50 to 85 years was unable to show a reduction in risk of pneumonia, pneumococcal pneumonia, and mortality for the vaccine group compared to those receiving a saline placebo.

Studies using a retrospective, case-control design have found that pneumococcal vaccination has an efficacy of approximately 50 to 80% against invasive pneumococcal disease in high risk populations ([Fedson 1994](#); [Leophonte 2001](#)). The data derived from such studies often included persons with chronic lung conditions, though analyses were not limited to persons with only COPD. Prospective cohort studies have generally failed to show reductions in the risk of non-bacteraemic infections, though protection against bacteraemia has been demonstrated ([Jackson 2003](#)). An indirect cohort study conducted by the Centers for Disease Control and Prevention documented an efficacy of 65% (95% CI 26% to 83%) in persons with chronic pulmonary diseases ([Butler 1993](#)). This study utilised cases of pneumococcal infection, and compared the distribution of pneumococcal serotypes causing infection in those who were vaccinated and unvaccinated. Regardless of design, most studies have found that the protective efficacy of vaccination is uniformly diminished in the elderly and immunocompromised.

Pneumococcal vaccination in COPD is generally advocated in clinical guidelines by the internationally-recognised thoracic societies. The American Thoracic Society together with the European Respiratory Society released guidelines in June 2004, stating that "vaccination against pneumococcal disease reduces bacteraemia in vaccinated patients with pneumonia. The vaccination is indicated for all elderly patients depending on national recommendations" ([ATS 2004](#)). Guidelines from the UK's National Institute of Clinical Excellence (NICE) state that "pneumococcal vaccination and an annual influenza vaccination should be offered to all patients with COPD as recommended by the Chief Medical Officer" ([NICE 2004](#)). The COPDX guidelines for Australia and New Zealand state that "pneumococcal vaccination (polyvalent covering 23 virulent serotypes) is recommended in this group. The vaccination should be repeated five-yearly. There is no evidence or rationale for vaccinating more frequently in COPD" ([McKenzie 2003](#)). There is less enthusiasm for such a recommendation by the Global Initiative for Chronic Obstructive Lung Disease (GOLD) guidelines published jointly by the USA's National Heart Lung

Blood Institute and the World Health Organization. This guideline suggests that “pneumococcal vaccine containing 23 virulent serotypes has been used but sufficient data to support its general use in COPD patients are lacking” (NHLBI 2001). Our findings from the available RCT evidence is that injectable polyvalent vaccines have not been shown to provide significant protection against morbidity and mortality in persons with COPD.

Methodological limitations

The total number of participants that contributed data to this review was 937 participants. Given the small number of trials and few participants, it is not possible to exclude the possibility that vaccination is efficacious for the outcomes investigated. As Fedson et al indicate in their commentary (Fedson 1994), it is possible that one reason clinical trials have failed to show a benefit from pneumococcal vaccination is an overestimation of the incidence of pneumococcal infections in the study population, rather than a lack of vaccine efficacy per se. Another possibility is the small participant numbers for most outcomes; as Leech 1987 suggested, participant numbers close to 1000 would be needed to demonstrate whether some findings from individual studies are indeed statistically significant. We were able to include numbers of this magnitude for a minority of our outcomes.

AUTHORS' CONCLUSIONS

Implications for practice

The limited evidence from RCTs included in this review of persons with COPD found no significant difference for morbidity or mortality between those injected with pneumococcal vaccination and those who served as controls.

Implications for research

The evidence of efficacy for pneumococcal vaccination in COPD is derived from RCT level evidence, which is conflicting. Such evidence is limited by few randomized trials, small sample size, utilisation of post hoc or sub-group analyses, and inconsistent results. The recommendations in clinical practice guidelines may have been based largely on evidence in participants different to the target population (persons with COPD). What is needed to confirm efficacy of pneumococcal vaccination in COPD are large, adequately powered randomised placebo-controlled trials using participants with COPD.

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* Indicates the major publication for the study

CHARACTERISTICS OF STUDIES

Characteristics of included studies *[ordered by study ID]*

Alfageme 2006

| | |
|---------------|---|
| Methods | <p>Setting of study: Population-based intervention</p> <p>Study design: RCT parallel</p> <p>Jadad scoring system:</p> <ul style="list-style-type: none"> -Described as randomized? Yes -Described as double blind? No -Described withdrawals/dropouts? Yes -Randomization described, appropriate? Yes -Blinding described, / appropriate? No -Random / blinding method inappropriate? No indication <p>Total Score = 3</p> <p>Study outcomes assessed by person blinded to Tx allocation? Yes</p> <p>Type of analysis: Case available</p> |
| Participants | <p>Total number of participants: 600 (4 lost to follow up; 2 from each group)</p> <p>Gender distribution: vaccine group M = 96.6%; control group M = 93.3%</p> <p>Mean age: vaccine group = 69; control group = 68 Age range: vaccine group = 62-73; control group = 61-73</p> <p>Inclusion criteria: COPD</p> <p>Exclusion criteria: Prior pneumococcal vaccination, pregnant, immunosuppressed, known neoplasia, renal insufficiency in dialysis, HIV infection, hypogammaglobulinaemia, anatomical and/or functional asplenia</p> <p>Diagnostic criteria (COPD): SEPAR criteria (Sociedad Espanola de patologia respiratoria, or Spanish Society of Respiratory Pathology), FEV1 < 80% and FEV1/FVC < 70%</p> <p>Severity of COPD: vaccine group for FEV1 < 40% = 132; >= 40% = 166; control group for FEV1 < 40% = 114; >= 40% = 184</p> <p>Current smokers: vaccine group = 22%; control group = 26%</p> <p>Diagnostic criteria (pneumonia): Clinical symptoms (lower respiratory tract infection with fever) and imaging findings (new infiltrate typical of pneumonia which decreases during follow-up). Pneumococcal pneumonia diagnosed with isolated S pneumoniae in blood, pleural fluid or bronchial samples.</p> <p>Microbiological diagnosis (pneumococcus): presence of pneumonia and the isolation of S pneumoniae from sputum, bronchoaspirate, blood, pleural fluid, or CSF</p> |
| Interventions | <p>Vaccine type: 23 valent pneumococcal capsular polysaccharide</p> <p>Numbers in each group: Intervention = 298; No intervention = 298</p> <p>Dose: 0.5ml Pneumo23, Sanofi-Pasteur MSD</p> <p>Delivery: subcutaneous injection in deltoid muscle</p> <p>Co-interventions: None</p> <p>Comparison: No vaccine</p> <p>Duration of study: vaccine group, median of 980 days (range 20-1454); control group, median of 978 days (range 21-1183)</p> |
| Outcomes | <p>Types of outcomes measured:</p> <p>Acute exacerbations (Yes) C = 9; I = 30</p> <p>Pneumonia (Yes) C = 5; I = 11</p> <p>Days of disability (No)</p> |

