Smartphone tool to collect repeated 24-hour dietary recall data in Nepal

Helen Harris-Fry¹, B. James Beard¹, Tom Harrisson², Puskar Paudel³, Niva Shrestha¹, Sonali Jha³, Bhim P Shrestha³, Dharma S Manandhar³, Anthony Costello⁴, Naomi M Saville²

- 1. London School of Hygiene and Tropical Medicine, Keppel Street, London, WC1E 7HT
- Institute for Global Health, University College London, 30 Guilford Street, London, WC1N 1EH
- 3. Mother and Infant Research Activities, PO BOX 921, Kathmandu, Nepal
- 4. Maternal Child and Adolescent Health, World Health Organization, Geneva, Switzerland

Corresponding author: Helen Harris-Fry; London School of Hygiene and Tropical Medicine, Keppel Street, London, WC1E 7HT; Email: <u>helen.harris-fry@lshtm.ac.uk</u>; Tel: 020 7612 7940; Fax: +44 (0) 20 7404 2062

Acknowledgements: We thank Rinku Tiwari, Neha Sharma and Kabita Sah for their help with recipe collection, and the respondents for sharing their recipes and participating in the study.

Financial support: This work was supported by Child Health Research CIO; and UK Department for International Development (grant number PO 5675). Neither donor had any role in the design, analysis or writing of this article.

Conflict of interest: None

Authorship: HHF prepared the first draft of the manuscript, developed the overall study design and final tools, and conducted all analyses. NMS formulated the research question and provided detailed technical inputs. AC provided technical oversight. BJB developed the concept of the smartphone components, and supported TH to develop the proof-of-concept for this. TH led the pilot testing and collection of utensil data with PP and HHF. NS collected weights of discrete food items. PP, HHF, and NS trained data collectors and PP and SJ managed the data collection. HHF processed the data, and HHF, NS and PP routinely checked the outputs. DSM and BS were project director and project manager, respectively, and were responsible for day-to-day oversight and coordination of field activities. AC and NMS are principal investigators of the main trial. All authors read and approved the final manuscript.

Ethical standards disclosure: Ethical approval was obtained from the Nepal Health Research Council (108/2012) and the UCL Ethical Review Committee (4198/001). Verbal informed consent was obtained from all subjects. Verbal consent was obtained and formally recorded on paper forms

1 Abstract

Objective: To outline the development of a smartphone-based tool to collect thrice-repeated 24hour dietary recall data in rural Nepal, and to describe energy intakes, common errors, and
researchers' experiences using the tool.

5 **Design:** We designed a novel tool to collect multi-pass 24-hour dietary recalls in rural Nepal by 6 combining the use of a CommCare questionnaire on smartphones, a paper form, a QR-coded list of 7 foods, and a photographic atlas of portion sizes. Twenty interviewers collected dietary data on three 8 non-consecutive days per respondent, with three respondents per household. Intakes were converted 9 into nutrients using databases on nutritional composition of foods, recipes, and portion sizes.

10 Setting: Dhanusha and Mahottari districts, Nepal.

Subjects: Pregnant women, their mothers-in-law, and male household heads. Energy intakes
assessed in 150 households; data corrections and our experiences reported from 805 households and
6,765 individual recalls.

Results: Dietary intake estimates gave plausible values, with male household heads appearing to have higher energy intakes (median: 12,079 kJ/day (25th and 75th centiles: 9,293 to 14,108)) than female members (8,979 (7,234 to 11,042) for pregnant women). Manual editing of data was required when interviewers mistook portions for food codes, and for coding items not on the food list. Smartphones enabled quick monitoring of data and interviewer performance, but we initially faced technical challenges with CommCare forms crashing.

Conclusions: With sufficient time dedicated to development and pre-testing, this novel
smartphone-based tool provides a useful method to collect data. Future work is needed to further
validate this tool and adapt it for other contexts.

23 Keywords: Nutrition, data collection, electronic data capture, smartphones, dietary recall

24 Introduction

Field surveys, traditionally conducted on paper forms, are increasingly using electronic data capture tools, such as tablets and smartphones. Compared with paper methods, commonly cited relative benefits of electronic data capture include: quicker access to data, more options to check data quality and interviewer performance;, lower costs for data entry;, and reduced risk of data loss during transport and storage ⁽¹⁻³⁾.

30 However, in low-income countries, these benefits have rarely been realised for the collection of dietary data, such as 24-hour dietary recalls or weighed food records ⁽⁴⁻⁶⁾. Dietary intake assessment 31 is well-known to be error-prone (7, 8), so near-instant access to digitised data could facilitate 32 improvements in data quality and precision of intake estimates, particularly for studies with large 33 34 sample sizes. For example, data managers could quickly identify errors, such as implausible 35 frequencies of food items or portion sizes, outliers in nutrient intake estimates, or missing or unexpected Global Positioning System (GPS) readings. They could also monitor interviewer 36 performance by measuring digit preference, time taken to conduct interviews, or systematic under-37 38 or over-reporting.

39 A key challenge associated with the use of electronic capture of dietary data is the complex interview structure. Respondents may report multiple portions of a food item, from many hundred 40 possible foods, at many different times of day⁽⁴⁾. Dietary surveys also often collect recipes for 41 mixed dishes and descriptions of leftovers or shared foods ⁽⁹⁾. These details are iteratively probed in 42 43 a non-linear fashion during a dietary recall, and this is difficult to programme on smartphones. Another level of complexity is added to the data structure for studies collecting repeated dietary 44 45 assessments on the same individuals and/ or multiple individuals within households. However, if 46 these challenges can be overcome, the quality and follow-up rates of dietary intake data might 47 improve.

This article provides a novel solution to electronic collection of dietary data using CommCare software on smartphones, an atlas of graduated portion sizes, and a list of food items. We also describe the development and implementation of the tool, characterise the diet to assess the plausibility of results, and comment on the key benefits and challenges of using this tool.

