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Role of sanitation in preventing faecal contamination of the domestic environment and protecting health: An observational study.

TARIQUE MOHAMMAD NURUL HUDA

Thesis submitted in accordance with the requirements for the degree of

Doctor of Philosophy of the
University of London

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Department of Disease Control

Faculty of Infectious and Tropical Diseases

LONDON SCHOOL OF HYGIENE & TROPICAL MEDICINE

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Research group affiliation: Environmental Health Group (EHG)
I, Tarique Mohammad Nurul Huda, confirm that the work presented in this thesis is my own. Where information has been derived from other sources, I confirm that this has been indicated in the thesis.

Signed____________________________  Date: 31st January, 2016

Tarique Mohammad Nurul Huda
Abstract

This thesis assesses the potential public health significance of sanitation quality and coverage by using microbiological indicator and secondary health outcome data. Sanitation was categorised using The Millennium Development Goal (MDG) definition of improved (private pit latrine with a slab or better) and unimproved (toilets connected to open, pit latrine without a slab, hanging toilet, shared toilets).

A spot check of sanitation facilities was conducted in 460 target houses and 1,784 neighbouring houses. Faecal contamination of the household environment was assessed by looking for evidence of contamination with faecal coliforms on children’s hands and on ‘sentinel’ toys (standardised toy balls provided by the study). An analysis of secondary data was conducted on sanitation and reported diarrhoea among children <5 years of age that had been collected as part of an impact evaluation.

Households with private improved sanitation had lower faecal coliform contamination than households with unimproved sanitation [difference in means: \(-0.31 \log_{10} \text{CFU/toy ball}\); 95% CI: -0.61, -0.01]. Access to 100% private improved sanitation coverage in the neighbourhood was associated with a small but statistically insignificant difference in contamination of sentinel toys (difference in means: \(-0.09 \log_{10} \text{CFU/toy}\); 95% CI: -0.56, 0.38). Other household sanitary practices such as cleanliness of latrine, wastewater disposal and disposal of animal faeces were important and statistically significant (P value \(\leq 0.06\)) determinants of household faecal contamination. Children from households with access to private improved sanitation had a similar prevalence of diarrhoea to those with unimproved sanitation (Prevalence Ratio [PR] =1.00; 95% CI: 0.89, 1.13). Children from households with appropriate solid waste disposal systems had lower prevalence of diarrhoea compared to those without (PR=0.78; 95% CI: 0.65, 0.95).
Improved sanitation infrastructure quality and coverage may have limited roles in preventing transmission of diarrhoea causing enteric pathogens in the study context in which diarrhoea is endemic. Although in this study, private use and cleanliness of latrine were associated reduction in faecal contamination, but these factors were not associated with reduced diarrhoea prevalence. This may be because, firstly data were collected from slightly different contexts and time, secondly indicator organisms are only weakly associated presence of enteric pathogens and thirdly the population in this study context may have developed some degree of immunity to common circulating pathogens. Findings from this observational studies presented in this thesis adds to the evidence base, which do not support the inclusion of shared facilities as improved. There may be other more important source of children’s exposure to enteric pathogens that onsite sanitation access cannot prevent. Other sanitation related factors like maintenance of sanitation facility, use by all household members including children and faecal sludge management should be considered while defining improved sanitation for international monitoring. We also need to increase research efforts to integrate sanitation, water quality, handwashing and nutritional interventions and to understand better ways to monitor the impact of these interventions.
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<td>Bangladesh Demographic and Health Survey</td>
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<td>CFU</td>
<td>Colony Forming Units</td>
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<td>CHP</td>
<td>Community Hygiene Promoter</td>
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<tr>
<td>CI</td>
<td>Confidence Interval</td>
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<tr>
<td>CV</td>
<td>Coefficient of Variation</td>
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<td>DAG</td>
<td>Directed Acyclic Graph</td>
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<tr>
<td>DFID</td>
<td>Department For International Development</td>
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<td>DHS</td>
<td>Demographic and Health Survey</td>
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<td>DPHE</td>
<td>Department of Public Health Engineering</td>
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<tr>
<td>EC</td>
<td><em>Escherichia coli</em></td>
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<td>ECVG</td>
<td><em>E. coli Virulence Genes</em></td>
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<tr>
<td>ERC</td>
<td>Ethical Review Committee</td>
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<td>EPA</td>
<td>Environmental Protection Agency</td>
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<td>FC</td>
<td>Faecal Coliform</td>
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<td>FIB</td>
<td>Faecal Indicator Bacteria</td>
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<tr>
<td>GBP</td>
<td>Great Britain Pound</td>
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<tr>
<td>GEE</td>
<td>Generalised Estimating Equation</td>
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<td>GIS</td>
<td>Geographic Information System</td>
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<td>GLS</td>
<td>Generalised Least Squares</td>
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<td>GoB</td>
<td>Government of Bangladesh</td>
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<tr>
<td>GPS</td>
<td>Global Positioning System</td>
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<td>HH</td>
<td>Household</td>
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<tr>
<td>icddr,b</td>
<td>International Centre for Diarrhoeal Disease Research Bangladesh</td>
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<td>IGME</td>
<td>Inter-agency Group for child mortality estimation</td>
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<td>JMP</td>
<td>WHO/UNICEF Joint Monitoring Programme for Water Supply and Sanitation</td>
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<td>LSHTM</td>
<td>London School of Hygiene and Tropical Medicine</td>
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<td>MDD</td>
<td>Minimum Detectable Difference</td>
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<td>MDG</td>
<td>Millennium Development Goal</td>
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<tr>
<td>Acronym</td>
<td>Full Form</td>
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<td>MST</td>
<td>Microbial Source Tracking</td>
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<td>Population Attributable Fraction</td>
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<td>PB</td>
<td>Primary Barrier</td>
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<td>PCA</td>
<td>Principal Component Analysis</td>
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<td>PR</td>
<td>Prevalence Ratio</td>
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<td>OR</td>
<td>Odds Ratio</td>
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<td>SB</td>
<td>Secondary Barrier</td>
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<tr>
<td>SD</td>
<td>Standard Deviation</td>
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<td>SDG</td>
<td>Sustainable Development Goal</td>
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<td>SHEWA-B</td>
<td>Sanitation Hygiene Education and Water Supply in Bangladesh Programme</td>
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<td>SOP</td>
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<td>TNTC</td>
<td>Too Numerous To Count</td>
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<td>TTC</td>
<td>Thermo-Tolerant Coliform</td>
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<td>UK</td>
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<td>VIF</td>
<td>Variance Inflation Factor</td>
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<td>VIP</td>
<td>Ventilated Improved Pit Latrine</td>
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<td>Vs.</td>
<td>Versus</td>
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<td>WATSAN</td>
<td>Water Sanitation and Hygiene</td>
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<td>World Health Organisation</td>
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Chapter 1: Background and literature review

1.1 Background

1.1.1. Burden of diarrhoeal diseases

Globally, deaths due to diarrhoea among children under five years of age fell by more than 50% in 2013 in comparison to 1990 [1]. Despite these substantial reductions diarrhoea is still one of the leading causes of mortality [1, 2] and morbidity [3] among children under five. According to the UN Inter-agency Group for Child Mortality Estimation (IGME), worldwide an estimated 6.3 million children younger than five years died in 2013. About 9% (0.448-0.750 million) of these deaths were caused by diarrhoea [2, 4]. The incidence of diarrhoea declined, from 3.4 episodes/child per year in 1990, to 2.9 episodes/child per year in 2010. However, there were still 1.7 billion episodes of diarrhoea in 2010, in 139 low and middle income countries [3]. In the South East Asian region of the WHO, there were 2.4 episodes of diarrhoea per child year in 2010 [3].

Diarrhoea is also found to be a risk factor for pneumonia [5]. Moreover repeated episodes of early childhood diarrhoea have a lasting influence on physical growth, [6] cognitive function [7], school performance [6-10], obesity associated co-morbidities [10] and reduced economic productivity [11]. So, for the health and development of the children of low and middle income countries, the cost of diarrhoea remains high, and interventions to reduce child mortality and morbidity due to diarrhoeal diseases need to be given a high priority [3, 12].

1.1.2. Transmission of infectious diarrhoea

Most cases of diarrhoea are transmitted through the faecal-oral route [13]. The agents causing diarrhoea, including viruses, bacteria, protozoa and parasitic worms can transmit from one host to another through several pathways, via the environment [14]. The pathways, through which diarrhoea causing enteric pathogens can be transmitted from faeces, through the environment to a new host, are illustrated in the ‘F diagram’ (Figure 1) [14-16]. In the environment, the
pathogens can be transmitted through contaminated food and drink, person to person contact, contact with objects and flies (either through contaminated food and utensils or landing directly on children) [17]. The transmission can occur in the context of both the domestic and public domain [18].

**Figure 1.1:** The F diagram showing transmission pathways of infectious diarrhoea [15, 16]

### 1.1.3. Diarrhoea prevention strategy

As shown in the Figure 1.1, there are several potential points for intervention in the environment that may reduce transmission of diarrhoea causing pathogens. Interventions to improve sanitation create a primary barrier. In contexts with suboptimal sanitation, additional environmental interventions may be needed as secondary barriers. WHO and UNICEF recommend five strategies to reduce diarrhoea that include environmental and non-environmental interventions. The strategies include 1) rotavirus and measles vaccinations; 2) promotion of early and exclusive breastfeeding; and vitamin A supplementation; 3) promotion of handwashing with soap; 4) improved water supply (quantity and quality), including
treatment and safe storage of household water; and 5) community-wide sanitation promotion [19, 20].

1.1.4 Definition of sanitation

In the broadest sense the term sanitation may refer to the safe collection, storage, treatment and disposal, reuse, or recycling of human excreta (faeces and urine); as well as the drainage, disposal, recycling and reuse of household wastewater and storm water; along with management of household, industrial and hazardous solid waste [21]. According to the World Health Organisation “sanitation generally refers to the provision of facilities and services for the safe disposal of human urine and faeces” [22]. This definition ignores the disposal of sullage or wastewater. In most epidemiological studies sanitation is usually referred to safe disposal of human excreta [23, 24]. In this thesis the term sanitation refers to the disposal of human excreta.

1.1.5 Classification of sanitation used for international monitoring

International monitoring of sanitation helps to understand a country’s needs, it can inform policy and facilitates the implementation of policies to improve services. Worldwide there is a wide variety of sanitation technologies [25]. Demographic and Health Surveys (DHS) have identified over 400 different sanitation classifications in countries in which the surveys have been undertaken [26]. Contextual factors such as geographical location, population density, wealth, availability of materials, water level, acceptability and traditional practices determine the type of sanitation technologies that are suitable and available. Most of the sanitation facilities that are suitable in rural areas of low-income countries are onsite (pit latrines, septic tanks and other household level technologies that do not involve sewerage). Globally, as of 2010, 60% of urban residents reported using facilities linked to sewers compared to only 12% in rural areas. Sixty four percent of the rural population reported using onsite sanitation facilities [25].

Sanitation facilities vary in terms of technology but also in terms of ownership and user profile. These variations can affect not only user experience [27-
but also the extent to which faeces are contained, which in turn reduces contamination of the environment and thereby protects health [30]. For international monitoring of sanitation facilities this variation is a challenge. Ideally, for international monitoring, sanitation would be classified on the basis of evidence for its relative effectiveness in delivering both health and non-health benefits, but this evidence base is weak.

Since the 1930s monitoring of sanitation has been carried out in response to international targets [31]. Adopted in 2000, The Millennium Development Goals (MDGs) became the latest framework for doing this. Target 10 of the MDGs aimed to halve by 2015, the proportion of people without sustainable access to safe drinking water and basic sanitation, in comparison to 1990 [21, 32, 33]. The WHO/UNICEF Joint Monitoring Programme (JMP) for water supply and sanitation is the official United Nations mechanism tasked with monitoring towards the MDG related to water and sanitation. Access to sanitation is monitored using the indicator “proportion of population with access to improved sanitation” [33-35]. The terminology used for the MDG target is “basic sanitation” but JMP refers to basic sanitation as “improved sanitation”.

According to the JMP an "improved" sanitation facility is one that hygienically separates human excreta from human contact [36]. The JMP is constrained by the need to ensure that its definition and indicators can be monitored by existing household survey instruments. Moreover, the JMP also needs to make sure that the data used are comparable across countries and time [25]. So the JMP definition is focused on sanitation technology access at a household level in an attempt to strike a workable balance between what is desirable to measure and what is possible [37]. The improved sanitation facilities include pit latrines with slabs, ventilated improved pit latrines and flush/pour-flush latrines (Table 1). For the MDG target, shared facilities are considered unimproved [35, 38]. Throughout this chapter the term ‘improved sanitation’ will be used to refer to the current JMP technology classification definition without considering sharing status. In addition to the basic indicator to measure “access” or “no-access” to improved sanitation, in 2008 the
JMP also proposed the concept of a “sanitation ladder” to provide disaggregated information on access to sanitation [39]. This four rung ladder of sanitation (individual improved, shared improved, unimproved and no facility) outlines a hierarchy of predefined sanitation technologies, allowing the JMP to assess sanitation progress without changing the MDG definition (Figure 1.1). The sanitation technologies that meet the criteria of individual improved or shared improved are assumed to be better at hygienically separating faeces from the environment and thereby reducing health risk [34, 40].

Table 1.1: Definitions of sanitation proposed by WHO/UNICEF Joint monitoring programme for water supply and sanitation (JMP) and the Government of Bangladesh (GoB) [36, 41]

<table>
<thead>
<tr>
<th>Toilet characteristics</th>
<th>Improved</th>
<th>Hygienic</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>JMP technology type</td>
<td>MDG</td>
</tr>
<tr>
<td>Sanitation technology</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flush or pour-flush toilet to</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Sewerage pipe/Septic tank/Pit</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pit toilet with slab and lid/flap</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Ventilated Improved Pit toilet</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Composting toilet</td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td><strong>Pit toilet with slab</strong></td>
<td>×</td>
<td>×</td>
</tr>
<tr>
<td>Number of households using toilet facility</td>
<td>Not considered</td>
<td>1</td>
</tr>
</tbody>
</table>

*This was proposed by the working group on sanitation as presented in the JMP 2014 [36] report but later it was decided to continue to consider shared sanitation as unimproved [42]

As the world approached the deadline for the MDGs, new targets for the post 2015-Sustainable Development Goals (SDGs) were proposed and these also include sanitation [42, 43]. The JMP is revising its definitions to monitor progress towards sanitation for the SDGs. There was discussion as to whether to include sanitation facilities shared among no more than five households or 30 persons, whichever is fewer, as improved [36] (Table 1.1). For the MDG target, shared facilities were considered to be unimproved because of concern regarding cleanliness,
maintenance and access [35, 38]. However, the implications of using a shared facility are likely to be different for urban public and rural private facilities. In the crowded, urban areas of most low income countries, shared facilities might be the only viable option, to avoid open defecation. Whereas in rural areas households with family ties often share a facility to keep the cost down [35].

In addition to the definition provided by the JMP countries often have their own definition of sanitation for monitoring progress. For example the Government of Bangladesh (GoB) categorises sanitation as hygienic or unhygienic. The hygienic sanitation facilities exclude pit latrines with a slab (Table 1.1) [41] and allow sharing by a maximum of two households.

Despite the diversity of definitions used globally, there is very limited empirical evidence to judge the extent to which the definitions of sanitation facilities reflect their performance in separating faeces from the environment.
Open defecation: when human faeces are disposed of in fields, forest, bushes, open bodies of water, beaches or other open spaces or disposed of with solid waste.

Unimproved sanitation facilities: do not ensure hygienic separation of human excreta from human contact. Unimproved facilities include pit latrines without a slab or platform, hanging latrines and bucket latrines.

Shared sanitation facilities: Sanitation facilities of an otherwise acceptable type shared between two or more households. Only facilities that are not shared or not public are considered improved.

Improved sanitation facilities: are likely to ensure hygienic separation of human excreta from human contact. They include the following facilities:
- Flush/pour flush to:
  - piped sewer system
  - septic tank
  - pit latrine
- Ventilated improved pit (VIP) latrine
- Pit latrine with slab
- Composting toilet

Figure 1.2: JMP sanitation ladder [25]
1.1.6 Sanitation and diarrhoea

Improved sanitation through separating human faeces from the household environment is expected to create a primary barrier to break the chain of transmission of enteric pathogens through fields, fingers, fluids and flies (Figure 1) [14-16]. Inappropriate disposal of human faeces has been found to be associated with increased risk of childhood diarrhoea in several epidemiological studies conducted across different low-income country contexts [44, 45]. For example, in Ethiopia, children in households with no toilet facility were at six times greater risk of diarrhoea than children living in households with a toilet facility, after adjusting for other socio-economic and environmental determinants of diarrhoea [46]. Improper disposal of children’s faeces was found to be associated with higher diarrhoeal disease risk among children under five years of age in several studies conducted in low income country contexts [44, 46, 47]. For example, in the Philippines, disposal of children’s faeces in the open was associated with a 34% increase in clinically diagnosed diarrhoea among children under two years of age [48].

Evidence from several systematic reviews suggests that interventions to improve excreta disposal are effective in preventing diarrhoea morbidity. A meta-analysis conducted by Fewtrell and colleagues suggests that sanitation interventions in low-income country settings reduce diarrhoeal illness, with a pooled relative risk of 0.68 (0.53-0.87)[49]. This meta-analysis included two studies of sanitation intervention. In both of these studies, there was little evidence that the relationship between sanitation and diarrhoea was confounded by socioeconomic status [45, 50]. A Cochrane review conducted by Clasen and colleagues suggests that in low-income settings, interventions to improve excreta disposal are effective in preventing diarrhoeal disease [24]. However, due to major differences among the studies in term of study context, exposure levels, type of intervention, as well as methodological deficiencies in the studies themselves, the review could not provide any quantification of the pooled effect of the interventions on diarrhoea.

A recent systematic review conducted by Wolf and colleagues included randomized controlled trials, quasi-randomised trials with control group,
observational studies using matching techniques and observational studies with a well-defined control group. The meta-analysis from this study reported a relative risk of 0.72 (95% CI: 0.59, 0.88), indicating that improved sanitation had a protective effect on diarrhoeal incidence, compared to unimproved sanitation. Inadequate sanitation is not only linked with diarrhoea morbidity but also with mortality [37, 51-53]. In 2012, an estimated 58% (Population-Attributable Fraction, PAF) of the diarrhoea deaths that occurred in 145 low and middle-income countries were attributable to inadequate water, sanitation and hygiene [53].

Although the evidence regarding the effectiveness of sanitation interventions in preventing diarrhoea has been criticized as being of relatively poor quality, it is considered to be sufficient to support the provision of sanitation for all, especially in low income countries [37, 54].

1.1.7 Sanitation and health

Health

Inadequate sanitation is an important risk factor for poor health, especially in low and middle income countries [37, 53-56]. Estimates from 2012 suggest that globally 280,000 deaths were caused by inadequate sanitation. Inadequate sanitation is also associated with risk of other infectious disease such as trachoma [37, 57, 58], helminthiases [37, 59, 60] and schistosomiasis [54]. Inadequate sanitation is also linked with stunting [61-64]. For example, a study conducted in India found that, compared to open defecation, household access to a toilet facility was associated with 16-39% reduced odds of stunting among children aged 0-23 months [61].

Quality of Life

In addition to health, sanitation is linked with quality of life [65] indicators such as safety/security, privacy/dignity, attendance in school [54, 66-68] and economic development (health system cost, days lost at work or school and convenience time) [54, 56, 69, 70]. Above all, sanitation has been recognized as being a human right by the United Nations General Assembly [71, 72].
1.1.8 Global sanitation context

Globally, the proportion of people with access to private improved sanitation has increased from 54% in 1990 to 68% in 2015. Yet, as of 2015, 946 million people worldwide still defecate in the open and an estimated 2.4 billion people were without access to private improved sanitation facilities. The Global MDG target of 77 percent has been missed by 9% points and almost 700 million people [25].

There is disparity in access to sanitation between rural and urban areas. Globally seven out of 10 people without access to private improved sanitation, and nine out of ten people who practice open defecation, live in rural areas. Southern Asia and Africa still have the lowest coverage of private improved sanitation. There are still 47 countries in the world in which less than half of the population has access to private improved sanitation. Globally, there are 638 million people who use shared sanitation facilities. These facilities, if not for their shared status, would otherwise be considered improved sanitation. Among those who use sanitation facilities of an otherwise improved type, the proportion that share these facilities with others is similar in urban (11%) and rural (12%) areas [25].

1.1.9. The Bangladesh context

Bangladesh, situated in Southern Asia, with a population of more than 160 million (2015 estimate)[25], is one of the most densely populated countries in the world (Population density=1,203 per sq. Km)[73]. According to recent estimates, Bangladesh has an under-five mortality rate of 46 per 1000 live births [74]. According to the 2014 Demographic and Health Survey (BDHS), 6% of children below the age of five years were reported to have had at least one episode of diarrhoea during the preceding two weeks [74]. More than 5% of the under-five child mortality is due to diarrhoea [75]. The percentage of the population living in urban areas has increased from 20% in 1990 to 34% in 2015 [25].

Bangladesh has made good progress in terms of access to sanitation. The proportion of the population with access to private improved sanitation increased from 34% in 1990 to 61% in 2015. The proportion of the population that practices
open defecation decreased from 34% in 1990 to only one percent in 2015. However, irrespective of this good progress, Bangladesh, like many nations, did not meet the MDG target related to sanitation [76]. So, improving sanitation is one of the highest priorities for Bangladesh in order to improve the health and wellbeing of children under five.

There are limited disparities between rural and urban areas in term of progress towards the MDG target related to sanitation access. There is more open defecation in rural areas than in urban areas [36, 77]. However access to improved sanitation is slightly higher in rural areas than in urban areas (62% vs. 58%). The type of sanitation facilities used in Bangladesh varies widely. The majority of the population uses onsite sanitation facilities. In 2013, only 15% of the households in urban areas, and 0.1% of households in rural areas, had a sewerage connection. The most common type of toilet facility available to these households was a pit latrine with a slab (47% in rural and 29% in urban areas).

1.2 Literature review and rationale of the study

This chapter presents the findings from a comprehensive literature review. The literature review aimed to assess the role of latrine quality and coverage on microbiological faecal contamination of the household environment and secondly on diarrhoea.

1.2.1 Protocol for comprehensive literature review

1.2.1.1 Research questions

The literature review was conducted to find answers to the following research questions based on available literature:

1. What is the effect of household sanitation quality on diarrhoea incidence among children under 5?
2. What is the effect of household sanitation quality on faecal contamination of the household environment?
3. What is the effect of a neighbourhood’s sanitation coverage on faecal contamination of the household environment?
4. What indicators of household faecal contamination are used?

**1.2.1.2 Criteria for inclusion in the literature review**

Studies were considered eligible if they compared the effect of different types of sanitation quality and coverage on household faecal contamination and diarrhoea. Two exposures and two outcomes were considered. The first exposure was access to household sanitation. The primary focus of the search was to search the literature with regard to improved sanitation access, as defined by the JMP [25, 36]. However, since some literature may provide relevant evidence without using the standard terminology used by the JMP, any study that compared any classification of domestic excreta disposal facilities was considered. The second exposure was neighbourhood sanitation coverage, which included any type of sanitation facility. ‘Community’ referred to a neighbourhood or village. The first outcome was diarrhoea among children under five years of age. The second outcome was household faecal contamination, which refers to the microbiological contamination of household surfaces (e.g., floors); fomites (e.g., objects such as toys); hands of children and their caregivers; and household drinking water. Studies were included regardless of study design and location. Articles published in English from the year 2000 till 15th October 2015 were searched, since the term ‘improved sanitation’ and its related definition was introduced by the JMP in 2000 [25].

**1.2.1.3 Conducting the search and identification of studies**

Articles published in English from journals, conference proceedings, and books, were searched using OvidSP (Ovid Technologies 2015). The data bases Embase (Table 7.1), Global Health (Table 7.2) and Medline (Table 7.3) were searched. The Cochrane Library was also searched for systematic reviews that included the terms ‘sanitation’, ‘excreta disposal’, ‘faeces disposal’ or ‘sewage’. Relevant conference proceeding were hand searched. Researchers working within the sector from institutions, including LSHTM, ICDDR,B and Stanford University were also contacted to gain their recommendations on any additional articles. In addition, the reference list of all studies identified by the above methods, were checked.
Through this process about 5000 titles were identified for review. The search terms are presented in Tables 7.1 to 7.3 in Appendix 1.

All the references identified were transferred and saved in Endnote (EndNote X7). Then using Endnote, duplicates were identified and checked before deletion from the library. The titles were then reviewed first to check if they were relevant according to inclusion criteria. Then the abstracts of the selected articles were reviewed to see if they were relevant according to the inclusion criteria.

1.2.2 Findings from the literature review

The findings from the literature search are presented in two broad sections. The first section (1.2b.1-1.2b.3) presents literature on what is known about how household latrine quality and neighbourhood latrine coverage relate to faecal contamination and diarrhoea. The second section (1.2b.4) presents literature on the link between faecal contamination and health.

1.2.2.1 Sanitation type and diarrhoea

There is limited evidence linking the quality of sanitation facilities with faecal contamination. Nor is there sufficient evidence to support associations between sanitation quality and diarrhoea [24, 37, 78, 79]. Most of the intervention studies compared diarrhoea prevalence/incidence among groups that received a sanitation intervention with groups that did not receive a sanitation intervention [50, 78-81]. Most of the observational studies assessed the effect of access to any type of sanitation on diarrhoea morbidity [45, 48, 82-88]. The few studies that did explore the effect of different types of sanitation facilities on diarrhoeal episodes did not use the sanitation definition proposed by the JMP for international monitoring [30, 35, 89]. For example, an observational study conducted in Mexico, found that children under five years of age, in households with ‘poor’ sanitation (pit latrines and septic tank) had a higher risk of diarrhoea than children in households with sewage disposal systems [89]. Although, in this study socio-economic variables where included in the multivariable analysis, to adjust for confounding due to difference in socio-economic status, this was an observational study. So confounding by socioeconomic status
cannot be ruled out completely. There is evidence from a small number of observational studies that access to flush or pour flush toilets connected to a piped sewer system [90] or septic tank/pit and composting toilets (hygienic) are associated with a lower risk of diarrhoea [30, 91-96]. However, from these studies it is not known whether pit latrines with a slab (improved, as defined by JMP) provide similar protection from diarrhoea.

Several observational studies have used data from Demographic and Health Surveys (DHS) to assess the effect of improved sanitation on diarrhoea risk. A study conducted in Philippines found that households with access to unimproved sanitation had higher odds of reported diarrhoea (OR 1.63; 95% CI 0.99–2.69) compared to those with access to private improved sanitation [97]. A second study conducted in Malawi, found that children from households with access to private improved sanitation facilities had 45% lower odds of diarrhoea [98] compared to those with no sanitation facility. A third study conducted by Fuller and colleagues used 217 demographic and health surveys from 74 countries. The study found that access to an improved latrine was associated with reduced prevalence of diarrhoea [Prevalence Ratio (PR): 0.93; 95% CI: 0.92-0.95] [99]. In the above mentioned studies effect of sanitation on diarrhoea was independent of the effect of socio-economic factors. However these studies used cross sectional data from nationwide surveys, so cannot rule out the effect of confounding due to socio-economic factors completely.

The observed effects of sanitation quality on diarrhoea, found in the analysis conducted by Fuller and colleagues varied by country and time [99]. One explanation for this variation could be variation in the level of error in the categorisation of sanitation facilities during data collection across these surveys. Demographic and Health Surveys (DHS) have identified over 400 different sanitation classifications in countries in which the surveys have been undertaken [26]. So due to the wide variety of sanitation facilities, it is very difficult to categorise these sanitation facilities reliably across different context. Moreover the questions used in DHS to capture the data on latrine classification are focused on the design of the
toilet rather than the functionality of the toilet [21]. For example a pit latrine with a slab may be considered as improved by the JMP because of the design. But if there is a leakage in the pit, the faeces will come out of the pit and contaminate the environment. So this toilet cannot be considered to separate faeces from the environment hygienically and thereby the JMP should not consider it to be improved. This kind of complexity is not captured by the DHS questionnaire [100]. As a result these surveys are likely to include substantial error in the categorisation of sanitation facilities.