Alfageme 2006 (Continued)

| | | |
|-------------------------|--|---------------------------|
| | Number of hospital admissions (Yes, call causes) C = 6; I = 18 Change in lung function (Reported, but cannot use data) All cause mortality in yr post vac. (No) Adverse effects of treatment (No) Cost of vaccination (No) | |
| Notes | | |
| Risk of bias | | |
| Item | Authors' judgement | Description |
| Allocation concealment? | Unclear | Information not available |

Davis 1987

| | | |
|--------------|---|--|
| Methods | Randomised, double-blind, placebo controlled trial. Method of randomisation: Random number table. participants studied for 1-48 months of treatment Jadad scoring system: -Described as randomized? Yes -Described as double blind? Yes -Described withdrawals/dropouts? No -Randomization described, appropriate? Yes -Blinding described, / appropriate? Yes -Random / blinding method inappropriate? No Total Score = 4 Study outcomes assessed by person blinded to Tx allocation? Yes | |
| Participants | Total number of participants: 103 Gender distribution: Not stated Mean age: Intervention 64 ± 10 Control 61 ± 10 Age range: Not stated Inclusion criteria: COPD (assessed by clinical and pulmonary function criteria) Exclusion criteria: 1. reversible airflow obstruction in the absence of chronic bronchitis (cough 3 of 12 months, and for 3 consecutive years) or emphysema as judged clinically, radiologically, and by lung function testing. 2. malignant neoplasms 3. sickle cell disease 4. severe renal impairment 5. severe hepatic impairment Diagnostic criteria (COPD):ATS standards Severity of COPD: Active: FEV1 (L)= 1.33±0.61; FEV1/FVC=52±13 Placebo: FEV1 (L)= 1.47±0.75; FEV1/FVC=55±14 Smoking Status: Active: current=53%, never n=5; Placebo: current=33%, never n=5 Diagnostic criteria (pneumonia): Clinical and imaging findings in the presence of pneumococcus in sputum Etiological diagnosis (pneumococcus): Diagnosis only if pathogens isolated from blood or body fluids. Processed <6hr after collection. | |

Davis 1987 (Continued)

| | | |
|-------------------------|--|---------------------------|
| | Microbiological methods described. Baseline characteristics (smoking status): Current smokers: PLA: 27/53; VAX: 17/50 (p=0.036 for difference); Non-smokers: PLA: 5; VAX: 5 | |
| Interventions | Vaccine type: 14 pneumococcal capsular polysaccharide antigens Numbers in each group: Intervention = 50 Placebo = 53 Dose: 0.5ml (50 mcg of each of the 14 capsular antigens) Delivery: Subcutaneous injection Co-interventions: None Comparison: Saline Duration of study: 24-32 months Patients followed up for 48 months (mean follow up in each arm: PLA: 32.2 months; VAX: 31.7 months) | |
| Outcomes | Antibody titers; flora of sputum; incidence of pneumonia; mortality Acute exacerbations (Yes) Days of disability (No) Number of hospital admissions (No) Change in lung function (No) All cause mortality in yr post vac. (Yes) Adverse effects of treatment (No) Cost of vaccination (No) Comments on outcomes: Mortality cases from 1-48 months after vaccine The rate of episodes/1000 patient years for prior pneumonia: Active = 35, Placebo = 57 The rate of episodes/1000 patient years for prior pneumococcal pneumonia: Active = 13.8, Placebo = 22.9 | |
| Notes | | |
| Risk of bias | | |
| Item | Authors' judgement | Description |
| Allocation concealment? | Unclear | Information not available |

Leech 1987

| | | |
|---------------------|--|--------------------|
| Methods | <p>Randomised, double-blind, placebo controlled trial. Method of randomisation: Not reported. Patients stratified by age and FEV1. Setting: Montreal Chest Hospital (stable ambulatory population). Withdrawals: 23 participants could not be traced for follow-up and were excluded from analysis of death rates</p> <p>Jadad scoring system:</p> <ul style="list-style-type: none"> -Described as randomized? Yes -Described as double blind? Yes -Described withdrawals/dropouts? No -Randomization described, appropriate? No -Blinding described, / appropriate? Yes -Random / blinding method inappropriate? <p>Total Score=3</p> <p>Type of analysis: Case available</p> | |
| Participants | <p>Total number of participants: 189</p> <p>Gender distribution: Vaccine (M) = 66 Placebo (M) = 69</p> <p>Mean age of patients: Vaccine=66 ± 9 Placebo = 67 ± 9</p> <p>Age range: 40-89</p> <p>Inclusion criteria for active group: Patients seen in the outpatient clinic who had COPD (FEV1 < 1.5L)</p> <p>Exclusion criteria: Previous pneumococcal vaccination, asthma, cystic fibrosis or bronchiectasis</p> <p>Diagnostic criteria (COPD): Not stated, other than a prior diagnosis of COPD by their own physician</p> <p>Severity of COPD: Vaccine group (mean) FEV1=0.94L FVC=2.18L/s; Placebo group (mean) FEV1=0.96L FVC= 2.13L/s</p> <p>Diagnostic criteria (pneumococcal pneumonia): Pneumonia defined as patient having symptoms of lower respiratory tract infection (fever, increased cough, and a change in colour or an increase in the quantity of sputum) and evidence of new infiltrate on chest X-ray.</p> <p>Microbiological diagnosis (pneumococcus): Not stated, though sputum cultured in 10% of subjects</p> <p>N = 189. (VAX: 92; PLA: 97). Gender: PLA: M: 69; VAX: 66; Mean age: PLA: 67 (SD 9); VAX: 66 (SD 9); FEV1 (L): PLA: 0.96 (SD 0.30); VAX: 0.94 (SD 0.26); FVC: PLA: 2.13 (SD 0.64); VAX: 2.18 (SD 0.58)</p> | |
| Interventions | <p>Vaccine types: 14 valent pneumococcal polysaccharide (in one arm) and influenza vaccination (in the other arm)</p> <p>Numbers in each group: Intervention = 92 Placebo = 97</p> <p>Dose: not stated</p> <p>Delivery: Injection</p> <p>Co-interventions: none</p> <p>Comparison: Saline (in one arm) and influenza vaccination (in the other arm)</p> <p>Follow-up points: 6-month intervals</p> <p>Duration of study: 2 years</p> <p>Influenza vaccination (given at baseline, end of years 1 and 2... unless previous adverse reaction or declined)</p> | |
| Outcomes | <p>Incidence of pneumonia; mortality (all cause); admission to hospital (all cause); length of hospital stay; Emergency visits (all causes); adverse events (pneumococcal sepsis)</p> | |
| Notes | | |
| Risk of bias | | |
| Item | Authors' judgement | Description |

| | | |
|-------------------------|---------|---------------------------|
| Allocation concealment? | Unclear | Information not available |
|-------------------------|---------|---------------------------|

