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Socio-demographic factors of Caesarean births in Nha Trang city, Vietnam: A community-based survey --Manuscript Draft--

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Full Title:	Socio-demographic factors of Caesarean births in Nha Trang city, Vietnam: A community-based survey
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Abstract:	Background: The Caesarean section rate in Vietnam has been increasing especially in urban area. However, limited evidence identified regarding socio-demographic factors of the Caesarean section birth. The objective of this study was to determine the current Caesarean birth rate and the associated socio-demographic factors among mothers in Nha Trang city, south-central Vietnam. Methods: A community-based cross-sectional study was conducted between October and November in 2016 as part of a Streptococcus pneumoniae carriage survey conducted in 27 communes of Nha Trang city. From each commune, 120 mothers and their children less than two years old were randomly selected. Mothers were asked to answer standardized questions regarding socio-demographic information and mode of birth. Multivariate logistic regression was adopted to examine associations between socio-demographic variables and mode of birth. Results: Of 3148 participants, the number of Caesarean births was 1396 (44.3 %). Older maternal age (>= 30 years old), having another child going to school or kindergarten, monthly income more than 644 USD, gestational weeks at birth over 42 weeks, and low (< 2500g) or high (>= 3500 g) birth weight were associated with higher likelihood of Caesarean births. Conclusion: The CS rate obtained in this study was more than twice of what is recommended by the World Health Organization, which is consistent with the previous nation-wide study in Viet Nam. Further monitoring is suggested to examine the non-medical reason for the increased CS rate. Keywords: Caesarean birth rate, community-based mothers, socio-demographic factors, urban city, Vietnam.
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Response to Reviewers:	Response to the reviewer's comment Thank you for giving me the opportunity to submit a revised draft of my manuscript titled Demographic factors of Caesarean births in Nha Trang city, Vietnam: A community based survey. We are grateful to the reviewer 1 for giving his/her additional comment on our paper.
	Reviewer #1: My guess would be that people reluctant to have their children undergoing nasolaryngueal swab would be those less exposed to medicalization and therefore to cesarean section. This indicate that the CS rate in this study may be higher than that in the general population.
	We thank the reviewer for giving us the insightful comment. However, it might be the opposite as rich people may be less likely to participate in research activity but will seek proper heath care when necessary. Nevertheless, we considered about the possible selection bias. There is also no supporting evidence that mothers, who are willing to have their child examined by nasopharyngeal swab, are also in favor of medicalized childbirth including CS. In addition, the CS rate in our study (43%) was consistent to that of urban area (2014: 43%) in the previous MICU survey. Hence, our conclusion is that it is unlikely to consider that the CS rate in our study is biased due to the refusal rate.
	Following was added; P18.292-293 Richer people may be less likely to participate in this research activity regardless of financial incentives. Thank you very much.
Additional Information:	
Question	Response
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Are you submitting to a Thematic Series?	No

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- 29 Keywords: Caesarean birth rate, community-based mothers, socio-demographic factors,
- 30 urban city, Vietnam.

likelihood of Caesarean births.

ABSTRACT

Background: The Caesarean section rate in Vietnam has been increasing especially in urban area. However, limited evidence identified regarding socio-demographic factors of the Caesarean section birth. The objective of this study was to determine the current Caesarean birth rate and the associated socio-demographic factors among mothers in Nha Trang city, south-central Vietnam. Methods: A community-based cross-sectional study was conducted between October and November in 2016 as part of a *Streptococcus pneumoniae* carriage survey conducted in 27 communes of Nha Trang city. From each commune, 120 mothers and their children less than two years old were randomly selected. Mothers were asked to answer standardized questions regarding socio-demographic information and mode of birth. Multivariate logistic regression was adopted to examine associations between socio-demographic variables and mode of birth. **Results:** Of 3148 participants, the number of Caesarean births was 1396 (44.3 %). Older maternal age (>= 30 years old), having another child going to school or kindergarten, monthly income more than 644 USD, gestational weeks at birth over 42 weeks, and low (< 2500g) or high (>= 3500 g) birth weight were associated with higher

- Conclusion: The CS rate obtained in this study was more than twice of what is
- recommended by the World Health Organization, which is consistent with the previous
- 53 <u>nation-wide study in Viet Nam. Further monitoring is suggested to examine the non-</u>
- 54 medical reason for the increased CS rate.