There is also evidence from large nationwide surveys that access to private improved sanitation is associated with reduced diarrhoea incidence. A study conducted in India used data from a large nationwide survey with district level representation of India's rural households. The data show that, on average, children living in households using a private improved sanitation facility have 1.26 percentage points less diarrhoea (10% reduction from 12.1% diarrhoea prevalence) compared to children living in households with unimproved sanitation [101]. A second study conducted by Kumar and colleagues used data from a nationally representative household survey to quantify the effect of improved sanitation access on diarrhoea incidence on India, using propensity score matching. Access to improved sanitation was associated with a 2.2 percent point reduction in the risk of contracting diarrhoea [102]. These large surveys are prone to substantial measurement error in categorising sanitation facilities. Moreover, in these large nationwide surveys data on reported diarrhoea is collected at one point in time and as such does not capture the seasonality of diarrhoea. Sanitation may have variable effects depending on the season. A nationwide study conducted in rural Indonesia suggested that the lack of improved latrines was associated with higher reported diarrhoea (OR=1.23, 95% CI=1.18-1.29) [51] and under five child mortality (OR = 1.29, 95% CI = 1.25–1.31). This study used the JMP definition and collected longitudinal diarrhoea data to capture variation in seasonality. However this finding has not been replicated in other low-income country contexts.
Some studies were identified that looked at the effect of sharing a toilet facility on diarrhoea. A recent systematic review identified 21 studies with which to compare health outcomes associated with shared versus individual household latrines [103]. However, most of these studies did not adequately address potential confounding factors and did not allow the effect of different types of shared sanitation to be distinguished. An analysis of DHS from 51 countries found shared, improved sanitation facilities to be associated with adverse health outcomes [104] as compared to individual improved latrines, adjusting for potential confounding variables. However this finding was not consistent across all countries, suggesting that the social and economic context is also important. A multi-country case-control study conducted in seven low income country sites in sub-Saharan Africa and South Asia found families of children with moderate to severe diarrhoea more commonly used shared facilities than control families (48% vs. 41% OR=1.2; 95% CI: 1.1-1.3) [105]. Although this finding was consistent across wealth index quintiles, there was significant between-country variation. This would suggest that local context plays an important role. Sharing may also have a variable effect depending on whether a setting is rural or urban and whether the sanitation facility is being shared by extended family, neighbours, and acquaintances or with the public. Consequently limited data are available to understand which contexts are likely to be safe for sharing sanitation.

1.2.2.2 Sanitation and household faecal contamination

Sanitation is expected to create a barrier to break the chain of transmission of diarrhoeal disease [15, 16, 30, 51]. However there is limited evidence about the impact of onsite sanitation quality on specific transmission pathways or on the relative importance of these pathways. The consideration of microbial contamination of surfaces, soil and fomites as possible transmission pathways has been relatively understudied [106].

1.2.2.2.1 Faecal contamination of water

Understanding of faecal-oral disease transmission pathways in relation to sanitation has largely focused on contamination of drinking water [107-116].
However, the literature looking at sanitation and water quality has produced inconsistent results [116-120]. Most of the studies that assessed the relationship between sanitation and water quality had limited sample size or categorised sanitation differently from the JMP definition. However, an observational study by McGarvey and colleagues collected data from a representative sample of 703 households from six coastal districts of Ghana. The study found households with a pit latrine or no facility have two to three times higher odds of having two or more *E. coli* per 100 ml of water relative to those with a water seal toilet, even after adjustment for other sanitary and socio-demographic characteristics [116]. Another study conducted by Mattioli and colleagues found that having an improved sanitation facilities was associated with a 1.7 fold decrease in the odds of detecting *E. coli* virulence genes in stored water [117]. In contrast, findings from a few observational studies suggest that sanitation is not associated with level of faecal indicator bacteria (FIB) in stored water [118-120]. But these observational studies had a limited sample size and were not designed to perform a statistical analysis of the association between sanitation and water quality that adjusted for the effect of confounding variables.

### 1.2.2.2 Faecal contamination of hands

There is limited evidence to link the level or presence of faecal contamination on hands with household sanitation level [106]. A study conducted by Pickering and colleagues measured levels of FIB (*E. coli*, faecal streptococci) on hands in 334 households in Tanzania. Households which had improved toilets (JMP definition) were found to have lower levels of faecal streptococci on children’s and mother’s hands [106]. A second case-control study conducted in Tanzania (n<306) found that use of improved sanitation (JMP) was not associated with presence of FIB (*E. coli* and enterococci) enteric viruses (enterovirus, adenovirus, and rotavirus) *E. coli* virulence genes (ECVG) and human-specific *Bacteroidales* faecal markers on the hands of adult female caregivers [117]. A third study conducted in Mozambique measured hand contamination using a finger imprint method. This method collects 10 finger prints from each participant and then these are placed in chromogenic agar that stains
Enterococcus spp. and E. coli spp. Levels of faecal indicator bacteria on the fingertips of female caregivers were not found to be associated with the type of sanitation in the household [121].

There may be a few alternative explanations for the inconsistencies in findings from these different studies. Firstly the inconsistencies in findings can be due to the difference in indicator organism chosen as outcome. In the study conducted in Tanzania by Pickering and colleagues, level of E. coli on hand were not associated with sanitation type but level of faecal streptococci on hands were associated with sanitation type [106]. Secondly the inconsistency in findings can be due to the variation in the methods of sample collection. Hand rinse technique is likely to collect sample from larger surface area of hand than finger imprint technique and may be more accurate indicator of contamination of hand [122]. Thirdly, the inconsistency could be due to difference in contexts. A study found that there was important variation in the level of hand contamination in samples collected from different neighbourhoods [121]. Similarly the factors that contribute to contamination of hands may vary depending on the broader geographical and socio-cultural contexts.

1.2.2.3 Faecal contamination of domestic surfaces and soil

The literature linking faecal contamination of domestic surfaces, soil and fomites with sanitation level (JMP definition) is limited [116, 123-128]. For example, a microbial survey of faecal contamination and selected diarrhoea pathogens in soil, surfaces and produce was implemented in Tanzania among 20 households using private pit latrines. In this study all the samples were analysed for FIB (E. coli and enterococci). There were no significant differences in the FIB levels that were cultured from soil in households which had pit latrine with a concrete slab and those that had a pit latrine without a slab [123]. The study was also underpowered to detect difference in FIB levels among households with improved and unimproved sanitation. A second study conducted in Tanzania found that households with access to improved (individual or shared) latrines had lower mean E. coli concentration in the hand contact surfaces within the toilet, compared to households with access to
unimproved latrine technologies. However when shared sanitation was categorised as unimproved according to MDG classification there no difference in mean *E. coli* concentration in households with access to improved and unimproved latrines [129]. There was no correlation in the level of bacteria found in latrines with the level of bacteria found on the other household surfaces [129]. Although this study provides empirical evidence for the validity of the JMP technology classification it merged data collected from urban and rural areas, potentially hiding the extent to which this is likely to vary by context. Moreover the extent to which a particular household surface comes in contact with young children is not well known.

**1.2.2.2.4 Faecal contamination of fomites**

It is hypothesised that toys are likely to have high levels of faecal contamination and play an important role in diarrhoeal disease transmission [130-133]. If sanitation facilities are effective in separating human faeces from the environment then this is likely to reduce the microbial contamination of household objects (for example a toy ball). Several small scale observational studies have assessed the effect of sanitation on faecal contamination of the household environment.

In a study conducted in Bangladesh, 39 households with improved sanitation and 61 households with unimproved sanitation were enrolled to assess if faecal contamination of a standard-size toy ball (introduced by the study) was associated with sanitation quality. The mean level of faecal coliforms on the toy balls were found to be higher in households with unimproved sanitation compared to households with improved sanitation. However, the mean level of faecal streptococci was similar in households with improved and unimproved sanitation [134]. A recent study conducted by Torondel and colleagues looked at the correlation between household characteristics and microbiological contamination of toy ball (also introduced by the study) in rural Indian context. The study did not find any difference between households with or without presence of a functional latrine in terms of the presence of any thermotolerant coliforms (TTC) on the toy ball [135]. While these studies demonstrated the feasibility of using sentinel toys as a measure
of household faecal contamination, the studies did not categorise sanitation according to the JMP definition.

A study was conducted in Bangladesh with the aim of comparing the levels of FIB (faecal coliforms and \textit{E. coli}) in indicator fomites (sentinel toys and clothes) in households with improved (JMP) and unimproved latrines. The levels of faecal coliforms on toys in households with improved sanitation were lower (geometric mean: 8 CFU/100 ml) compared to households with unimproved sanitation (geometric mean: 57 CFU/100 ml). There was no significant difference in the geometric means of \textit{E. coli} on the toy comparing households with improved and unimproved sanitation. However, the study had small sample size (n=50)\cite{136}. In another study, conducted in Peru, faecal contamination of toy balls was measured in a subsample of households (n=160) enrolled for an impact evaluation of a water and sanitation program. Improved sanitation as defined by JMP was associated with lower geometric mean concentration (MPN/100ml) of faecal indicator bacteria (\textit{E. coli}) \cite{137} on toys compared to households that lacked improved sanitation. Another study conducted in Honduras found that households with improved latrines had lower geometric mean concentration (MPN/100mL) of total coliforms \cite{138} in both existing and study-introduced toys compared to households with unimproved sanitation. However these studies did not have enough power to assess the effect of a range of confounding variables that may affect the association between faecal contamination and sanitation access.

\textbf{1.2.2.3 Neighbourhood sanitation coverage}

Infectious diarrhoea is transmitted in both public and private domains \cite{18}. So, improved sanitation may reduce the transmission of infectious diarrhoea in two ways. As described in section 1.2.2.1 of this literature review there may be a direct benefit to a household in improving their household sanitation. Additionally there may be an external benefit for that household which arises, due to their neighbours accessing sanitation as this results in a lower probability of human contact with human excreta \cite{101}. An important question often debated in the context of
improving sanitation in low-income settings is whether the benefits of sanitation critically depend on neighbourhood-level sanitation coverage (“herd effect”)[139].

Several studies were identified that assessed the effect of community sanitation coverage on health. A few studies have looked at whether the level of community sanitation coverage has an effect on health by studying sanitation facilities which are connected to sewer systems or septic tanks in urban contexts [140-144]. A study conducted in 45 urban wards in Dar es Salam, found limited change in cholera incidence as the percentage of the ward’s residents connected to a septic tank or sewage system increased (Incidence rate ratio: 1.01; 95% CI: 0.95–1.07). The authors suggest that the lack of association could be due to a narrow range of access to sanitation at the ward level (sanitation coverage) [141]. Another study conducted in an urban area of Dhaka found that among the four wards studied, the ward which had more than 60% of toilets connected to a sewer system or septic tank had 1.25 less DALYs/household/per year compared to a ward in which 95% of residents practiced open defecation or used a hanging latrine [145]. While these studies show the importance of community sanitation access, they cannot fully elucidate the relationship between neighbourhood-level sanitation coverage and faecal contamination or on health outcomes.

A study implemented a city-wide sanitation intervention in Salvador, Brazil which aimed to raise the level of sewerage coverage from 26% to 80%. After the intervention implementation there was a 22% reduction in diarrhoea prevalence (95% CI: 19-26)[142, 143]. In the multivariate model, adjustment for changes in community sewerage coverage explained 100% of risk reduction while changes in household level sanitation related variables explained only 17% of the risk reduction [143]. This finding suggests that that the pathogen transmission reduced by the programme was mainly in the public domain, suggesting that achieving community-wide access to improved sanitation, in addition to household access, is likely to be critical for effective reduction of faecal contamination and diarrhoeal incidence reduction. However, this study was conducted in urban areas with sewage connections, a sanitation technology not feasible in most low-income rural settings.
In rural settings with predominantly onsite sanitation the impact of neighbourhood sanitation may be different.

Studies conducted in rural contexts with predominantly onsite sanitation facilities have also highlighted that neighbourhood sanitation coverage may be important. First a study conducted in rural Zimbabwe assessed the effect of latrine coverage at the community level, on diarrhoea morbidity. In the community with 62% latrine coverage children experienced 68% lower diarrhoea morbidity compared with the children from the community that had no sanitation access. However the study had a relatively small sample size and compared only two communities. A second study conducted in coastal Ecuador analysed data from four years of active diarrhoeal-disease surveillance data across 21 communities. Villages were categorised based on diarrhoea prevalence as ‘low’ (<0.6%); ‘low-medium’ (0.6%-2.2%); ‘medium-high’ (2.2%–< 5.2%) and ‘high’ (5.25-100%). The study found that higher levels of improved sanitation were associated with lower diarrhoea prevalence in regions categorised as low risk. This study showed that the association between community sanitation coverage and diarrhoea risk may vary depending on the level of disease in the surrounding villages. These studies provide insufficient evidence of the benefits of externality associated with increased community-level sanitation access.

The studies which were conducted in rural settings indicate that high levels of sanitation coverage within a community may provide additional externality benefits in terms of reducing diarrhoea. For example one study used data from an Indian nationwide survey of rural households. The findings suggest that community-level improved sanitation coverage is associated with a 37% additional reduction in diarrhoea prevalence, in addition to a reduction due to household level improved sanitation coverage. A second study that used demographic and health survey data suggests that children from villages with higher open defecation rates were stunted, controlling for the effect of household level sanitation practices. These findings have so far not been replicated in other settings. Depending on the status of disease in a specific context the effect of risk factors such as lack of
sanitation may have a variable effect. Moreover, most of these studies have used secondary data such as DHS. As acknowledged earlier, the classification of sanitation facilities in DHS may be prone to misclassification bias as the questions used in DHS do not capture the function of sanitation facilities in separating faeces from the environment.

1.2.2.4 Other determinants of household faecal contamination and diarrhoea

In 2012, worldwide 297,000 diarrhoea deaths were estimated to be caused by inadequate hand hygiene [53]. In a study conducted in India, the caregiver’s self-reported practices of washing hands with soap before meals (OR=0.85, 95% CI 0.76 to 0.94) or after defecation (OR=0.86, 95% CI 0.80 to 0.93) were inversely associated with child stunting, after adjusting for all potential confounders [61]. A recent systematic review identified individually randomized controlled trials (RCTs) and cluster-RCTs that compared the effects of hand washing interventions, on diarrhoea episodes in children and adults with no intervention. The study found that hand washing promotion among communities in low and middle income countries (LMICs) prevents around one-quarter of diarrhoea episodes (rate ratio 0.72, 95% CI 0.62 to 0.83) [148]. In six out of eight trials identified in this review, soap was provided free alongside hand washing education, and the overall average effect size was larger than in the two trials which did not provide soap.

Findings from observational studies suggest that washing hands with soap is effective in removing microorganisms from hands [106, 149-151]. For example a study conducted in Tanzania among 334 households found that children’s hands reported washed within the past hour have an average of 0.3 log10 CFU / 2 hands less E. coli (EC) (t=-3.31, df=832, P=0.001) and 0.2 log10 CFU / 2 hands less Faecal Streptococci (FS). (t=-3.82, df=836, P<0.001) compared with children’s hands reported not washed within the past hour [106]. More over in this study visible dirt observed on the subject’s palm, finger pads, or underneath their nails was significantly related to higher level of both EC and FS on hands. Similarly a second study conducted in Zimbabwe among 80 families found that washing hands with
soap was more effective in reducing faecal indicator bacteria level on hands compared to traditional hand washing [150].

Similarly findings from intervention studies suggest that washing hands with soap is effective in reducing microorganisms from hands [149, 152, 153]. For example, a study conducted in karachi, Pakistan found that, compared to mothers who received no hand-washing intervention, mothers who received soap, would be expected to have 65% fewer thermotolerant coliform bacteria on their hands (95% CI 40%, 79%) and mothers who received soap, a safe water storage vessel, hypochlorite for water treatment, and instructions to wash their hands with soap and chlorinated water would be expected to have 74% fewer (95% CI 57%, 84%) [154]. It is possible that differences in faecal indicator bacteria among the groups reflected underlying divergences in their neighbourhoods rather than the affect of the assigned interventions. However, in this study neighbourhood characteristics likely to affect hand cleanliness and hand washing, was adjusted in the multivariate analysis.

In 2012, globally 502,000 diarrhoea deaths were estimated to be caused by inadequate drinking water [53]. A systematic review conducted by Clasen and colleagues suggests that, water disinfection products for use at the household level may reduce diarrhoea by around one quarter (home chlorination products: RR 0.77, 95% CI 0.65 to 0.91; flocculation and disinfection sachets: RR 0.69, 95% CI 0.58 to 0.82). and point-of-use filtration systems probably reduce diarrhoea by around a half (RR 0.48, 95%CI 0.38 to 0.59)[155]. This findings suggests that water quality is an important determinant of diarrhoeal diseases.

### 1.2.2.5 Faecal contamination, transmission pathways and link with diarrhoea

#### 1.2.2.5.1 Water

A range of indicators, including FIB, pathogenic microorganisms (viruses and bacteria), Coliphages and species specific faecal markers [117] have been used to assess faecal contamination of drinking water [107-110, 113-116, 118-120, 156-183].
However, the evidence associating faecal contamination of water with health outcomes is inconsistent. Those reporting a linkage between faecal contamination and health outcomes have mostly used FIB to assess faecal contamination. Findings from these observational (large sample size) and intervention studies conducted in both high and low-income countries suggest that the presence of FIB (faecal coliforms, *E. coli*, faecal streptococci and enterococci) in water may be associated with adverse health outcomes [163, 177, 182-186]. However, a few observational and intervention studies did not find the level of FIB in stored water to be associated with adverse health outcomes [106, 117, 168, 178]. The studies which did not find the faecal contamination of water to be associated with health outcomes were weakened by the fact that they had a limited sample size (N>335) to detect differences in health outcome. Moreover presence of FIB in recreational water was found to be associated with gastrointestinal illness in high-income country contexts [187, 188]. So it can be argued that there is a reasonable amount of evidence suggesting that the presence of FIB in water may predict health risk.

### 1.2.2.5.2 Hands

The available literature suggests that the presence of FIB on children’s and caregiver’s hands are common [132, 165, 173, 189-192] and plays a significant role in transmission of infectious gastrointestinal illness. In a domestic environment with high microbial contamination, hands that are effectively de-contaminated by washing are often quickly re-contaminated [189, 193, 194] by coming into contact with different vectors in the household environment and through different household activities. In day care centres contamination of hands was found to be correlated with the contamination of inanimate objects [131]. Several small scale studies have identified the level of FIB on hands to be associated with diarrhoea [106, 122, 130-132, 195-197]. However, the evidence is generally weak because of limited sample sizes and is often not consistent between countries. For example studies conducted in Bangladesh [114] and Thailand found the presence of FIB in children’s and mothers hands to be associated with higher rates of diarrhoea [197]. However, a study conducted in Karachi by Luby and colleagues suggests that
presence of thermo tolerant coliform on hands was not associated with diarrhoea when measured using finger imprints. In this same study the presence of faecal coliforms were measured using a hand rinse technique and this was found to be associated with diarrhoea [122]. The studies conducted in Thailand [197] and Bangladesh [114] also used similar finger imprinting techniques to assess hand contamination and found the faecal contamination of hands to be associated with diarrhoea. However both of these studies used larger agar plates which allowed a larger hand surface area to be cultured compared to the study in Karachi. This suggests that culturing a larger surface area of the hand may provide a more accurate and useful assessment of faecal contamination on hands.

There is limited evidence about the association between the faecal contamination of hands and diarrhoea. The literature indicates that measuring hand contamination at random could be considered as a potential indicator of the faecal contamination that may be prevalent in household environment. However since hand contamination is highly variable, it may require large sample sizes to capture variation in factors contributing to hand contamination [122].

1.2.2.5.3 Home hygiene (surface/fomite)

There is some evidence from high [198-209] and low-income countries [123, 128, 165, 173, 210] that the microbial contamination of household surfaces and fomites are common and plays a significant role in the transmission of enteric pathogens. However most of these were descriptive studies, with small sample sizes. These studies have mostly described the levels of general microbial contamination or faecal contamination in the household environment but did not link faecal contamination on surfaces and fomites with health outcomes.

1.2.2.5.4 Toys

Evidence suggests that children’s toys have a high degree of FIB and can be a potential source of transmission of enteric pathogens [124, 125, 130-132, 211]. There is also some evidence from small scale observational studies, suggesting that the degree of faecal contamination on hands may be associated with the faecal
contamination of toys [125, 131]. However, there is very limited evidence of faecal contamination of toys being associated with diarrhoea in low-income countries.

1.2.2.6 Microbial indicators of faecal contamination:

1.2.2.6.1 Comparison of indicators of faecal contamination

The literature from high and low income countries suggests that, a range of indicators including faecal indicator bacteria (FIB), pathogenic microorganisms (bacteria and viruses) have been used to assess faecal contamination [106, 116, 119, 122, 124, 157, 181, 209, 212-215]. A range of microbial source tracking (MST) methods (genotypic, phenotypic, and chemical) have also been used to identify sources (human/non-human) of faecal pollution in the environment [106, 117, 157, 204, 216-218]. However, all indicators of faecal contamination have some advantages and disadvantages in term of their use to assess faecal contamination in epidemiological studies.

Links between species specific faecal markers in environmental samples and health is yet to be established [106, 216, 217, 219-221]. Moreover the presence of human specific faecal markers identified using MST methods has been found to be a poor predictor of pathogenic bacteria [213, 219]. MST methods are time consuming, labour-intensive, and expensive (require costly laboratory equipment) [220]. As a result this may have limited feasibility in assessing the impact of large scale sanitation/hygiene programme in low income country context.

Pathogenic microorganisms (bacteria and viruses) that are associated with faecal contamination and can cause diarrhoea tend to be found in low concentrations in the environment and there are a large number of them. So it is difficult to monitor them in environmental samples [217, 220, 222] and can be costly in the context of low income country setting if the primary purpose is large scale programme evaluation.

FIB are rapidly detected, easily enumerated, have survival characteristics that are similar to those of the pathogens of concern. There is some evidence that presence of FIB can be associated with the presence of pathogenic microorganisms [217, 219, 222] and Bacteroidales faecal marker [223]. More over concentration of
FIB in hand and drinking water was found to be associated with health outcome 
[114, 122, 163, 167, 170, 177, 180-184, 197, 224-226] in the context of both high and 
low income countries. In a systematic review in high income country context, higher 
concentration of FIB bacteria in recreational water was found to be associated with 
higher relative risk of gastrointestinal illness (GI) in areas with known sources of 
human faecal contamination [187]. However FIB (faecal coliform, \(E.\ coli\), faecal 
streptococci, enterococci) are found in faeces of all warm blooded animal [106, 216, 
217, 222] and can be naturally found in the environment [227-230]. But there is also 
evidence from small scale observational studies suggesting that concentration of FIB 
in hands and toy may be associated with sanitation [106-116].

So use of FIB could be considered as a feasible option to assess faecal 
contamination in low income country context to predict health risk, although they 
cannot be used to track source of faecal contamination (human/animal). However 
the extent to which they represent health risk may vary and some may be more 
faecal specific than others. In this study level of faecal indicator bacteria will be 
assessed in hands and toys considering feasibility of measuring in low income 
country context.

1.2.2.6.2 Comparison of common faecal indicator bacteria used to assess faecal 
contamination

There is very limited evidence of the performance of four most commonly 
used faecal indicator bacteria (faecal coliform, \(E.\ coli\), faecal streptococci, 
enterococci) if found in hands and toys to be associated with health outcome as well 
as sanitation. Most of the literature on faecal indicator bacteria is related to drinking 
water or recreational water.

1.2.2.6.2.1 Faecal coliform

Evidence from observational studies conducted in the context of both high 
and low income countries suggests that concentration of faecal coliform in hands 
may be associated with higher risk of diarrhoeal illness [122, 130-132, 163, 183, 186]. 
However there is no evidence of concentration of faecal coliform in hands to be 
associated with sanitation. But level of faecal coliform in toys was found to be
associated with better quality sanitation [124, 125] in two small scale observational studies conducted in Bangladesh. But we do not know if level of faecal coliform in toys is associated with better health outcome. One of the important limitations of faecal coliform is that faecal coliform can also include some species that can have a non-faecal origin (e.g., Klebsiella pneumonia) [226-231]. So concentration of faecal coliform may not represent exposure to human faeces only but if found to be associated with better sanitation, it can provide indication of how better sanitation might contribute in preventing faecal contamination of the household environment.

So concentration of faecal coliform in hands and toy may better predictor of sanitation but may not be good predictor of health and faecal contamination.

1.2.2.6.2.2 E. coli

*E. coli* is more faecal specific than faecal coliform [217, 226, 231] and recommended as indicator for recent faecal contamination in water [232] from human and animal. While there is some evidence to suggest that level of *E. coli* in drinking water and recreational water is associated with health outcome [163, 177, 182-187, 233], there is very limited evidence of level of *E. coli* in hands and toys to be associated with sanitation or health outcome. However a study conducted in 334 households in Tanzania, found that level of *E. coli* in mothers and children’s hands was not associated with prevalence of gastrointestinal symptoms although this study measured gastrointestinal symptoms in one season only. Moreover in this study level of *E. coli* in children’s and mothers hands were not associated with sanitation level (JMP definition) [106]. There was no evidence found about level of *E. coli* in toy to be associated with health outcome, however studies conducted in Bangladesh with limited sample size found that level of *E. coli* in toy was not associated with sanitation level [125].

So concentration of *E. coli* in hands and toy might be good predictor of faecal contamination but may not be good predictor of sanitation.

1.2.2.6.2.3 Faecal streptococci

Faecal streptococci survive longer in the environment than faecal coliforms and *E. coli* [220, 226, 234]. There is limited evidence of level of faecal streptococci in
hands and toys to be associated with health outcome as well as sanitation. In observational studies conducted in Thailand and Tanzania level of faecal streptococci in hands was found to be associated with health outcome [106, 197]. Moreover in the study conducted in Tanzania level of faecal streptococci in hands were found to be associated with sanitation level. However in Bangladesh level of faecal streptococci in toy was not found to be associated with sanitation level in a study with limited sample size [124].

So level or faecal streptococci in hands may be good predictor of health and faecal contamination but may not be good predictor of sanitation.

1.2.2.6.2.4 Enterococci

Enterococci are more faecal specific [217, 220] than faecal streptococci. A study conducted by Pinto and colleagues has found majority of enterococci (84%) found in variety of polluted water source to be true faecal species [235]. Level of enterococci in drinking and recreational water was found to be better predictor of diarrhoeal risk compared to faecal streptococci [185, 187, 188, 236]. In a study conducted in France, level of enterococci in water was found to be correlated with level of Bacteroidales faecal marker[223]. Another study conducted in Tanzania found higher concentration of enterococci in water to be associated with presence of *E. coli* virulence genes (ECVG) [219]. However there is lack of evidence of the presence of enterococci in hands and toys to be associated with health outcome and sanitation.

So concentration of enterococci in hand and toys might be the best predictor of faecal contamination while its association with sanitation and health is yet to be explored.

Taken together these findings suggests that, there is limited evidence comparing the utility of faecal coliform, *E. coli*, faecal streptococci and enterococci as indicator of faecal contamination on hands and toys in relation to linkage between both sanitation and health. Concentration of *E. coli* and enterococci is better predictor of faecal contamination (water) and health, compared to faecal coliform and faecal streptococci. But there is limited evidence of concentration of *E. coli* and
enterococci in hands and toys to be associated with sanitation as well as health. There is some evidence suggesting concentration of faecal streptococci in hands may be associated with sanitation level, while concentration of faecal streptococci in toys may not be. Although faecal coliforms are not faecal specific but concentration of faecal coliform in hands and toys were found to be associated with sanitation. Since this study aims to assess faecal contamination of hands and toys in relation to sanitation, faecal coliform (found to be linked with sanitation) and enterococci (most faecal specific) can be considered as potential indicator of faecal contamination. As concentration of \textit{E. coli} and faecal streptococci in hands and toys were not found associated with sanitation level in small scale studies conducted in low income country context.

\textbf{1.2.2.7 Summary of literature review and gap in knowledge}

The findings from the literature review suggest that access to private improved sanitation may be associated with modest reductions in diarrhoeal disease but the effect may vary depending on the country, rural or urban environments and seasonality. Sharing a sanitation facility may be associated with higher diarrhoea risk but depending on the context the effect may vary. Most of the existing studies assessing sanitation quality and health outcomes were observational and had important methodological limitations. Many of the existing studies could not capture the seasonal variation of diarrhoea. Moreover many of the existing studies used reported data and standard questionnaires used by JMP/DHS to assess sanitation. This approach assesses only the presence of sanitation technology rather than its functionality, which can lead to potentially inaccurate categorisations of latrines. As a result these studies are prone to misclassification bias.

There are limited numbers of studies that have looked at the effect of sanitation on household faecal contamination. Understanding of faecal-oral disease transmission pathways in relation to sanitation has largely focused on drinking water. The available evidence suggests that both hands and toys could be considered as potential indicators of household faecal contamination. However the findings are based on observational studies and there is heterogeneity in the effect of sanitation
in preventing faecal contamination. The inconsistencies in findings across different studies may be explained by the difference in indicator organism chosen as outcome, variation in methodology of sample collection and the differences in context. Important limitations of existing studies include that many are underpowered to understand the role of confounding factors. Further studies with large enough sample sizes to allow adjustment for possible confounders are needed to see if faecal contamination of hands and toys are associated with sanitation.

There is a reasonable amount of evidence suggesting that the presence FIB in water may predict health risk although there are some inconsistencies in findings across different studies. There is limited evidence linking the faecal contamination of hands with diarrhoea but hand contamination measured at random could be considered as a potential indicator of faecal contamination in household environment. However since hand contamination is highly variable, it may require large sample sizes to capture variation in factors contributing to hand contamination. There is limited evidence to suggest that faecal contamination of surfaces, soil, fomites and toys are associated with increased diarrhoea.