Steentoft 2006

| | |
|--------------|--|
| Methods | <p>Setting of study: Hospital-based</p> <p>Study design: RCT parallel: 1 control group with three levels of steroid load, block randomized to vaccine or no vaccine</p> <p>Allocation concealment: A (clear)</p> <p>Jadad scoring system: Described as randomized? Yes Described as double blind? No Described withdrawals/dropouts? No Randomization described, appropriate? Yes Blinding described, / appropriate? No Random / blinding method inappropriate? No Total Score = 2</p> <p>Study outcomes assessed by person blinded to Tx allocation? Not stated for clinical diagnoses. Laboratory staff assessing antibody levels were blinded to allocation</p> <p>Type of analysis: Case available</p> |
| Participants | <p>Total number of participants: 49</p> <p>Gender distribution: M = 27; F = 22</p> <p>Mean age: Control: 67.5 years Intervention: 65, 72 and 71 years for the three groups</p> <p>Age range: 47-86 years</p> <p>Inclusion criteria: COPD</p> <p>Exclusion criteria: Prior pneumococcal vaccine (implied)</p> <p>Diagnostic criteria (COPD): COPD defined by GOLD guidelines (FEV1/FVC<70%, FEV1 reversibility-test<200ml)</p> <p>Severity of COPD at baseline: Control: FEV1%=50.2 Intervention: FEV1%= 48.2, 46.0, and 44.2 for the three groups</p> <p>Smoking Status: Active: current=46%, past=54% Placebo: current=58%, past=42%</p> <p>Diagnostic criteria (pneumonia): Radiologically verified, though no other criteria stated Etiological diagnosis (pneumococcus): Not described</p> |

| | | |
|----------------------------|--|---------------------------|
| Interventions | <p>Vaccine type: 23 polyvalent pneumococcal vaccine</p> <p>Numbers in each group: Intervention = 37 Placebo = 12</p> <p>Dose: 0.5ml</p> <p>Delivery: Subcutaneous injection</p> <p>Co-interventions: Three groups with various exposure patterns to oral prednisolone * no steroid 3 months before vaccination, then steroids for 4 weeks after vaccination * chronic steroid treatment, before and after vaccination * vaccination after 4 weeks with steroid treatment, then no steroids after vaccination.</p> <p>Groups 1 and 3 above received 37.5mg starting dose of prednisolone, tapered to 0 during the respective time frames</p> <p>Comparison: No vaccine</p> <p>Duration of study: 6 months</p> | |
| Outcomes | <p>Types of outcomes measured:</p> <p>Acute exacerbations (Yes) C = 9; I = 30</p> <p>Pneumonia (Yes)C = 5; I = 11</p> <p>Days of disability (No)C = 6; I = 18</p> <p>Number of hospital admissions (Yes, though reasons for admissions not given)</p> <p>Change in lung function (Reported, but cannot use data)</p> <p>All cause mortality in yr post vac. (No)</p> <p>Adverse effects of treatment (No)</p> <p>Cost of vaccination (No)</p> | |
| Notes | | |
| <i>Risk of bias</i> | | |
| Item | Authors' judgement | Description |
| Allocation concealment? | Yes | Third party randomisation |

COPD: Chronic obstructive pulmonary disease; FEV1: Forced expiratory volume in 1 second; MSD: Merck Sharpe and Dohme; PLA: Placebo; Tx: Treatment; VAX: vaccination

Characteristics of excluded studies *[ordered by study ID]*

| Study | Reason for exclusion |
|------------------|---|
| Aboussouan 1996 | Review article |
| Anonymous 1999 | Position paper |
| Anonymous 1999b | Review article |
| Austrian 1976 | Participants are unlikely to have had COPD, and certainly no results are available for COPD persons. |
| Austrian 1981 | Review article |
| Austrian 1984 | Editorial |
| Bacle 1997 | Review article |
| Bentley 1981 | Review article |
| Bolan 1986 | Comparison of serotypes |
| Broome 1981 | Review article |
| Butler 1992 | Retrospective analysis of vaccine efficacy |
| Butler 1993 | Retrospective analysis of vaccine efficacy |
| Chodosh 1991 | Review article |
| Christenson 2001 | Prospective study (not RCT) |
| Davis 1984 | Preliminary results of the paper published in 1987 |
| Douglas 1979 | Review article |
| Douglas 1984 | Study carried out in children 6-54 months |
| Ekwurzel 1938 | Excluded because of young patients, which are unlikely to have had COPD (“youthful group, 80% being under 25 years of age”) |
| Ewig 1999 | Review article |
| Farr 1995 | Matched case-controlled study |
| Fedson 1989 | Review article |
| Fedson 1994 | Review article |

(Continued)

| | |
|-----------------|--|
| Fedson 1999 | Review article |
| Felton 1938 | Cohort observation study |
| Ferguson 1993 | Review article |
| Filice 1990 | Review article |
| Fine 1994 | Meta-analysis |
| Forrester 1987 | Case control study |
| Foschino 1995 | Oral immunomodulator (not injectable vaccine) |
| Gable 1990 | Retrospective cohort study |
| Gaillat 1985 | No data were available for COPD patients |
| Gardner 1993 | Review article |
| Hak 1998 | Prospective cohort study |
| Halasa 2001 | Injectable vaccine includes antigen from pneumococcus and other bacteria (in Polish language) |
| Hilleman 1981 | Review article |
| Hirschmann 1981 | Review article |
| Hirschmann 1994 | Commentary |
| Horwood 2002 | Review |
| Jackson 2003 | Retrospective cohort study |
| Jonsson 2002 | This is not a placebo controlled RCT. The trial compares a 23 valent pneumococcal vaccine with a type 6B polysaccharide conjugated to tetanus toxoid |
| Kaiser 1974 | Retrospective analysis of isolates |
| Kaufman 1941 | Participants not adequately randomised. Participants allocated to active treatment by volunteering in one year and by alternate allocation in the subsequent year |
| Kaufman 1947 | Likely to have included COPD participants given the age range of those involved in the study (80% > 60 years), though inclusion of persons with COPD was not explicitly stated. Contact has been made with the originating institutions to obtain relevant analyses of this subgroup, though it is improbable that any results will be available due to the age of the publication |
| Klasterksy 1986 | No data were available for COPD patients |