BACKGROUND

 Rates of Caesarean section (CS) have increased dramatically over the last thirty years, especially in middle- and high-income countries [1-3]. Although deciding on what should be considered an acceptable CS rate has been controversial, there is no evidence that CS rates higher than 10% decrease maternal or neonatal mortality [4]. Nevertheless, the World Health Organization (WHO) has recommended an optimal CS rate between 10% and 15% [5]. Although there is little evidence to support this rate recommended by the WHO, a systematic review by Betran et al.[6] revealed that rates of CS higher than the threshold do not reduce mortality. However, many countries have CS rates much higher than this recommended threshold [1, 2]. Several studies have suggested that unnecessary CS could increase maternal and neonatal morbidity [4, 7], including postpartum complications [7], pregnancy complications [7], and neonatal risks [7, 8]. A recent metaanalysis has shown that children born by CS had an increased risk of asthma up to the age of 12 years and obesity up to the age of five years [8]. Betran et al. [2] compared the CS rates among 150 countries and reported that

the highest CS rates were found in Latin America and the Caribbean region (40.5%), followed by North America (32.3%), Oceania (31.1%), Europe (25%), Asia (19%), and Africa (7.3%). CS rates in Asia are rapidly increasing with an annual rise of between

4.4% and 8.5% [2]. In Asia, Vietnam has the fifth-highest CS rate (2014: 28%) after China (2013: 41%), Republic of Korea (2007: 37%), Thailand (2015: 33%), and Sri Lanka (2014: 32%) according to a Multiple Indicator Cluster Survey (MICS) [9]. A rapid increase in CS rates has also been observed in Vietnam: from 10% in 2002 to 28% in 2014 [9]. This increase in CS rates has been especially high in urban areas (2014: 43%). Previous studies have investigated the determinants of increased CS rates in several Asian countries, such as China [10], Bangladesh [11], India [12], Nepal [13], and Cambodia [14]. The determinants of CS have included various dimensions related to the physical, social, cultural, and psychological characteristics of women and their families as well as factors relating to the health system in the study area. Physical characteristics included older maternal age [1, 10, 13] and primiparity [10]. Socio-demographic factors included higher education and higher economic status of mothers and their families [1, 10, 13]. The parental or maternal request for CS without a medical indication has been reported in China [15], Cambodia [14], and Nepal [13]. In addition, pregnancies with a male fetus, access to full-insurance payments, and pregnancies after infertility treatment were associated with CS in China [15]. A few studies have been conducted that have examined the determinants of CS

in Vietnam. For example, Loezenien et al.[16] conducted a secondary analysis using

country-wide data of 1350 participants in a 2014 MICS survey. The authors claimed that there was a large gap in CS rates between urban (42.4%) and rural areas (22.9%) and that factors associated with CS rates included maternal age at delivery over 35 years and higher economic background [16].

Most previous studies have focused on the northern part of Vietnam. Two

population studies [17, 18] conducted in Quang Ninh province close to the border of China found that mothers of a male child were more likely to receive CS surgery than mothers giving birth to a female child [17]. This finding likely stems from the enactment of the One-or-Two Child Policy in Vietnam in the late 1980s [17, 19]. Another two population studies [20, 21] conducted in urban and suburban areas of Hanoi also reported a difference in the CS rate between male and female children. Hence, these authors concluded that high income and differences in the CS rates between the sexes might be related to requests made for CS without medical needs [16, 17]. Fewer studies have been conducted in the central or southern regions of Vietnam compared with the northern region. Giang et al. [22] investigated CS rates and their determinants in 10 hospitals in Da Nang city, an urban area in central Vietnam. The overall CS rate was 58.6%, and private hospitals had a much higher rate of CS (70.6%). CS rates were higher in women that were older than 30 years, had a history of abortion, worked an office job, were pregnant with a male child, or gave birth to a baby of heavier

birth weight.

Given that a preference for CS for male children might be influenced in the northern part of Vietnam by the culture of 'Confucianism', which originated in China, compared with the southern part of the country, geographical differences in several factors might contribute to variation in CS rates. In addition, more data in urban settings are needed to assess the consistency of a previous analysis of MICS data and confirm the pattern that CS rates are higher in urban settings compared with rural ones [9].

The objective of this study was to identify the socio-demographic factors and delivery characteristics associated with CS among mothers living in Nha Trang city, Vietnam.

METHODS

This study was conducted in Nha Trang city, the capital of Khánh Hòa province, which is located 445 km north of Ho Chi Minh City in Vietnam. The <u>estimated</u> population <u>in 2020 was 329,373 [23]</u>. Nha Trang city has become one of the main holiday destinations in Vietnam and has been experiencing economic growth. There is one public tertiary hospital and three private hospitals where CS can be performed.