52 Methods

53 *Study context*

This study was conducted in Dhanusha and Mahottari districts in the Terai, on the border with the 54 Indian state Bihar. Being in the Indo-Gangetic floodplains, with fertile land and favourable climatic 55 conditions, agricultural productivity is higher in the *Terai* than other regions of Nepal ^(10, 11). 56 57 Household food security in the Terai is higher than the hilly and mountainous regions of Nepal, but women's nutritional status is among the lowest in the country (23% with BMI <18.5 kg/m², and 58 52% with haemoglobin concentrations <12g/dl)⁽¹²⁾. Nepalese diets are typically monotonous and 59 characterised by consumption of cereals and pulses, particularly rice and lentils, as well as tubers, 60 and dairy in high caste groups ⁽¹³⁻¹⁵⁾. Studies from the *Terai* show that gourd curries (bitter gourd, 61 62 okra and snake gourd) are commonly eaten, whereas consumption of fruits, other vegetables, meat, fish, and eggs is rare (13, 15). 63

64 2G-connectivity is variable but generally good, and a high proportion of households own a mobile phone (72% in rural Nepal)⁽¹⁶⁾, suggesting phones may be a feasible and culturally acceptable 65 mode of data collection. Although unreliable electricity can make it difficult to regularly recharge 66 67 mobile phones, simple solutions such as battery packs can help to overcome this. Flooding in the monsoon season makes some remote areas hard to reach and makes travel time a major demand on 68 69 resources, so electronic data capture could enable remote monitoring of data collectors working far 70 away from the main town (Janakpur). Flooding also poses risks for the security of paper forms, in comparison with electronically data that can be secured if the forms have been submitted to the web 71 72 server.

From mid-August 2015, severe political unrest due to discontent over the new Nepal constitution
and proposed federal state boundaries caused strikes, violent protests, road blockages, a border
blockade, closure of markets and banks, and personal insecurity for the field team ⁽¹⁷⁾. During this
time, travel across the district was not always safe and so data could be transmitted from
respondents' homes, rather than requiring interviewers to travel with paper forms to the field office.

We assessed dietary intakes to evaluate a pregnancy-focused, four-arm, cluster-randomised
controlled trial, Low Birth Weight South Asia Trial (LBWSAT; http://www. controlled-

trials.com/ISRCTN75964374). The trial tested the impacts of participatory women's groups, food transfers with women's groups, and cash transfers with women's groups, on birth weight and infant nutrition ⁽¹⁸⁾. The dietary intake tool described in this paper was developed to collect 24-hour dietary recalls of pregnant women, their mothers-in-law, and male household heads, to assess whether trial interventions were associated with higher dietary intakes during pregnancy and/ or more equitable intra-household distribution of food than in the control areas.

86 Sample size and sampling

The selection of study site, randomisation, and participant eligibility is described in full in the trial
protocol ⁽¹⁸⁾. In brief, 80 Village Development Committee areas (administrative units) from
Dhanusha and Mahottari districts were allocated to four study arms by stratified randomisation.
Enrolment of pregnant women from these areas started in December 2013, and the interventions
stopped in October 2015.

92 Between 10 June and 26 September 2015, we conducted a cross-sectional dietary intake survey on a sub-sample of enrolled women in their third trimester, their mothers-in-law, and male household 93 heads. A target sample size of 800 households (200 per arm) was based on power calculations to 94 detect differences between trial arms in Relative Dietary Energy Adequacy Ratios (RDEARs), a 95 measure of intra-household calorie allocation. Due to the known wide within-person variability of 96 97 dietary intakes, we collected three dietary recalls per person, giving a maximum of nine dietary 98 recalls per household. Households were excluded if the household composition did not include the 99 pregnant woman, a male household head, and the pregnant woman's mother in law. To participate 100 in the trial, women gave consent by signature or thumbprint. For each 24-hour recall interview of 101 every household member, respondents gave verbal consent.

102 Development of the 24-hour recall tool

To minimise underreporting – a common problem with recall-based methods – we followed a 'fivestage multi-pass' 24-hour dietary recall method that uses five different probing techniques ⁽¹⁹⁾ and is recommended for the estimation of nutrient intakes in developing countries ⁽²⁰⁾. The five passes and the data collection process are outlined in Figure 1.



107

108 Figure 1 Overview of the five-stage multi-pass 24-hour recall process

The passes were ordered as follows: (1) collect a chronological free recall, (2) probe for the time and place of consumption, (3) ask about commonly forgotten foods like tea and fruit, (4) review information so far and probe for anything missing, and (5) collect detail on specific food names and portion size estimates. Interviewers entered information from the first four passes onto a simple paper form to enable fluid interviewer-interviewee interactions, then the fifth pass (food names and portion sizes) plus the time and place of consumption, was entered onto a smartphone form.

115 To develop the form, we used CommCare (Version 2.22.0, <u>http://www.commcarehq.org/home/</u>), an

- 116 open source, cloud-based data collection platform. Interviewers could choose to view the
- 117 questionnaire in Maithili, Nepali or English. The CommCare form coding is given in Web
- 118 Appendix 1, so researchers can use and adapt the tool by creating a blank form in CommCare and
- importing the .xml file. We used Samsung Galaxy Y smartphones for the first two weeks but faced

- 120 problems of forms unexpectedly closing mid-survey and losing data, so we used higher
- 121 specification Samsung Galaxy J1 phones for the rest of the study.

122 Food lists and portion size estimates

Each interviewer had a list of around 300 food names, and a photographic atlas containing life-sized pictures of graduated portion sizes of 40 locally prepared foods (list and atlas available on request from corresponding author). The food list was originally prepared for another study ⁽²¹⁾ but we refined it after pilot testing. To aid navigation, we organised the list by grouping the foods, providing a contents page, and creating a list of common foods at the front. The atlas contained between two and six images per item, depending on how common or nutritionally important the item was.