(Continued)

| | |
|-----------------|---|
| Klein 1983 | Trial of immunization rates |
| Koivula 1997 | No data were available for COPD patients |
| Kraus 1985 | Study of antibody responses |
| LaForce 1989 | Review article |
| Landesman 1983 | Study of antibody responses |
| Larsson 1998 | Review article |
| Leophonte 2001 | Review article |
| MacLeod 1945 | CCT in young adults; COPD unlikely |
| Madison 1998 | Review article |
| Monso 2003 | Commentary |
| Nichol 1999 | Retrospective cohort control study |
| Orcel 1994 | Oral immunomodulator (not injectable vaccine) |
| Ortqvist 1998 | No data were available for COPD patients |
| Patrick 1981 | Cost benefit analysis |
| Preheim 1978 | Case report |
| Riley 1977 | No data were available for the chronic pulmonary disease patients |
| Rochemaure 1988 | The antigens for this oral immunomodulator are taken from <i>Klebsiella pneumoniae</i> and <i>Escherichia coli</i> (not <i>Streptococcus pneumoniae</i>) |
| Saag 1998 | Survey |
| Schwartz 1982 | Review article |
| Shapiro 1984 | Case-controlled study |
| Shapiro 1987 | Correspondence |
| Shapiro 1991 | Case control study |
| Sheikh 1999 | Asthma study |

(Continued)

| | |
|------------------|---|
| Simberkoff 1986 | No data were available for COPD patients |
| Simberkoff 1993 | Review article |
| Sims 1988 | Case-controlled study |
| Sisk 1986 | Cost-benefit analysis, no data on efficacy |
| Smit 1977 | Participants are young adult novice miners, with no indication of chronic lung disease. Wrote to authors for further information, but received no response as of Oct 2004 |
| van Ampting 1998 | Retrospective study of patients hospitalised with infection |
| Wencker 1999 | Alpha 1 antitrypsin deficiency |
| Wenzel 1976 | Inappropriate intervention using mycoplasma rather than streptococcus pneumoniae |
| Wiebel 1977 | Antibody response study |
| Willems 1980 | Non-randomised cost-effectiveness study |
| Williams 1986 | Review article |
| Wright 1914 | Participants are young (otherwise healthy) mining labourers without any indication of having COPD |

COPD: Chronic obstructive pulmonary disease; CCT: case controlled trial; RCT: randomised controlled trial

DATA AND ANALYSES

Comparison 1. Pneumococcal vaccine versus control

| Outcome or subgroup title | No. of studies | No. of participants | Statistical method | Effect size |
|---|----------------|---------------------|---------------------------------|---------------------|
| 1 Acute exacerbations | 1 | | Odds Ratio (M-H, Fixed, 95% CI) | Totals not selected |
| 1.1 Vaccine >14 serotypes | 1 | | Odds Ratio (M-H, Fixed, 95% CI) | Not estimable |
| 1.2 Vaccine 14 or less serotypes | 0 | | Odds Ratio (M-H, Fixed, 95% CI) | Not estimable |
| 2 Pneumonia | 3 | 748 | Odds Ratio (M-H, Fixed, 95% CI) | 0.89 [0.58, 1.37] |
| 2.1 Vaccine >14 serotypes | 2 | 645 | Odds Ratio (M-H, Fixed, 95% CI) | 0.97 [0.61, 1.53] |
| 2.2 Vaccine 14 or less serotypes | 1 | 103 | Odds Ratio (M-H, Fixed, 95% CI) | 0.42 [0.10, 1.72] |
| 3 Pneumonia by lung function at baseline | 1 | 596 | Odds Ratio (M-H, Fixed, 95% CI) | 0.71 [0.41, 1.22] |
| 3.1 FEV1<40% expected | 1 | 246 | Odds Ratio (M-H, Fixed, 95% CI) | 0.47 [0.22, 1.01] |
| 3.2 FEV1>=40% expected | 1 | 350 | Odds Ratio (M-H, Fixed, 95% CI) | 1.12 [0.50, 2.49] |
| 4 Hospital admissions (exacerbation of COPD) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 4.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 4.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 5 Patients admitted to hospital (any cause) | 1 | | Odds Ratio (M-H, Fixed, 95% CI) | Totals not selected |
| 5.1 Vaccine >14 serotypes | 1 | | Odds Ratio (M-H, Fixed, 95% CI) | Not estimable |
| 5.2 Vaccine 14 or less serotypes | 0 | | Odds Ratio (M-H, Fixed, 95% CI) | Not estimable |
| 6 Hospital admissions (pneumonia) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 6.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 6.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 7 Hospital admissions (all causes) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 7.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 7.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 8 Emergency visits (upper respiratory tract infection) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 8.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 8.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 9 Emergency visits (pneumonia) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 9.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 9.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 10 Emergency visits (lower respiratory tract infection) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |

| | | | | |
|---|---|-----|---------------------------------|---------------------|
| 10.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 10.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 11 Emergency visits (any cause) | 1 | | Rate Ratio (Fixed, 95% CI) | Totals not selected |
| 11.1 Vaccine >14 serotypes | 0 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 11.2 Vaccine 14 or less serotypes | 1 | | Rate Ratio (Fixed, 95% CI) | Not estimable |
| 12 Death from cardio-respiratory causes, 6-48 months post vaccine | 3 | 888 | Odds Ratio (M-H, Fixed, 95% CI) | 1.07 [0.69, 1.66] |
| 12.1 Vaccine >14 serotypes | 1 | 596 | Odds Ratio (M-H, Fixed, 95% CI) | 1.11 [0.66, 1.88] |
| 12.2 Vaccine 14 or less serotypes | 2 | 292 | Odds Ratio (M-H, Fixed, 95% CI) | 0.98 [0.44, 2.18] |
| 13 Death from all causes, 6-48 months post vaccine | 3 | 888 | Odds Ratio (M-H, Fixed, 95% CI) | 0.94 [0.67, 1.33] |
| 13.1 Vaccine >14 serotypes | 1 | 596 | Odds Ratio (M-H, Fixed, 95% CI) | 0.98 [0.65, 1.47] |
| 13.2 Vaccine 14 or less serotypes | 2 | 292 | Odds Ratio (M-H, Fixed, 95% CI) | 0.86 [0.44, 1.66] |

Analysis 1.1. Comparison 1 Pneumococcal vaccine versus control, Outcome 1 Acute exacerbations.