This study was completed as a part of a *Streptococcus pneumoniae* carriage survey conducted in Nha Trang city across 27 communes: 8 (30%) suburban and 19 (70%) urban communes. We conducted a cross-sectional survey in the 27 communes in October and November 2016. First, each community health center made a list of all children aged 4 to 11 months and 14 to 23 months and their mothers living in the commune. All newborn baby must register at CHC for free national health insurance to receive national immunization program vaccines. We used this data since this newborn children data at CHC is the most representative data for number of deliveries in Nha Trang city. Because this survey originally aimed to investigate the carriage rate of Streptococcus pneumococcus among participants, we excluded children that were born with a cleft lip or cleft palate and their mothers due to the difficulty of collecting nasopharyngeal samples. Second, children and their mothers were randomly selected from this list and invited to participate in the pneumococcal carriage survey until 120 participants per commune had been recruited. Because of the ubiquity of cell phone use in urban areas of Vietnam, trained staff from community health centers made a phone call to the candidates and invited them to participate. Home visit was made and requested to participate in the study for those who do not have mobile phone. One or two staff members visited interested mothers, explained the study, and obtained written

 informed consent. Upon agreement, the mother was then interviewed using a structured questionnaire requesting socio-demographic information.

In terms of sample size calculation, we assumed the true proportion of children aged <24 months who have S. pneumoniae in the nasopharynx in the study area to be 0.5, to obtain the largest sample size, and required the estimate to be within 0.04 of the true value (precision) within the 95% confidence interval (CI). Based on this, the minimum sample size was calculated to be 600 for each introduction arm (6 communes). As there was six communes (clusters) in each arm, we decided to recruit 120 per communes resulting in 720 per arm, and 3240 for 27 communes.

Appendix figure 1 shows a flow chart of participants. Out of 5533 pairs listed in 27 commune health centers, 5348 were invited to the study by means of a phone call from community health center staff. Around one out of five candidates (n = 1219, 22.8%) refused to participate in this study, and 17% (n = 952) could not be contacted. In addition, 29 pairs were not eligible (duplicate participants or out of the eligible age range) based on the participant criteria. A total of 3148 pairs of mothers and their children participated in the survey: 1562 children were aged 4 to 11 months, and 1586 were aged 14 to 23 months.

The questionnaire included socio-demographic variables, such as maternal age,

 educational background of the mother and the head of household, name of the commune of residence, household income in the previous month (Vietnamese don, VND), whether the mother has another child going to school or kindergarten, and the total number of household members. Household income in the previous month (VND) was converted into US dollars (1 dollar = 23,291 VND, Sep 17th, 2019). Living in suburban or urban areas was coded based on the administrative definition of the communes. Delivery information included the mode of delivery, the child's sex and birth weight (g), gestational weeks at birth, and the date of birth. The day of delivery was calculated from the birth date of the child. Matshidze *et al.* [24] observed that the CS rate was much higher during weekdays than during the weekends in private settings, whereas CS rates in public facilities did not vary throughout the week, suggesting that there is an institutional effect related to the scheduling of planned CS at convenient times for obstetricians.

Binary logistic regression was performed to examine associations between socio-demographic variables and the mode of delivery. The adjusted model included variables that were independently associated with CS births in the crude analysis (p<0.05). To assess the multicollinearity of independent variables, variables that showed moderate correlations with others ($r \ge 0.4$) were excluded from the final model [25]. Analyses were conducted in STATA version 14.0 (Stata Corp., College Station, TX, US).

This study was approved by the ethical review boards of the Institute of Tropical Medicine, Nagasaki University, Japan and the National Institute of Hygiene and Epidemiology, Hanoi, Vietnam.

RESULTS

A total of 1396 out of 3148 births were completed by CS, resulting in a CS rate of 44.3% (Table 1). Of the 1752 mothers that gave birth vaginally, 227 (12.9%) reported that they had received a medical intervention, such as forceps, vacuum, or oxytocin induction. Of the 1396 CS births, 603 (43.1%) were planned during pregnancy and 777 (55.6%) were decided during labour. Previous CS was the most common indication (n = 420, 30.1%) followed by cephalo-pelvic disproportion (CPD) (n = 157, 11.2%), oligohydramnios (n = 147, 10.5%), and malposition (n = 128, 9.1%).