The development and validity of the photographic atlas has been described in detail elsewhere ⁽⁹⁾ 130 but we edited the atlas after finding that volumes were not reliably selected. To select representative 131 132 images of utensils for inclusion in the atlas, we collected data on utensil volumes by visiting 20 households from 4 randomly sampled clusters. Households were sampled using a spin-the-pencil 133 technique, starting at the centre of the village, walking in the direction that the pencil pointed, and 134 sampling every fifth household. Each utensil volume was measured three times. Volumes were 135 measured using a 50 ml or 500 ml volumetric measuring cylinder and we used the water 136 displacement method to estimate volumes of handfuls (*muthi*). Looking at the means and frequency 137 distributions of utensil volumes, we selected the number of images and utensil sizes to include. If 138 139 the distributions were bimodal we included two images, otherwise we included one image, and we chose the photograph of the utensil that was closest to the mean. The means, standard deviation 140 141 (SD) and range of these utensil volumes, and the selected volume of each image, are given in Table 1. 142

Table 1 Volumes of common household utensils

	Utensil volume (ml)						
						Chosen volumes	
Utensil type	n	Mean	SD	Min	Max	atlas images	
Large ladle	16	113.4	32.1	45	162	100, 130	
Small ladle	14	69.4	19.0	33	100	70	
Serving spoon	8	26.9	9.5	17	45	30	
Table spoon	3	9.3	0.9	8	10	10	
Tea spoon	18	5.3	1.6	3	8	6	
Bowl	17	487.8	131.9	275	720	410, 250	
Small glass	18	181.5	50.4	108	278	180	
Large glass	20	347.2	103.7	225	732	310	
Man's handful	9	93.7	28.9	38	138	80, 120	
Woman's handful	20	77.7	18.6	43	112	60, 100	

We collected weights of commonly eaten discrete food items by taking three samples of each food
item from three markets. Non-edible parts, such as bones, stones and skins, were removed, and the
edible portions were weighed using Tanita weighing scales sensitive to 0.1 g, and average weights
were reported to the nearest 1 g (Table 2).

150 **Table 2** Average weights of edible portions of common foods reported as discrete items

Food item	Average wt	Food item	Average wt
	of edible		of edible
	portion (g)		portion (g)
Stuffed bitter gourd	42	Indian sweet (dairy free)	31
Green chilli, salted and fried	29	Jeri (deep fried sugar/wheat	28
		sweet)	
Phophee (deep-fried snack)	7	Candy	3
Samosa (veg)	91	Khaja (deep fried sugar/wheat	69
		sweet)	
Litti (deep-fried wheat snack	84	Banana	48
stuffed with lentils)			
Chicken egg	54	Dates	8
Duck egg	54	Pomegranate	107
Momo (veg)	25	Tamarind *	1
Momo (meat)	20	Grapes	7
Omelette	109	Orange	129
Fried meat	10	Lacuca	222
Fried fish	13	Apple	118
Pyaaji (whole onion/gram flour	62	Rose apple	3
deep-fried snack)			
Tilauri * (deep-fried snack)	1	Papaya	523
Pakora (onion and vegetable/gram	16	Guava	56
flour deep-fried snack)			
Ready-to-eat noodles, small pack	58	Lime	11
Laddu (sweet, made with puffed	31	Lemon	26
rice or wheat)			
Malpuwa (sweet deep-fried rice	47	Bael fruit	442
flour snack)			
Indian sweet (milky)	40		

* This item is very small, so a handful was weighed and the average weight per item was calculated.

152 *Interview structure*

153 To reduce translation requirements and minimise coding errors, every food item in the food list and

154 portion size in the atlas had a unique number (5 and 4 digits, respectively) that was encoded in a

155 quick response (QR) code. To create the QR codes, the information to be contained within the QR

156 codes was first entered into Microsoft Excel sheets. We designed reports in a Microsoft Access

157 database that used the data from Excel to produce the food list with QR codes, and a list of portion

¹⁵¹

- size QR codes that were pasted into the photographic atlas. The QR codes in the reports were
- 159 generated using the StrokeScribe Barcode Active X Control (<u>http://www.strokescribe.com/</u>) (Excel
- sheets and Access reports available on request from corresponding author). The QR code could be
- scanned using the barcode scanning functionality available in CommCare when the 'ZXing Barcode
- 162 Scanner' application was also installed.
- 163 Examples of the portion size QR codes and food list are shown in Figure 2.





Pages from the food list, with food names and QR codes



164

Figure 2 Sample of pages from the photographic atlas and food list, giving portion sizes (not to
scale) and food names with their corresponding QR codes

167 In addition to the 5-digit food code, the food item QR codes contained the names of the food items in Nepali and the page numbers in the photographic atlas corresponding to that food so that this 168 169 information could be displayed to the interviewer. The food item QR code also contained information (coded as 'Y' or 'N') about whether the food should be reported in frequencies, so 170 171 questions about food frequencies were conditionally displayed. For example, rice was amorphous 172 so no frequencies were reported, bananas were discrete so frequencies were needed, and cups of tea 173 were discrete but varied in size, so their sizes (e.g. small teacup or large tea glass) and frequencies 174 were reported.

After entering a portion, the interviewers could enter another portion of the same food type, add a 175 176 different food, or end the recall. Although the portions were probed and entered onto paper forms chronologically, portions of the same food from different time points could be entered into the 177 CommCare form sequentially, to streamline the data entry process. So, for example if rice was 178 consumed two or three times in a day all the portions of rice consumed at the different eating 179 occasions could be recorded one after another to save repeated scanning of QR codes for the same 180 181 food. The time of day that each portion was consumed was recorded so that the chronology was retained. 182

The instructions given on the smartphone during the dietary recall, including the QR code scanningprocess, are shown in Figure 3.



- 186 Figure 3 Screenshots of the CommCare form for collecting 24-hour dietary recall data, illustrating
- the full 24-hour recall process and entry of food items and portion sizes

There were constraints on the type of portion size QR code that could be scanned depending on the food item selected, and so interviewers could not scan portion codes instead of food codes. We also made questions 'required' (an option in CommCare) so interviewers could not accidentally skip past a question and provided 'don't know' options in case the questions could not be answered.