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 1 Acute exacerbations

| Study or subgroup | Pneumococcal Vaccine n/N | Control n/N | Odds Ratio M-H,Fixed,95% CI | Odds Ratio M-H,Fixed,95% CI |
|--------------------------------|-----------------------------|----------------|--------------------------------|--------------------------------|
| 1 Vaccine >14 serotypes | | | | |
| Steenoft 2006 | 30/37 | 9/12 | | 1.43 [0.31, 6.69] |
| 2 Vaccine 14 or less serotypes | | | | |

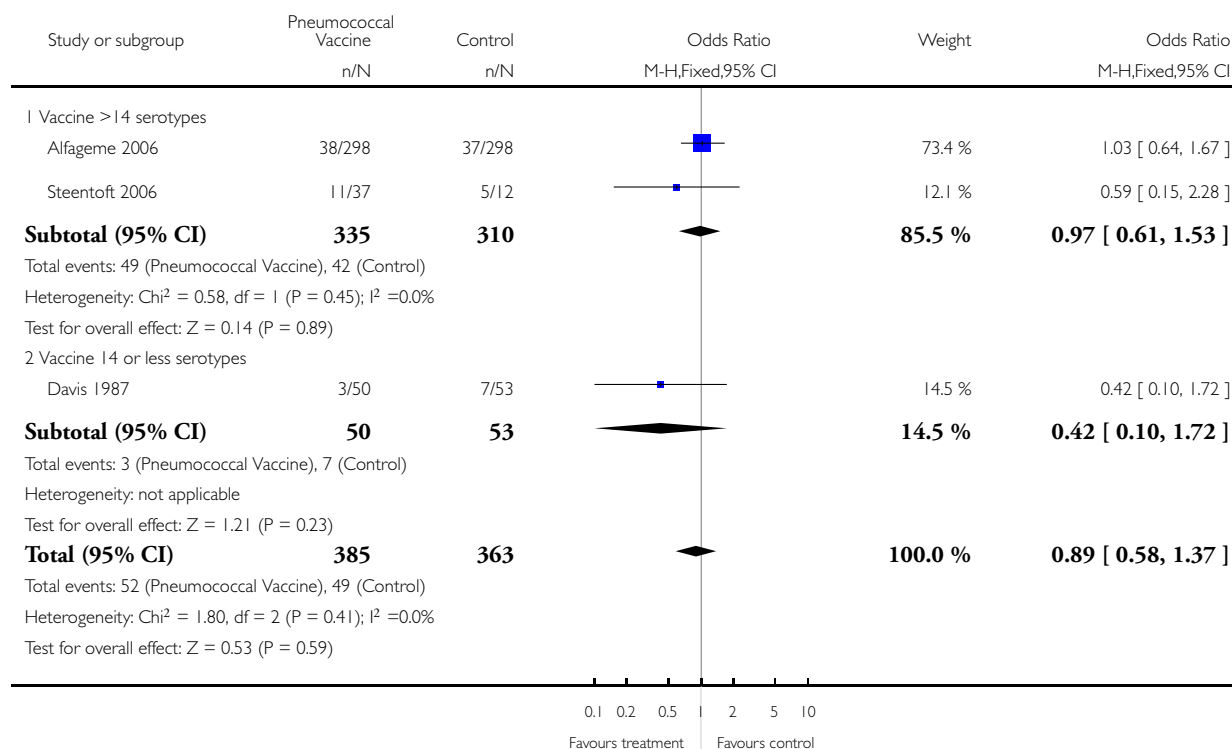
0.05 0.2 1 5 20
Favours treatment Favours control

Analysis 1.2. Comparison 1 Pneumococcal vaccine versus control, Outcome 2 Pneumonia.

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 2 Pneumonia

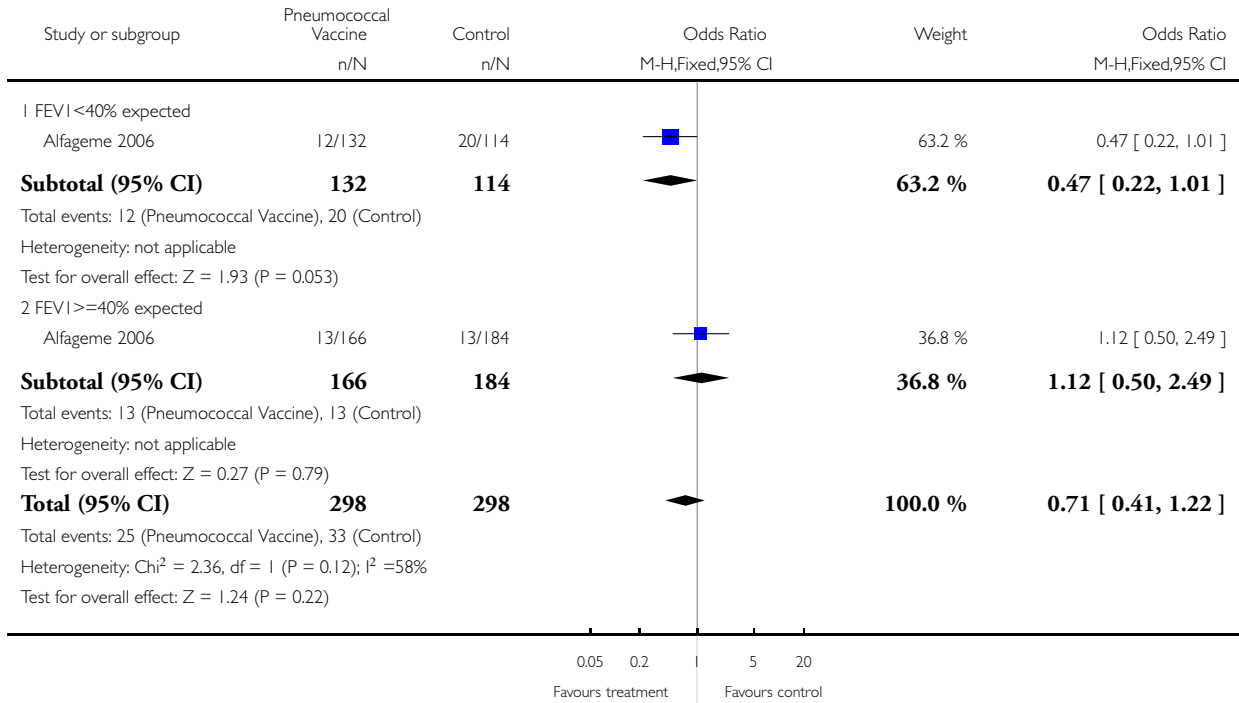


Analysis 1.3. Comparison 1 Pneumococcal vaccine versus control, Outcome 3 Pneumonia by lung function at baseline.