Table 2 shows the characteristics of the participants and the CS birth rates in each group. Few mothers were younger than 20 years old (n=70, 2.2%), and 517 (16.4%) were older than 35. A small number of mothers (n=167, 5.3%) had not completed primary school, while 1207 (38.3 %) had received post-secondary education. Approximately one-fifth of mothers (n=707, 22.4%) reported a monthly household income in the previous month higher than 644 USD. Few mothers (n=85, 2.7%) gave

birth post-term (\geq 42 gestational weeks). Approximately one-third of babies (n = 1116, 35.4 %) were born weighing over 3500 g at birth, while the number of babies weighing less than 2500 g at birth was 69 (2.1%). The percentages of CS births were similar among each day of the week (ranging from 13.1 to 15.2%). The number of male babies (n = 1660, 53.7%) was slightly higher than the number of female babies (n = 1448, 47.2%).

Rates of CS were high among women older than 35 years (59.0%), those that had completed post-secondary education (49.8%), those that had a monthly income greater than 644 USD (50.1%), and those with babies weighing over 3500 g (3500–3999 g: 49.8%, 4000–4499 g: 59.4%, > 4500 g: 80.8%) or under 2500 g (55.1%). The CS rate varied depending on the day of the week (ranging from 39.3 to 48.3%), but the sex of the child had no effect on the CS rate.

A moderate correlation was observed between the educational backgrounds of the mother and the head of household (r = 0.57), which was assumed to be a multicollinear factor. After adjustment for potential confounding factors, CS rates were higher among older women (30–34 years: adjusted odds ratio [AOR] = 1.18, 95% confidence interval [CI] (1.43, 2.31); \geq 35 years: AOR 2.90, 95% CI (2.22, 3.79)); those with a monthly income of 644 USD or more (AOR = 1.43, 95% CI = 1.14, 1.80), those

giving birth after 42 weeks of gestation (AOR = 2.62, 95% CI = 1.63, 4.21), those with babies weighing less than 2500 g at birth (AOR = 1.87, 95% CI = 1.09, 3.26), and those with babies weighing 3500 g or more at birth (3500–3999 g: AOR = 1.50, 95% CI = 1.18, 1.91, 4000–4499 g: AOR = 2.04, 95% CI = 1.42, 2.95, \geq 4500 g: AOR = 5.57, 95% CI = 2.02, 15.34).

DISCUSSION

 The CS rate in Nha Trang city in Vietnam was 44.3%. The rate of CS was particularly high among women over the age of 30 years, those with a monthly income of more than 644 USD, those giving birth after 42 weeks of gestation, and those with either a low (< 2500 g) or high (≥ 3500 g) birth weight baby.

The CS rate (44.3%) observed in our study is consistent with the rate observed in a national survey of urban areas in Vietnam in 2014 [9]. In addition, the rate is much higher than the CS rate recommended by the WHO (10–15%) [5], suggesting that there may be a substantial number of unnecessary CS births that are not based on medical need. The CS rate in urban areas of Vietnam has increased dramatically over the last 20 years from 12% in 1997 (Demographic Health Survey [DHS] 1997) to 23%, 31%, and 43% in 2002, 2010, and 2014, respectively (DHS 2002, MICS 2010–2011, MICS 2014)

 [9]. Giang et al. [22] noted that the CS rate at private hospitals (70.6%) was much higher than that at public hospitals (57.9%). After the economic reform in the late 1980s, private health facilities have increased in number dramatically in metropolitan areas, attracting wealthier people that expect better quality care in private hospitals. The link between the mode of delivery at private hospitals and the risk for CS has been widely documented in other countries [1]. One of the limitations of our study is that we did not ask mothers whether they gave birth at private or public hospitals; nevertheless, our sample covered three private hospitals. Thus, the high rate of CS may have reflected the increased use of CS associated with private hospitals.

Mothers with higher economic status were more likely to receive CS births.

The health care system was organised by the Vietnamese government in the late 1980s

[26]. Currently, health insurance covers a variety of health care services, including from 80 to 100% of the total cost of antenatal checkups and both normal and abnormal childbirths. The introduction of user fees for service in the late 1980s has increased the number of service options [26] as well as the out-of-pocket expenses of patients.

Mothers that undergo CS sometimes need to pay out-of-pocket expenses for additional services, such as a single room charge for hospitalization; therefore, mothers with a low economic status may not be able to afford medical expenses for CS [27]. Another reason

 for the association between higher economic status and CS birth may be a difference in access to antenatal care services between women with higher and lower socio-economic status. Wealthier pregnant women receive more frequent and qualified antenatal care, including ultrasonographic examinations, that can aid the identification of medical conditions requiring CS [27].