192 Data collection for a household was complete if all three visits were complete, and a visit was 193 complete if all three household members were interviewed. We expected that using paper registers 194 to track this would be error-prone, so we developed an automated counting system with a short 195 registration questionnaire in CommCare (Web Appendix 2), using the 'case management' function 196 that allowed the completion status to be updated after completing each dietary recall. If a household 197 member became unavailable and the first visit needed to be redone another day, the interviewer recorded the non-response and the count was reset accordingly. The logic (CommCare coding) for 198 199 this counting is provided in Web Appendix 3). Interviewers could complete and save the forms 200 offline, but then required internet connection (typically 2G connection, or occasionally the office 201 Wi-Fi) to send the forms to a cloud-based, password-protected server hosted by CommCare.

202 Survey implementation and data quality checks

In August 2014, we piloted the first version of the CommCare form, and refined it before finalisation in April 2015. Between 3 and 11 June 2015, interviewers were trained on the 24-hour recall method, including techniques for showing interest in respondents' answers without showing surprise or disapproval and entering data quickly. Data could not be edited after form submission, so we instructed interviewers to record errors in their notebooks and reassured them that we could correct errors in the dataset. After training, interviewers had two days of field practice. Interviewers also received a handbook on dietary assessment protocols.

Interviewers were required to visit unavailable households three times before categorizing them as
'non-respondents'. Due to the long time required to interview three household members, a small
thank-you gift was given to the household on each visit. The gifts were: prickly heat powder (~
USD 1), a small towel (~ USD 0.80), and two bars of soap (~ USD 0.50).

Supervisors completed an observation checklist on 10% of households to ensure that interviewers
were adhering to protocols. The checklist assessed interview technique such as whether or not the

interviewer gave a friendly greeting, obtained consent, used a non-judgmental interview manner,
and used non-specific probes. Supervisors also completed 'back check' forms by revisiting sampled
households and checking that protocols had been followed. We had monthly meetings with the
whole team to discuss any problems, share experiences, and review the progress against targets

220 (minimum target was two households per day).

We checked the data at least once per week. The main data checks were: number of interviews conducted each day by interviewer, percentage of GPS readings recorded by interviewer, mapping of GPS locations, time taken to complete interviews, digit preference, and frequency of outliers in dietary intakes. For implausibly high daily dietary intakes (>4000 kcal (16,736 kJ) per day), we reviewed respondents' recorded food items and intakes for that day. We also reviewed all cases where respondents had eaten any food portions at very high (\geq 20) frequencies. Implausible or unlikely data were verified or explained by back-checks with the households.

228 Calculating nutrient intakes

To calculate nutrient intakes, we first compiled a food composition table (FCT) using published 229 sources and collected recipes, as described in Harris-Fry et al.⁽⁹⁾. In brief, we took values for raw 230 ingredients from FCTs from Bangladesh⁽²²⁾, USA⁽²³⁾, UK⁽²⁴⁾, and Nepal⁽²⁵⁾. Rather than collect 231 individual recipes in each household, we used average nutritional content from a sample of recipes. 232 We collected 174 sample recipes for 127 dishes by weighed observation (between one and 32 233 234 samples per dish for rare foods and common items respectively). We collected data from rural households, local vendors, and interviewers' own homes for rare items. Full detail is given in 235 Harris-Frv *et al.* ⁽⁹⁾. 236

We calculated their nutrient composition using the ingredient weights and nutritional values of the raw ingredients. Nutrients of all weighed ingredients in the recipe were summed, divided by the total weight of the final cooked dish (measured after cooking), and we reported the mean per 100 g of the mixed dish in the FCT. Food items in the FCT were coded to correspond with the codes in the food list. We chose not to use retention factors because none of the published factors were from local food preparation methods and because many of the nutrient requirement estimates ⁽²⁶⁾ have already accounted for nutrient losses in their estimates.

- Next, we linked the dietary recall data (with food and portion codes) with the FCT and other
- 245 datasets with portion size data, as illustrated in Figure 4.



246



We merged the FCT by matching the food codes in the food composition table with the food codes 248 from the food list. A dataset containing a list of discrete items, their food codes, and gram weights 249 per item, was also merged by food code. We then merged in the portion size data, which was a 250 simple dataset of the portion codes and their weight in grams, by matching the portion codes with 251 the codes embedded in the portion size QR code. After multiplying the portion or item sizes by the 252 number of times each portion size was consumed, and calculating the nutrients per quantity of food 253 254 item consumed, all nutrients were summed to give the total nutrients consumed per person on a 255 given day.

256 Analysis methods

We used simple descriptive methods to describe respondent characteristics, and reported median 257 (and 25th and 75th centiles) energy intakes in kJ/day. We used data from the control arm only 258 because respondents from intervention arms would not be representative of the wider population. 259 260 Dietary data management and analyses were conducted using Stata SE 14 (College Station, TX: 261 StataCorp LP). The frequencies of different errors were described by reviewing and counting the corrections made in a data cleaning Stata .do file. Our experiences of using the tool were assessed 262 and summarised by collating discussions between co-authors (from tool development, testing and 263 264 personal observations), and by reviewing the authors' notes from team meetings with interviewers 265 and supervisors.

266 Ethical standards disclosure and data security

Ethical approval was obtained from the Nepal Health Research Council (108/2012) and the UCL

Ethical Review Committee (4198/001). Verbal informed consent was obtained from all subjects.

269 Verbal consent was obtained and formally recorded on paper forms.

The server, downloaded data files, and the data collectors' smartphones were all passwordprotected. Paper forms were stored in a locked cupboard for cross-referencing with the electronic
forms.

273 Results

274 Description of dietary intakes from the control arm

In the control arm we collected data in 150 households, with a total of 1,230 individual dietary recalls. Of sampled households, almost a third (31%) were landless, over a third (36%) were disadvantaged groups (Dalit or Muslim), and over half (54%) of the pregnant women had not attended school.

Taking the first day of dietary recall (before loss to follow-up on subsequent visits), for all
household members, almost all (98%) respondents ate rice, around three quarters ate *dal* (spicy
lentil soup), and around 65% ate *roti* (unleavened flatbread). Other commonly consumed items, i.e.

food items that >20% of respondents consumed at least some of, were: tea with sugar and milk,

283 mango (which was in season at the time), pointed gourd curry, fried spicy potato (*bhujiya*), and (for

the pregnant woman only) buffalo milk.