Neighbourhood-level sanitation may provide important externality benefits in reducing diarrhoea disease transmission. But the effect is likely to be different in rural and urban contexts. There is limited evidence to suggest that the level of neighbourhood sanitation coverage has an effect on health outcomes. The literature on the role of neighbourhood sanitation coverage in reducing household faecal contamination is even more limited.

From the literature review presented above, a few important gaps in evidence in relation to improving sanitation coverage in the context of low-income countries can be highlighted. There is limited evidence of the comparative benefits of different levels of onsite sanitation facilities as defined by JMP in terms of reducing faecal contamination of hands and toys and protecting health. There is also limited knowledge about role of sanitation coverage in the neighbouring households on environmental faecal contamination and health. Important limitations of the
studies which have assessed faecal contamination include limited sample sizes and lack of understanding of the role of confounding factors.

1.3 Study aims and objectives

The present study assessed whether faecal contamination of hands and toys is associated with level of sanitation access in a rural setting with predominantly onsite sanitation. The study used sentinel toy and hand contamination as indicators of household environmental contamination in rural areas of Bangladesh. The study collected data from a sample size large enough to capture variability in the degree of faecal contamination comparing households with different level of sanitation. A range of potential household factors (water, sanitation and hygiene related) and neighbourhood level factors was measured to see how they modify or confound the association between sanitation and faecal contamination.

1.3.1 Aim

The aim of the study was to further our understanding of the importance of sanitation quality and coverage, in protecting health.

1.3.2 Specific Objectives

1. To assess the association between different types of onsite sanitation provision (as defined by the JMP and the Govt of Bangladesh) in the household and faecal contamination of the household environment.

2. To assess the association between neighbourhood sanitation coverage and faecal contamination of the household environment.

3. To assess the association between different types of onsite sanitation provision (as defined by the JMP and the Govt of Bangladesh) in the household and the occurrence of diarrhoeal disease in children younger than five years of age.

1.3.3 Research questions

1. Is access to better onsite sanitation (as defined by the JMP and the Govt of Bangladesh) in the household, associated with a lower level of faecal contamination of the household environment?
1. Is higher coverage of improved latrine in the neighbourhood, associated with lower level of faecal contamination of the household environment?

2. Is access to better onsite sanitation provision (as defined by the JMP and the Govt of Bangladesh) in the household, associated with lower reported diarrhoea among children less than five years of age in rural Bangladesh?

1.3.4 Impact of the study

This study will help develop a better understanding of the impact of different type of onsite sanitation in reducing environmental faecal contamination and diarrhoea, and of the effect of sanitation coverage in the neighbourhood on environmental faecal contamination. As a result this will add to the evidence base on health impact of sanitation facility. The evidence could help inform policymakers as to what type of onsite sanitation facilities should be promoted in low-income settings such as Bangladesh. This will also help improve the evidence base regarding the classification of sanitation facilities that is used for international monitoring.

1.4 Thesis components

The thesis consists of six chapters. The contents of each chapter are summarized below.

Chapter 1: Background and literature review

   Background to the thesis
   Comprehensive literature review
   Aim and objectives of the thesis

Chapter 2: Comparing measures of household faecal contamination in rural Bangladesh

   This chapter presents data on children’s exposure to household faecal contamination and data from piloting several measures of household faecal contamination to inform decisions regarding indicator of household faecal
contamination, to be used in the next chapters. This chapter includes a ready for submission manuscript, which describes the main results.

Chapter 3: A cross sectional study of the association between sanitation type and faecal contamination of the household environment in rural Bangladesh

This chapter compares the relevance of different classifications of improved sanitation used for international monitoring in term of reducing microbiological contamination of household environment. This chapter includes a manuscript submitted for publication, which describes the main results.

Chapter 4: Effect of neighbourhood sanitation coverage on faecal contamination of the household environment in rural Bangladesh

This chapter explores the role of neighbourhood sanitation coverage on household faecal contamination as measured through toy ball and children’s hands. This chapter includes a ready for submission manuscript, which describes the main results.

Chapter 5: A cross sectional study to explore the association between sanitation type and diarrhoeal disease.

This chapter compares the relevance of different classifications of improved sanitation used for international monitoring in term of reducing diarrhoea among children less than five years of age using previous data from a programme evaluation conducted in Bangladesh. This chapter includes a ready for submission manuscript, which describes some of the main results.

Chapter 6: Discussion

This last chapter provides a summary of the results from all the chapters, provides an overall interpretation of the results, discusses notable strengths and limitations of the research and finally provides recommendation for policy and future research.

Chapter 7: Appendices that include details of microbiological sample collection and processing; and data collection tools
1.5 References


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Chapter 2: Comparing measures of household faecal contamination in rural Bangladesh

2.1: Introduction to the chapter

This chapter includes the first of the four manuscripts presenting results of the studies conducted for this thesis. The results presented in this manuscript are from the pilot study that was conducted to identify suitable sites on which to measure indicators of household faecal contamination.

2.2 Role of the authors in the research paper

Tarique M.N. Huda (TH): TH is the first author of the research paper. He had the primary role of designing the study, overseeing the field work, cleaning and analyzing the data, interpreting the results and drafting the manuscript.

Amy J. Pickering (AP): AP provided guidance on the microbiological sample collection protocol; provided feedback on analysis and interpretation of the data and reviewed the draft manuscript.

Stephen P. Luby (SL): SL provided guidance on design of the study and reviewed the draft manuscript.

Leanne Unicomb (LU): LU provided guidance during data collection in Bangladesh and reviewed the draft manuscript.

Wolf-Peter Schmidt (WS): WS contributed to the conception of the study, defining the research questions, provided guidance on design of the study; reviewed the data analysis and the draft manuscript.

Zahid H. Mahmud (ZM): ZM reviewed the protocol for the microbiological sample processing in the lab, helped with supervision of the sample processing in the lab and reviewed the draft manuscript.

Probir K. Ghosh (PG): PG reviewed the data analysis strategy and the draft manuscript.

Adam Biran (AB): AB was the executive author for this manuscript. He contributed to the conception of the study, contributed in defining the research questions, approved the overall study design, data/sample collection protocols and reviewed the draft manuscript.
2.3 Research paper cover sheet

Since this thesis is 'Research Paper' style thesis, the following cover sheet is being included in accordance with the regulations, mentioned in the LSHTM Research Degree Handbook guidance.

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**RESEARCH PAPER COVER SHEET**

*PLEASE NOTE THAT A COVER SHEET MUST BE COMPLETED FOR EACH RESEARCH PAPER INCLUDED IN A THESIS.*

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<td>Principal Supervisor</td>
<td>Adam Biran</td>
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<tr>
<td>Thesis Title</td>
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*If the Research Paper has previously been published please complete Section B, if not please move to Section C*

**SECTION B – Paper already published**

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**SECTION C – Prepared for publication, but not yet published**

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<td>Tarique M N Huda, Wolf-Peter Schmidt, Amy J. Pickering, Leanne Unicomb, Zahid Hayat Mahmud, Stephen P. Luby and, Adam Biran</td>
</tr>
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**SECTION D – Multi-authored work**

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<th>I had the primary role of designing the study, overseeing the field work, analysing the data, and drafting the manuscript</th>
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Manuscript title: Comparing measures of household faecal contamination in rural Bangladesh

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2.4 Abstract

The objectives of this study were a) to identify potentially suitable (relevant to local context and standardized) sites at which to measure indicators of household faecal contamination and b) to compare different measures of household faecal contamination in terms of feasibility of assessment and variation across different households.

We conducted three-hour observations in ten households with at least one child less than five years of age to identify surfaces and objects that came in contact with the children. Children’s hands came into contact with earth floors, including living room, entrance of main house, yard, and kitchen. Children played with a wide range of objects of different sizes and shapes. Only in half of the households were children playing with commercially available toys.

To assess household faecal contamination, a microbiologist collected samples from mother’s hands, child’s hands, toy balls (so called ‘sentinel toys’ provided by the study), the floor of entrance to the main house and a composite floor sample (collected from middle of yard, bedroom of the child and the kitchen) from 20 households (five samples per household). A microbiologist enumerated presumptive faecal coliforms (FC) and presumptive E. coli (EC) using the membrane filtration technique. The results are presented in terms of colony forming unit (CFU) per 100 cm² sampling area.

The coefficient of variation (CV) of FC count among both the floor samples (CV=0.16-0.17) was lower compared to hand rinse samples (mother’s hands=0.47 and children’s hands=0.41) and sentinel toy ball (CV= 0.60). The coefficient of
variation (CV) of EC count among both the floor samples (CV=0.17-0.20) was lower compared to hands (mother’s hands=0.44 and children’s hands=0.48). The median level of FC on children’s hands from households with access to improved latrine was lower compared to households with access to unimproved latrines (1.94 vs. 2.67; log_{10} CFU). The median level of FC in sentinel toy samples collected from households with improved latrine were lower compared to samples collected from unimproved latrines (1.32 vs. 2.10; log_{10} CFU).

Contamination of children’s hands and study-provided sentinel toys can be used as indicator of children’s exposure to household faecal contamination, as these measures can be identified and sampled reliably across different households, capture variation and are feasible to measure.

**Key words:** faecal contamination, sentinel toy, hands, and Bangladesh

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1 Since the sample size was small and the sample was selected purposively significance level was not presented. As, the differences could be due to selection bias.
2.5 Introduction

Diarrhoea-causing enteric pathogens pass from one host to another through the environment before reaching a new host. For young children, the environment is the home and its immediate vicinity [1, 2]. Containing contamination at the sanitation point is one way to prevent faeces and their associated pathogens from contaminating the household environment [2-5]. To assess the effectiveness of sanitation interventions in reducing contamination within the household environment, we need suitable indicators of faecal contamination of the household environment.

Within the household environment there may be multiple sources of children’s exposure to faecal contamination, including hands, objects, surfaces, food and drinks [1, 2]. Research assessing household faecal contamination has conventionally focused on hands, food, and drinking water [6-40]. However, contaminated household surfaces and inanimate objects can also play an important role in transmission of enteric pathogens [41-44] and microbial contamination of household surfaces, inanimate objects, and soil, as transmission pathways has been relatively understudied [34, 44-51]. One major difficulty in measuring household surfaces and inanimate objects is identifying a standard surface or object to sample across different study households. Moreover, the extent to which young children come into contact with particular household surfaces or objects is not well known [47] and is likely to be context-specific for the most part.

A useful indicator of household faecal contamination should be relevant to the context, standard across different households, capture variation and be feasible to measure. Depending on the social, cultural and environmental context of a particular household and behaviour of the children in that household, the importance of a particular transmission pathway in transmitting enteric pathogens may vary. So the first objective of the study was to explore where the children under five are potentially exposed to faecal contamination in the household environment, in the context of rural areas of Bangladesh. This understanding will then further help to identify potentially suitable (relevant to context and standard) sites at which to
measure indicators of household faecal contamination. If contamination level on a transmission pathway is very high and there is no variation across different households, we are less likely to capture any difference between two groups of households separated by sanitation characteristics. So the second objective of this pilot study was to compare different measures of household faecal contamination in terms of feasibility of assessment and variation in the level of contamination across different household.

2.6 Methods

We conducted an observational, cross-sectional study between July and September 2012 in eight rural areas of Bangladesh. The field workers identified study villages from a list of villages that were part of an impact assessment implemented by icddr,b [52] and were situated within four hours travel time from Dhaka (in order to facilitate transporting microbiological samples on the day of collection). The study was conducted in two phases. During phase one the field workers conducted semi-structured observations to identify surfaces and objects that commonly came in contact with children’s hands and mouth. Then in phase two the field workers conducted a cross-sectional survey (household questionnaire survey and microbiological sample collection) to assess the suitability of measures of children’s exposure to faecal contamination. To assess suitability of the measures of exposure to faecal contamination we compared the variation in levels of presumptive faecal coliform and the practical experience of collecting and processing the samples in the laboratory with the local resources available.

Ethics

Participation was on the basis of written, witnessed, informed consent. The study protocol received ethical approval from Ethical Review Committee (ERC) of London School of Hygiene and Tropical Medicine (LSHTM) and icddr,b.
2.7 Phase 1: Identifying suitable measures of household faecal contamination

2.7.1 Observation

The purpose of the observations was to collect descriptive data to identify and list the surfaces and objects that a child under five years of age may touch with their hands or mouth.

The field team consisted of two male research officers trained in collecting observation data from rural Bangladesh. They received 2 days training on ethics, observation methods, study objectives and the observation guideline developed for the study. The first author supervised the field workers and was with them in the field during the entire data collection period. At the end of each data collection day the first author reviewed the transcripts.

The field workers conducted observations in ten households from four villages from four sub-districts (Muktagacha, and Fulbaria from Mymensingh district; Roypura and Narshingdi Sadar from Narshingdi district). From each village the observers purposively selected at least one household with a child who was under six months of age, one household with a crawling child and one household with a walking child from a list of households provided by a previous health impact study [52].

The field workers conducted the observations in the morning (9 a.m.-12 a.m.) using a detailed observation guideline. They first visited the entire household premises and noted a detailed description of the household setting and the presence of animal or human faeces. The field workers observed the focal children for the entire period. They recorded the surfaces or object that came in contact with the focal child’s hands or mouth. For each of these events the observers noted the site of child’s activities within the household and the immediate vicinity. The observers collected narrative field notes using pen and paper. The first author then reviewed the narrative field notes to list the surfaces or objects that came in contact with child’s hands and mouth. Then for each surface or object the frequency of contact
with children’s hands and mouth was extracted to identify the common surface or object.

### 2.7.2 Findings from observation

Observed children spent time in the child’s bedroom, inside and around the kitchen, in the courtyard and in neighbouring households, as well as in public places such as shops. All the households selected for semi-structured observation had mud floors in the child’s bedroom, kitchen and yard. In four out of 10 households a cowshed was attached to the main house.

The children came into contact with soil in the front yard (common open area surrounded by multiple households that form a compound owned by several related nuclear families), back yard (smaller private household yard, usually situated between the main house and the water and sanitation facilities), bedroom, and in the indoor and outdoor kitchen within the household as well as in the neighbouring households. For example in one of the households, the child played with vegetables on the floor of the kitchen while the mother was cooking. The children were also found to be in contact with surfaces of furniture, doors, walls, hands and bodies of other children and caregivers. In two households, children were playing with poultry and goats.

During the observations the children were found to be playing with a range of objects, including cooking utensils, natural objects (tree branches, leaves) and miscellaneous household objects of different size and shape. In four out of ten households, children were found to be playing with commercially-available toys. The commercial toys that were found in these households included a marble made of glass, a bamboo flute, wooden spinning, plastic doll, fabric doll and football (Table 2.1). In these households, children were found to be putting a range of objects (toys, clothes, fingers utensils, soil) in their mouths. In half of the households (n=5), children were found to put their own hands in their mouths while playing. In one instance a child was found crawling in the yard and putting mud in her mouth. In most of the houses children’s hands came into contact with caregiver’s hands and in three households children put caregiver’s finger in their mouth.
2.7.3 Potential measures of household faecal contamination

Children under five years of age came into contact with soil from different parts of the household floor and were observed to consume soil. These findings are in line with reports from previous studies conducted in Zimbabwe and Bangladesh [53, 54], reiterating that the surface of floors may play an important role in transmission of infectious disease in these settings. However, we found from our observational data that it was difficult to identify one single specific part of the floor surfaces that would be most important in disease transmission. We therefore chose multiple floor surfaces with reference to a household landmark that can be easily identified across different study households. These multiple floor samples together contributed to a composite indicator of floor contamination, with the assumption that the amount of environmental exposure to contamination is likely to be an average of contamination levels measured from different floor surfaces.

The children touched a range of objects of different size, shape and material during their daily activities so it is difficult to identify one standard object that could be used as a measure of household environmental contamination. Children are more likely to be exposed to contamination on toys in comparison to a particular surface and fomite. The commercially-available toys in these households were of different size and shape, were not common across all households and many households had no toys. This makes existing household toys unsuitable for measuring microbiological faecal contamination across different households. Several previous studies have introduced standardised toy balls as an indicator of household faecal contamination [47, 49-51, 55]. Therefore, contamination on study-introduced toy ball can be used as an indicator of household faecal contamination.

Hands are a closer indicator of level of contamination that a child may encounter in comparison to household surfaces and fomites. It is easy to sample hands across different study households. Several small-scale studies have assessed the association between level of faecal indicator bacteria on children’s and mother’s hands and diarrhoea [19, 26, 31-38]. Therefore, contamination on hands can be used as an indicator of household faecal contamination.
There can be several sources of children’s exposure to household faecal contamination. Considering relevance to local context and the ability to collect standard sample across different households, we collected samples from children’s and mother’s hands; study-introduced toy balls; and a floor sample from the entrance of main house, middle of the living room, middle of a general yard, and the middle of the kitchen.

2.8 Phase 2: Comparing measures of household faecal contamination

2.8.1 Methods for cross-sectional survey

The household questionnaire survey and microbial assessment was conducted in four villages from four sub-districts of Comilla and Pabna districts (different villages from those used for observation). The field workers purposively selected ten households with improved latrines and ten households with unimproved latrines from a list of households provided by a previous study [52]. They categorised sanitation facilities using the current UNICEF/WHO Joint Monitoring Programme (JMP) definition of improved (individual pit latrine with a slab or better) and unimproved, based on spot-check of sanitation facilities.

2.8.1.1 Household questionnaire survey

The field workers conducted a verbally-administered questionnaire survey, along with spot-checks of household facilities [52, 56-58]. The initial questionnaires were developed based on the study research questions and directed acyclic graph developed for the study. The questionnaire was then reviewed by one of the authors (AB) as a quality assurance procedure, including checking for ambiguous or potentially leading questions. The questionnaire included questions about household possessions, parental education, water, and sanitation and hygiene behaviour. The questionnaire was developed in English and then translated in Bengali. Based on the questionnaire a data collection application was developed to collect data using handheld computers. The questionnaire and the data collection application was pilot tested in the field for comprehensibility prior to final data collection. Questions were
amended, reworded or replaced following piloting. The data collection application was then updated based on the changes in the questionnaire and feedback on the application from the pre-testing.

The data collectors were trained on the use of questionnaire, ethics and interview techniques. Once the class room training was over there was practice data collection in the field before the actual data collection. The first author supervised the data collectors in the field. The first author visited all the study households to collect data on the key water sanitation and hygiene facilities so that the data collected by the data collectors can be cross checked. At the end of data collection in each household the data collectors reviewed the questionnaire to check for completeness of data. All the completed questionnaires were reviewed by the first author completeness before data entry.

2.8.1.2 Microbial assessment

A microbiologist collected samples from mother’s hands, children’s hands, sentinel toy balls (details given below), floor of the entrance to the main house and a composite floor sample (collected from middle of yard, bedroom of a child less than five and the kitchen) in each household (Table 2.2). A total of 100 environmental samples from 20 households (five samples per household) were collected.

2.8.1.2.1 Hand contamination sample collection

A sample was collected from both hands of the primary caregiver and the child under five years of age on the same day as the initial household questionnaire survey following a similar technique used in previous studies [22, 29]. A microbiologist rinsed the hands for 30 seconds, one after another in a Whirl-pak bag (Nasco, Fort Atkinson, WI) containing 200 ml sterile Ringer’s solution (A solution that includes sodium chloride, potassium chloride, calcium chloride di-hydrate, and sodium lactate). The mother/child was instructed to rub the fingers with palm for 15 seconds. Then the microbiologist massaged the inserted hand from the outside of the bag for an additional 15 seconds.
2.8.1.2.2 Sentinel toy sample collection

A sterile non-porous plastic ball (20 cm circumference) (Picture 1) was given to each study household on the day of the initial household questionnaire survey. The mother was instructed to let her child to play with the toy ball with his/her usual playmates and at the usual sites. The microbiologist returned to the household 23-25 hours later and rinsed the ball in a Whirl-Pak bag filled with 200 ml ringer’s solution for 30 seconds, fully immersed, using methodology described previously [47].

2.8.1.2.3 Floor/yard sample collection

The first floor sample was collected from the earthen floor entrance of the main house. One side of a pre-hydrated sponge (3.6 cm wide, 7.6 cm long and 1.5 cm thick) was twice rubbed over 100 cm² sampling area, marked with a sterile aluminium stencil frame, and then placed back into the Whirl-Pak bag (Whirl-Pak Speci-Sponge bag, Nasco, Fort Atkinson, WI). For the composite floor sample the microbiologist identified middle of the yard, under five child’s bed room and kitchen, based on a visual estimate. Then, one half of one side of a sponge was swiped over 100 cm² sampling area twice so that sample from each of the three sites could be collected using the same sponge.

2.8.1.2.4 Quality control

A sample Whirl-Pak bag with 200 ml of Ringer’s solution and a pre-hydrated sponge was opened at the household during sample collection and then closed without collecting any sample. This way a field blank was collected every sample collection day to ensure sample rinse bags were free of indicator organisms and not contaminated during the field sampling process.
2.8.1.2.5 Sample processing

The closed Whirl-Pak bags, with the collected samples, were placed immediately into a cold box, maintained at a temperature of ≤ 10°C. The samples were transported to the Environmental Microbiology Laboratory of icddr,b. The samples were processed to detect presumptive faecal coliforms (FC), using mFC media and presumptive *Escherichia coli* (EC) using MI media (BD Difco, Franklin Lakes, NJ), via membrane filtration technique (EPA method) [59, 60] and drop plate technique [61, 62].

2.8.1.2.6 Enumeration of faecal coliforms (FC) and *E. coli* (EC)

The microbiologist filtered 50 ml to 1 ml (Table 2.3) of liquid recovery media, depending on turbidity and type of the sample, through a 0.22 µm Millipore (Billerica, MA) membrane filter using a vacuum pump. In the majority of cases only one volume was filtered. The plates were then incubated at a temperature of 44.5 ± 0.2°C for 24 ± 2 hours for faecal coliforms and at 35 ± 2°C for 24 hours for *E. coli*. The microbiologist then counted the blue and greenish-blue-coloured colonies on the mFC agar as presumptive faecal coliforms and the deep blue-coloured colonies on the MI agar plate as presumptive *E. coli*. If fewer than 500 characteristic colonies were present, the result was reported as number of CFU per 200 ml of recovery media.

If the samples processed via membrane filtration on the first day produced no detectable colonies, a higher concentration was filtered on the second day using samples stored at 4°C temperature. If there were no target colonies found in the plates on both the days, then the microbiologists reported 0 CFU/200 ml of recovery media. For each sample, droplets of the original recovery media, 10⁻¹ and 10⁻² dilutions of the recovery media, was also plated on the first day at a total volume of 100 µl in case the results from the membrane filtration appeared too numerous to count (TNTC) [61, 62]. To monitor the quality, test negative controls were tested for contamination for each set of agar media. Every day one laboratory blank was tested for contamination. For mFC agar *Escherichia coli* ATCC-13706 was used as positive control and *Staphylococcus aureus* ATCC-25923 was used as negative control. For
MIL agar *Escherichia coli* ATCC-13706 was used as positive control and *Staphylococcus aureus* ATCC-25923 was used as negative control.

The detection limits ranged from $2 \times 0.5$ for 0 CFU for a maximum of 50 ml filtered; so $0.5 \times (200/50) = 2$ to 1,000,000 CFU [maximum 500 CFU detection limit for a minimum of 100 µl of $10^{-2}$ dilutions; so $500 \times (200000/100) = 1000000$] per 200 ml recovery media (Table 2.3).

### 2.8.2 Statistical analysis

For standardization purposes, all data are presented in terms of bacterial counts per 100 square centimetres surface area. Since the distribution of the bacterial counts were found to be not normally distributed, they were transformed into log base 10 [63]. Before the log transformation we replaced the 0 values with 0.5. Then we calculated arithmetic mean and median of the log base 10 transformed counts of FC and EC. To assess variation we also compared mean and median level of contamination between household with improved latrine and unimproved latrine. To test the association between faecal contamination (FC and EC counts) and sanitation type we used Wilcoxon rank-sum test [63]. We also calculated the coefficient of variation (SD/Mean) to achieve an indication of the dispersion of the data. To assess the correlation between levels of faecal contamination across different samples we calculated Pearson’s correlation coefficient and associated significance level.

### 2.8.3 Results of cross sectional survey (Phase 2)

All households reported having access to a latrine. Among these 35% had access to a pit latrine with a slab and a further 25% had a pit latrine with a slab but with a visible broken pit lining allowing leakage of faeces. A quarter of all the latrines had visible faeces on the slab or floor. Forty percent of the households reported sharing the latrine with other households. In 60% of the households the focal child was reported to defecate in the open, in and around the household. The majority (85%) of households had animal faeces present within the household premises (Table 2.6). Nineteen out of the 20 households had soap available for handwashing.
**Hand contamination**

Mother’s hands recorded a mean of $2.79 \log_{10}$ [Standard deviation (SD) = 1.33] FC and $1.96 \log_{10}$ (SD= 0.86) CFU of EC. Compared to mother’s hands, children’s hands had lower levels of mean FC (Mean= $2.30 \log_{10}$; SD=0.94) and EC (Mean= $1.72$; SD= 0.82). The median levels of FC and EC in mother’s hands from households with access to improved and unimproved sanitation were similar² (for FC 2.57 vs. 2.65 log$_{10}$ CFU and for EC 1.90 vs. 2.02 log$_{10}$ CFU). The median level of FC on children’s hands from households with access to improved latrine was lower compared to households with access to unimproved latrines (1.94 vs. 2.67 log$_{10}$ CFU). However the median level of EC in children’s hands from households with access to improved latrine and unimproved latrine were similar (1.89 vs. 1.72 log$_{10}$ CFU). Hand rinse samples had higher coefficient of variation (CV) in FC count (CV for children’s hands=0.47 and mother’s hands=0.47) compared to floor samples (CV for entrance of main house=0.16 and composite floor sample=0.17) (Table 2.4).

**Household floor/yard contamination**

The floor samples collected from the entrance of the main house had a mean of 5.84 log$_{10}$ CFU of FC (SD=0.91, N=20) and 5.38 log$_{10}$ CFU of EC (SD=0.91). The mean level of FC (Mean=5.43 log$_{10}$ CFU; SD=0.92) and EC (Mean=4.66, SD=0.91) found in composite floor samples was lower than³ the floor samples collected from the entrance of the living room (Table 2.4). The coefficient of variation among both the floor samples was lowest among all the five types of samples for both FC and EC (CV=0.16-0.18) (Table 2.4).

**Sentinel toy ball**

The samples collected from the sentinel toy balls had 2.22 log$_{10}$ CFU of presumptive faecal coliforms on average (SD=1.39). The median level of FC in

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sentinel toy samples collected from households with improved latrine were lower (1.32 log\textsubscript{10} CFU) compared to households with unimproved latrines (2.10 log\textsubscript{10} CFU). Toys had most variation (CV= 0.60) in FC counts in comparison to all other environmental samples (Table 2.4). FC contamination level in sentinel toys was positively correlated with FC contamination level of children’s hands (r=42, P=0.07) and composite floor sample (r=0.31, P=0.19) (Table 2.5).

### 2.9 Discussion on indicators of microbial faecal contamination of the household environment

In this study hands, floor surfaces and objects were assessed for the presence of faecal indicator bacteria, with the purpose of identifying a suitable measure of household faecal contamination to assess the effect of sanitation. All samples showed faecal indicator bacteria, even among households with an onsite latrine. This indicates that a child and a mother could be exposed to faecal contamination via multiple transmission pathways. Children practiced open defecation in these setting [64, 65], which could also contribute to household faecal contamination, even in the presence of a functional latrine. There was frequent movement of animals within the households and the majority of household had some sort of animal faeces present during the survey. Therefore, animal faeces are likely to contribute to the faecal contamination of the household environment and environmental samples collected for this study.

In our study, earthen floor samples had the lowest coefficients of variation, thus requiring a large sample to detect associations with sanitation, making it an unsuitable indicator. However, the sample size was small and therefore limited variation could be due to chance alone. Nonetheless, the probability of a child coming into contact with a particular household surface is unknown making it difficult to estimate the health risk posed by a particular surface.

Contamination on mothers’ hands and children’s hands had higher level of variation than soil samples. Hand rinse samples were more suitable to process via membrane filtration, compared to soil samples. Therefore, hand contamination could be a potential indicator of household faecal contamination. In this study there
was more variation recorded between households with improved and unimproved sanitation in the level of presumptive faecal coliform contamination on children’s hands in comparison to mother’s hands. Mothers’ hands showed a higher level of faecal indicator bacteria than children’s hands. Previous studies have suggested variation in women’s hand contamination is due to the activity immediately prior to sample collection [22, 39]. So the higher level of contamination in mothers’ hands may be because of contamination of mothers’ hands while doing household activities. Although mothers wash their hands more frequently than children (with water only) they may also touch contaminated surfaces/objects more frequently. In our study we found that children put their hands in their mouth frequently while playing. Therefore, contamination of children’s hands may be a relevant and a useful indicator of the amount of children’s exposure to faecal contamination.