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 3 Pneumonia by lung function at baseline

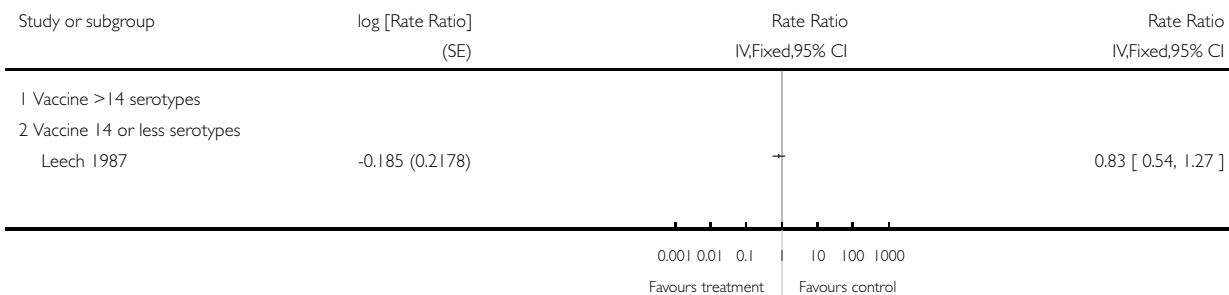


Analysis 1.4. Comparison 1 Pneumococcal vaccine versus control, Outcome 4 Hospital admissions (exacerbation of COPD).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 4 Hospital admissions (exacerbation of COPD)

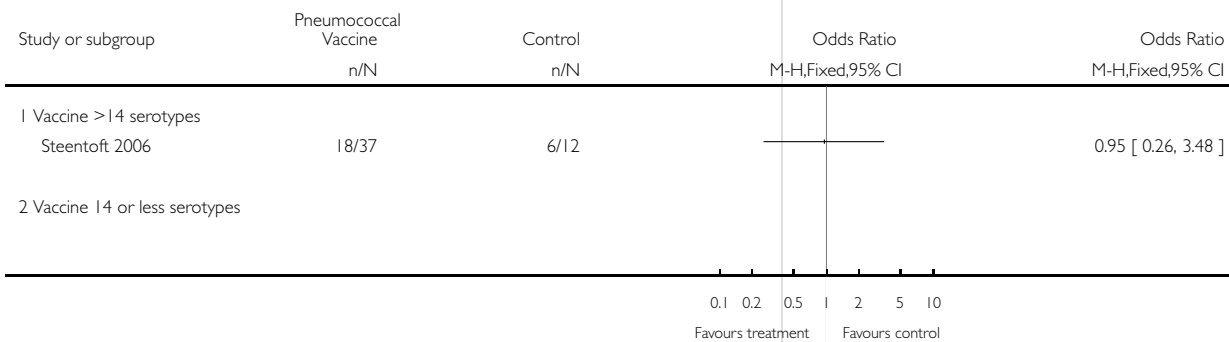


Analysis 1.5. Comparison 1 Pneumococcal vaccine versus control, Outcome 5 Patients admitted to hospital (any cause).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 5 Patients admitted to hospital (any cause)

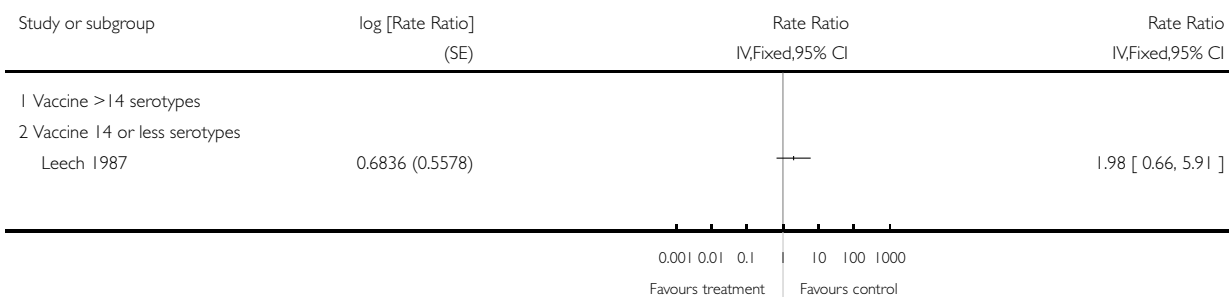


Analysis 1.6. Comparison 1 Pneumococcal vaccine versus control, Outcome 6 Hospital admissions (pneumonia).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 6 Hospital admissions (pneumonia)

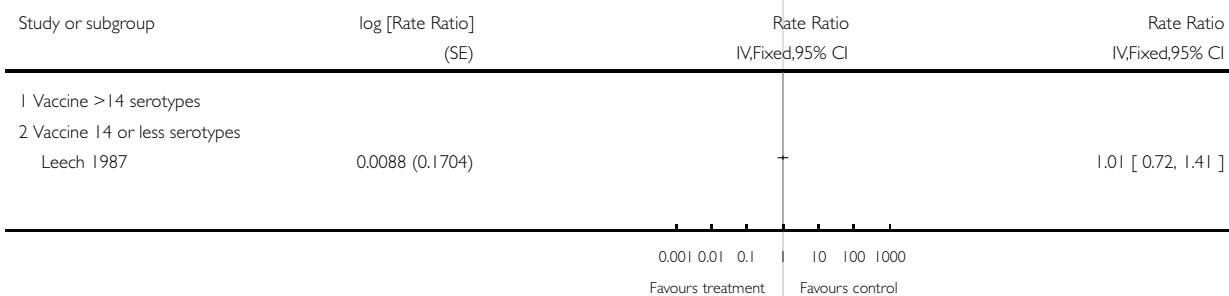


Analysis 1.7. Comparison 1 Pneumococcal vaccine versus control, Outcome 7 Hospital admissions (all causes).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 7 Hospital admissions (all causes)