Contrary to a previous study reporting higher CS rates in urban areas (43%) than in suburban areas (21%) [9], place of residence was not significantly associated with CS rates in our final adjusted model. This discrepancy may stem from the greater proximity between urban and suburban regions inside Nha Trang city. As several studies have indicated [1, 13], one of the reasons for higher CS rates in urban areas is increased accessibility to maternity health care facilities that are well equipped and well-staffed. Another reason is that patients with higher socio-economic status who are able to receive CS often live in urban areas [3, 27]. However, only a weak association of education and economic status with residential areas was observed in our study. Thus, the higher geographical proximity among the study areas likely explained the negligible difference in the CS rate between urban and suburban areas.

Child <u>sex</u> was not associated with the CS rate in our study in contrast to findings from previous studies in Vietnam [17, 22]. Giang *et al.* [22] suggested that some families

 under the traditional culture of 'Confucianism' may request a CS when they find out by ultrasonography that the child is a male. The sex ratio at birth was higher in northern areas (> 120 male births to 100 female births) than in central or southern areas of Vietnam (< 110 male births to 100 female births) [29]. Da Nang city is located approximately 500 km north of Nha Trang city. Therefore, the association between child <u>sex</u> and CS may be influenced by cultural differences between regions.

Older maternal age (≥ 30 years old), low birth weight (< 2500 g), and high birth weight (≥ 3500 g) resulted in higher CS rates. Although we did not take data on birth parity in our study, CS rates were lower for mothers that reported 'having another child going to school or kindergarten'. These findings are consistent with previous studies that have been conducted in other countries [30]. Older age, primiparity, low birth weight, and high birth weight may be associated with a higher risk of pregnancy and birth complications and a greater need for CS [27]. However, given that the CS rates in younger age groups (20–29 years old) were over 30%, CS for a substantial number of patients might not have been medically required.

The major strength of our study was that we recruited a large number of participants (n = 3140) and followed a strict randomization enrollment technique. Our data included participants from urban and suburban areas across Nha Trang city.

Our study has a few limitations that should be considered. First, 22.8 % of

 participants refused to participate in the *Streptococcus pneumococcus* carriage survey. The lack of participation by some participants might have reflected their fear of having a nasopharyngeal swab taken from their child. Richer people may be less likely to participate in this research activity regardless of financial incentives. In addition, we were unable to contact 17.9% of the candidates on the original candidate list, possibly because they had changed their mobile phones or moved to a different address. These facts might slightly influence the representativeness of participants. Second, we did not have information on parity and other clinical factors, such as pregnancy, delivery complications, and the number of antenatal checkups identified in a previous study [16], as this study was a part of a Streptococcus pneumoniae carriage survey. Third, our data were based on the ability of mothers to recall the requested information, but we were unable to confirm the information that they provided from medical charts. Although the medical reasons for CS in our study were similar to those provided by a previous study [22], data based on clinical indications for CS from hospital records would have been superior and of higher quality than the data that we collected. Women may have also misreported their age, income, gestational age, or the birth weight of their children. Misclassification of gestational age and birth weight may have been related to the mode

of delivery, although it is difficult to predict in which direction this would have biased the results.

CONCLUSIONS

The CS birth rate in Nha Trang city was 44.3% in 2016. Older maternal age (\geq 30 years old), having another child, monthly income greater than 644 USD, gestational weeks at birth over 42 weeks, and low (< 2500 g) and high (\geq 3500 g) birth weight were associated with a higher likelihood of CS birth.

Funding

 Abbreviations AOR: Adjusted Odds Ratio, CI: Confidential Interval; CPD: Cephalo Pelvic Disproportion; CS: Caesarean Section; DHS: Demographic Health Surveys; MICS: Multiple Indicator Cluster Surveys; N: Number; WHO: World Health Organization; USD: US dollar; VND: Vietnamese don. Acknowledgements The authors would like to thank all the community health care center staff members, medical staff members, and all participants for their cooperation. **Authors' contributions** MT(1st) and LMY contributed to the conception and design of this study, acquired, analyzed and interpreted the data, drafted original manuscript, and revised the manuscript. HATN, NK, CI, and DAD contributed to the investigation, collecting information, data entry and data-cleaning. M.T (5th) and H.M contributed to supervision of the manuscript regarding analysis and draft and revision of the manuscript. CR contributed to the overall contribution regarding analysis, draft of the manuscript and English proofreading. All authors read and approved the final manuscript.

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