In our study, sentinel toys demonstrated the highest CV among the five samples tested. If there is a difference in levels of faecal contamination comparing household with access to improved and unimproved latrines, this indicator is likely to capture it. Moreover, contamination levels in sentinel toys was positively correlated with contamination level of children’s hands, indicating that contamination level in the sentinel toys could be a useful proxy for child exposure. A reduction in the microbiological contamination levels on toys is a proximal indicator of household faecal contamination that a child may encounter in comparison to other exposure pathways such as surfaces and object. Toy balls might be more directly exposed to the household environment than water. As a result, the contamination level on the toy ball (the sentinel toy) might be a suitable indicator of a child’s exposure to household faecal contamination. The sentinel toy method has been used in previous studies of sanitation in Bangladesh [47] and in India [55].

This study had some important limitations. Faecal contamination was measured using faecal indicator bacteria (FIB). There is evidence from small-scale observational studies suggesting that presence of FIB on hands and toys may be associated with household sanitation [12-21, 34]. Presence of FIB may have non-human origin and does not necessarily signify risks to human health [34, 66-68] [69-72]. More over presence of FIB may not be correlated with presence of viruses that
may originate in human faeces. It is important to note that here presence of FIB is used to imply human faecal contamination. This makes presence of FIB bacteria an imprecise outcome indicator for sanitation. As a consequence, the confidence intervals of the estimates presented are likely to be wider, making the results less likely to be statistically significant. Even if in reality a difference exists [73]. Using markers of human specific pathogens as indicator of human faecal contamination could help us better understand the association between sanitation and human faecal contamination in future studies.

This study was conducted in low-income rural Bangladeshi households with multiple source of household faecal contamination in which children are exposed to earthen surfaces in the household and immediate vicinity. However, the children’s exposure to faecal contamination may be different in urban contexts or in high-income countries. In particular, the contamination of surfaces may have different levels of importance or different levels of variation. However, at least in similar settings contamination of children’s hands and sentinel toy ball is likely to be as useful as in this context. The experience of this study and its findings can therefore be used in other contexts, with similar environmental and social contexts.

The findings from this small-scale study suggest that children are likely to be exposed to faecal contamination from different household surfaces and objects but that identifying a standard surface or object for measurement across different households is difficult. Since the contamination level of soil demonstrated low variation across different households, a larger sample size will be required in studies to capture the difference in contamination level. Children’s hands and study-introduced sentinel toys are standard across different households and are more feasible to collect and process using membrane filtration. Moreover, there is more variation in level of faecal contamination on hands and sentinel toys in comparison to earthen surfaces. Therefore children’s hands and study-introduced sentinel toys could be used to assess child exposure to household faecal contamination. Although this study was undertaken in a small number of households, it provides important insight as to the feasibility and relevance of alternative measures of household faecal
contamination. Future studies of environmental contamination would benefit from undertaking a feasibility study of the measures of environmental contamination.
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Table 2.1: Surfaces and objects that came in contact with children’s hands and mouth during structured observation conducted in rural Bangladeshi households (HH), July-August 2012

<table>
<thead>
<tr>
<th>Surface/Object that came in contact with hand</th>
<th>No of Households (HH) where event was observed (N=10)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Soil from floor in</td>
<td></td>
</tr>
<tr>
<td>Bed room</td>
<td>5</td>
</tr>
<tr>
<td>Yard adjacent to entrance of main house</td>
<td>3</td>
</tr>
<tr>
<td>General yard</td>
<td>3</td>
</tr>
<tr>
<td>Kitchen</td>
<td>2</td>
</tr>
<tr>
<td>Furniture/door/walls/fence</td>
<td></td>
</tr>
<tr>
<td>(Bamboo pillars, wooden, plastic chair, wooden chair, bed)</td>
<td>6</td>
</tr>
<tr>
<td>Bed linen/towel</td>
<td>4</td>
</tr>
<tr>
<td>Cooking utensils or household objects</td>
<td>7</td>
</tr>
<tr>
<td>(mug, plastic bottle, jug, plastic hand fan, drinking glass,</td>
<td></td>
</tr>
<tr>
<td>spoon, Badna, plastic bottle, plastic food storage box)</td>
<td></td>
</tr>
<tr>
<td>Formal toys</td>
<td>4</td>
</tr>
<tr>
<td>(glass marble, bamboo flute, wooded latim*, nail cutter,</td>
<td></td>
</tr>
<tr>
<td>plastic doll, fabric doll, football)</td>
<td></td>
</tr>
<tr>
<td>Natural objects</td>
<td>9</td>
</tr>
<tr>
<td>(Tree branches, leaves, crop residue, produce brought for</td>
<td></td>
</tr>
<tr>
<td>cooking, fire wood, fruits)</td>
<td></td>
</tr>
<tr>
<td>Miscellaneous objects</td>
<td></td>
</tr>
<tr>
<td>(Pen, sandals, nail cutter, screw driver)</td>
<td>5</td>
</tr>
<tr>
<td>Hands and body of other children</td>
<td>7</td>
</tr>
<tr>
<td>Hands and body of caregiver</td>
<td>8</td>
</tr>
<tr>
<td>Hands and body of neighbours</td>
<td>3</td>
</tr>
<tr>
<td>Domestic animal (chicken, duck and goat)</td>
<td>2</td>
</tr>
<tr>
<td>Clothes of care giver</td>
<td>5</td>
</tr>
<tr>
<td>Objects that a child put in mouth (non-food item)</td>
<td></td>
</tr>
<tr>
<td>Soil</td>
<td>1</td>
</tr>
<tr>
<td>Caregivers’ clothes</td>
<td>1</td>
</tr>
<tr>
<td>Own clothes</td>
<td>1</td>
</tr>
<tr>
<td>Toys (doll)</td>
<td>1</td>
</tr>
<tr>
<td>Tree branch, leaves, crop residue</td>
<td>7</td>
</tr>
<tr>
<td>Own fingers</td>
<td>5</td>
</tr>
<tr>
<td>Finger of sibling</td>
<td>1</td>
</tr>
<tr>
<td>Fingers of caregivers</td>
<td>3</td>
</tr>
<tr>
<td>Uncooked vegetable/fruit (Produce)</td>
<td>4</td>
</tr>
<tr>
<td>Utensils (glass, plastic bottle)</td>
<td>2</td>
</tr>
<tr>
<td>Miscellaneous (Pen)</td>
<td>1</td>
</tr>
</tbody>
</table>

* A traditional wooden toy
† A small water vessel made of plastic or aluminium or copper used to transfer/carry water to the latrines. ([http://en.wikipedia.org/wiki/Lota_(vessel)](http://en.wikipedia.org/wiki/Lota_%28vessel%29))
Table 2.2: Summary of environmental samples collected from Rural Bangladesh, 2012

<table>
<thead>
<tr>
<th>Type of sample</th>
<th>Sample collection method</th>
<th>Indicator bacteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mother’s hands (N=20)</td>
<td>Rinsing both hands in 200 ml ringer’s solution</td>
<td><em>E. coli</em></td>
</tr>
<tr>
<td>Children’s Hands (N=20)</td>
<td>Rinsing both hands in 200 ml ringer’s solution</td>
<td>Faecal coliform</td>
</tr>
<tr>
<td>Sentinel toy (N=20)</td>
<td>Rinsing standard toy ball in 200 ml of ringer’s solution, 24 hours after supplied.</td>
<td>Faecal coliform*</td>
</tr>
<tr>
<td>Floor of entrance of living room (N=20)</td>
<td>Sponging 100 sq cm surface using a pre-hydrated sponge</td>
<td><em>E. coli</em></td>
</tr>
<tr>
<td>Composite-floor (N=20)</td>
<td>Sponging 100 sq cm from 3 surface area using one pre-hydrated sponge (Middle of yard, middle of living room and middle of kitchen)</td>
<td><em>E. coli</em></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Faecal coliform</td>
</tr>
</tbody>
</table>

*Since a study conducted in Bangladesh did not find *E. coli* level in sentinel toy to be associated with sanitation type we have not measured *E. coli* level for sentinel toy [51]
Table 2.3: Showing the percentage of samples with various detection limits for each type of sample (N=20)

<table>
<thead>
<tr>
<th>Method</th>
<th>Amount filtered or drop plated</th>
<th>Detection limit†</th>
<th>Mother’s hands (%)</th>
<th>Children’s hands (%)</th>
<th>Sentinel toy</th>
<th>Entrance of living room</th>
<th>Composite floor sample</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Lower</td>
<td>Upper</td>
<td>EC*</td>
<td>FC*</td>
<td>EC</td>
<td>FC</td>
</tr>
<tr>
<td>Drop plate technique</td>
<td>100 µl of 10⁻² dilution Drop</td>
<td>100000</td>
<td>1000000000</td>
<td>10%</td>
<td>5%</td>
<td>45%</td>
<td>35%</td>
</tr>
<tr>
<td></td>
<td>100 µl of 10⁻¹ dilution Drop</td>
<td>10000</td>
<td>100000000</td>
<td>10%</td>
<td>5%</td>
<td>5%</td>
<td>10%</td>
</tr>
<tr>
<td></td>
<td>100 micro litter</td>
<td>1000</td>
<td>10000000</td>
<td>25%</td>
<td>20%</td>
<td>30%</td>
<td>10%</td>
</tr>
<tr>
<td>Membrane filtration</td>
<td>1 ml filtration</td>
<td>100</td>
<td>100000</td>
<td>20%</td>
<td>25%</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2 ml filtration</td>
<td>50</td>
<td>50000</td>
<td>30%</td>
<td>10%</td>
<td>35%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>5 ml filtration</td>
<td>20</td>
<td>20000</td>
<td>50%</td>
<td>15%</td>
<td>45%</td>
<td>20%</td>
</tr>
<tr>
<td></td>
<td>10 ml filtration</td>
<td>10</td>
<td>10000</td>
<td>5%</td>
<td>15%</td>
<td>5%</td>
<td>5%</td>
</tr>
<tr>
<td></td>
<td>20 ml filtration</td>
<td>5</td>
<td>5000</td>
<td>15%</td>
<td>10%</td>
<td>10%</td>
<td>15%</td>
</tr>
<tr>
<td></td>
<td>50 ml</td>
<td>2</td>
<td>2000</td>
<td>0</td>
<td>5%</td>
<td>5%</td>
<td>10%</td>
</tr>
</tbody>
</table>

* E. coli (EC), Faecal coliform (FC)
† For lower detection limit we counted 0.5 for no characteristic colony per plate and for upper detection limit we considered 500 colonies per plate to countable.
Table 2.4: Mean log_{10}-transformed colony forming units (CFU) of presumptive faecal coliforms and *Presumptive E. coli* per 100 cm² of environmental sample (N=20), rural Bangladesh 2012

<table>
<thead>
<tr>
<th>Type of environmental sample</th>
<th>All households (HHs) N=20</th>
<th>HHs with Improved latrine N=10</th>
<th>HHs with unimproved latrine N=10</th>
<th>P value†</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean (SD)</td>
<td>Median</td>
<td>CV*</td>
<td>Mean (SD)</td>
</tr>
<tr>
<td><strong>Faecal coliform</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mother’s hands</td>
<td>2.79 (1.33)</td>
<td>2.58</td>
<td>0.47</td>
<td>2.42 (0.96)</td>
</tr>
<tr>
<td>Children’s hands</td>
<td>2.30 (0.94)</td>
<td>2.50</td>
<td>0.41</td>
<td>1.94 (0.86)</td>
</tr>
<tr>
<td>Sentinel toy</td>
<td>2.22 (1.39)</td>
<td>1.62</td>
<td>0.63</td>
<td>2.42 (1.77)</td>
</tr>
<tr>
<td>Entrance of main house</td>
<td>5.84 (0.91)</td>
<td>5.91</td>
<td>0.16</td>
<td>5.88 (0.76)</td>
</tr>
<tr>
<td>Composite Floor‡</td>
<td>5.43 (0.92)</td>
<td>5.54</td>
<td>0.17</td>
<td>5.55 (0.56)</td>
</tr>
<tr>
<td><strong>E. coli</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mother’s hands</td>
<td>1.96 (0.86)</td>
<td>2.02</td>
<td>0.44</td>
<td>1.80 (0.84)</td>
</tr>
<tr>
<td>Children’s hands</td>
<td>1.72 (0.82)</td>
<td>1.81</td>
<td>0.48</td>
<td>1.58 (0.84)</td>
</tr>
<tr>
<td>Entrance of main house</td>
<td>5.38 (0.91)</td>
<td>5.51</td>
<td>0.17</td>
<td>5.39 (0.80)</td>
</tr>
<tr>
<td>Composite floor‡</td>
<td>4.66 (0.91)</td>
<td>4.60</td>
<td>0.20</td>
<td>4.71 (0.80)</td>
</tr>
</tbody>
</table>

* Coefficient of variation (SD/Mean)
† Association between faecal coliform counts and sanitation type using Wilcoxon rank sum test
‡ Composite floor: Sample collected from middle of the yard, bed room of <5 child and kitchen by microbiologist based on visual estimate using one sponge.
Table 2.5: Correlation of presumptive faecal coliform (FC) and *Presumptive E. coli* (EC) contamination among samples collected from different sampling sites in rural Bangladeshi households (N=20).

<table>
<thead>
<tr>
<th>Variable 1</th>
<th>Variable 2</th>
<th>Faecal coliform (FC)</th>
<th>E. coli (EC)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>r</td>
<td>P value</td>
</tr>
<tr>
<td>Composite Floor</td>
<td>Entrance of main house</td>
<td>0.29</td>
<td>0.21</td>
</tr>
<tr>
<td>Composite Floor</td>
<td>Sentinel toy</td>
<td>0.31</td>
<td>0.19</td>
</tr>
<tr>
<td>Composite Floor</td>
<td>children’s hands</td>
<td>-0.15</td>
<td>0.54</td>
</tr>
<tr>
<td>Composite Floor</td>
<td>Mother’s hands</td>
<td>-0.30</td>
<td>0.20</td>
</tr>
<tr>
<td>Entrance of main house</td>
<td>Sentinel toy</td>
<td>0.07</td>
<td>0.76</td>
</tr>
<tr>
<td>Entrance of main house</td>
<td>children’s hands</td>
<td>-0.13</td>
<td>0.57</td>
</tr>
<tr>
<td>Entrance of main house</td>
<td>Mother’s hands</td>
<td>-0.23</td>
<td>0.33</td>
</tr>
<tr>
<td>Sentinel toy</td>
<td>Children’s hands</td>
<td>0.42</td>
<td>0.07</td>
</tr>
<tr>
<td>Sentinel toy</td>
<td>Mother’s hands</td>
<td>0.01</td>
<td>0.97</td>
</tr>
<tr>
<td>Children’s hands</td>
<td>Mother’s hands</td>
<td>0.48</td>
<td>0.03</td>
</tr>
</tbody>
</table>
Table 2.6: Characteristics of the participating households, Comilla and Pabna districts, Bangladesh, August/September 2012.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>All participating households (HH)</th>
<th>HHs with Improved latrine</th>
<th>HHs with unimproved latrine</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>n</td>
<td>Percent or Mean</td>
<td>n</td>
</tr>
<tr>
<td>General</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mean Number of HH residents</td>
<td>20</td>
<td>5</td>
<td>10</td>
</tr>
<tr>
<td>Mean Number of children age &lt;5 years</td>
<td>20</td>
<td>1.1</td>
<td>10</td>
</tr>
<tr>
<td>Mean age (months) of children &lt;5 years</td>
<td>20</td>
<td>38.8</td>
<td>10</td>
</tr>
<tr>
<td>Mother of youngest child lacked formal education</td>
<td>11</td>
<td>55%</td>
<td>8</td>
</tr>
<tr>
<td>Father of youngest child lacked formal education</td>
<td>11</td>
<td>55%</td>
<td>8</td>
</tr>
<tr>
<td>Occupation of the father of the youngest child</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Farmer</td>
<td>9</td>
<td>45%</td>
<td>5</td>
</tr>
<tr>
<td>Labourer</td>
<td>5</td>
<td>25%</td>
<td>-</td>
</tr>
<tr>
<td>Salaried employee</td>
<td>1</td>
<td>5%</td>
<td>3</td>
</tr>
<tr>
<td>Business owner</td>
<td>5</td>
<td>25%</td>
<td>2</td>
</tr>
<tr>
<td>Proportion who owned</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>House</td>
<td>20</td>
<td>100%</td>
<td>10</td>
</tr>
<tr>
<td>Wardrobe</td>
<td>6</td>
<td>30%</td>
<td>3</td>
</tr>
<tr>
<td>Table</td>
<td>17</td>
<td>85%</td>
<td>8</td>
</tr>
<tr>
<td>Chair</td>
<td>19</td>
<td>95%</td>
<td>10</td>
</tr>
<tr>
<td>Bed</td>
<td>9</td>
<td>45%</td>
<td>3</td>
</tr>
<tr>
<td>Inexpensive cot</td>
<td>15</td>
<td>75%</td>
<td>10</td>
</tr>
<tr>
<td>Watch/clock</td>
<td>12</td>
<td>60%</td>
<td>5</td>
</tr>
<tr>
<td>Bicycle</td>
<td>6</td>
<td>30%</td>
<td>3</td>
</tr>
<tr>
<td>Mobile Phone</td>
<td>18</td>
<td>90%</td>
<td>9</td>
</tr>
<tr>
<td>Television</td>
<td>5</td>
<td>25%</td>
<td>3</td>
</tr>
<tr>
<td>Refrigerator</td>
<td>0</td>
<td>-</td>
<td>0</td>
</tr>
<tr>
<td>Goat</td>
<td>9</td>
<td>45%</td>
<td>4</td>
</tr>
<tr>
<td>Cow</td>
<td>16</td>
<td>85%</td>
<td>8</td>
</tr>
<tr>
<td>Chicken</td>
<td>16</td>
<td>85%</td>
<td>8</td>
</tr>
<tr>
<td>Mean acres of agricultural land</td>
<td>20</td>
<td>0.9</td>
<td>10</td>
</tr>
<tr>
<td>Mean acres of non-agricultural land</td>
<td>20</td>
<td>0.2</td>
<td>10</td>
</tr>
<tr>
<td>House construction</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tin roof</td>
<td>19</td>
<td>95%</td>
<td>10</td>
</tr>
<tr>
<td>Cement floor</td>
<td>2</td>
<td>10%</td>
<td>0</td>
</tr>
<tr>
<td>Mean number of rooms</td>
<td>20</td>
<td>1.9</td>
<td>10</td>
</tr>
<tr>
<td>Electrical connection</td>
<td>16</td>
<td>80%</td>
<td>7</td>
</tr>
<tr>
<td>Cooking Fuel</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wood</td>
<td>4</td>
<td>20%</td>
<td>3</td>
</tr>
<tr>
<td>Crop residue</td>
<td>13</td>
<td>65%</td>
<td>4</td>
</tr>
<tr>
<td>Cow dung</td>
<td>2</td>
<td>10%</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5%</td>
<td></td>
</tr>
<tr>
<td>--------------------------------</td>
<td>---</td>
<td>----</td>
<td>---</td>
</tr>
<tr>
<td><strong>Kerosene</strong></td>
<td>1</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td><strong>Water source for drinking</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shallow tube-well</td>
<td>19</td>
<td>95%</td>
<td>9</td>
</tr>
<tr>
<td><strong>Type of latrine facility used by the household</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flush latrine connected to</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>septic tank</td>
<td>1</td>
<td>5%</td>
<td></td>
</tr>
<tr>
<td>offset pit</td>
<td>6</td>
<td>30%</td>
<td></td>
</tr>
<tr>
<td>somewhere else</td>
<td>1</td>
<td>5%</td>
<td></td>
</tr>
<tr>
<td>Pit latrine with slab</td>
<td>7</td>
<td>35%</td>
<td></td>
</tr>
<tr>
<td>Pit latrine with slab but the pit is leaking</td>
<td>5</td>
<td>25%</td>
<td></td>
</tr>
<tr>
<td><strong>User of latrine facility</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Individual</td>
<td>12</td>
<td>60%</td>
<td></td>
</tr>
<tr>
<td>Shared</td>
<td>8</td>
<td>40%</td>
<td></td>
</tr>
<tr>
<td><strong>Visible faeces on latrine slab</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>25%</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Animal faeces present within the household</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>85%</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Defecation site for &lt;5 children</strong>*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Potty/Nappy</td>
<td>4</td>
<td>20%</td>
<td></td>
</tr>
<tr>
<td>Latrine</td>
<td>4</td>
<td>20%</td>
<td></td>
</tr>
<tr>
<td>Open within the household</td>
<td>8</td>
<td>40%</td>
<td></td>
</tr>
<tr>
<td>Open in the nearby bush</td>
<td>4</td>
<td>20%</td>
<td></td>
</tr>
</tbody>
</table>

* Household
Chapter 3: A cross sectional study of the association between sanitation type and faecal contamination of the household environment in rural Bangladesh

3.1 Introduction to the chapter

This chapter compares different classifications of improved sanitation used for international monitoring in terms of their effectiveness at reducing microbiological contamination of household environment. This chapter includes a submitted manuscript which describes the main results of the study conducted for this thesis.

3.2: Role of the authors in the research paper

Tarique M.N. Huda (TH): TH is the first author of the research paper. He had the primary role of designing the study, overseeing the field work, cleaning and analyzing the data, interpreting the results and drafting the manuscript.

Wolf-Peter Schmidt (WS): WS contributed to the conception of the study, defining the research questions, provided guidance on design of the study; reviewed the data analysis and the draft manuscript.

Amy J. Pickering (AP): AP provided guidance on the microbiological sample collection protocol; provided feedback on analysis and interpretation of the data and reviewed the draft manuscript.

Zahid H. Mahmud (ZM) and Md. Sirajul Islam: ZM and SI reviewed the protocol for the microbiological sample processing in the lab, helped with supervision of the sample processing in the lab and reviewed the draft manuscript.

Md. S. Rahman: SR helped with supervision of data collection in the field, data cleaning and reviewed the draft manuscript.

Stephen P. Luby (SL): SL provided guidance on design of the study and reviewed the draft manuscript.

Adam Biran (AB): AB was the executive author for this manuscript. He contributed to the conception of the study, contributed in defining the research questions, approved the overall study design, data/sample collection protocols and reviewed the draft manuscript.
3.3 Research paper cover sheet

Since this thesis is 'Research Paper' style, the following cover sheet is being included in accordance with the regulations as mentioned in the LSHTM Research Degree Handbook guidance.

RESEARCH PAPER COVER SHEET

PLEASE NOTE THAT A COVER SHEET MUST BE COMPLETED FOR EACH RESEARCH PAPER INCLUDED IN A THESIS.

SECTION A – Student Details

<table>
<thead>
<tr>
<th>Student</th>
<th>Tarique Mohammad Nurul Huda</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Supervisor</td>
<td>Adam Biran</td>
</tr>
<tr>
<td>Thesis Title</td>
<td>Role of Sanitation in preventing faecal contamination of the domestic environment and protecting health: An observational study</td>
</tr>
</tbody>
</table>

If the Research Paper has previously been published please complete Section B. If not please move to Section C.

SECTION B – Paper already published

Where was the work published?

When was the work published?

If the work was published prior to registration for your research degree, give a brief rationale for its inclusion

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Choose an item. Was the work subject to academic peer review? Choose an item.

*If yes, please attach evidence of retention. If no, or if the work is being included in its published format, please attach evidence of permission from the copyright holder (publisher or other author) to include the work.

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Where is the work intended to be published?

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Please list the paper's authors in the intended authorship order:


Stage of publication

Submitted for publication

SECTION D – Multi-authored work

For multi-authored work, give full details of your role in the research included in the paper and in the preparation of the paper. (Attach a further sheet if necessary)

I had the primary role of designing the study, overseeing the field work, analysing the data, and drafting the manuscript

Improving health worldwide
Title: A cross-sectional study of the association between sanitation type and faecal contamination of the household environment in rural Bangladesh

Authors: Tarique M N Huda1, Wolf-Peter Schmidt1, Amy J. Pickering2, Zahid Hayat Mahmud3, Md. Sirajul Islam3, Md. S. Rahman3, Stephen P. Luby2 and, Adam Biran1

1London School of Hygiene and Tropical Medicine, London, UK
2Stanford University, Stanford, California, USA
3International Centre for Diarrhoeal Disease Research (icddr,b), Bangladesh

3.4 Abstract

A cross-sectional study was conducted to assess the association between different types of sanitation facilities and faecal contamination in the household environment. Households with a child aged 6-24 months (target child) were enrolled for the study. Sanitation facilities in 454 households in rural Bangladesh were assessed. Sanitation was categorised using; a) The Millennium Development Goal (MDG) definition of improved (Individual pit latrine with a slab or better) and unimproved; b) the proposed Sustainable Development Goal (SDG) definition of improved (pit latrine with a slab or better used by up to five households) and unimproved. In each household an identical toy ball was given to the target child. After 24 hours the balls were rinsed to enumerate faecal coliforms, using the membrane filtration technique as an indicator of household faecal contamination.

Households with individual improved sanitation (MDG) had lower faecal coliform contamination than households with unimproved sanitation [adjusted difference in means -0.31 \log_{10} \text{CFU/toy ball}; 95\% \text{CI} -0.61, -0.01]. Households with improved (SDG) sanitation used by up to five households had a similar level of faecal coliform contamination to households with access to unimproved sanitation. Shared sanitation facilities of otherwise improved technology were more likely to be dirtier compared to private facilities. Households with no visible faeces on the latrine slab at the time of assessment had less contamination than households with visible faeces on the latrine slab (adjusted difference in means -0.38 \log_{10} \text{CFU/toy ball}; 95\% \text{CI}: -0.77, 0.02)
A sanitation facility used by an individual household may be better at reducing household faecal contamination compared to shared facilities.

**Key words:** sanitation, faecal contamination, faecal coliform, Bangladesh

### 3.5 Introduction

Inadequate sanitation is an important risk factor for poor health especially in low and middle income countries [1-5]. In addition to its link with diarrhoea morbidity [2, 5-15] and mortality [2, 5, 16, 17], inadequate sanitation is associated with the risk of trachoma,[2, 18, 19] helminthiasis [2, 20, 21] and schistosomiasis [4].

The WHO/UNICEF Joint monitoring Programme (JMP) for water supply and sanitation categorised sanitation as improved or unimproved to monitor progress towards Millennium Development Goal (MDG) 7, target 10 which addressed sanitation coverage [22-24]. The JMP defines improved sanitation as access to a pit latrine with a slab, ventilated improved pit latrine or a flush/pour-flush latrine, (Table 1)[23, 25]. For the MDG shared facilities, otherwise of improved technology are considered unimproved because of concerns regarding cleanliness, maintenance and access [23, 26]. However, the implications of using a shared facility are likely to be different in the urban and rural context. In crowded, urban areas of most low-income countries, shared facilities might be the only viable option to avoid open defecation and in rural areas households with family ties often share a facility to keep costs down [23]. The JMP is revising its definition to monitor the progress towards sanitation for the post-2015 Sustainable Development Goals (SDG). In the revised definition, there is discussion as to whether to consider sanitation facilities of otherwise improved technology, shared among no more than five households or 30 persons, whichever is fewer, as improved [25].

Although these standard definitions proposed by the JMP allow comparable data among countries and across time, [22] they may differ from the criteria used by national governments [25]. For example the Government of Bangladesh (GoB) categorises sanitation as hygienic or unhygienic. The GoB definition of hygienic
excludes pit latrines with a slab (Table 1)[27] and allows sharing by a maximum of two households. There is very limited empirical evidence to judge the extent to which improved or hygienic sanitation facilities achieve their purpose in separating faeces from the environment [14, 28, 29].

Few studies have explored the effect on health of access to different levels of sanitation as classified by JMP, [16, 29, 30]. Findings from Indonesia suggested that lack of improved sanitation was associated with higher reported diarrhoea (OR=1.23, 95% CI=1.18-1.29) [16]. A recent systematic review identified 21 studies that compared health outcomes associated with shared versus individual household latrines [29]. However, most of these studies did not adequately address potential confounding and did not allow the effect of different types of shared sanitation to be distinguished. An analysis of Demographic and Health surveys (DHS) from 51 countries found shared, improved sanitation facilities to be associated with adverse health outcomes [30]. However, this finding was not consistent across all countries, suggesting that environmental, social and economic contexts are also important. There is evidence from a small number of observational studies that access to flush or pour flush latrines connected to a piped sewer system or septic tank or pit and composting latrines are associated with lower risk of diarrhoea [31-35]. However, from these studies it is not known whether pit latrines with a slab (improved, as defined by the JMP) provide similar protection from diarrhoea.

Safe disposal of faeces is expected to create a barrier to multiple faeco-oral disease transmission pathways [36]. However there is limited evidence about the relative impact of improved and unimproved sanitation (JMP definition) on specific transmission pathways. [37-40]. The current approaches to measure level of environmental exposure to faecal contamination includes sampling for contamination in drinking water [37-39, 41-43], on hands [39], and on household surfaces[37, 40]. However most of these studies did not use the JMP definition or had limited sample size.