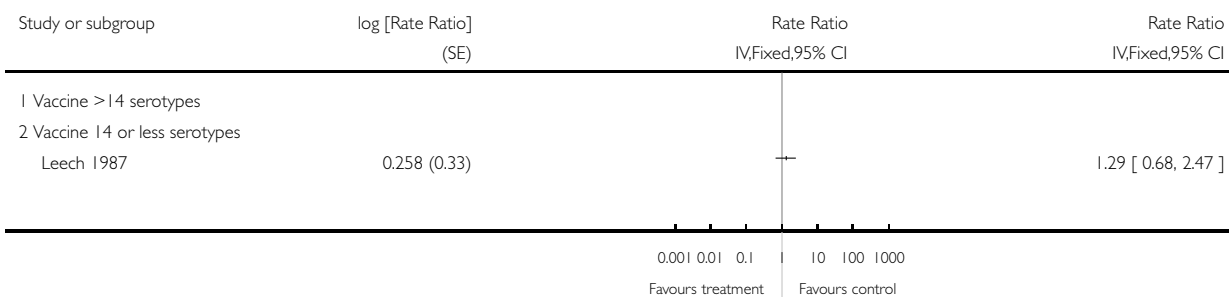


Analysis 1.8. Comparison 1 Pneumococcal vaccine versus control, Outcome 8 Emergency visits (upper respiratory tract infection).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 8 Emergency visits (upper respiratory tract infection)

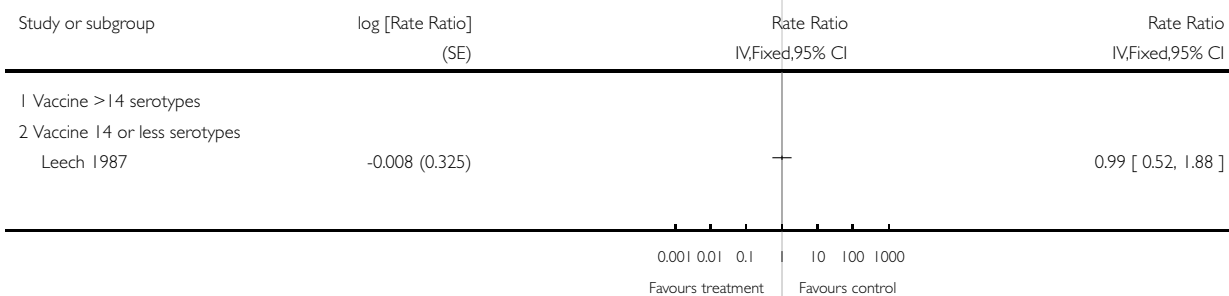


Analysis 1.9. Comparison 1 Pneumococcal vaccine versus control, Outcome 9 Emergency visits (pneumonia).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 9 Emergency visits (pneumonia)

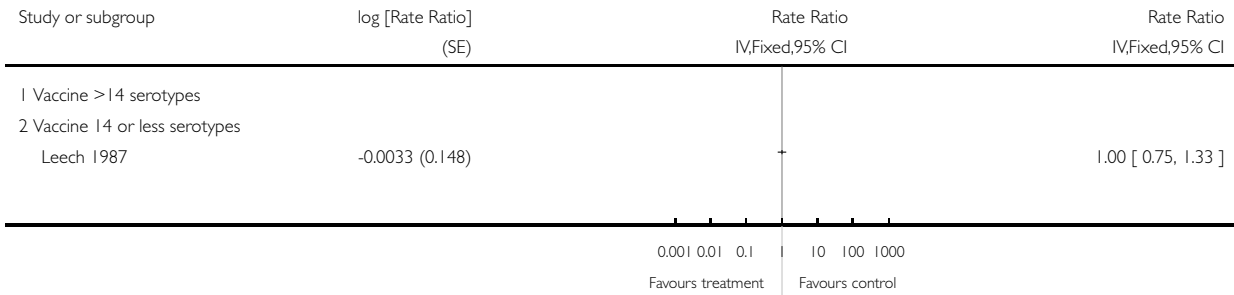


Analysis 1.10. Comparison 1 Pneumococcal vaccine versus control, Outcome 10 Emergency visits (lower respiratory tract infection).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 10 Emergency visits (lower respiratory tract infection)

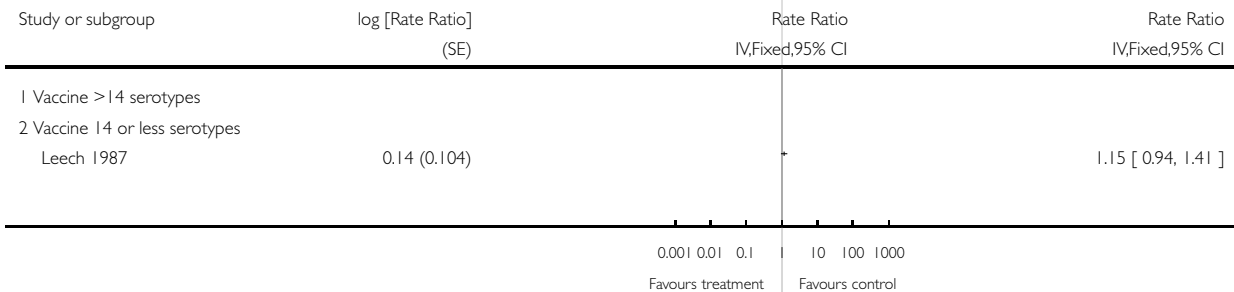


Analysis 1.11. Comparison 1 Pneumococcal vaccine versus control, Outcome 11 Emergency visits (any cause).

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 11 Emergency visits (any cause)