285 The median (25th and 75th centiles) daily kJ intakes (averaged over the three days of recall) were

286 8,979 (7,234 to 11,042) for pregnant women; 9,159 (6,937 to 11,368) for mothers-in-law; and

287 12,079 (9,293 to 14,108) for male household heads.

288 Summary of errors and corrections made

289 Table 3 summarises the frequencies of different errors (or intended corrections), also reported as a

290 percentage of the total number of person-visits or food items recorded during the course of the

study. More explanation of these errors is also described below.

292 **Table 3** Types and frequency of errors and corrections made to dietary intake raw data

Corrections to raw data	n (%)
Total number of individual dietary recalls collected	6,765
Recalls that had to be conducted on paper forms	8 (<0.1)
Total number of food items collected	51,006
Food items mistakenly entered by scanning portion size QR codes	322 (0.6)
Food item not on the food list	288 (0.6)
Various errors identified by interviewer after form was completed	9 (<0.1)
Typographical error in frequency of portions	12 (<0.1)
Error entering glucose syrup (respondents had one teaspoonful in a glass, but	37 (<0.1)
the interviewers mistakenly entered a full glass)	
Error entering portion sizes of unknown items (some selected the portion size	13 (<0.1)
from the atlas, but then recorded the frequency of the portion size as the	
respondents' estimate of the portion in grams.	
Total food item corrections as a percentage of total foods recorded	681 (1.3)

A few errors arose from the counting mechanism that tracked completion of the household's visit and the number of visits. In some cases, households were accidentally re-registered on the second visit, so the questions associated with the first visit would display. In other cases when interviewers could not interview the respondents during a visit, they did not record the reasons for non-response (required to reset the counting logic). In these few cases, we provided a paper form and manuallyremoved duplicate registrations from the dataset.

In the first two weeks, some food items were mistakenly entered using the portion size QR code rather than the food item QR code. Most items (n=286) could be intuitively recoded based on the pictures that they scanned, and for items such as bowls we referred back to their paper forms and recoded the items (n=36) manually. To prevent further mistakes, we provided refresher training and reprogrammed the forms with additional QR code restrictions, using string length as the restriction since food item codes were always longer than the portion codes.

If an item was not included in the food list, interviewers could enter the 'unknown' food code and type the food name. These items needed re-coding for analysis. Occasionally, interviewers selected the portion size from the atlas but then also mistakenly entered the respondents' estimate of the portion size in grams or ml, instead of the number of times that portion was consumed (e.g. selecting the tea glass and then entering 100 to indicate 100 ml rather than 100 tea glasses).

Some other errors arose from mistakes identified and reported by the interviewers, or implausible values identified by our regular analysis and identification of outliers. Typographical errors all came from the entry of the frequency of portions. Sometimes glucose syrup was incorrectly entered because respondents added one teaspoonful to a glass, but the interviewers mistakenly entered a full glass of glucose.

315 *Experience of using the 24-hour recall tool and smartphones*

316 Overall, we found that data monitoring was made easier with the use of smartphones because 317 electronically entered data could be quickly converted into nutrient intake estimates; whereas, paper 318 forms would have needed manual checking and translation of food item names and portions. 319 Having access to digitized data enabled us to analyse nutrient intakes, quickly detect and correct errors or outliers, make any final minor edits to the tool in the first weeks of data collection, identify 320 321 topics for refresher trainings, and provide more support to interviewers who were making more 322 errors or not meeting their targets. Access to the data also allowed us to refer to the data during our review meetings, so we could discuss the plausibility of outliers, emphasize to interviewers the 323

importance of their accuracy and data quality, show the level of concern and attention being givento their data, and demonstrate that the data have meaning and use after their household interactions.

We found the form structure and tool components worked well. A key benefit of having a printed food list, rather than including the list of foods within the CommCare form, was that we could make edits after piloting without changing the form. The counting mechanism was helpful to track the number of repeats collected and ensure that all three household members were interviewed, and it also enabled us to spread other questions on food behaviours, food security and socioeconomic status across the three visits.

In terms of time and resources, the setup time required to develop the tools was much higher than paper forms, but this time was saved in data entry of paper forms. Few, highly skilled personnel were required for tool development (e.g. to generate QR codes and write the logic for tracking multiple visits and multiple household members) although CommCare has a very user-friendly web interface so did not generally require computer programmers to write code. For paper forms, data entry would have required more staff of lower-skilled levels over roughly the same length of time.

We faced some technical issues with the equipment. Unreliable electricity supply for charging 338 339 phones in villages and limited battery life of smartphones led us to provide external battery packs, but phone power would still occasionally run out after a full day of data collection. Daily form 340 341 submission was required to monitor progress and also minimise risk of data loss, but in some areas interviewers had to travel for thirty minutes to find cellular (2G) connection and submit their forms. 342 Bugs in the CommCare system caused the forms to crash occasionally, particularly when using the 343 QR code scanning or GPS functionalities, forcing interviewers to re-enter the data. CommCare were 344 quick to respond, and released two new versions of the application to overcome some of these 345 346 issues. After two weeks of data collection, the phones were upgraded to a higher specification, after 347 which forms rarely crashed. Some interviewers would also note the portion codes on the paper 348 forms, as a backup.

Regarding interviewers' experiences of using the tool, despite having limited computing
knowledge, they found the smartphone tool easy to use after practice and detailed training.
However, they reported frustrations when the form crashed. Interviewers found the food list and
photographic atlas easy to navigate, and quickly became familiar with the page numbers and

- 353 locations of common items. Some interviewers placed sticky notes in the food list when
- interviewing the first respondent of the household to help find the foods again for the next
- 355 respondents, since members of the same household tended to eat the same foods.

Points that were commonly reiterated in the review meetings included: showing the photographs the correct way up (so the respondents could see the images, rather than the interviewers); showing all portion size options; probing whether the respondent had any leftovers; scenarios for foods not on the list; not skipping over the passes during questioning; allowing time for respondents to recall forgotten foods during the review pass; and ensuring phones and battery packs were fully charged at the start of each day.