Toys used by young children may have a high level of faecal contamination and play an important role in diarrhoeal disease transmission [44-47]. Children are
more likely to be exposed to contamination on toys in comparison to other surfaces and fomites. Hands may be a closer indicator of level of contamination that the child may encounter. However, hand contamination [48] data are likely to be more variable because of variation in handwashing practices. Compared to hands, toy balls are less subject to washing. Toy balls might be more directly exposed to the household environment than stored water. As a result, the contamination level on the toy ball (the sentinel toy) might be a useful indicator of a child’s exposure to household faecal contamination [49]. The sentinel toy method has been used in previous studies of sanitation in Bangladesh [49] and in India [50]. While these studies demonstrated the feasibility of using toy balls as a measure of household faecal contamination, sanitation was not categorised using the JMP definition.

A study conducted in Peru found that improved sanitation, as defined by the JMP (MDG definition), was associated with lower levels of faecal indicator bacteria (E. coli) [51] in toys compared to households that lacked improved sanitation. A second study conducted in Honduras also found that households with improved latrines had lower levels of total coliforms [52] on toys. However these studies had limited sample size and so could not assess the effect of a range of confounding variables that may affect the association between faecal contamination and sanitation access.

In this study we assessed the association between sanitation facility type and microbial faecal contamination of the household environment. We assessed faecal contamination using the sentinel toy method where by an identical toy ball (the ‘sentinel toy’) was given to a child in each participating household and microbial contamination of the balls was subsequently measured.

3.6 Methods

An observational, cross-sectional study was conducted between September and October 2013, in rural areas of Mymenshingh and Narshingdi districts of Bangladesh. The study was conducted in villages that were participating in the Sanitation, Hygiene Education, and Water supply in Bangladesh (SHEWA-B) health impact study described elsewhere [53].
3.6.1 Household selection

First a list of study villages enrolled in the SHEWA-B health impact study situated in Mymensingh and Narshingdi districts was collected. Using the list a simple random sample of 46 villages was selected using the random number generator in Microsoft Excel. Fieldworkers identified 10 households in each village by using a random walk algorithm. A household was considered eligible if it included a child aged between 6 and 24 months residing at the house (target child) on the day of the visit, had no more than one latrine and was more than 50 meters from any other selected household. Field workers entered the village and identified the beginning of its main road by asking the local inhabitants. From the starting point they searched for the closest eligible household. After selecting the first study household they looked for the next eligible household. The distance between households was measured using a handheld global positioning system (GPS) unit “Garmin Etrex legend H” (GARMIN)[54].

3.6.2 Data and sample collection

Data were collected using a questionnaire survey and environmental spot-check. To assess faecal contamination of household environments the enumerators also collected microbiological samples. These methods are outlined below.

3.6.2.1 Training of field staff

All enumerators received seven days training on overall study objectives; study protocol; consent process; interview and spot-check techniques; use of data collection instruments; collection and handling of microbiological samples; and quality control approaches. The training also included practice data and microbiological sample collection in the field followed by feedback session.

3.6.2.2 Questionnaire survey

The enumerators used a verbally administered, structured questionnaire survey to collect information from the primary caregivers (usually the mothers) of the target children. The initial questionnaires were developed based on the study research questions and directed acyclic graph developed for the study. The questionnaire was then reviewed by one of the authors (AB) as a quality assurance
procedure including checking for ambiguous or potentially leading questions. The questionnaire included questions about household possessions, parental education, water, and sanitation and hygiene behaviour. The questionnaire was developed in English and then translated in Bengali. Based on the questionnaire a data collection application was developed to collect data using hand held computers. The questionnaire and the data collection application was pilot tested in the field for comprehensibility prior to final data collection. Questions were amended, reworded or replaced following piloting. The data collection application was then updated based on the changes in the questionnaire and feedback on the application from the pre-testing.

3.6.2.3 Environmental spot-check

The environmental check included a visual inspection of the house and compound. A compound in rural Bangladesh is comprised of a few households, often owned by members of an extended family who usually share a yard and water and sanitation facilities. The enumerators conducted visual inspections of water, sanitation and hygiene related infrastructure using a checklist. The enumerators recorded the features related to infrastructure and cleanliness at the time of visit. They also visually checked around the house and compound for presence of animal and human faeces and recorded the number and type of faeces observed. The field workers inspected the hands and nails of the target child for visible dirt.

3.6.2.4 Microbiological sample collection

3.6.2.4.1 Sample collection

Fieldworkers, trained in collection and handling of microbiological samples, supplied an identical, sterile, rubber toy ball (sentinel toy ball) with a 20 centimetre circumference (Picture 3.1) to the target child in every study household. The primary caregiver was told that the child should be allowed to play with the toy ball in his/her usual play
sites and with his/her usual playmates. The fieldworkers returned to the households approximately 23 to 25 hours after supplying the toy balls. They rinsed the balls in a Whirl-pak bag (19×38 cm) filled with 200 ml of Ringer’s solution for 30 seconds [49]. The field workers transported the samples to the Environmental Microbiology Laboratory of icddr,b within 15-18 hours of collection, maintaining a temperature of 4–10 °C in a cool box.

3.6.2.4.2 Enumeration of faecal coliforms

The samples were stored at 2-8°C and were analysed by a microbiologist within 24 hours of collection to detect faecal coliforms. Five millilitres (ml) of the recovery medium that bathed the toy ball was collected and filtered through a 0.22 µm Millipore (Billerica, MA) membrane filter. The membrane filter was then placed on to modified faecal coliform (mFC) agar plates. The plates were incubated at 44.5 ± 0.2°C for 22-26 hours and the blue and greenish-blue coloured colonies on the mFC agar were then counted as presumptive faecal coliforms following standard procedures [55, 56].

If no colonies were found, 50 ml of recovery media was filtered on the following day from the stored sample and the culturing process was repeated. If the characteristic colony counts from the 1st day were more than 500 per plate, 5 ml of 10 times diluted sample was taken and the filtration and culturing process was repeated [57]. Hundred µl of original, 10 times diluted and 100 times diluted samples were also inoculated onto mFC media following the drop plate technique to quantify samples from which the colonies on the membrane filters from the 2nd day also appears too numerous to count. The results were expressed as colony forming units (CFU) per 200 ml of recovered media that bathed the toy ball.

3.6.2.5 Quality control/Quality assurance

During development of the data collection application, auto skips were included in appropriate places. Validation rules were set-up to prevent incorrect data entry. Options were included to notify the user should they try to input incompatible data. Manual typing was minimized by setting choice list for responses.
Options for reviewing the full questionnaire and answer at a glance through data collection app was provided.

All the completed questionnaires were checked by the enumerators for completeness before leaving the household. The field supervisors reviewed all the data on the day of collection and discussed any ambiguities with the enumerator concerned. The first author randomly checked data from at least one household collected by each enumerator in 50% (20/40) of the village clusters, to check for completeness of data and provided feedback on the quality of the data. In each village cluster the field supervisor observed the data collection process in a random selection of at least 5% of households (6 HH per village) and conducted repeat interviews in a (different) random selection of 5% of households (6 HH per village), making sure that the data collection of each enumerator was assessed at least once in each village cluster. The field supervisor visited the sanitation facilities in all the study households and cross checked with the enumerators to make sure the sanitation facilities were coded with minimal error. The first author visited 50% (20/40) of the village clusters to monitor quality of data. In each of these villages the data collection process was observed in a random selection of at least 5% of households (6 HH per village) and a repeat spot-check was conducted in a (different) random selection of at least 5% of households (6 HH per village), making sure that the data collection of each enumerator was assessed at least once in each village.

The first author performed random observation of the microbial sample collection process in at least 25% (3/10 HH per village) in 50% (20/40) of the village clusters to check for adherence to protocol. One field blank per 9 samples was collected to ensure sample rinse bags are free of indicator organisms and were not getting contaminated during the field sampling process. In the laboratory the samples were received and checked for the physical quality of sample. Every 10th sample was run in duplicate. Test negative controls were tested for contamination for each set of agar media. For mFC agar *Escherichia coli* ATCC-13706 is used as positive control and *Staphylococcus aureus* ATCC-25923 is used as negative control. Every day laboratory blanks were run to check for quality of laboratory methodology. The laboratory techniques were observed by the first author once every week to make
sure that the protocol for processing in the laboratory was followed. The bacterial counts were reviewed once every week to look for any outliers.

3.6.3 Human subject protection

The study protocol was approved by the Ethical Review of icddr,b and the London School of Hygiene and Tropical Medicine (LSHTM), United Kingdom. Written, informed consent was taken from the primary caregiver of the child.

3.6.4 Sample size calculation

Results of a pilot study conducted in 20 households found that in households with access to improved latrines the mean faecal coliform count was 2.33 log_{10} CFU per toy ball. The ratio of households with unimproved latrines to households with improved latrines was expected to be 1.5 in the sample selected regardless of the latrine access status based on an earlier study in a similar setting [53]. Assuming a design effect of 2, comparing 180 households with improved latrines and 270 household with unimproved latrines with at least 80% power, the study was estimated to be able to detect a minimum difference of -0.65 mean log_{10} CFU of faecal coliforms per sentinel toy ball. Allowing for a 2% loss to follow up the necessary sample size was estimated to be 460 households.

3.6.5 Data analysis

Sanitation technologies were first categorised as: a) improved (flush/pour flush latrines and pit latrines with slab as in table 1), b) unimproved (pit latrines without slab, hanging latrines, flush/pour flush latrines connected to open water bodies) and c) no facility, following the JMP categorisation [58]. Sanitation access was then categorised considering technology type as well as sharing status, as a binary variable following 1) definition used for MDG: referred to as improved-MDG and unimproved MDG; 2) post-2015 JMP definition proposed for the SDGs: referred to as improved-SDG and unimproved-SDG; and 3) GoB definition referred to as hygienic and unhygienic (Table 1). In all 3 definitions “no facility” was considered as unimproved/unhygienic.
Principal component analysis (PCA) with 23 household characteristics was used to assess household wealth [59, 60] (Table 2), excluding water and sanitation. The means, frequencies and score coefficients were calculated and the correlation matrix of 23 variables was used to calculate sample weights [59, 61, 62].

During the interview, if the data collectors observed no visible dirt on the hands and nails of the target child, the child was considered to have clean hands. During the spot-check, a household was considered to have a clean latrine if the enumerators found no visible faeces on the slab/floor and pan of the latrine. Disposal of faeces of children under 3 years of age was categorised as safe (defecation into a latrine, disposal of stool into a latrine or buried) and unsafe as proposed by JMP [63].

If the faecal coliform concentration was zero it was replaced with 0.5 (half the detection limit) and then faecal coliform concentrations were transformed using logarithm to the base of 10. The difference in log_{10} transformed arithmetic mean CFU of faecal coliforms comparing households with different types of sanitation using a linear regression model was calculated. To account for clustering effect at village level a generalised least squares (GLS) random-effects model was used that explicitly allowed the average outcome to vary between village clusters [64-68].

Univariable analyses was conducted to estimate the crude effect of the primary exposure variables and potential confounding variables on the main outcome (faecal coliform count) adjusting for the effect of village level clustering. The multivariable analysis included the primary exposure, primary outcome and potential confounders. A causal diagram was developed to decide which variable should be included as a potential confounder, excluding variables on the same causal pathway as the exposure variables (Figure 2) [68, 69]. All the potential variables that were associated with the exposure and the outcome in the univariable analysis were included in the final multivariable model. The models were tested for normality of residuals and homoscedasticity. We implemented three multivariate models, one for each of the three definitions of sanitation type (Table 3.1) as primary exposure. Two separate models were also implemented to understand the sanitation factors.
associated with faecal contamination among subgroup of households that had access to an improved sanitation technology (ignoring sharing).

3.7 Results

Out of 468 households visited eight were excluded because of having more than one latrine. Out of 460 households enrolled for the study the sentinel toys from six households could not be sampled. Data are therefore presented from 454 (99%) households.

Among the 454 households there were on average 5.6 persons per household with on average 1.3 children under the age of 5 years. The majority of households (75%) owned poultry, 41% owned a cow and 23% owned a goat. Most of the households (95%) reported having access to a latrine. Among them, 53% (n=230) reported sharing the latrine with at least one other household. On average a latrine was used by 1.99 households or 7.6 individuals (Table 3.2).

Only 22% of households reported disposing of faeces of children under three years of age in a latrine. Enumerators observed human faeces around the house in 13% of the households. Among the 409 (90%) households with access to a latrine with a slab, enumerators classified 35% of the latrines as clean (Table 3.3).

The most common type of latrine was a pit latrine with a slab but no water-seal (n=189, 42%). About half (51%) of the 230 households that reported using a shared latrine reported sharing the facility with only one other household. Only eight households shared a latrine among more than five households.

Less than half (45%) of the households accessed improved sanitation technology and 25% of the households visited had access to individual, improved sanitation (MDG definition). Using the definition of sanitation type as proposed for the SDGs, 205 households (45%) had access to improved (SDG) sanitation shared by a maximum of five households. One in five (n=85, 19%) households had access to hygienic sanitation (GoB) used by a maximum of two households (Table 3.3).
3.7.1 Faecal contamination of toy balls

Among the 454 sentinel toys sampled, 49 (11%) of the samples were below the detection limit for faecal coliforms. On average there were 2.09 (SD=1.37) log_{10} CFU/toy ball of faecal coliforms with a median of 2.08 log_{10} CFU/toy.

The levels of faecal coliforms in samples collected from Narshingdi district were higher than those collected from Mymenshing district (difference in mean=0.36 log_{10} CFU/toy; 95% CI: 0.07, 0.65). With each one hour increase in time of sample collection (as the day progressed) there was 0.17 log_{10} decrease in level of faecal contamination (Table 3.3 and 3.4).

Samples collected from households belonging to the richest wealth quintile had lower faecal coliform contamination than households from the poorer wealth quintiles. Samples collected from households where the mother had some formal education had lower level of faecal contamination than those households where the mother had no formal education (Table 3.3).

3.7.2 Improved sanitation and faecal contamination

Samples collected from households with access to improved sanitation technology (JMP technology, ignoring sharing) had similar levels of faecal coliforms as those from households with unimproved sanitation. Toy balls from households using shared sanitation facilities had higher levels of faecal coliform contamination than private facilities (unadjusted, difference in mean=0.19 log_{10} CFU/toy ball; 95% CI: -0.07, 0.45) (Table 3.3).

Toy rinse samples from households with improved individual sanitation (MDG definition) had less contamination with faecal coliforms (mean=1.84 log_{10} CFU/toy ball) than households with unimproved sanitation (difference in mean=-0.36 log_{10} CFU/toy ball, 95% CI: -0.65, -0.07, P=0.02) (Table 3). After adjusting for potential confounders the difference in mean was reduced to 0.31 log_{10} CFU/toy ball (95% CI: -0.61, -0.01), and the strength of statistical association became weaker (P value=0.04) (Table 3.4).
The level of faecal contamination in toys was similar in households with improved sanitation (SDG definition) used by a maximum of five households and those with unimproved sanitation (difference in mean= -0.07 log_{10}CFU/toy ball, 95% CI: 0.33, 0.18) (Table 3). In multivariable analysis the results remained similar (Table 3.4).

3.7.3 Hygienic sanitation (GoB definition) and faecal contamination

Households with access to hygienic sanitation (GOB definition) used by a maximum of two households had less faecal coliform contamination (difference in mean=-0.45, 95% CI -0.77, -0.13; P<0.01) than households with unhygienic or no access to latrines (Table 3). Access to hygienic sanitation remained associated with less faecal coliform contamination (difference in mean= -0.34 log_{10}CFU/toy ball; 95% CI: -0.68, 0.01) after adjusting for all the confounding variables. The reduction was statistically significant (P value=0.05) (Table 3.4).

3.7.4 Sanitation characteristics and faecal contamination (sub group analysis)

Households with improved flush/pour flush latrines had less (statistically significant) faecal contamination than those with improved but non-flush technologies (difference in mean -0.45, 95% CI: -0.81, -0.09, P value=0.02). In the adjusted analysis the difference of mean was reduced and the statistical evidence weakened considerably (difference in mean -0.27, 95% CI: -0.67, 0.13, P value=0.19) (Table 3.5).

Toy ball samples collected from households with private improved sanitation had less faecal contamination than those with access to improved sanitation shared by 2-5 households (Difference in mean -0.49 log_{10} CFU/toy ball, 95% CI: 0.13, 0.85, P=0.01). In the adjusted analysis the difference in mean was somewhat smaller and the strength of association became weaker (difference in mean -0.45 log_{10}CFU/toy ball; 95% CI: -0.05, 0.75; P=0.08) (Table 3.5).

Toy ball samples from households with access to improved and clean latrines had less faecal contamination (difference in mean -0.36 log_{10} CFU/toy ball; 95% CI: -
0.73, -0.00; P=0.05) compared to dirty improved latrines. In the adjusted analysis the difference in faecal coliform contamination changed slightly with slightly weaker strength of association (difference in mean -0.38 log_{10} CFU/toy ball; 95% CI: -0.77, 0.02; P=0.06) (Table 3.5).

Toy ball samples collected from households with private flush/pour-flush latrines had less faecal contamination (difference in mean -0.69 log_{10} CFU/toy ball; 95% CI: -1.06, -0.31), compared to those with access to shared flush/pour-flush or non-flush latrines. In multivariate analysis adjusting for potential confounders the difference in mean was slightly smaller (difference in mean=-0.55 log_{10} CFU/toy ball; 95% CI -1.00, -0.11; P=0.02) yet statistically significant (Table 3.5).

### 3.7.5 Faecal contamination of toy balls and other household characteristics

Households in which enumerators observed any goat faeces on the household premises had more contamination with faecal coliforms than those without (difference in mean 0.36 log_{10} CFU/toy ball; 95% CI: 0.06, 0.67; P value=0.02). In multivariate analysis adjusting for potential confounders the difference in mean was slightly smaller (difference in mean=0.31 log_{10} CFU/toy ball; 95% CI: 0.02, 0.61; P=0.04) yet statistically significant (Table 4). Households in which enumerators observed more than ten piles of cow dung on the household premises had more contamination with faecal coliforms than those with no cow dung at the time of visit (difference in mean=0.36 log_{10} CFU/toy ball; 95% CI: -0.05, 0.77; P value=0.08). In multivariate analysis adjusting for potential confounders the difference in mean was slightly bigger (difference in mean=0.40 log_{10} CFU/toy ball; 95% CI: 0.00, 0.79; P=0.05) yet statistically significant (Table 3.4).

Toy ball samples collected from households with a water drainage system had less contamination than those without, (difference in mean=-0.24 log_{10} CFU/toy ball; 95% CI: -0.50, 0.0.01; P=0.06) (Table 3.3). In multivariate analysis adjusting for potential confounders the difference in mean was slightly bigger (difference in mean=-0.32 log_{10} CFU/toy ball; 95% CI: -0.58, -0.06; P=0.02) and with greater strength of association it was statistically significant (Table 3.4).
Presence of a convenient handwashing place with soap and water was not associated (small and statistically insignificant reduction) with faecal contamination of toy ball (Table 3.3). In households in which the target children’s hands and nails looked visibly clean, the toy balls had less faecal contamination than those with visibly dirty hands (difference in mean=-0.35 log_{10} CFU/toy ball; 95% CI: -0.69, -0.01; P=0.05) (Table 3.3). In multivariate analysis adjusting for potential confounders the difference in mean was slightly bigger (difference in mean=-0.26 log_{10} CFU/toy ball; 95% CI: -0.06, 0.09; P=0.15) but the difference was not statistically significant (Table 3.4).

3.8 Discussion

In this observational study we assessed the association between sanitation type and microbiological faecal contamination. We found no difference in indicators of faecal contamination on sentinel toys between households with access to improved-SDG and unimproved sanitation. When shared facilities were excluded from the definition of improved sanitation (MDG definition), access to improved sanitation was associated with lower levels of faecal contamination compared to households with access to unimproved sanitation after adjusting for potential confounding factors. Although 0.05 log_{10} CFU/toy ball difference in faecal contamination observed in this study was due to confounding factors there were still statistically significant differences in levels of household faecal contamination that could be due to the protective effect of access to improved-MDG sanitation.

Since this was an observational study the findings are prone to confounding due to important household characteristics. In this study a directed acyclic graph was developed to identify the potential confounding factors (Figure 3.1). The confounding factors considered were presence of animal faeces, presence of appropriate water and solid waste disposal system, visible cleanliness of hands and nail (proxy for hand hygiene), household wealth, mother’s education, study site and time of data collection among others. Findings from observational studies suggest that washing hands with soap is effective in removing microorganisms from hands [39, 70-72] and there for an important determinant of household faecal
contamination. In this study presence of soap and water at a handwashing station was not associated with faecal contamination of toy ball in the univariable analysis. So this was not included as a potential confounder to be included in the multivariable analysis. But since visible cleanliness of hand was associated with faecal contamination of hand, this was used a proxy for hand hygiene and included in the multivariate analysis as a potential confounder.

In this study sanitation was measured before faecal contamination. The association of improved sanitation (MDG) with faecal contamination in the unadjusted analysis is consistent with findings from earlier studies conducted in Honduras [52], Peru [51], and Bangladesh [38, 49]. Although in contrast, in a study conducted in Tanzania improved sanitation was not found to be associated with faecal indicator bacteria level on hand-contact surfaces in latrines [40]. However, the geographical context was different and most importantly the exposure pathway measured was different. Studies conducted in Kenya and Indonesia that attempted to adjust for the effect of several confounding factors found improved sanitation (MDG) to be associated with lower levels of both faecal indicator bacteria [39] and diarrhoea [16].

However in this observational study we cannot establish causality because there are many unmeasured household and child characteristics that may influence faecal contamination. In this study lower faecal contamination of the toy ball was also associated with absence of animal faeces, mother’s education, and presence of appropriate water drainage and study site. In this study wealth was associated with lower faecal contamination of the toy ball in the unadjusted analysis, so is an important confounder. Therefore wealth was included in the multivariate analysis to adjust or its effect. But Faecal contamination of the household environment is actually influenced by underlying, unmeasured, broader, social, economical, cultural and environmental differences [30, 73]. The confounding factors considered in this study are only proxy for these underlying unmeasured broader factors. It is possible that access to an improved latrine and absence of animal faeces, mother’s education, and presence of appropriate water drainage are all proxy measures of these unmeasured differences and hence associated with faecal contamination. A
two-arm, randomised, controlled trial in which households in one arm receive improved sanitation and households in the other arm receive unimproved sanitation could help better understand this issue.

Our data suggest that the observed differences in indicators of faecal contamination on sentinel toys between households with access to improved-MDG and unimproved sanitation may be attributed to factors related to use rather than the sanitation infrastructure. When we categorised latrines based on technology alone, ignoring sharing, and access to latrines considered as improved was not associated with any reduction in household faecal contamination in comparison to those households with access to unimproved sanitation. There can be several possible explanations for this finding.

First it is possible that the sanitation facilities considered as improved by the JMP are not any more effective in confining faeces than the facilities considered as unimproved. The main infrastructural difference between improved and unimproved sanitation facilities is the presence of a slab. Even in the presence of a slab flies can act as a vector to transmit organisms originating in the faeces and contaminate household environment [74]. In our subgroup analysis, improved sanitation with a water-seal was associated with a greater reduction in faecal contamination than improved sanitation with a slab but without a water-seal. Presence of a water-seal may prevent flies breeding within the latrine and may reduce fly numbers and thereby provide protection from one route of faecal contamination. Our findings are in line with those from previous studies conducted in Ghana where households with a dry pit latrine or no latrine had higher odds of having *E. coli* contamination of stored water than those with a water-seal latrine, even after adjustment for other sanitation related and socio-demographic characteristics [43]. There is also evidence that access to improved sanitation with a water-seal is associated with less diarrhoea morbidity [34, 35] [31]. This may suggest that access to sanitation facilities with a water-seal provides better protection from faecal contamination than non-flush latrines. Alternatively, the difference observed in this observational study could be due to confounding by socio-economic status.
Second, in these settings a household could be exposed to faecal contamination even in the presence of a sanitation facility that successfully separates human faeces from the environment due to other routes of contamination such as unsafe disposal of child’s faeces [40, 75], lack of exclusive use of sanitation facilities, lack of improved sanitation facilities in the neighbourhood, and the presence of animal faeces. Moreover lack of proper management of faecal sludge from onsite sanitation facilities may also contribute to contamination that access to household sanitation cannot prevent. This may suggest that provision of sanitation infrastructure alone as a strategy to reduce household faecal contamination may not be sufficient. In the presence of a sanitation system that is effective in separating human faeces from human contact hands can still be contaminated with faeces during anal cleansing. So washing hands with soap is necessary to reduce household faecal contamination.

When a subgroup analysis was conducted among households with access to improved sanitation, it was found that sharing was associated with higher levels of faecal contamination although with small sample size in the subgroup the statistical evidence was weak. Previous studies have also reported adverse health outcomes associated with shared sanitation facilities [29, 30]. While in contrast, shared sanitation was found to be protective against faecal contamination of hand-contact surfaces within a latrine in rural Tanzania [40]. However, in this study the mechanism by which sharing a latrine prevents faecal contamination is unclear. The findings related to the effects of shared sanitation in previous studies are inconsistent and context-specific [30, 76].

Shared sanitation facilities may not be as effective in separating faeces from the environment as individual latrines for several reasons. First, shared facilities may be dirtier and may wear out or break more quickly than private latrines due to higher use rates. In our study shared facilities were more likely to have faeces present on the latrine floor (data not shown). However our data suggest that sharing may lead to higher faecal contamination independent of cleanliness of latrine suggesting that other mechanisms may also play an important role.
Second, the need to share a latrine may result in a lower rate of use per user. As a result some users may intermittently use suboptimal sanitation including open defecation. Moreover, families who report sharing a facility may not actually have access to a latrine but because of social desirability they report using their neighbour’s latrine. As a result, when shared facilities are grouped together with individual facilities the protective effect of improved sanitation technologies is diluted.

Third, people who use share facilities are likely to be poorer and headed by people with no formal education [77]. Socioeconomic status and lack of parents formal education has been linked with higher level of faecal contamination in this study as well as in a previous study [49]. Although in our study sharing was associated with higher faecal contamination independent of wealth status and mother’s education there may be residual confounding due to unmeasured social, environmental and cultural factors that may influence faecal contamination in this context. The mechanism of how shared sanitation increases health risk needs to be understood in more detail in future research.

Our estimated minimum detectable difference in mean faecal coliform counts used for the power calculation was higher than the difference we found from our data. This suggests that our study had low statistical power. Nevertheless, the fact that access to individual improved sanitation is associated with lower levels of faecal contamination even after adjusting for common confounding, which is also consistent with findings from previous studies, may suggest an independent link between sanitation type (MDG) and faecal contamination.

In this study there was a trend of reduction of faecal coliforms as the day progressed (Table 3.4). This could have been due to increasing sunlight causing sunlight induced die-off of pathogens in the environment as well as on the toy ball [78]. It is also possible that as the day progressed the children played less with the toy. It is important to note that this could be a potential confounding factor in the association between sanitation type and faecal contamination of the toy ball. This is why this factor was included in the multivariate analysis.
An important limitation of this study is the use of faecal indicator bacteria to assess faecal contamination as they are not human specific. This random measurement error could introduce bias due to misclassification of the outcome. As a consequence the confidence intervals of the estimates presented are likely to be wide making the results less likely to receive statistical support even if in reality a difference exists [79]. Further study with a larger sample size could increase our understanding of the role of improved sanitation in reducing household faecal contamination [79]. Using molecular markers of human specific pathogens as indicators of faecal coliform could help reduce this bias in future studies. Presence of faecal indicator bacteria does not necessarily mean health risks. However there is evidence to suggest that presence of faecal coliforms in environmental samples may be associated with diarrhoeal illness [44-46, 80-83]. In this study the presence of faecal coliforms was associated with sanitation type after adjusting for the effect of presence of animal faeces in consistence with findings from similar settings [38, 84].