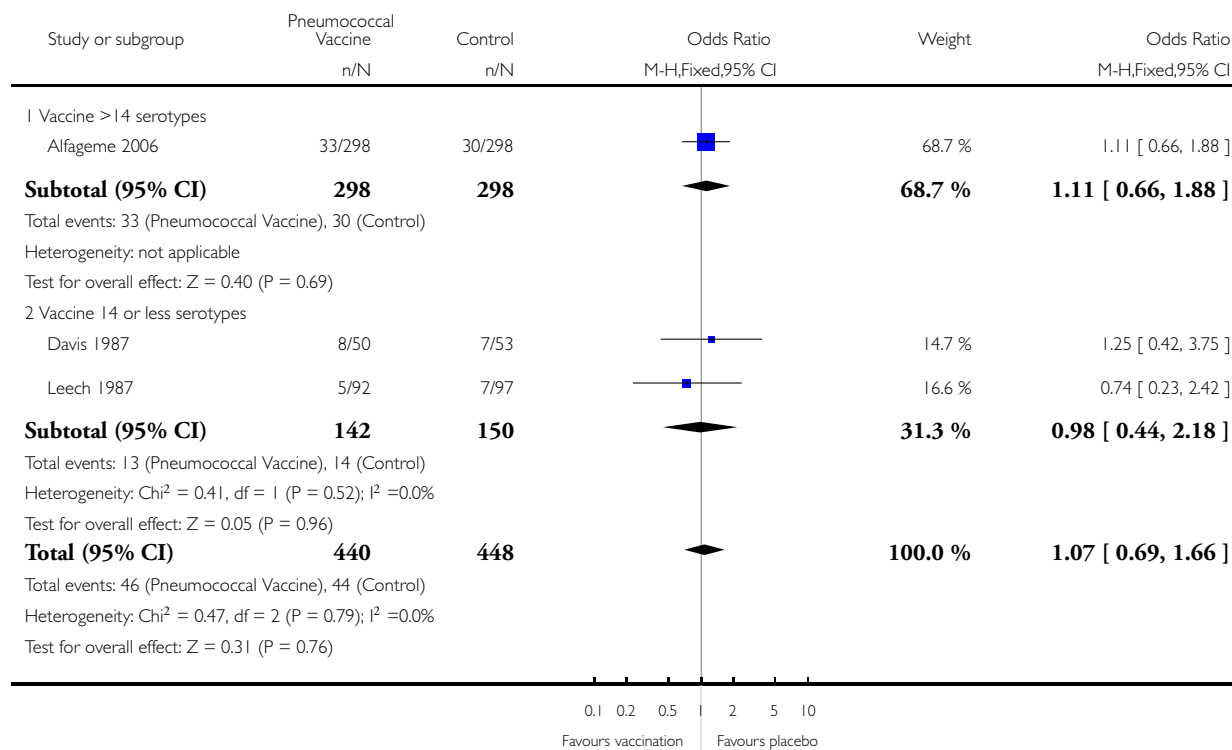


Analysis 1.12. Comparison 1 Pneumococcal vaccine versus control, Outcome 12 Death from cardio-respiratory causes, 6-48 months post vaccine.

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 12 Death from cardio-respiratory causes, 6-48 months post vaccine

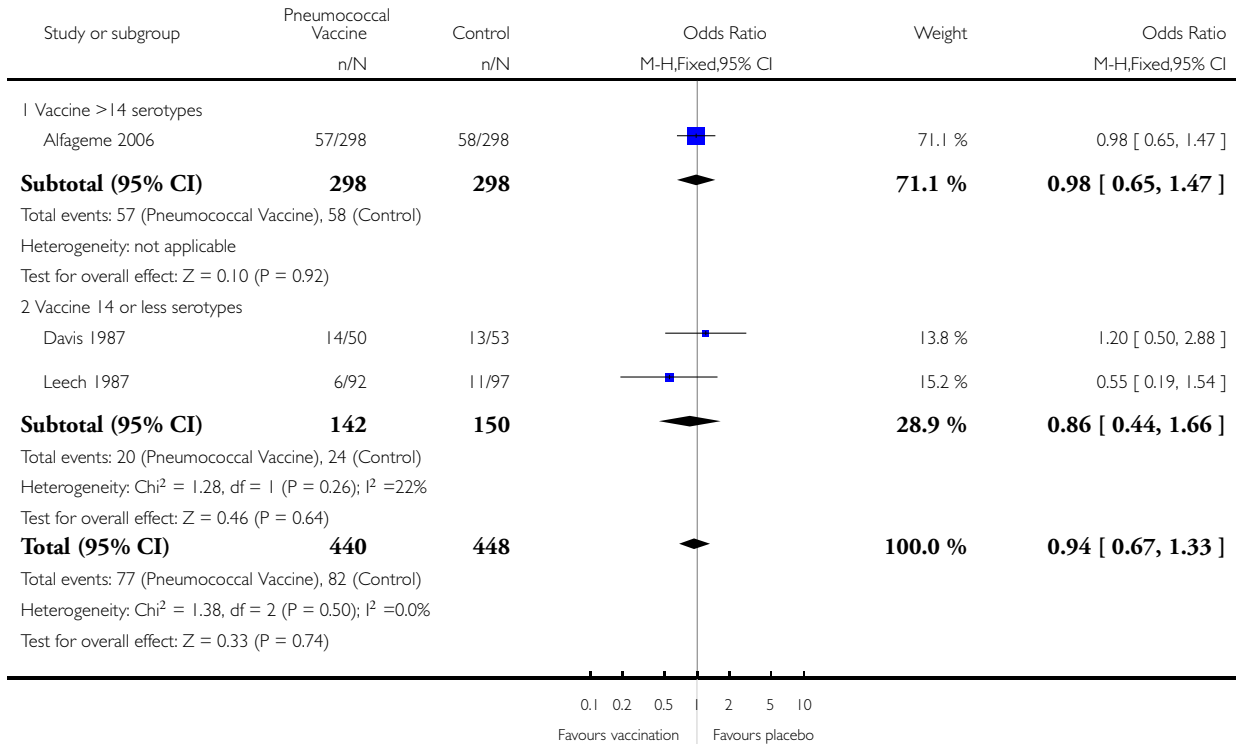


Analysis 1.13. Comparison 1 Pneumococcal vaccine versus control, Outcome 13 Death from all causes, 6-48 months post vaccine.

Review: Injectable vaccines for preventing pneumococcal infection in patients with chronic obstructive pulmonary disease

Comparison: 1 Pneumococcal vaccine versus control

Outcome: 13 Death from all causes, 6-48 months post vaccine



WHAT'S NEW

Last assessed as up-to-date: 20 July 2006.

| Date | Event | Description |
|--------------|---------|---------------------------------|
| 31 July 2008 | Amended | Converted to new review format. |

HISTORY

Protocol first published: Issue 1, 1999

Review first published: Issue 4, 2006

| Date | Event | Description |
|--------------|--|-----------------------|
| 21 July 2006 | New citation required and conclusions have changed | Substantive amendment |

CONTRIBUTIONS OF AUTHORS

Cates:

review of protocol, editing

Lasserson:

technical support, electronic searches of Cochrane registers, data extraction

Poole:

development of protocol, selection of studies, data checking, editing

Wood-Baker:

development of protocol, selection of studies, data extraction, data entry, analysis, interpretation

Walters:

selection of studies, data extraction

Granger:

selection of studies, data extraction, data entry, analysis, interpretation, writing up of review

Mangtani:

selection of studies, data extraction

DECLARATIONS OF INTEREST

None known.

SOURCES OF SUPPORT

Internal sources

- University of Tasmania, Australia.

External sources

- Commonwealth Department of Health and Ageing, Australia.

INDEX TERMS

Medical Subject Headings (MeSH)

Pneumococcal Infections [mortality; *prevention & control]; Pneumococcal Vaccines [*administration & dosage]; Pulmonary Disease, Chronic Obstructive [*complications; mortality]; Randomized Controlled Trials as Topic

MeSH check words

Humans; Middle Aged