362 **Discussion**

In this paper we have described the process and experiences of using a novel smartphone-based tool 363 364 for collecting and counting repeated 24-hour dietary recalls. To our knowledge, this is the first study to report the use of an Android platform combined with QR codes to enter dietary data, and it 365 366 is also the first to collect and count repeated 24-hour dietary recalls within individuals and within households. We found that smartphones provided a useful tool for collecting dietary recall data. The 367 constraints embedded in the form prevented the entry of implausible values, and the quick access to 368 data enabled regular checks on interviewer performance and data quality. Some manual edits to the 369 370 raw data were required, but this was a small proportion of the total number of food items recorded 371 and could be easily minimised in future by including more constraints and more items on the food 372 list.

373 Assessment of the plausibility of results by comparing other studies

Our findings that diets were monotonous are consistent with findings from other paper-based dietary studies from Nepal ⁽¹⁴⁾. Energy intakes were generally higher in this study than other studies using paper forms to collect data, but gender differences in energy intakes were consistent with other Nepali studies ^(13, 27).

Comparing the median daily kJ intakes from a study in Bhaktapur, lactating women from Bhaktapur
 consumed 619 kJ/day (148 kcal/day) less than pregnant women in our study in rural Dhanusha and

Mahottari⁽¹⁴⁾. Although there is six years difference in the studies' survey periods, it is unlikely that 380 pregnant women's intakes from our rural, poor, socially conservative region were higher than 381 382 intakes from lactating women in the urban area of Bhaktapur. We conclude that this difference is marginal, and it is likely that these differences are attributable to different interview techniques and 383 measurement error. Sudo et al. (13) also reported 1,859 kJ/day lower intakes in their sample of non-384 pregnant women from rural areas of the Terai (Nawalparasi district) than in our study. Actual 385 386 differences are less likely in this study, because it was conducted in a rural part of the Terai, but 387 observed differences may be explained by their different study method (FFQ compared with our 24hour recall), different survey season (April vs June to September), and different respondent 388 389 inclusion criteria.

For men, we found that male household heads (aged 14-37 years) had a median daily intake of 390 12,079 kJ, whereas Gittelsohn ⁽²⁸⁾ reported a mean intake of 9803 kJ/day for men aged 25 to 50 391 years and Sudo *et al.* ⁽¹³⁾ reported a median intake of 8723 kJ/day for men aged \geq 20 years. 392 393 Particularly for the Gittelsohn study, we would expect intakes to be higher in our study due to the difference in study year (1987 vs 2015), location (hills vs Terai), the general trend of increasing 394 energy intake per capita over time⁽²⁹⁾, and also because we selectively sampled the most senior 395 household members. As with women's intakes, the difference between our results and Sudo et al. 396 ⁽¹³⁾ is less likely to be related to major differences in the study population dietary patterns and more 397 398 likely to be explained by the different measurement methods.

Few studies from Nepal have compared intra-household differences in intakes. Comparing gender 399 differences, Sudo found that men's intakes were 1603 kJ/day higher than women's, Gittelsohn 400 found men's intakes were 542 kJ/day higher, and we found that they were 3100 kJ/day higher than 401 pregnant women and 2,920 kJ/day higher than mothers-in-law. These trends are difficult to compare 402 403 between studies, due to temporal and geographical heterogeneity in household behaviours and 404 norms, but are indicative of a generally consistent trend of gender inequality. The results are also indicative of inequitable intra-household allocation of calories between pregnant women and their 405 mothers-in-law. To our knowledge, this latter relationship has not been assessed quantitatively. 406 Forthcoming work will report on the dietary patterns in this context, accounting for the differential 407 nutritional requirements of different respondents. 408

409 These results indicate that the tool gives plausible and consistent results, but that our tool may lead to an over-estimate of dietary intakes. More work is needed to validate the tool, by comparing with 410 411 other methods of dietary assessment such as weighed food records, or doubly labelled water and 412 biomarkers. To fully determine the comparative benefits, feasibility, and accuracy of dietary intake 413 methods of electronic versus paper-based methods, a comparative study (randomly allocating respondents to a paper or electronic-based interview) could be conducted using a 'gold standard' 414 415 reference, for example using biochemical markers. This could then compare the frequency of errors, the costs associated with each, and the accuracy and precision of the two methods. Such 416 comparisons have been made for many studies in Europe and North America, but are lacking from 417 low-income countries such as Nepal⁽⁶⁾. 418

419 *Key benefits of electronic data capture for dietary intake assessment*

Some of the key reported benefits associated with electronic data capture include cost savings 420 (higher fixed costs for start up compared with paper methods but lower average costs) ⁽³⁰⁾ and 421 quicker access to data ⁽³¹⁾. These are generally consistent with our findings; although we did not 422 conduct a cost analysis we also faced high initial setup costs, and tool development took longer than 423 anticipated. Studies have reported time savings from using computerised methods ⁽³⁰⁾, but without a 424 paper comparator, it is difficult to know if the interviews would have been quicker on paper or 425 smartphone. However, the monotony of diets in this context meant that dietary data could be 426 collected quickly, and the ability to repeat additional servings of the same food type (a feature that 427 was introduced after pilot testing) may have sped up the data entry process. Furthermore, given that 428 429 most of the time burden for interviewers was in travelling between remote areas, it is unlikely that any time costs or savings would have affected overall productivity in terms of households visited 430 431 per day.

Most other electronic tools for entry of dietary intake data originate from large-scale dietary intake
studies conducted in developed countries that use computers rather than portable tablets. For
instance, the USDA use an Automated Multiple-Pass Method ⁽¹⁹⁾, and the European Prospective
Investigation into Cancer and Nutrition uses a standardised computer program, 'EPIC-SOFT' ⁽³²⁾.
Self-administered tools are also not appropriate for illiterate populations ⁽³³⁾. A computerised system
was recently developed for use in India – the New Interactive Nutrition Assistant – Diet in India
Study of Health (NINA-DISH) ⁽³⁴⁾ – but this requires computers rather than more portable tablets or

phones. These bespoke systems for large, national or multi-country studies require high
 specification computers with large memory ⁽⁴⁾.