The findings from this observational study suggest that improved sanitation used by individual households may be better in reducing household faecal environmental contamination than shared facilities. Sanitation facilities with a water-seal might also be better in reducing faecal contamination of the household environment than dry pit latrines with a slab but no water-seal. However, further studies with experimental design and larger sample sizes are required to understand if this association is causal. In addition to sanitation infrastructure, cleanliness of latrines should be considered an important indicator for sanitation monitoring. Even in the context of rural areas in which sanitation facilities are shared by acquaintances shared facilities may be dirtier than individual latrines. An intervention to improve and monitor latrine cleanliness particularly for shared sanitation may be useful. Shared facilities may pose health risks due to many factors other than cleanliness. Further studies are needed to better understand the mechanism by which shared facilities pose health risks if any. Moreover, to reduce household faecal contamination washing hands with soap after anal cleansing has to be ensured.
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3.10 References


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Table 3.1: Comparing definitions of sanitation proposed by WHO/UNICEF Joint monitoring programme for water supply and sanitation (JMP) and the Government of Bangladesh (GoB) [25, 27]

<table>
<thead>
<tr>
<th>Latrine characteristics</th>
<th>Improved JMP</th>
<th>Improved MDG</th>
<th>Improved SDG*</th>
<th>Hygienic GOB</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sanitation technology</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Flush or pour-flush latrine to</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Sewerage pipe/Septic tank/Pit</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pit latrine with slab and lid/flap</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Ventilated Improved Pit latrine</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Composting latrine</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td><strong>Pit latrine with slab</strong></td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>x</td>
</tr>
<tr>
<td>Number of households using latrine facility</td>
<td>Not considered</td>
<td>1</td>
<td>Up to 5</td>
<td>Up to 2</td>
</tr>
</tbody>
</table>

*As a part of the process of identifying targets and indicators for global monitoring post-2015 there was proposal to change the definition of improved sanitation to include shared sanitation of otherwise improved technology as improved [25]. This is why this definition is referred to as SDG definition.*
Figure 3.1: Directed acyclic graph showing the variables that were measured and included in the multivariable analysis

Study site: Broad geographical, social and cultural context

1. Lack Access to improved sanitation

2. Faecal contamination hands and toys

3. Less HH wealth

4. Lack parent’s education

5. Other Sanitation:
   - Inap. child’s faeces disposal
   - Faeces in the latrine slab
   - Open faeces in the yard

6. Presence of animal faeces

7. Household Hygiene:
   - Inap. solid waste disposal
   - Inap. water drainage

8. Unimproved Water source

9. Visible cleanliness of hands and nails

10. Presence of soap and water at HW station

11. Access to Unimproved sanitation in Neighbourhood

12. Animal faeces in Neighbourhood

13. Time of sample collection

Potential confounders

Unmeasured variables

Variables in causal pathway
Table 3.2: Household characteristics (n=454)\(^1\)

<table>
<thead>
<tr>
<th>Variable (n)</th>
<th>n(^*)</th>
<th>Percent or mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean Number of HH residents</td>
<td>454</td>
<td>5.6</td>
</tr>
<tr>
<td>Mean Number of children age &lt;5 y</td>
<td>454</td>
<td>1.3</td>
</tr>
<tr>
<td>Mother with no formal education</td>
<td>78</td>
<td>17%</td>
</tr>
<tr>
<td>Father with no formal education</td>
<td>135</td>
<td>30%</td>
</tr>
<tr>
<td>Father’s occupation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Farmer</td>
<td>103</td>
<td>23%</td>
</tr>
<tr>
<td>Day labour, Rickshaw puller</td>
<td>100</td>
<td>22%</td>
</tr>
<tr>
<td>House construction</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tin roof (^*)</td>
<td>438</td>
<td>96%</td>
</tr>
<tr>
<td>Cement floor (^*)</td>
<td>73</td>
<td>16%</td>
</tr>
<tr>
<td>Brick walls (^*)</td>
<td>69</td>
<td>15%</td>
</tr>
<tr>
<td>Mean number or rooms (^*)</td>
<td>454</td>
<td>2.0</td>
</tr>
<tr>
<td>Household with electric connection (^*)</td>
<td>309</td>
<td>68%</td>
</tr>
<tr>
<td>Proportion who owned</td>
<td></td>
<td></td>
</tr>
<tr>
<td>House (^*)</td>
<td>430</td>
<td>95%</td>
</tr>
<tr>
<td>Wardrobe (^*)</td>
<td>189</td>
<td>42%</td>
</tr>
<tr>
<td>Bicycle (^*)</td>
<td>109</td>
<td>24%</td>
</tr>
<tr>
<td>Mobile phone (^*)</td>
<td>378</td>
<td>83%</td>
</tr>
<tr>
<td>Black and white television (^*)</td>
<td>36</td>
<td>8%</td>
</tr>
<tr>
<td>Colour television (^*)</td>
<td>109</td>
<td>24%</td>
</tr>
<tr>
<td>Sewing machine (^*)</td>
<td>52</td>
<td>11%</td>
</tr>
<tr>
<td>Refrigerator (^*)</td>
<td>44</td>
<td>10%</td>
</tr>
<tr>
<td>Motor cycle (^*)</td>
<td>22</td>
<td>5%</td>
</tr>
<tr>
<td>Mean number of items owned</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tables (^*)</td>
<td>454</td>
<td>1</td>
</tr>
<tr>
<td>Chairs (^*)</td>
<td>454</td>
<td>2.2</td>
</tr>
<tr>
<td>Watches/clocks (^*)</td>
<td>454</td>
<td>0.6</td>
</tr>
<tr>
<td>Beds (^*)</td>
<td>454</td>
<td>0.9</td>
</tr>
<tr>
<td>Inexpensive sleeping cots (^*)</td>
<td>454</td>
<td>1.3</td>
</tr>
<tr>
<td>Acres of agricultural land (^*)</td>
<td>453</td>
<td>0.52</td>
</tr>
<tr>
<td>Acres of non-agricultural land (^*)</td>
<td>451</td>
<td>0.13</td>
</tr>
<tr>
<td>Owned any domestic animal</td>
<td>375</td>
<td>83%</td>
</tr>
<tr>
<td>Owned any goat</td>
<td>104</td>
<td>23%</td>
</tr>
<tr>
<td>Owned any cow</td>
<td>186</td>
<td>41%</td>
</tr>
<tr>
<td>Owned any poultry</td>
<td>341</td>
<td>75%</td>
</tr>
<tr>
<td>Access to improved water source for drinking</td>
<td>454</td>
<td>100%</td>
</tr>
<tr>
<td>Have access to a latrine</td>
<td>431</td>
<td>95%</td>
</tr>
<tr>
<td>Have access to a shared latrine</td>
<td>230</td>
<td>53%</td>
</tr>
<tr>
<td>Mean number of household sharing a latrine facility</td>
<td>431</td>
<td>1.99</td>
</tr>
<tr>
<td>Mean number of individuals sharing a latrine facility</td>
<td>431</td>
<td>7.6</td>
</tr>
<tr>
<td>Ownership of latrine (n=437)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

\(^1\) If sample size is different it is presented next to the variable in the table
<table>
<thead>
<tr>
<th>Ownership Type</th>
<th>Number</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Individual ownership</td>
<td>247</td>
<td>57%</td>
</tr>
<tr>
<td>Shared ownership</td>
<td>114</td>
<td>26%</td>
</tr>
</tbody>
</table>

*Number with presented category
†Included to calculate wealth quintile.
Table 3.3: Univariable relationship between water, sanitation, and hygiene related variables and log_{10} transformed faecal coliform CFU/toy ball (n=454)

<table>
<thead>
<tr>
<th>Exposures</th>
<th>n* (%)</th>
<th>Mean (SD)</th>
<th>Median</th>
<th>Diff. in mean† (95% CI) †</th>
<th>P value†</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Sanitation type</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>JMP technology type</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>205 (45)</td>
<td>2.06 (1.33)</td>
<td>1.90</td>
<td>-0.06 (-0.32, 0.20)</td>
<td>0.64</td>
</tr>
<tr>
<td>Unimproved (Baseline)</td>
<td>226 (50)</td>
<td>2.10 (1.41)</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Open</td>
<td>23 (5)</td>
<td>2.23 (1.45)</td>
<td>2.08</td>
<td>0.09 (-0.49, 0.69)</td>
<td>0.75</td>
</tr>
<tr>
<td><strong>MDG</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>113 (25)</td>
<td>1.84 (1.23)</td>
<td>1.60</td>
<td>-0.36 (-0.65, -0.07)</td>
<td>0.02</td>
</tr>
<tr>
<td>Unimproved</td>
<td>341 (75)</td>
<td>2.17 (1.41)</td>
<td>2.20</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>SDG</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>205 (45)</td>
<td>2.06 (1.33)</td>
<td>1.90</td>
<td>-0.07 (-0.33, 0.18)</td>
<td>0.58</td>
</tr>
<tr>
<td>Unimproved</td>
<td>249 (55)</td>
<td>2.12 (1.41)</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>GOB</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hygienic</td>
<td>85 (19)</td>
<td>1.76 (1.21)</td>
<td>1.60</td>
<td>-0.45 (-0.77, -0.13)</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>Unhygienic</td>
<td>369 (81)</td>
<td>2.17 (1.40)</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Sharing status‡ (N=431)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shared</td>
<td>230 (53)</td>
<td>2.17 (1.45)</td>
<td>2.08</td>
<td>0.19 (-0.07, 0.45)</td>
<td>0.15</td>
</tr>
<tr>
<td>Individual</td>
<td>201 (47)</td>
<td>1.98 (1.28)</td>
<td>1.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Number of person using a sanitation facility‡ (N=431)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Up to 6</td>
<td>218 (51)</td>
<td>2.18 (1.42)</td>
<td>2.14</td>
<td>0.16 (-0.10, 0.42)</td>
<td>0.22</td>
</tr>
<tr>
<td>More than 6</td>
<td>213 (49)</td>
<td>2.01 (1.31)</td>
<td>1.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Increase in number of person using the sanitation facility ‡ (N=431)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.00 (-0.3, 0.04)</td>
<td>0.83</td>
</tr>
<tr>
<td><strong>Other sanitation and hygiene characteristics</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;3 Child faeces disposal (n=454)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Safe</td>
<td>98 (22)</td>
<td>1.95 (1.35)</td>
<td>1.90</td>
<td>-0.23 (-0.54, 0.07)</td>
<td>0.14</td>
</tr>
<tr>
<td>Unsafe</td>
<td>356 (78)</td>
<td>2.13 (1.38)</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Cleanliness of sanitation facility (N=409)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Clean</td>
<td>142 (35)</td>
<td>1.94 (1.21)</td>
<td>1.90</td>
<td>-0.25 (-0.53, 0.03)</td>
<td>0.08</td>
</tr>
<tr>
<td>Dirty</td>
<td>267 (65)</td>
<td>2.16 (1.45)</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Presence of open human faeces in/around household premises</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Presence of any goat faeces</td>
<td>60 (13)</td>
<td>2.38 (1.52)</td>
<td>2.08</td>
<td>0.34 (-0.03, 0.71)</td>
<td>0.07</td>
</tr>
<tr>
<td><strong>Number of cow dung pile</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No cow dung</td>
<td>264 (58)</td>
<td>2.04 (1.38)</td>
<td>1.90</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 to 10 cow dung</td>
<td>136 (30)</td>
<td>2.08 (1.37)</td>
<td>2.20</td>
<td>0.04 (-0.25, 0.32)</td>
<td>0.79</td>
</tr>
<tr>
<td>More than 10 cow dung</td>
<td>54 (12)</td>
<td>2.37 (1.35)</td>
<td>2.45</td>
<td>0.36 (-0.05, 0.77)</td>
<td>0.08</td>
</tr>
<tr>
<td>Presence of any poultry faeces</td>
<td>No faeces</td>
<td>1 to 10 piles</td>
<td>More than 10 piles</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------</td>
<td>-----------</td>
<td>---------------</td>
<td>-------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>92 (20)</td>
<td>224 (49)</td>
<td>138 (30)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1.97 (1.23)</td>
<td>2.12 (1.46)</td>
<td>2.13 (1.30)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1.90</td>
<td>2.14</td>
<td>2.08</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0</td>
<td>0.15 (-0.19, 0.49)</td>
<td>0.17 (-0.20, 0.53)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>0.38</td>
<td>0.38</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Visibly clean hands and nails vs. unclean</td>
<td>71 (16)</td>
<td>246 (49)</td>
<td>138 (30)</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>1.81 (1.34)</td>
<td>2.13 (1.30)</td>
<td>2.08</td>
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<tr>
<td></td>
<td>1.90</td>
<td>2.14</td>
<td>2.08</td>
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<tr>
<td></td>
<td>-0.35 (-0.69, -0.01)</td>
<td>0.17 (-0.20, 0.53)</td>
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<tr>
<td>Presence of convenient HW place with soap and water</td>
<td>95 (21)</td>
<td>246 (49)</td>
<td>138 (30)</td>
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<td></td>
<td>2.00 (1.19)</td>
<td>2.13 (1.30)</td>
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<td>1.90</td>
<td>2.14</td>
<td>2.08</td>
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<td></td>
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<td>0.17 (-0.20, 0.53)</td>
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<td></td>
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<tr>
<td>Presence of appropriate water drainage system</td>
<td>261 (57)</td>
<td>246 (49)</td>
<td>138 (30)</td>
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<tr>
<td></td>
<td>1.99(1.36)</td>
<td>2.13 (1.30)</td>
<td>2.08</td>
<td></td>
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<tr>
<td></td>
<td>1.90</td>
<td>2.14</td>
<td>2.08</td>
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<tr>
<td></td>
<td>-0.24 (-0.50, 0.01)</td>
<td>0.17 (-0.20, 0.53)</td>
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<td>Appropriate solid waste disposal</td>
<td>11 (2)</td>
<td>246 (49)</td>
<td>138 (30)</td>
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<td>1.59 (2.00)</td>
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<td>2.14</td>
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<td>-0.47 (-0.29, 0.34)</td>
<td>0.17 (-0.20, 0.53)</td>
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**Other variables**

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<tr>
<th>Wealth quintile</th>
<th>Lowest</th>
<th>Lower middle</th>
<th>Middle</th>
<th>Upper Middle</th>
<th>Upper</th>
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<tr>
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<td>91 (20)</td>
<td>91 (20)</td>
<td>91 (20)</td>
<td>91 (20)</td>
<td>90 (20)</td>
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<td>2.22 (1.43)</td>
<td>1.98 (1.23)</td>
<td>2.42 (1.35)</td>
<td>2.03 (1.57)</td>
<td>1.81 (1.22)</td>
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<td>1.90</td>
<td>2.44</td>
<td>1.90</td>
<td>1.70</td>
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<td>-0.48 (-0.88, -0.08)</td>
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<td>0.21</td>
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<td>0.02</td>
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<th>Household belongs to upper wealth quintile</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
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<tr>
<td></td>
<td>90 (20)</td>
<td>364 (80)</td>
</tr>
<tr>
<td></td>
<td>1.81 (1.22)</td>
<td>2.16 (1.40)</td>
</tr>
<tr>
<td></td>
<td>1.70</td>
<td>2.08</td>
</tr>
<tr>
<td></td>
<td>-0.41(-0.72, -0.09)</td>
<td>-0.33 (-0.66, 0.00)</td>
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<td></td>
<td>0.01</td>
<td>0.05</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Mother with formal education vs. (no formal education)</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>376 (83)</td>
<td>364 (80)</td>
</tr>
<tr>
<td></td>
<td>2.03 (1.36)</td>
<td>2.16 (1.40)</td>
</tr>
<tr>
<td></td>
<td>1.90</td>
<td>2.08</td>
</tr>
<tr>
<td></td>
<td>-0.33 (-0.66, 0.00)</td>
<td>-0.33 (-0.66, 0.00)</td>
</tr>
<tr>
<td></td>
<td>0.05</td>
<td></td>
</tr>
</tbody>
</table>

<table>
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<th>Change in time of data collection by hour as the day progress</th>
<th>-0.17 (-0.27, -0.06)</th>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Study site (District)</th>
<th>Narshingdi</th>
<th>Mymensingh</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>238 (52)</td>
<td>216 (48)</td>
</tr>
<tr>
<td></td>
<td>2.26 (1.38)</td>
<td>1.90 (1.34)</td>
</tr>
<tr>
<td></td>
<td>2.20</td>
<td>0.36</td>
</tr>
<tr>
<td></td>
<td>0.36 (0.07, 0.65)</td>
<td>0.07 (0.65)</td>
</tr>
<tr>
<td></td>
<td>0.01</td>
<td></td>
</tr>
</tbody>
</table>

¶ Number with presented category
† Adjusting for clustering at village
‡ Among those who has access to a latrine (N=431)
Table 3.4: Multivariable relationship between water sanitation and hygiene related variables and log$_{10}$ transformed faecal coliform CFU per toy ball (Total N=454)

<table>
<thead>
<tr>
<th>Variables (n$^\dagger$)</th>
<th>A. MDG Diff. mean$^*$(95% CI$^\dagger$)</th>
<th>P Value$^\dagger$</th>
<th>B. SDG Diff. mean$^*$(95% CI$^\dagger$)</th>
<th>P Value$^\dagger$</th>
<th>C. GOB Diff. mean$^*$(95% CI$^\dagger$)</th>
<th>P Value$^\dagger$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sanitation type</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(Improved/hygienic) (113/85) Vs unimproved/unhygienic</td>
<td>-0.31 (-0.61, -0.01)</td>
<td><strong>0.04</strong></td>
<td>-0.01 (-0.26, 0.25)</td>
<td>0.95</td>
<td>-0.34 (-0.68, 0.005)</td>
<td>0.05</td>
</tr>
<tr>
<td>Presence of any goat faeces (103)</td>
<td>0.31 (0.02, 0.61)</td>
<td><strong>0.04</strong></td>
<td>0.32 (0.02, 0.62)</td>
<td><strong>0.03</strong></td>
<td>0.30 (0.002, 0.60)</td>
<td><strong>0.05</strong></td>
</tr>
<tr>
<td>Vs absence of any goat faeces</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Presence of cow dung</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No cow dung (264)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Up to 10 piles (136)</td>
<td>0.08 (-0.21, 0.36)</td>
<td>0.60</td>
<td>0.09 (-0.20, 0.37)</td>
<td>0.55</td>
<td>0.08 (-0.21, 0.36)</td>
<td>0.60</td>
</tr>
<tr>
<td>More than 10 piles (54)</td>
<td>0.40 (0.00, 0.79)</td>
<td><strong>0.05</strong></td>
<td>0.42 (0.02, 0.82)</td>
<td><strong>0.04</strong></td>
<td>0.40 (0.01, 0.79)</td>
<td><strong>0.05</strong></td>
</tr>
<tr>
<td>Presence of appropriate water drainage (261)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Vs absence of appropriate water drainage</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hands and nails looked visibly clean (71)</td>
<td>-0.26 (-0.06, 0.09)</td>
<td>0.15</td>
<td>-0.27 (-0.62, 0.08)</td>
<td>0.13</td>
<td>-0.26 (-0.60, 0.09)</td>
<td>0.15</td>
</tr>
<tr>
<td>Vs Hands and nails looked visibly dirty</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Household belongs to upper wealth quintile (90)</td>
<td>-0.18 (-0.52, 0.16)</td>
<td>0.31</td>
<td>-0.30 (-0.63, 0.03)</td>
<td>0.08</td>
<td>-0.19 (-0.53, 0.15)</td>
<td>0.26</td>
</tr>
<tr>
<td>Vs lower wealth quintile</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mother’s with formal education (376)</td>
<td>-0.30 (-0.64, 0.04)</td>
<td><strong>0.08</strong></td>
<td>-0.28 (-0.61, 0.06)</td>
<td>0.11</td>
<td>-0.27 (-0.61, 0.06)</td>
<td>0.11</td>
</tr>
<tr>
<td>Vs mothers with no formal education</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Change in time of data collection by hour as the day progress</td>
<td>-0.16 (-0.27, -0.06)</td>
<td><strong>0.002</strong></td>
<td>-0.16 (-0.26, -0.05)</td>
<td><strong>0.003</strong></td>
<td>-0.16 (-0.27, -0.06)</td>
<td><strong>0.002</strong></td>
</tr>
<tr>
<td>Study site Narshingdi district (238) vs. Mymensingh</td>
<td>Difference in mean</td>
<td>&lt;0.01</td>
<td>0.50 (0.23, 0.78)</td>
<td>&lt;0.01</td>
<td>0.52 (0.25, 0.79)</td>
<td>&lt;0.01</td>
</tr>
<tr>
<td>-----------------------------------------------</td>
<td>-------------------</td>
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</tr>
</tbody>
</table>

* Difference in mean
† Adjusting for the effect of all the other variables in the model
‡ Number with presented category
Table 3.5: Relationship between sanitation and log$_{10}$ transformed faecal coliform CFU per toy ball, among households with access to improved sanitation technologies as defined by JMP (N=205) (subgroup analysis).

<table>
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<tr>
<th>Sanitation characteristics among household with improved sanitation technology.</th>
<th>n (%)</th>
<th>Mean (SD)</th>
<th>Median</th>
<th>Difference in mean (95% CI)</th>
<th>P Value</th>
<th>Difference in mean (95% CI)</th>
<th>P Value</th>
</tr>
</thead>
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<td><strong>Sanitation technologies (n=205)</strong></td>
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<tr>
<td>Flush/pour-flush</td>
<td>97 (47)</td>
<td>1.83 (1.27)</td>
<td>1.60</td>
<td>-0.45 (-0.81, -0.09)</td>
<td>0.02</td>
<td>-0.27 (-0.67, 0.13)</td>
<td>0.19</td>
</tr>
<tr>
<td>Non flush/pour-flush‡</td>
<td>108 (53)</td>
<td>2.27 (1.34)</td>
<td>2.20</td>
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<tr>
<td><strong>Sharing status (n=205)</strong></td>
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<tr>
<td>Private/individual</td>
<td>113 (55)</td>
<td>1.84 (1.23)</td>
<td>1.60</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Shared by 2-5 HH</td>
<td>92 (45)</td>
<td>2.33 (1.39)</td>
<td>2.30</td>
<td>0.49 (0.13, 0.85)</td>
<td>0.01</td>
<td>0.35 (-0.05, 0.75)</td>
<td>0.08</td>
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<tr>
<td><strong>&lt;3 Child’s faeces disposal practices</strong></td>
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<tr>
<td>Safe</td>
<td>54 (26)</td>
<td>2.03 (1.27)</td>
<td>1.90</td>
<td>-0.05 (-0.46, 0.36)</td>
<td>0.82</td>
<td>0.19 (-0.23, 0.61)</td>
<td>0.37</td>
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<tr>
<td>Unsafe</td>
<td>151 (74)</td>
<td>2.07 (1.35)</td>
<td>1.90</td>
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<td></td>
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<tr>
<td><strong>Cleanliness of latrine</strong></td>
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<td></td>
</tr>
<tr>
<td>Clean</td>
<td>92 (45)</td>
<td>1.87 (1.08)</td>
<td>1.90</td>
<td>-0.36 (-0.73, -0.00)</td>
<td>0.05</td>
<td>-0.38 (-0.77, 0.02)</td>
<td>0.06</td>
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<tr>
<td>Dirty</td>
<td>113 (55)</td>
<td>2.22 (1.48)</td>
<td>2.08</td>
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<tr>
<td><strong>Presence of open faeces in and around HH</strong></td>
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<tr>
<td>Open faeces</td>
<td>20 (10)</td>
<td>2.21 (1.49)</td>
<td>1.90</td>
<td>0.15 (-0.46, 0.77)</td>
<td>0.62</td>
<td>0.10 (-0.53, 0.72)</td>
<td>0.76</td>
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<td>No open faeces</td>
<td>185 (90)</td>
<td>2.05 (1.31)</td>
<td>1.90</td>
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<td><strong>Considering technology and sharing status</strong></td>
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<tr>
<td>Individual flush/pour flush</td>
<td>66 (32)</td>
<td>1.60 (1.14)</td>
<td>1.60</td>
<td>-0.69 (-1.06, -0.31)</td>
<td>&lt;0.001</td>
<td>-0.55 (-1.00, -0.11)§</td>
<td>0.02</td>
</tr>
<tr>
<td>Shared flush/pour flush or Non flush</td>
<td>139 (68)</td>
<td>2.28 (1.34)</td>
<td>2.20</td>
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</tbody>
</table>

* Adjusting for clustering at village
† Adjusting for all other variable in the table as well as presence of cow/goat, visible cleanliness of hands, wealth, mothers education and study site/district, time of sample collection, water waste disposal.
‡ This includes pit latrine without slab which is considered improved according to JMP but unhygienic technology according to GOB.
§ Separate multivariate model Adjusting for child, faeces disposal, cleanliness of latrine, presence of open faeces, presence of cow/goat, visible cleanliness of hands, wealth, mothers education and study site/district, time of sample collection, water waste disposal.
Chapter 4: Effect of neighbourhood sanitation coverage on faecal contamination of the household environment in rural Bangladesh.

4.1 Introduction to the chapter

This chapter explores the role of neighbourhood sanitation coverage on faecal contamination of the target household as measured through toy ball and children’s hands. This chapter includes a ready for submission manuscript, which describes some of the main results.

4.2: Role of the authors in the research paper

Tarique M.N. Huda (TH): TH is the first author of the research paper. He had the primary role of designing the study, overseeing the field work, cleaning and analyzing the data, interpreting the results and drafting the manuscript.

Wolf-Peter Schmidt (WS): WS contributed to the conception of the study, defining the research questions, provided guidance on design of the study; reviewed the data analysis and the draft manuscript

Amy J. Pickering (AP): AP provided guidance on the microbiological sample collection protocol; provided feedback on analysis and interpretation of the data and reviewed the draft manuscript.

Leanne Unicomb (LU): LU provided guidance during data collection in Bangladesh and reviewed the draft manuscript

Zahid H. Mahmud (ZM) and Md. Sirajul Islam: ZM and SI reviewed the protocol for the microbiological sample processing in the lab, helped with supervision of the sample processing in the lab and reviewed the draft manuscript.

Stephen P. Luby (SL): SL provided guidance on design of the study and reviewed the draft manuscript.

Adam Biran (AB): AB was the executive author for this manuscript. He contributed to the conception of the study, contributed in defining the research questions, approved the overall study design, data/sample collection protocols and reviewed the draft manuscript.
4.3 Research paper cover sheet

London School of Hygiene & Tropical Medicine
Koppen Street, London WC1E 7HT
www.lsehmt.ac.uk

RESEARCH PAPER COVER SHEET

PLEASE NOTE THAT A COVER SHEET MUST BE COMPLETED FOR EACH RESEARCH PAPER INCLUDED IN A THESIS.

SECTION A – Student Details

<table>
<thead>
<tr>
<th>Student</th>
<th>Tariq Mohammad Nurul Huda</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Supervisor</td>
<td>Adam Biran</td>
</tr>
<tr>
<td>Thesis Title</td>
<td>Role of Sanitation in preventing fecal contamination of the domestic environment and protecting health: An observational study.</td>
</tr>
</tbody>
</table>

If the Research Paper has previously been published please complete Section B, if not please move to Section C

SECTION B – Paper already published

<table>
<thead>
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<th>Where was the work published?</th>
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<tbody>
<tr>
<td>When was the work published?</td>
</tr>
<tr>
<td>If the work was published prior to registration for your research degree, give a brief rationale for its inclusion</td>
</tr>
<tr>
<td>Have you retained the copyright for the work?</td>
</tr>
<tr>
<td>Was the work subject to academic peer review?</td>
</tr>
</tbody>
</table>

*If yes, please attach evidence of retention. If no, or if the work is being included in its published format, please attach evidence of permission from the copyright holder (publisher or other author) to include this work.

SECTION C – Prepared for publication, but not yet published

<table>
<thead>
<tr>
<th>Where is the work intended to be published?</th>
<th>American Journal of Tropical Medicine and Hygiene</th>
</tr>
</thead>
<tbody>
<tr>
<td>Please list the paper’s authors in the intended authorship order:</td>
<td>Tariq M N Huda, Wolf-Peter Schmidt, Amy J. Pickering, Leanne Unicomb, Zahid Hayat Mahmud, Stephen P. Luby and, Adam Biran</td>
</tr>
<tr>
<td>Stage of publication</td>
<td>Not yet submitted</td>
</tr>
</tbody>
</table>

SECTION D – Multi-authored work

| For multi-authored work, give full details of your role in the research included in the paper and in the preparation of the paper. (Attach a further sheet if necessary) | I had the primary role of designing the study, overseeing the field work, analysing the data, and drafting the manuscript |

Improving health worldwide

www.lsehmt.ac.uk
Student Signature: [Signature]  Date: 22nd January 2016

Supervisor Signature: [Signature]  Date: 25th January 2016

Improving health worldwide  www.lshtm.ac.uk
Title: Effect of neighbourhood sanitation coverage on faecal contamination of the household environment in rural Bangladesh

Authors: Tarique M N Huda¹, Wolf-Peter Schmidt¹, Amy J. Pickering², Leanne Unicomb³, Zahid Hayat Mahmud³, Stephen P. Luby² and, Adam Biran¹

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4.4 Abstract

Enteric pathogens can be transmitted within the household and the surrounding neighbourhood. The objective of this study was to understand the effect of neighbourhood level sanitation coverage on faecal contamination of the household environment in rural Bangladesh. Spot-check observations of sanitation facilities was conducted in neighbouring households within a 20 meter radius of target households with children aged 6-24 months. Following the Millennium Development Goal (MDG) definition sanitation facilities were defined as improved (a private pit latrine with a slab or better) or unimproved. Faecal coliforms (FC) on children’s hands and sentinel toy balls were measured and used as indicators of household-level faecal contamination.