Few have reported on low-cost, easily developed tools for smartphones or tablets, required for field 441 studies and resource-poor contexts ⁽⁴⁾. One way to reduce costs is to use existing data collection 442 platforms, such as CommCare, that provide simple, user-friendly tools to create and conduct 443 surveys. These however, require careful development to facilitate the collection of dietary data. To 444 our knowledge, only one study has reported on the use of existing data collection platforms, in their 445 case Open Data Kit (ODK), to collect dietary recalls ⁽⁴⁾. In contrast, we used CommCare, a platform 446 based on ODK but with additional functions for case management and collecting multiple recalls 447 448 within a household. Another key difference is that our method used printed food lists with QR codes instead of including the food items within the CommCare form. Indeed, a key strength of our 449 tool is that only minor edits are needed to adapt the smartphone form and logic for use in other 450 contexts, because the main context-specific information (food lists and portion size images) can be 451 developed independently of the CommCare form. As such, it is hoped that this tool can be used and 452 adapted by other researchers, so that setup costs may be lower for future studies. 453

454 Study limitations, and future application of the tool for improved dietary assessment

In future, automated visualisation software using segmentation analysis could quantify portion sizes from images ^(35, 36). Instead of scanning QR codes, future studies could take photographs and estimate portion sizes from photographs. Research is needed to advance the technological capability of image analysis, assess the cultural acceptability of these methods in different contexts, and apply image analysis technologies to South Asian diets. In the meantime, portion size data could simply include more weighed portions, rather than relying exclusively on photographs.

A limitation of the study was that we did not collect individual recipes for each household (instead using average recipes, as described in the methods), and so this component of the dietary recall has not been programmed into the CommCare form. Since the main aim of the study was to compare relative allocations of food, we used average nutrient composition calculated from pre-collected recipes, but the collection of more recipes could improve the accuracy of the tool. Researchers aiming to estimate nutritional adequacy more precisely, rather than relative allocation, could add another section to the form used in this study, to collect recipe ingredients and their weights.

468 Another component that was not included in this tool was a checklist for respondents to document

- their intakes. Gibson and Ferguson⁽²⁰⁾ recommend researchers to provide respondents with an
- 470 image-based checklist the day before the recall, so respondents can tick the items they consume
- 471 during the day. These additions would have required each household to be visited for at least three
- 472 additional days (one per recall), which would have been burdensome on the respondents, and
- 473 logistically infeasible given the resources available and the long travel time to reach households.

An unusual approach used in this study was to ask respondents to recall the portion sizes in the order of the food items (e.g. rice in the morning and then evening), rather than each food in strict chronology. Although the food items were recalled in chronological order during the free recall, the portion sizes were only collected later. This sped up the process (which was especially helpful since there were three respondents per households and so the interview was already long and cumbersome) but it may have been more challenging for respondents recall portions out of the order in which the food items were consumed.

More rigorous qualitative assessment of interviewers' and respondents' experiences of using the tool, for example by conducting in-depth interviews and thematic analyses, may identify more issues and opportunities for tool development. Future work by an independent researcher, rather than by line managers and study coordinators, may be required to ensure that interviewers feel comfortable reporting these experiences.

Finally, we hope that this tool will be used, adapted, and improved by other researchers, so that dietary intake data collection may become more feasible, and nutrition interventions can be more informed and better designed.

489 Conclusion

Smartphone technology, existing data collection platforms, and simple visual portion size aids can be combined to collect detailed dietary intake data from rural households. With sufficient time and effort dedicated to setup and pre-testing, in addition to the usual intensive process of developing 24hour dietary recall tools, smartphones can provide a useful method for collecting and enabling quick access to data. The main benefits include: no need to translate food items for each respondent, no costs associated with paper data entry systems, ability to detect outliers in intake estimates, and

- 496 regular, detailed information on interview performance. Challenges, such as lack of electricity,
- 497 programming bugs, and inflexibility introduced by electronic data capture can be overcome with
- 498 planning, flexibility in making edits to the dataset after data collection, and if interviewers are
- 499 encouraged to report their mistakes.

501 **References**

- 502 1. Byass P, Hounton S, Ouédraogo M *et al.* (2008) Direct data capture using hand-held
 503 computers in rural Burkina Faso: experiences, benefits and lessons learnt. *Tropical Medicine &*504 *International Health* 13, 25-30.
- 2. Tomlinson M, Solomon W, Singh Y *et al.* (2009) The use of mobile phones as a data
 collection tool: a report from a household survey in South Africa. *BMC Medical Informatics and Decision Making* 9, 51.
- 3. Style S, Beard BJ, Harris-Fry HA *et al.* (2017) Experiences in running a complex electronic
 data capture system using mobile phones in a large-scale population trial in southern Nepal. *Global Health Action* 10, 1330858.
- 4. Caswell BL, Talegawkar SA, Dyer B *et al.* (2015) Assessing child nutrient intakes using a
 tablet-based 24-hour recall tool in rural Zambia. *Food and nutrition bulletin* 36, 467-480.
- 513 5. Illner A, Freisling H, Boeing H *et al.* (2012) Review and evaluation of innovative
- technologies for measuring diet in nutritional epidemiology. *International journal of epidemiology* 41, 1187-1203.
- 516 6. Timon CM, van den Barg R, Blain RJ *et al.* (2016) A review of the design and validation of 517 web-and computer-based 24-h dietary recall tools. *Nutrition Research Reviews* **29**, 268-280.
- 518 7. Bingham SA (1991) Limitations of the various methods for collecting dietary intake data.
 519 Ann Nutr Metab 35, 117-127.
- 8. Cade J, Thompson R, Burley V *et al.* (2002) Development, validation and utilisation of foodfrequency questionnaires–a review. *Public health nutr* 5, 567-587.
- 9. Harris-Fry H, Paudel P, Karn M *et al.* (2016) Development and validation of a photographic
 food atlas for portion size assessment in the southern plains of Nepal. *Public health nutrition*19, 2495-2507.
- 525 10. Malla G (2009) Climate change and its impact on Nepalese agriculture. *Journal of* 526 *Agriculture and Environment* **9**, 62-71.
- 527 11. Regmi HR (2007) Effect of unusual weather on cereal crop production and household food
 528 security. *Journal of Agriculture and Environment* 8, 20-29.
- 529 12. Ministry of Health Nepal, New ERA, ICF (2017) Nepal Demographic and Health Survey
 530 2016: Key Indicators. Kathmandu, Nepal: Ministry of Health, Nepal.
- 13. Sudo N, Sekiyama M, Maharjan M *et al.* (2006) Gender differences in dietary intake among
 adults of Hindu communities in lowland Nepal: assessment of portion sizes and food
 consumption frequencies. *European Journal of Clinical Nutrition* **60**, 469-477.
- 14. Henjum S, Torheim LE, Thorne-Lyman AL *et al.* (2015) Low dietary diversity and
 micronutrient adequacy among lactating women in a peri-urban area of Nepal. *Public Health Nutrition* 18, 3201-3210.