We visited 1,784 neighbouring households surrounding 454 target households. Twenty two percent of these neighbouring households had access to a private improved latrine. On average, sentinel toy balls had 2.09 (SD=1.37) log₁₀ colony forming units (CFU) of FC/toy ball and children’s hands had 2.25 (SD=1.14) log₁₀ CFU of FC/two hands. Access to 100% private improved sanitation coverage in the neighbourhood was associated with a small but statistically insignificant difference in contamination of sentinel toy ball (difference in mean: -0.09 log₁₀ CFU/toy ball; 95% CI: -0.56, 0.38; P=0.70) and children’s hands (difference in mean: -0.20 log₁₀ CFU/two hands; 95% CI: -0.45, 0.14; P=0.25).
Improved sanitation coverage in the neighbourhood had limited measurable effect on faecal contamination of the target household environment. Other household and community level factors may be more important in reducing faecal contamination of the household environment.

**Key words:** Neighbourhood, sanitation, faecal coliform, hands, sentinel toys

### 4.5 Introduction

Enteric pathogens excreted within faeces can be transmitted through contaminated food and drink, person to person (hand to mouth), or contact with a fomite and flies either through contaminated food and utensils or landing directly on children [1-4]. In rural areas of densely populated countries households live very close to each other. Members of neighbouring households often share a yard along with basic water and sanitation infrastructure [5]. This allows frequent movement of adults and children between households within the neighbourhood [6] resulting in enteric pathogens being transmitted within households [7] and the surrounding community [8].

Sanitation facilities that separate faeces from the environment are expected to create a primary barrier to break the chain of transmission of enteric pathogens [1, 2]. There may be two source of benefit of sanitation in reducing transmission of enteric pathogens. There may be a direct benefit to a household due to improving household sanitation. There may be also an external benefit due to immediate neighbour’s access to sanitation that result in a lower probability of human contact with human excreta [9]. We have limited empirical evidence to understand whether the benefits of sanitation at household level critically depend on sanitation coverage across the neighbourhood [10].

Several studies were identified that assessed the effect of community sanitation coverage on health. A few studies have looked at the effect of community coverage of sanitation facilities connected to sewer systems or septic tanks in urban contexts [11-16]. These studies show the importance of community sanitation
access, but they do not clarify the role of neighbourhood sanitation on target households in reducing faecal contamination and related health outcomes.

A study conducted in Brazil assessed the effect on child diarrhoea of a city-wide intervention to improve sewerage coverage. Following the intervention there was a 22% reduction in the longitudinal prevalence of diarrhoea. Household-level sanitation-related variables (indoor latrine, household excreta disposal) explained only 17% of the heterogeneity of the effect of programme. Whereas, neighbourhood sanitation coverage through sewerage connection explained 100% of the heterogeneity in the effect of the programme [14]. This suggests that in this setting the neighbourhood level sanitation access was more important than household level sanitation access in reducing diarrhoeal disease transmission. However the study was conducted in urban areas with sewage connections, a sanitation technology not feasible in most low income rural settings. As of 2010, 60% of global urban residents reported using facilities linked to sewers compared to only 12% in rural areas [17]. Most sanitation facilities in rural areas of low income countries are onsite (pit latrines, septic tanks and other household level technologies that do not involve sewerage). In 2010 64% of the global rural population reported using onsite sanitation facilities [17]. In rural settings with predominantly onsite sanitation the impact of neighbourhood sanitation may be different.

Studies conducted in rural contexts with predominantly onsite sanitation facilities have also highlighted that neighbourhood sanitation coverage may be important. First a study conducted in rural Zimbabwe assessed the effect of latrine coverage at the community level, on diarrhoea morbidity. A community where 62% of the children lived in a household with a latrine experienced 68% lower diarrhoea morbidity compared to the children living in a community with no sanitation [10]. However the study had a relatively small sample size and compared only two communities. A second study conducted in coastal Ecuador analysed data from four years of active diarrhoeal-disease surveillance data across 21 communities. Villages were categorised based on diarrhoea prevalence as “low” (<0.6%); “low-medium” (0.6%-2.2%); “high-medium” (2.2 %-< 5.2%) and “high” (5.25-100%). The data
suggests that the overall increase in percentage of village level improved sanitation was associated with higher diarrhoea prevalence in the context of low regional risk of diarrhoea [18]. This study showed that the association between community sanitation coverage and diarrhoea risk may vary depending on the level of disease in the surrounding villages. This suggests that disease dynamics is influenced by disease status of neighbouring communities. However from these studies we cannot understand the benefits of externality due to sanitation access in the neighbourhood. Another important limitation of this study was that it looked at the effectiveness of water treatment and sanitation without considering hand washing with soap.

Studies conducted in the rural context suggest that neighbourhood sanitation may provide additional externality benefits [9] in terms of reducing diarrhoea. For example, a study used data from an Indian nationwide survey of rural households. The findings suggest that community level improved sanitation coverage is associated with a 37% additional reduction in diarrhoea prevalence, in addition to reduction due to household level improved sanitation coverage [9]. A second study that used demographic and health survey (DHS) data suggests that children from villages with higher open defecation rate were shorter controlling for effect of household level sanitation practices [19]. However these studies did not control for the effect of handwashing practices on health. Moreover these findings have so far not been replicated in other settings. Depending on the status of disease in a specific context the effect of risk factors like lack of sanitation may have variable effect [20, 21]. The classification of sanitation facilities in demographic and health surveys may be prone to misclassification bias as the questions used in DHS do not capture the function of sanitation facilities in separating faeces from environment.

The objective of this study was to assess the association between neighbourhood sanitation coverage and microbial faecal contamination at the household-level so that informed decisions can be made regarding the focus of sanitation interventions and how we monitor global progress.
4.6 Methods

An observational, cross-sectional study was conducted between September and October 2013, in rural areas of Mymenshingh and Narshingdi districts of Bangladesh. The study was conducted in villages that were participating in the Sanitation, Hygiene Education, and Water supply in Bangladesh (SHEWA-B) health impact study described elsewhere [22]. Verbally administered questionnaire surveys, spot-check of sanitation facilities and microbial assessment of children’s hands and sentinel toy ball (described below).

4.6.1 Neighbouring household selection

The study was conducted in rural areas of Mymenshingh and Narshingdi districts of Bangladesh between September and October 2013. The enumerators systematically selected 454 target households with a child aged 6-24 months, from a simple random sample of villages enrolled for a health impact study as described elsewhere [23]. All neighbouring households within a 20 metre radius of the entrance to the living room of each target household were enrolled in this study. The cut-off point of a 20 meter radius was arbitrary, based on logistical convenience and resources available for data collection rather than scientific evidence. During the pilot study (Chapter 2) high population density was found and within a 20 meter radius 4-10 neighbouring households were found. In this manuscript the term “neighbourhood” refers to these immediate neighbouring households. The distance between households was measured using a handheld global positioning system (GPS) unit “Garmin Etrex legend H” (GARMIN)[24]. Target households were separated by a distance of at least 50 meters ensuring that none of the neighbouring households was counted for more than one target household.

4.6.2 Data collection tools

Neighbourhood and target household surveys: The enumerators used a verbally administered, structured questionnaire and spot-check observation to collect information about household possessions; water, sanitation and hygiene related behaviour and facilities in target households [23] (Chapter 3). The
information on training of enumerators and quality control during data collation are described elsewhere (Chapter 3). Enumerators used a shorter version of this procedure to collect information about human and animal faeces disposal practices in the neighbouring households. Data were recorded using a tablet computer.

### 4.6.3 Microbiological sample collection

We used contamination of toys and hands by faecal indicator bacteria as an indicator of faecal contamination of the household environment.

Hand rinse: Prior to administering the household survey the field team rinsed both the hands of the target child, (aged 6-24 months) from each target household. Hands were rinsed for 30 seconds each, in a Whirl-Pak bag (19×38 cm) (Nasco, Fort Atkinson, WI) filled with 200 ml of Ringer’s solution [25].

Sentinel toy ball rinse: Standard sized (20 cm circumference) sentinel toy balls given to children to play with were collected after 24 hours and rinsed in a Whirl-pak bag (19×38 cm) filled with 200 ml of Ringer’s solution for 30 seconds following methods used previously [26].

All samples were transported in a cool box to the Environmental Microbiology Laboratory of icddr,b laboratory within 15-18 hours of collection maintaining the temperature of 4–10 °C.

### 4.6.4 Enumeration of faecal coliforms

The enumeration procedure for faecal coliforms is described in detail elsewhere [23]. Presumptive faecal coliforms were enumerated using a membrane filtration technique with modified faecal coliform (mFC) agar plates, within 24 hours of collection [27, 28]. The results were calculated as colony forming units (CFU) present per 200 ml of recovered media that bathed the toy balls or hands.

### 4.6.5 Ethics

Written informed consent was taken from the primary caregiver of the child aged 6-24 months before enrolling for the study (Appendix 3). The study protocol
was approved by the ethical review committee of icddr,b, Bangladesh and London School of Hygiene and Tropical Medicine (LSHTM), United Kingdom.

4.6.6 Operational definitions of variables used in the analysis

Our analysis included the following variables, household access to improved sanitation, neighbourhood sanitation coverage, household wealth, latrine cleanliness, hand cleanliness, appropriate child faeces disposal and faecal coliform counts from hands and sentinel toys. These variables are defined below.

**Access to improved sanitation:** We categorised access to improved sanitation using 2 different definitions used by the WHO/UNICEF Joint Monitoring Programme (JMP) for water supply and sanitation [29]. Definition 1 was used for monitoring progress towards the Millennium Development Goal (MDG). We refer to this as the MDG definition. Definition 2 was that proposed for future monitoring of progress towards the post-2015 Sustainable Development Goal (SDG) [30]. We refer to this as the SDG definition. The key difference between these definitions is that MDG does not include any shared sanitations in the definition of improved whereas the proposed SDG does include some types of shared sanitation within the definition of improved provided they are shared by no more than five households.

Following the MDGs we categorised flush/pour flush latrines and pit latrines with slabs as improved provided these were not shared between households. Unimproved sanitation included pit latrines without slabs, hanging latrines, flush/pour flush latrines with no connection to a sewer or septic tank; no facility; and any shared facilities. We also defined improved sanitation following the SDG definition where shared facilities of otherwise improved technology (flush/pour flush latrines and pit latrines with slabs) if shared by a maximum of five households as improved [17]. So for the SDG definition unimproved sanitation included pit latrines without slabs, hanging latrines, flush/pour flush latrines connected to open; no facility; and improved technologies shared by more than five households.

**Neighbourhood sanitation coverage:** We calculated neighbourhood sanitation coverage as the proportion of neighbouring households with access to
improved (MDG and SDG definitions) latrines. We treated the neighbourhood improved (MDG and SDG) sanitation coverage variable in 2 different forms: a) continuous and b) binary (100% and < 100%).

**Household wealth:** To assess the wealth of target households we used principal component analysis (PCA) with 23 household characteristics [31, 32] excluding sanitation and water access. We calculated the means, frequencies and score coefficients and used the correlation matrix of the 23 variables to calculate sample weights [31, 33, 34]. We initially divided the wealth score into quintiles (lower, lower middle, middle, upper middle and upper). Then we recoded the wealth score as a binary variable rich (upper wealth quintile) or poor (lower, lower middle, middle and upper middle wealth quintiles).

**Hand cleanliness:** If the trained enumerators observed no visible dirt on the hands or under the nails of the target child then the child was considered to have clean hands.

**Latrine cleanliness:** We considered a household to have a clean latrine if the enumerators observed no faeces on the slab/floor and pan of the latrine at the time of visit.

**Safe child’s faeces disposal:** The faeces of children (below 3 years of age) were considered to be disposed safely if they were reported to be disposed inside a latrine [35].

### 4.6.7 Data analysis

We first converted the faecal coliform concentrations to their base 10 logarithms for calculating means. A faecal coliform level of <1 was replaced with the value 0.5 (half the detection limit) before the conversion. We calculated the difference in log transformed arithmetic means CFU of faecal coliforms comparing households with different levels of sanitation coverage in the neighbourhood using a linear regression model. To account for the clustering effect at village level we used a
generalised least squares (GLS) random-effects model explicitly allowing the average outcome to vary between village clusters [36-40]

We conducted univariable analyses to estimate the crude effect of the primary exposure variables and potential confounding variables on the main outcome, adjusting for the effect of village level clustering. For the multivariable analysis, adjusting for potential confounders, we used causal diagrams to decide which variables to include as potential confounders, excluding variables on the same causal pathway as the exposure variables (Figure 4.1). We decided *a priori* to include mother’s education and wealth as confounders even if they were not associated with the outcome in this study. We included all potential confounders in the multivariable model if they were associated with the exposure and outcome in the univariable analysis [40, 41]. We also tested for normality of residuals and homoscedasticity of the models.

We generated separate multivariable models for toy contamination and hand contamination as outcomes. For each of the outcomes we used 2 different forms for neighbourhood improved (MDG and SDG) sanitation coverage variable (continuous and binary).

### 4.7 Results

#### 4.7.1 Neighbourhood characteristics

The 454 target households visited had a mean of four neighbouring households within a 20 metre radius. Twenty two target households had no neighbouring household within a 20 metre radius and an additional four target households had one neighbouring household but none of the family members of those neighbouring households were present during data and sample collection.

We visited 1,948 neighbouring households of 454 target households. We could not collect data on sanitation status from 165 neighbouring households (8%) because of absence or refusal so we have data on sanitation status from 1,784 neighbouring households. These neighbouring households had five members on
average and 35% (n=684) had at least one child under 5 years of age. Two thirds of neighbouring households reported that the children under 5 years of age defecated in the open in or around the household. Among 432 households with one or more children under 3 years of age, 22% reported that they disposed of the child’s faeces in a latrine. The majority (n=1431, 80%) of the neighbouring households had animal faeces present within the household premises at the time of observation. Among these, 24% (n=467) had more than 10 piles of open poultry faeces, and 11% (n=213) had more than 10 piles of cow dung, while 16% (n=321) had goat faeces present (Table 4.1).

Among the neighbouring households, 1,682 (94%) reported having access to a latrine. Almost all of the households with latrine access had a worn path to the latrine suggesting regular use. Almost all of these households (99%) reported using the latrine within the 24 hours preceding spot-checks. Among all the neighbouring households 60% (n=1012) reported access to a shared latrine. About 22% of the households had a flush or pour flush latrine with a septic tank or a pit, while, 24% households reported to have access to a pit latrine without flush technology. Twenty two percent of the households had access to a private improved latrine (MDG). While 42% had access to improved latrine (SDG) shared by a maximum of 5 households. There were 1615 households that had a latrine with a slab. Seventeen percent of these latrines were visibly clean (Table 4.1). Shared latrines were more likely to be dirty than individual latrines (182/969=19% vs. 90/646=14%, P value=0.01)

4.7.2 Target household characteristics

A quarter (25%) of the target households had access to private improved sanitation(MDG) while 45% of the target households had access to an improved latrine, as defined by JMP for the SDG (Table 4.2). Characteristics of the target households have been presented in more detail elsewhere [23].

Almost half of the target households (n=220, 49%) were from neighbourhoods with no improved (MDG) sanitation access. Nine percent of the
target households (n=39) were from neighbourhoods with 100% improved (MDG) sanitation coverage (Table 4.2).

4.7.3 Faecal contamination of sentinel toy ball

Among the 454 sentinel toys 49 (11%) of the rinse samples were below the detection limit for faecal coliforms. No samples had faecal coliform levels that were above the detection limit. On average there were 2.09 (SD=1.37) log_{10} CFU/toy ball with a median of 2.08 log_{10} CFU/toy ball.

Toy ball samples collected from target households in neighbourhoods with no private, improved (MDG) sanitation access had 2.04 (SD=1.47) log_{10} CFU/toy ball on average. There was minimal change in the level of toy ball contamination associated with each 1% increase in the private, improved (MDG) sanitation coverage in the neighbourhood. Toy ball samples collected from households in neighbourhoods with less than 100% improved (MDG) sanitation coverage had somewhat lower levels of contamination than households in neighbourhoods with 100% improved (MDG) sanitation coverage (difference in mean: -0.19 log_{10} CFU/toy ball; 95% CI: -0.64, 0.27), but differences of this magnitude are consistent with random variation (P=0.42). After adjusting for potential confounding household and neighbourhood characteristics the findings remained unchanged (Table 4.2).

In restricted analysis among 113 target households with access to unimproved sanitation a higher proportion of access to improved sanitation in the neighbourhood was not associated with any reduction in faecal contamination of the toy ball in the target households. Even access to 100% improved sanitation coverage in the neighbourhood was only associated with minimal reduction in faecal contamination of the toy ball (difference in mean -0.06; 95% CI:-0.62, 0.50) compared to household with less than 100% improved sanitation access.

There was minimal change in the level of toy ball contamination associated with each 1% increase in the improved (SDG) sanitation coverage in the neighbourhood. Toy ball samples collected from households in neighbourhoods with
less than 100% improved (SDG) sanitation coverage had somewhat similar levels of contamination as households in neighbourhoods with 100% improved (SDG) sanitation coverage. Coverage of shared sanitation in the neighbourhood was not associated with any statistically significant change in level of faecal contamination of the toy ball (Table 4.2).

4.7.4 Faecal contamination of hands

Among the hand rinse samples taken from 454 children under 2 years of age, 6% (n=28) of the samples were below the detection limit for faecal coliforms. On average children’s hands had 2.25 (SD 1.14) $\log_{10}$ CFU/two hands with a median of 2.20 $\log_{10}$ CFU/two hands. Contamination of hands was weakly correlated with contamination of the toy balls ($r=0.19, P=0.44$). A one $\log_{10}$ increase in level of faecal coliform per two hands was associated with 0.24 $\log_{10}$ increase in level of faecal coliform per sentinel toy ball (95% CI: 0.12, 0.34) (Figure 4.4).

In households from neighbourhoods with no improved (MDG) sanitation access there were on average 2.29 (SD=1.12) $\log_{10}$ CFU /two hands. With each 1% increase in neighbourhood improved sanitation coverage there was a reduction of 0.17 $\log_{10}$ CFU of faecal coliform contamination (95% CI: -0.50, 0.16). This reduction could be due to chance (P=0.32). Households in neighbourhoods with 100% improved (MDG) sanitation coverage had similar levels of hand contamination as those in neighbourhoods with <100% coverage (difference in mean -0.11; 95% CI: -0.48, 0.26) (Table 4.3).

In the restricted analysis among target households with access to unimproved (MDG) sanitation a higher proportion of access to improved sanitation in the neighbourhood was not associated with any reduction in faecal contamination of children’s hands in the target household (Data not shown).

With each 1% increase in neighbourhood improved (SDG) sanitation access there was a reduction of 0.15 $\log_{10}$ CFU of faecal coliform contamination (95% CI: -0.43, 0.13). This reduction could be due to chance (P=0.29) (Table 4.3). With each 1%
increase in neighbourhood shared sanitation coverage was an increase of 0.26 log10 CFU of faecal coliform contamination (95% CI: -0.43, 0.13) per two hands. Although the statistical evidence was weak (P=0.07). In the adjusted analysis the estimates and the strength of the statistical evidence remained similar.

In the multivariate analysis, hand contamination was similar in target households with access to private, improved sanitation and unimproved sanitation (difference in mean: 0.12; 95% CI -0.14, 0.38). Children, who were playing in the half hour preceding hand rinse sample collection, had more faecal contamination than children who were inactive (for example sleeping) (difference in mean=0.29 log10 CFU/two hands, 95% CI: 0.04, 0.54). Children with visibly clean hands had lower faecal coliform contamination than children with dirty hands (difference in mean=-0.56 log10 CFU/two hands, 95% CI: -0.84, -0.27). Presence of soap and water in the handwashing station was not associated with faecal coliform level in children’s hands. Household wealth and mother’s education were not associated with faecal contamination of the children’s hands (Table 4.3).

4.8 Discussion

In rural areas of Bangladesh with predominantly onsite sanitation, access to improved (MDG) sanitation in neighbouring households was associated with small and statistically insignificant reductions in faecal indicator bacteria in the domestic environment. For both measures of household faecal contamination (children’s hands and toys) this finding was consistent. Even 100% improved (MDG) sanitation coverage was not associated with significant reduction in contamination level. The association between neighbourhood sanitation coverage and faecal contamination was similar when improved sanitation was defined using the SDG definition proposed by JMP. Access to private improved (MDG) sanitation in the target household was associated with lower level of faecal contamination of the sentinel toy ball. But access to improved sanitation as defined for SDG was not associated with any reduction in faecal contamination.
These findings suggest that improved sanitation access in the neighbourhood may not be sufficient to prevent faecal contamination of the domestic environment. Other household and community-level factors may also be necessary. This does not imply that neighbourhood sanitation is not important in reducing faecal contamination.

There are several possible explanations as to why neighbourhood sanitation coverage was not associated with levels of faecal contamination of children’s hands and toys. Firstly, it is possible that household sanitation access is more important than neighbourhood coverage in reducing faecal contamination within the target household. Since children under two years of age are likely to spend most time within the household premises the hand/toy ball contamination are most likely to represent the contamination level of the household’s domestic environment. In our study as well as in previous small-scale studies conducted in Bangladesh [26, 42] and Tanzania[43] household-level access to improved sanitation was found to be associated with lower contamination of toy balls [26, 42] and hands [43].

Secondly there are other routes of contamination, such as poor cleanliness of the latrine, presence of animal faeces or unsafe disposal of children’s faeces, that neither target household nor neighbourhood sanitation access prevent. In this study presence of soap and water at a convenient handwashing location was not associated with lower level of faecal contamination but visible cleanliness of hands were associated with level of faecal contamination of children’s hands and toys. A previous study conducted in Tanzania among 334 households found that washing hands with soap within the past hour was associated with lower level of faecal contamination [43]. More over in Tanzania study visible dirt observed on the subject’s palm, finger pads, or underneath their nails was significantly related to higher level both of EC and FS on hands. So handwashing practice may be more important than neighbourhood sanitation access. There may also be important community-level social, geographical, economical, cultural and or environmental factors that we did not capture in our study since we found sentinel toys in
Narshingdi district had higher level of contamination compared to children’s toys in Mymensingh district even after adjusting for potential confounding factors.

Thirdly, it is possible that we were unable to detect a difference in faecal contamination associated with neighbourhood sanitation due to low statistical power. Previous studies have found contamination level in toys and hands [26] to be highly variable and so requiring a large sample size to evaluate group differences. The sample size calculation for this study was not determined considering neighbourhood level sanitation coverage as the primary exposure. Future research with a larger sample size might help to better understand this phenomenon.

Previous studies have identified neighbourhood sanitation coverage as important in reducing diarrhoeal disease transmission [10, 14, 44]. This apparent contradiction to the findings of the present study might arise if children visiting neighbouring households are exposed to faecal pathogens in the neighbouring households. Or other household members bring in contamination from neighbouring households. It is also possible that other more important transmission pathways (e.g. water or food) that operate at household as well as neighbourhood level and that could not be captured by assessing faecal contamination of toy balls and hands.

An important limitation of this study is use of faecal indicator bacteria to assess faecal contamination as they are not human specific. This random measurement error can introduce bias due to misclassification of outcome. As a consequence the confidence intervals of the estimates presented are likely to be wider making the results less likely to be statistically significant even if in reality they are statistically significant [45]. For example, having 100% improved sanitation access in the neighbourhood was associated lower but statistically insignificant reduction in level of faecal contamination. So, further study with larger sample size could help better understand the role of neighbourhood sanitation[45]. Using molecular markers of human specific pathogens as indicator of faecal coliform could help reduce this bias in future studies.
Finally, our definition of neighbourhood may be problematic. The cut-off point of a 20 meter radius was arbitrary, based on logistical convenience and high population density in this context rather than scientific evidence. So our conclusion may be conservative given small radius. Selecting a larger radius might have resulted in a different conclusion. Moreover, there may be issues with generalisability of these findings. Bangladesh has high water tables and high number of domestic animal, as a result Bangladesh may have many determinants of household faecal contamination that are not impacted on by neighbourhood sanitation practices.

Neighbourhood coverage with improved sanitation within 20 meters of households in rural Bangladesh had no effect on faecal contamination of the household environment measured as indicator bacteria on children’s hands and toys. Household sanitation access is probably more important than neighbourhood sanitation coverage in reducing faecal contamination of domestic environment. Intervention studies with appropriate sample size might help us better understand the impact of neighbourhood sanitation coverage on faecal contamination of household environments.
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4.10 References


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Figure 4.1: Directed acyclic graph (DAG) showing the variables measured and included in the multivariable analysis.

- **Primary exposure**
  1. Lack improved sanitation in the *neighbourhood*
  2. Faecal contamination of vehicles and vectors (fomites, flies, tracking by people, objects, animals)

- **Other Sanitation**
  8. Other *household* sanitation:
     - Unsafe child’s faeces disposal
     - Faeces in the toilet slab
     - Open faeces in the yard

- **Other neighbourhood Sanitation**
  3. HH* faecal contamination (Surface/soil, water, field)

- **Variables in causal pathway**
  14. Animal faeces in *neighbourhood*

- **Unmeasured variables**
  2. Time of sample collection

- **Potential confounders**
  15. Study site: Broad geographical, social and cultural context

- **Household**
  7. Low parental education
  6. Low HH* wealth

- **Variables in causal pathway**
  11. Household hygiene:
     - Inap. solid waste disposal
     - Inap. water drainage

  12. Unimproved Water source

  13. Visible cleanliness of hands and nails

  10. Presence of animal faeces

  9. Other Sanitation:
     - Unsafe child’s faeces disposal
     - Faeces in the toilet slab
     - Open faeces in the yard

  16. Presence of animal faeces

*Household
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<tr>
<th>Table 4.1: Neighbourhood household (NH) characteristics (n=1784)*</th>
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<tbody>
<tr>
<td><strong>n</strong></td>
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<tr>
<td>Mean number of household(HH) member</td>
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<tr>
<td>Proportion of HH with a &lt;5 child</td>
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<tr>
<td>Proportion of HH with access to a latrine</td>
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<td>Proportion of HH with worn path to latrine (N=1682)</td>
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<tr>
<td><strong>Sanitation access according to technology (Ignoring sharing)</strong></td>
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<tr>
<td>Open defecation</td>
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<tr>
<td>Pit latrine without slab, hanging latrine and pit latrine</td>
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<td>with a slab but broken pit</td>
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<td>Pit latrine with a slab</td>
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<tr>
<td>Flush/pour flush latrine with septic tank or pit</td>
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<td>Proportion of HH that privately owns a latrine (N=1682)</td>
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<td>Proportion of HH with access to a shared latrine (N=1682)</td>
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<tr>
<td>Mean number of individuals using a latrine</td>
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<td>Mean number of HH sharing a latrine</td>
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<tr>
<td><strong>Sanitation access according JMP (MDG) classification</strong></td>
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<tr>
<td>Private Improved</td>
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<td>Unimproved</td>
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<tr>
<td><strong>Access to Improved (JMP-SDG) sanitation</strong></td>
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<tr>
<td><strong>Proportion of HH with dirty latrine (N=1,615)</strong></td>
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<tr>
<td><strong>Reported &lt;5 child faeces defecation site</strong></td>
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<tr>
<td>Open: filed/bush/yard/floor</td>
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<tr>
<td>Potty</td>
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<tr>
<td>Nappy</td>
</tr>
<tr>
<td>In a latrine</td>
</tr>
<tr>
<td><strong>Safe child’s faeces disposal (N=432)</strong></td>
</tr>
<tr>
<td><strong>Proportion of HH where children aged 5-18 not using a latrine</strong></td>
</tr>
<tr>
<td><strong>Number of piles of poultry faeces found in or around HH</strong></td>
</tr>
<tr>
<td>No faeces</td>
</tr>
<tr>
<td>1-10 piles</td>
</tr>
<tr>
<td>10&gt; piles</td>
</tr>
</tbody>
</table>

155
<table>
<thead>
<tr>
<th>Number of pile of cow dung found in or around HH</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>No faeces</td>
<td>1,323</td>
</tr>
<tr>
<td>1-10 piles</td>
<td>412</td>
</tr>
<tr>
<td>10+ piles</td>
<td>213</td>
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</table>

<table>
<thead>
<tr>
<th>Goat faeces found in or around HH</th>
<th></th>
</tr>
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<tbody>
<tr>
<td>Present</td>
<td>1,627</td>
</tr>
<tr>
<td>Absent</td>
<td>321</td>
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</table>

*If sample size is different it is presented next to the variable in the table*
Figure 4.2: Scatter plot and fitted line showing relationship between proportion of neighbouring households (NH) with improved (JMP-MDG) sanitation access and log$_{10}$ transformed CFU of faecal coliform per toy ball.