- 537 15. Campbell RK, Talegawkar SA, Christian P *et al.* (2014) Seasonal dietary intakes and
- socioeconomic status among women in the Terai of Nepal. *Journal of Health, Population and Nutrition* 32, 198.
- 540 16. DHS (2011) Nepal Demographic and Health Survey 2011. Kathmandu, Nepal and Calverton,
- 541 Maryland, USA: Ministry of Health and Population (MOHP) Nepal; New ERA; ICF International 542 Inc.
- 543 17. BBC (2015) Why is Nepal's new constitution controversial? By Charles Haviland.
 544 <u>http://www.bbc.com/news/world-asia-34280015</u>
- 545 18. Saville NM, Shrestha BP, Style S *et al.* (2016) Protocol of the Low Birth Weight South Asia
 546 Trial (LBWSAT), a cluster-randomised controlled trial testing impact on birth weight and
 547 infant nutrition of Participatory Learning and Action through women's groups, with and
 548 without unconditional transfers of fortified food or cash during pregnancy in Nepal. *BMC*549 *pregnancy and childbirth* 16, 320.
- 19. Moshfegh AJ, Rhodes DG, Baer DJ *et al.* (2008) The US Department of Agriculture
 Automated Multiple-Pass Method reduces bias in the collection of energy intakes. *American Journal of Clinical Nutrition* 88, 324-332.
- 20. Gibson RS, Ferguson EL (1999) An interactive 24-hour recall for assessing the adequacy of *iron and zinc intakes in developing countries*: International Life Sciences Institute Washington
 DC.
- 21. Akhter N (2013) Food and Nutrition Security in the Rural Plains of Nepal: Impact of theGlobal Food Price Crisis. PhD Thesis.
- 558 22. Shaheen N, Rahim T, Mohiduzzaman M *et al.* (2013) Food Composition Table for
- Bangladesh. Institute of Nutrition and Food Science, Centre for Advanced Research in Sciences,
 University of Dhaka.
- 23. U.S. Department of Agriculture ARS (2012) USDA Nutrient Database for Standard
 Reference, Release 25. Nutrient Data Laboratory Home Page, /ba/bhnrc/ndl.
- 563 24. Finglas P, Roe M, Pinchen H *et al.* (2015) McCance and Widdowson's The Composition of
 564 Foods Integrated Dataset 2015 [Public Health England, editor].
- 565 25. Ministry of Agriculture Development (2012) Food Composition Table for Nepal
- 566 [Department of Food Technology and Quality Control, editor]. Food and Agriculture567 Organization.
- 568 26. ICMR (2010) *Nutrient requirements and recommended dietary allowances for Indians.*569 Hyderabad, India: National Institute of Nutrition, Indian Council of Medical Research.
- 570 27. Gittelsohn J, Thapa M, Landman LT (1997) Cultural factors, caloric intake and
 571 micronutrient sufficiency in rural Nepali households. *Social Science & Medicine* 44, 1739572 1749.
- 573 28. Gittelsohn J (1991) Opening the box: intrahousehold food allocation in rural Nepal. *Social*574 *Science & Medicine* 33, 1141-1154.

- 575 29. Subedi YP, Marais D, Newlands D (2015) Where is Nepal in the nutrition transition. *Asia*576 *Pac J Clin Nutr*, 1-20.
- 577 30. Weber BA, Yarandi H, Rowe MA *et al.* (2005) A comparison study: paper-based versus 578 web-based data collection and management. *Applied Nursing Research* **18**, 182-185.
- 31. Fletcher LA, Erickson DJ, Toomey TL *et al.* (2003) Handheld computers a feasible
 alternative to paper forms for field data collection. *Evaluation Review* 27, 165-178.
- 32. Slimani N, Deharveng G, Charrondière RU *et al.* (1999) Structure of the standardized
 computerized 24-h diet recall interview used as reference method in the 22 centers
 participating in the EPIC project. *Computer methods and programs in biomedicine* 58, 251-266.
- 33. Thompson FE, Dixit-Joshi S, Potischman N *et al.* (2015) Comparison of intervieweradministered and automated self-administered 24-hour dietary recalls in 3 diverse integrated
 health systems. *American journal of epidemiology* 181, 970-978.
- 34. Daniel CR, Kapur K, McAdams MJ *et al.* (2014) Development of a field-friendly automated
 dietary assessment tool and nutrient database for India. *British Journal of Nutrition* **111**, 160171.
- 35. Zhu F, Bosch M, Woo I *et al.* (2010) The use of mobile devices in aiding dietary assessment
 and evaluation. *IEEE Journal of Selected Topics in Signal Processing* 4, 756-766.
- 36. Ngo J, Engelen A, Molag M *et al.* (2009) A review of the use of information and
 communication technologies for dietary assessment. *British Journal of Nutrition* **101**, S102S112.
- 595

596