Figure 4.3: Scatter plot and fitted line showing relationship between proportion of neighbouring households (NH) with improved (JMP-MDG) sanitation access and log$_{10}$ transformed CFU of faecal coliform per two hands of children.
Table 4.2: Relationship between neighbourhood (NH) sanitation and log\(_{10}\) transformed faecal coliform CFU/toy ball (n=454 households)

<table>
<thead>
<tr>
<th>Exposures</th>
<th>n(^*) (%)</th>
<th>Mean (SD(^†))</th>
<th>Median</th>
<th>Univariable Difference in mean(^‡) (95% CI(^§))</th>
<th>P value(^‡)</th>
<th>Multivariable Difference in mean(^||) (95% CI(^||))</th>
<th>P value(^||)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Primary Exposure: NH Sanitation coverage (MDG)</strong></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>1a. Increase in improved sanitation coverage in the NH (Continuous)</td>
<td>454</td>
<td>2.09 (1.37)</td>
<td>2.08</td>
<td>-0.06 (-0.47, 0.34)</td>
<td>0.75</td>
<td>0.06 (-0.37, 0.49)</td>
<td>0.79</td>
</tr>
<tr>
<td>1c. Improved sanitation coverage in the NH</td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100% coverage</td>
<td>39 (9)</td>
<td>1.93 (1.20)</td>
<td>1.90</td>
<td>-0.19 (-0.64, 0.27)</td>
<td>0.42</td>
<td>-0.09 (-0.56, 0.38)</td>
<td>0.70</td>
</tr>
<tr>
<td>&lt;100% coverage</td>
<td>415 (91)</td>
<td>2.11 (1.39)</td>
<td>2.08</td>
<td></td>
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<td></td>
</tr>
<tr>
<td><strong>Primary Exposure: NH Sanitation type (SDG)</strong></td>
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</tr>
<tr>
<td>1a. Increase in improved sanitation coverage in the NH (Continuous)</td>
<td>454</td>
<td>2.09 (1.37)</td>
<td>2.08</td>
<td>0.12 (-0.22, 0.46)</td>
<td>0.48</td>
<td>0.18 (-0.17, 0.52)</td>
<td>0.31</td>
</tr>
<tr>
<td>1b. Improved sanitation coverage in the NH</td>
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<td></td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>100% coverage</td>
<td>365 (80)</td>
<td>2.10 (1.40)</td>
<td>2.08</td>
<td>-0.07 (-0.39, 0.24)</td>
<td>0.65</td>
<td>0.03 (-0.35, 0.29)</td>
<td>0.86</td>
</tr>
<tr>
<td>&lt;100% coverage</td>
<td>89 (20)</td>
<td>2.06 (1.29)</td>
<td>1.90</td>
<td></td>
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</tr>
<tr>
<td>1c. Increase in NH shared sanitation access (cont.)</td>
<td>444**</td>
<td></td>
<td></td>
<td>0.12 (-0.23, 0.46)</td>
<td>0.52</td>
<td></td>
<td></td>
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<tr>
<td><strong>Other household variables</strong></td>
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<tr>
<td>2a. Improved (JMP-MDG) sanitation access target HH(^¶)</td>
<td></td>
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</tr>
<tr>
<td>Improved</td>
<td>113 (25)</td>
<td>1.84 (1.23)</td>
<td>1.60</td>
<td>-0.36 (-0.65, -0.07)</td>
<td>0.02</td>
<td>-0.34 (-0.63, -0.00)</td>
<td>0.05</td>
</tr>
<tr>
<td>Unimproved</td>
<td>341 (75)</td>
<td>2.17 (1.41)</td>
<td>2.20</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>2b. Improved (JMP-SDG) sanitation access target HH(^¶)</td>
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<tr>
<td>205 (45)</td>
<td></td>
<td>2.06 (1.33)</td>
<td>1.90</td>
<td>-0.07 (-0.33, 0.18)</td>
<td>0.58</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>Improved</td>
<td>Unimproved</td>
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<tr>
<td>3. Number of goat faeces pile in compound</td>
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</tr>
<tr>
<td>No faeces</td>
<td>312 (69)</td>
<td>2.02 (1.34)</td>
<td>1.90</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 to 10 piles</td>
<td>95 (21)</td>
<td>2.15 (1.32)</td>
<td>2.20</td>
<td>0.16 (-0.15, 0.48)</td>
<td>0.30</td>
<td>0.17 (-0.15, 0.48)</td>
<td></td>
</tr>
<tr>
<td>&gt; 10 piles</td>
<td>47 (10)</td>
<td>2.43 (1.62)</td>
<td>2.20</td>
<td>0.45 (0.02, 0.87)</td>
<td>0.04</td>
<td>0.34 (-0.08, 0.76)</td>
<td></td>
</tr>
<tr>
<td>4. Presence of any goat faeces in HH (Vs absence of any goat faeces)</td>
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</tr>
<tr>
<td>No faeces</td>
<td>103 (23)</td>
<td>2.36 (1.46)</td>
<td>2.38</td>
<td>0.36 (0.06, 0.67)</td>
<td>0.02</td>
<td>0.34 (-0.08, 0.76)</td>
<td></td>
</tr>
<tr>
<td>5. Number of cow dung pile in compound</td>
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<tr>
<td>No cow dung</td>
<td>198 (44)</td>
<td>2.07 (1.37)</td>
<td>1.90</td>
<td>0</td>
<td>0.02</td>
<td>0.09 (-0.28, 0.45)</td>
<td></td>
</tr>
<tr>
<td>1 to 10 cow dung</td>
<td>165 (36)</td>
<td>2.09 (1.34)</td>
<td>2.08</td>
<td>0.03 (-0.25, 0.32)</td>
<td>0.83</td>
<td>0.09 (-0.20, 0.38)</td>
<td></td>
</tr>
<tr>
<td>&gt; cow dung</td>
<td>90 (20)</td>
<td>2.15 (1.45)</td>
<td>2.36</td>
<td>0.11 (-0.23, 0.46)</td>
<td>0.53</td>
<td>0.08 (-0.28, 0.45)</td>
<td></td>
</tr>
<tr>
<td>6. Number of cow dung pile in household</td>
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<td></td>
</tr>
<tr>
<td>No cow dung</td>
<td>264 (58)</td>
<td>2.04 (1.38)</td>
<td>1.90</td>
<td>0</td>
<td>0.79</td>
<td>0.09 (-0.19, 0.38)</td>
<td></td>
</tr>
<tr>
<td>1 to 10 cow dung</td>
<td>136 (30)</td>
<td>2.08 (1.37)</td>
<td>2.20</td>
<td>0.04 (-0.25, 0.32)</td>
<td>0.79</td>
<td>0.09 (-0.19, 0.38)</td>
<td></td>
</tr>
<tr>
<td>&gt; cow dung</td>
<td>54 (12)</td>
<td>2.37 (1.35)</td>
<td>2.45</td>
<td>0.36 (-0.05, 0.77)</td>
<td>0.08</td>
<td>0.36 (-0.05, 0.77)</td>
<td></td>
</tr>
<tr>
<td>7. Number of poultry faeces piles in the compound</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>≤10 piles</td>
<td>233 (51)</td>
<td>2.10 (1.37)</td>
<td>2.08</td>
<td>0.03 (-0.25, 0.32)</td>
<td>0.79</td>
<td>0.09 (-0.19, 0.38)</td>
<td></td>
</tr>
<tr>
<td>&gt; piles</td>
<td>221 (49)</td>
<td>2.08 (1.38)</td>
<td>2.08</td>
<td>-0.01 (-0.26, 0.25)</td>
<td>0.96</td>
<td>0.38</td>
<td></td>
</tr>
<tr>
<td>8. Number of poultry faeces piles in HH</td>
<td></td>
<td></td>
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<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>No faeces</td>
<td>92 (20)</td>
<td>1.97 (1.23)</td>
<td>1.90</td>
<td>0</td>
<td>0.38</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 to 10 piles</td>
<td>224 (49)</td>
<td>2.12 (1.46)</td>
<td>2.14</td>
<td>0.15 (-0.19, 0.49)</td>
<td>0.38</td>
<td>0.38</td>
<td></td>
</tr>
<tr>
<td>More than 10 piles</td>
<td>138 (30)</td>
<td>2.13 (1.30)</td>
<td>2.08</td>
<td>0.17 (-0.20, 0.53)</td>
<td>0.38</td>
<td>0.38</td>
<td></td>
</tr>
<tr>
<td>9. Presence of appropriate water drainage (Vs absence of appropriate water drainage)</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>No faeces</td>
<td>261 (58)</td>
<td>1.99 (1.36)</td>
<td>1.90</td>
<td>-0.24 (-0.50, 0.01)</td>
<td>0.06</td>
<td>-0.33 (-0.59, -0.07)</td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>249 (55)</td>
<td>2.12 (1.41)</td>
<td>2.08</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unimproved</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.01</td>
<td></td>
</tr>
</tbody>
</table>
10. Presence of appropriate solid waste disposal system (Vs absence of appropriate solid waste disposal system)  
   |   |   |   |   |
   |   | 1.59 (2.00) | 1.90 | -0.47 (-0.29, 0.34) | 0.26 |

11. Hands/nails looked visibly clean (Vs hands/nails visibly dirty)  
   |   |   |   |   |
   |   | 1.81 (1.34) | 1.90 | -0.35 (-0.69, -0.01) | 0.05 |

12. HW place with soap and water (Vs no soap and/or water)  
   |   |   |   |   |
   |   | 2.00 (1.19) | 1.90 | -0.15 (-0.46, 0.17) | 0.36 |

13. Mother with any formal education (Vs mothers with no formal education)  
   |   |   |   |   |
   |   | 2.03 (1.36) | 1.90 | -0.33 (-0.66, 0.00) | 0.05 |

14. Household belongs to upper (richest) wealth quintile (Vs poorer quintiles)  
   |   |   |   |   |
   |   | 1.81 (1.22) | 1.70 | -0.41 (-0.72, -0.09) | 0.01 |

15. Change in time (hour) of sample collection as the day progress  
   |   |   |   |   |
   |   | -0.17 (-0.27, -0.06) | 0.002 |

16. Study site  
   |   |   |   |
   | Narshingdi district | 238 (52) | 2.26 (1.38) |
   | Mymensing district | 216 (48) | 1.90 (1.34) |

17. Increase in number of neighbouring household  
   |   |   |   |   |
   |   | 454 | 0.002 (-0.05, 0.05) | 0.92 |

* Number with presented category  
† Standard Deviation (SD)  
§ Adjusting for clustering at village  
¶ Confidence interval  
|| The estimates and associated 95% confidence intervals for the other household variables presented here are from the multivariable model with variable 1a (increase in improved sanitation coverage in the NH (as the primary outcome).  
*Household  
**Excluding target households that had at no neighbouring households with access to a latrine
Figure 4.4: Scatter plot showing $\log_{10}$ transformed faecal coliform contamination of children’s hands and toys in rural Bangladesh. Here CV refers to covariance.
Table 4.3: Relationship between community level water sanitation and hygiene related variables and log_{10} transformed faecal coliform CFU/two hands of children under 2 years of age in rural Bangladesh (n=454 households)

<table>
<thead>
<tr>
<th>Exposures</th>
<th>n  (%)</th>
<th>Mean (SD)</th>
<th>Median</th>
<th>Univariable Difference in mean (95% CI)</th>
<th>P value</th>
<th>Multivariable Difference in mean (95% CI)</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>NH improved (MDG) sanitation coverage</strong></td>
<td></td>
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</tr>
<tr>
<td>1a. Increase improved sanitation coverage in the NH (Continuous)</td>
<td>454</td>
<td>2.25 (1.14)</td>
<td>2.20</td>
<td>-0.17 (-0.50, 0.16)</td>
<td>0.32</td>
<td>-0.20 (-0.55, 0.14)</td>
<td>0.25</td>
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<tr>
<td>1b. Improved sanitation coverage in the NH</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>100% coverage</td>
<td>39 (9%)</td>
<td>2.17 (1.09)</td>
<td>2.08</td>
<td>-0.11 (-0.48, 0.26)</td>
<td>0.55</td>
<td>-0.18 (-0.56, 0.21)</td>
<td>0.35</td>
</tr>
<tr>
<td>&lt;100% coverage</td>
<td>415 (91%)</td>
<td>2.25 (1.15)</td>
<td>2.30</td>
<td></td>
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<tr>
<td><strong>NH improved (SDG) sanitation coverage</strong></td>
<td></td>
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</tr>
<tr>
<td>1a. Increase in improved sanitation coverage in the NH (Continuous)</td>
<td>454</td>
<td>2.25 (1.14)</td>
<td>2.20</td>
<td>-0.15 (-0.43, 0.13)</td>
<td>0.29</td>
<td>-0.14 (-0.42, 0.14)</td>
<td>0.34</td>
</tr>
<tr>
<td>1b. Improved sanitation coverage in the NH</td>
<td></td>
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</tr>
<tr>
<td>100% coverage</td>
<td>365 (80%)</td>
<td>2.22 (1.18)</td>
<td>2.20</td>
<td>0.05 (-0.21, 0.31)</td>
<td>0.70</td>
<td>0.04 (-0.23, 0.30)</td>
<td>0.78</td>
</tr>
<tr>
<td>&lt;100% coverage</td>
<td>89 (20%)</td>
<td>2.33 (0.99)</td>
<td>2.20</td>
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<tr>
<td>1c. Increase in NH shared sanitation access (cont.)</td>
<td>444</td>
<td>0.26 (-0.02, 0.55)</td>
<td><strong>0.07</strong></td>
<td>0.27 (-0.01, 0.56)</td>
<td><strong>0.06</strong></td>
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<tr>
<td><strong>Other confounding variables</strong></td>
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<tr>
<td>2a. Improved sanitation access in the target HH**</td>
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</tr>
<tr>
<td>Improved</td>
<td>113 (25)</td>
<td>2.23 (1.14)</td>
<td>2.20</td>
<td>-0.01 (-0.25, 0.23)</td>
<td>0.95</td>
<td>0.12 (-0.14, 0.38)</td>
<td>0.38</td>
</tr>
<tr>
<td>Unimproved</td>
<td>341 (75)</td>
<td>2.25 (1.15)</td>
<td>2.20</td>
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<tr>
<td>2b. Improved (JMP-SDG) sanitation access target HH**</td>
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<td></td>
</tr>
<tr>
<td>3. Number of goat faeces piles in compound</td>
<td>Improved</td>
<td>Unimproved</td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>No faeces</td>
<td>249 (55)</td>
<td>205 (45)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 to 10 piles</td>
<td>227 (1.17)</td>
<td>2.21 (1.12)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt; 10 piles</td>
<td>-0.06 (-0.28, 0.15)</td>
<td>0.55</td>
<td></td>
<td></td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>4. Presence of any goat faeces in HH** (Vs absence of any goat faeces)</th>
<th>103 (23)</th>
<th>2.38 (1.11)</th>
</tr>
</thead>
<tbody>
<tr>
<td>No faeces</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>1 to 10 piles</td>
<td>-0.05 (-0.29, 0.18)</td>
<td>0.66</td>
</tr>
<tr>
<td>&gt; 10 piles</td>
<td>0.13 (-0.16, 0.41)</td>
<td>0.37</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. Number of cow dung piles in the compound</th>
<th>Improved</th>
<th>Unimproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>No cow dung</td>
<td>198 (44%)</td>
<td>2.23 (1.14)</td>
</tr>
<tr>
<td>1 to 10 cow dung</td>
<td>219 (49%)</td>
<td>2.31 (1.18)</td>
</tr>
<tr>
<td>&gt; 10 cow dung</td>
<td>-0.02 (-0.22, 0.19)</td>
<td>0.87</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>6. Number of cow dung piles in the HH**</th>
<th>Improved</th>
<th>Unimproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>No cow dung</td>
<td>264 (58)</td>
<td>2.15 (1.13)</td>
</tr>
<tr>
<td>1 to 10 cow dung</td>
<td>136 (30)</td>
<td>2.31 (1.18)</td>
</tr>
<tr>
<td>&gt; 10 cow dung</td>
<td>0.14 (-0.10, 0.37)</td>
<td>0.25</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>7. Number of poultry faeces piles in the compound</th>
<th>Improved</th>
<th>Unimproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤10 piles</td>
<td>233 (51%)</td>
<td>2.24 (1.17)</td>
</tr>
<tr>
<td>&gt; 10 piles</td>
<td>-0.02 (-0.22, 0.19)</td>
<td>0.87</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>8. Number of poultry faeces piles in HH**</th>
<th>Improved</th>
<th>Unimproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>No faeces</td>
<td>92 (20)</td>
<td>2.09 (1.23)</td>
</tr>
<tr>
<td>1 to 10 piles</td>
<td>224 (49)</td>
<td>2.30 (1.14)</td>
</tr>
<tr>
<td>&gt; 10 piles</td>
<td>0.10 (-0.18, 0.38)</td>
<td>0.48</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>9. Presence of appropriate water drainage (Vs absence of appropriate water drainage)</th>
<th>Improved</th>
<th>Unimproved</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improved</td>
<td>261 (43%)</td>
<td>2.22 (1.14)</td>
</tr>
<tr>
<td>Unimproved</td>
<td>-0.03 (-0.19, 0.24)</td>
<td>0.81</td>
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<table>
<thead>
<tr>
<th>10. Presence of appropriate solid waste disposal system (Vs absence of</th>
<th>11 (2.4%)</th>
<th>2.17 (1.39)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improved</td>
<td>-0.00 (-0.67, 0.67)</td>
<td>0.99</td>
</tr>
</tbody>
</table>
appropriate solid waste disposal system)

<p>| | | | | | | | |</p>
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<tbody>
<tr>
<td>11. Hands/nails looked visibly clean (Vs Hands/nails looked visibly dirty)</td>
<td>71 (16%)</td>
<td>1.74 (1.33)</td>
<td>1.60</td>
<td>-0.61 (-0.89, -0.33)</td>
<td>&lt;0.001</td>
<td>-0.56 (-0.84, -0.27)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>12. HW place with soap and water (Vs no soap and/or water)</td>
<td>95 (21)</td>
<td>2.28 (1.19)</td>
<td>2.30</td>
<td>0.10 (-0.16, 0.35)</td>
<td>0.47</td>
<td></td>
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</tr>
<tr>
<td>13. Target child washed hands within half an hour preceding hand rinse sample collection (Vs did not wash hands)</td>
<td>64 (14%)</td>
<td>2.20 (1.22)</td>
<td>2.14</td>
<td>-0.03 (-0.33, 0.27)</td>
<td>0.86</td>
<td></td>
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</tr>
<tr>
<td>14. Child was active in the preceding half an hour (playing) (Vs sleeping)</td>
<td>361 (80%)</td>
<td>2.32 (1.12)</td>
<td>2.30</td>
<td>0.36 (0.10, 0.61)</td>
<td>&lt;0.01</td>
<td>0.29 (0.04, 0.54)</td>
<td>0.02</td>
</tr>
<tr>
<td>15. Mother with any formal education (Vs no formal education)</td>
<td>376 (83%)</td>
<td>2.24 (1.14)</td>
<td>2.20</td>
<td>0.001 (-0.27, 0.28)</td>
<td>0.99</td>
<td>0.10 (-0.18, 0.37)</td>
<td>0.49</td>
</tr>
<tr>
<td>12. Household belongs to upper (richest) wealth quintile (Vs poorer quintiles)</td>
<td>90 (20%)</td>
<td>2.07 (1.17)</td>
<td>2.20</td>
<td>-0.17 (-0.43, 0.09)</td>
<td>0.21</td>
<td>-0.10 (-0.38, 0.17)</td>
<td>0.46</td>
</tr>
<tr>
<td>13. Change in time (hour) of sample collection as the day progress</td>
<td>-0.11 (-0.20, 0.02)</td>
<td>0.01</td>
<td>-0.12 (-0.21, -0.03)</td>
<td>0.01</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14. Study site Narshingdi district</td>
<td>238 (52%)</td>
<td>2.21(1.15)</td>
<td>2.20</td>
<td>-0.08 (-0.37, 0.22)</td>
<td>0.61</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mymensing district</td>
<td>216 (48%)</td>
<td>2.29 (1.14)</td>
<td>2.30</td>
<td>-</td>
<td>-</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15. Increase in number of neighbouring HH</td>
<td>-0.02 (-0.06, 0.02)</td>
<td>0.31</td>
<td></td>
<td></td>
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</table>

* Number with presented category
† Standard Deviation (SD)
‡ Adjusting for clustering at village
§ Confidence interval
‖ Excluding target households that had at no neighbouring households with access to a latrine.
¶ The estimates and associated 95% confidence intervals for the other household variables presented here are from the multivariable model with variable 1a (Increase in improved sanitation coverage in the NH (as the primary outcome)).
** Household
†† A separate multivariate model among subset of target households, using NH sanitation coverage (categorical) as primary exposure and all the other common household variable presented in the table.
Chapter 5: A cross sectional study to explore the association between sanitation type and diarrhoeal disease.

5.1 Introduction to the chapter

This chapter compares the different classifications of improved sanitation used for international monitoring in terms of reducing diarrhoea among children less than five years of age using existing data from a programme evaluation conducted in Bangladesh. This chapter includes a ready for submission manuscript, which describes results from the secondary data analysis that was conducted as part of the PhD thesis.

5.2: Role of the authors in the research paper

Tarique M.N. Huda (TH): TH is the first author of the research paper. He had the primary role of developing the concept for secondary data analysis, reviewing the literature, cleaning and analyzing the data, interpreting the results and drafting the manuscript.

Leanne Unicomb (LU): LU reviewed the concept for secondary data analysis and manuscript drafts.

Amal K. Halder (AKH): AKH was part of the team that collected the data used for this secondary data analysis. He contributed by reviewing the draft manuscripts.

Wolf-Peter Schmidt (WS): WS contributed in refining the research questions; reviewed the data analysis and the draft manuscript.

Probir K. Ghosh (PKG): PKG reviewed the data analysis strategy and the draft manuscript.

Richard B. Johnston (RBJ): RBJ was involved in the conception of the study that generated the data for this secondary data analysis. He contributed by reviewing the draft manuscript.

Adam Biran (AB): AB contributed in defining the research questions for the secondary data analysis, supported the first author during the literature review, reviewed the data analysis, helped with interpretation of data and reviewed the draft manuscript.
Stephen P. Luby (SL): SL was the executive author for this manuscript. He was the Principal investigator for the study that generated the data for this secondary data analysis. He contributed in defining the research questions for the secondary data analysis, reviewed the data analysis, helped with interpretation of data and reviewed the draft manuscript.
5.3 Research paper cover sheet

RESEARCH PAPER COVER SHEET

PLEASE NOTE THAT A COVER SHEET MUST BE COMPLETED FOR EACH RESEARCH PAPER INCLUDED IN A THESIS.

SECTION A – Student Details

<table>
<thead>
<tr>
<th>Student</th>
<th>Tarique Mohammad Nurul Huda</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal Supervisor</td>
<td>Adam Biran</td>
</tr>
<tr>
<td>Thesis Title</td>
<td>Role of Sanitation in preventing fecal contamination of the domestic environment and protecting health: An observational study.</td>
</tr>
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</table>

If the Research Paper has previously been published please complete Section B. If not please move to Section C

SECTION B – Paper already published

<table>
<thead>
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<th>Where was the work published?</th>
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<tr>
<td>When was the work published?</td>
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<tr>
<td>If the work was published prior to registration for your research degree, give a brief rationale for its inclusion</td>
<td></td>
</tr>
<tr>
<td>Have you retained the copyright for the work?*</td>
<td>Choose an item.</td>
</tr>
<tr>
<td>Was the work subject to academic peer review?</td>
<td>Choose an item.</td>
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</table>

*If yes, please attach evidence of retention. If no, or if the work is being included in its published format, please attach evidence of permission from the copyright holder (publisher or other author) to include this work.

SECTION C – Prepared for publication, but not yet published

<table>
<thead>
<tr>
<th>Where is the work intended to be published?</th>
<th>American Journal of Tropical Medicine and Hygiene</th>
</tr>
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<tbody>
<tr>
<td>Please list the paper’s authors in the intended authorship order.</td>
<td>Tarique M N Huda, Wolf-Peter Schmidt, Amy J. Pickering, Leanne Unicomb, Zahid Hayat Mahmud, Stephen P. Luby and, Adam Biran</td>
</tr>
<tr>
<td>Stage of publication</td>
<td>Not yet submitted</td>
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</table>

SECTION D – Multi-authored work

| For multi-authored work, give full details of your role in the research included in the paper and in the preparation of the paper. (Attach a further sheet if necessary) | I had the primary role of designing the study, overseeing the field work, analysing the data, and drafting the manuscript |

Improving health worldwide

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5.4 Abstract

This secondary data analysis aimed to assess the relationship between sanitation type, and diarrhoeal disease using data collected as part of a programme evaluation. The evaluation was conducted in Bangladesh to assess the impact of a large-scale water, sanitation and hygiene education programme implemented by the government of Bangladesh with technical support from UNICEF Bangladesh, between 2007 and 2011.

Field workers interviewed the primary caregivers of children under five years of age and performed a spot check of sanitation facilities. Those households with at least one child<3 years of age (N=995 households) were also visited by a female community monitor, monthly for 24 months to collect data on reported diarrhoea in the preceding 2 days. We first categorised sanitation facilities based on UNICEF/WHO Joint Monitoring Programme (JMP) technology type, as “improved” (latrine with a water seal connected to sewer/septic tank/pit, pit latrine with a slab), “unimproved” (pit latrine without slab, hanging latrines) and “no facility”. We then further classified sanitation facilities according to JMP sanitation ladder with 4 categories: “private improved, shared improved, unimproved and no facility”

Children from households with access to unimproved sanitation had similar prevalence of diarrhoea as those with a private improved sanitation (Prevalence=11.1 vs. 10.2; adjusted PR=1.001; 95% CI: 0.80, 1.25). Children belonging to households with access to shared improved sanitation had similar prevalence of
diarrhoea (11.6%) as those with access to private improved sanitation (adjusted PR=1.01; 95% CI: 0.90, 1.13). Households with visible faeces on the slab had higher prevalence of diarrhoea compared to those with no faeces on the slab (Adjusted PR=1.09; 95% CI=0.96, 1.25).

Children from households with an appropriate solid waste disposal system had lower risk of diarrhoea (PR=0.74, 95% CI: 0.61, 0.89) compared to those without appropriate solid waste disposal. Adjusting for other variables in the multivariate model did not change the effect estimate. Presence of soap and water in a handwashing station was only weakly associated with lower diarrhoea risk (PR=0.91; 95% CI: 0.82, 1.02; P=0.12). Children from households with an appropriate solid waste disposal system had lower (statistically significant) risk of diarrhoea (PR=0.74, 95% CI: 0.61, 0.89) compared to those without appropriate solid waste disposal system. Adjusting for other variables in the multivariate model did not change the effect estimate.

Household level provision of onsite sanitation facilities considered as improved for international monitoring does not prevent diarrhoea disease in context where diarrhoea is endemic. Sharing a sanitation facility does not appear to be a risk factor for diarrhoeal disease in the context where sanitation facilities are shared among relatives or neighbours who know each other. In addition presence of soap and water at the designated handwashing station and storing water in a covered container was not associated with any reduction in diarrhoea prevalence. However presence of an appropriate solid waste disposal system was associated with reduction in the prevalence of diarrhoea.

**Key words:** Improved sanitation, diarrhoea, shared sanitation, children
5.5 Introduction

Diarrhoeal diseases are among the top five causes of death in children under five years of age [1, 2]. Although there has been a decline in the incidence of diarrhoea there were still 1.7 billion episodes of diarrhoea in 2010, in 139 low and middle income countries [3]. Diarrhoea is also a risk factor for pneumonia [4, 5]. Repeated episodes of early childhood diarrhoea have a lasting influence on the physical growth, cognitive function and school performance [6-9].

Most cases of diarrhoea are transmitted through the faecal oral route [10]. Appropriate human excreta disposal systems, generally referred to as sanitation, are expected to break the chain of transmission by separating faeces from the environment [11, 12]. In 2012, 280,000 diarrhoea deaths were estimated to be caused by inadequate sanitation [13]. A recent systematic review suggests that interventions to improve sanitation were associated with a 28% reduction in diarrhoeal disease [14]. In recognition of the need for action on sanitation, Millennium Development Goal (MDG) 7, target 10 was to “halve, by 2015, the proportion of people without sustainable access to basic sanitation” [15, 16].

The WHO/UNICEF Joint monitoring Programme (JMP) for water supply and sanitation is the official United Nations mechanism tasked with monitoring towards the MDG related to water and sanitation. Access to sanitation is monitored using the indicator “proportion of population with access to improved sanitation.” [16-18]. The terminology used for the MDG target is “basic sanitation” but JMP refers to basic sanitation as “improved sanitation”. According to JMP, improved sanitation refers to “facilities that ensure hygienic separation of human excreta from human contact” [19, 20]. The JMP improved sanitation technologies include: latrine with a water seal connected to a sewer system or septic tank, and pit; ventilated improved pit latrine; composting latrine and pit latrine with slab. However sanitation facilities are not counted towards MDG coverage and are considered “unimproved” if they are shared [20], because of concerns regarding cleanliness, maintenance of the facility and access [21]. In addition, JMP also uses a four rung-ladder of sanitation, defined by a hierarchy of predefined sanitation technologies that allows monitoring
progress without changing the MDG definition [22]. The sanitation technologies on the higher rung of the ladder are believed to be better at hygienically separating faeces from the environment and thereby reducing health risk [17, 22]. Ideally for international monitoring sanitation would be classified on the basis of evidence for its relative effectiveness in delivering health benefits, but this evidence base is generally weak [23-26].

Findings from a few observational studies suggest that access to latrines with water seals connected to a piped sewer system, septic tank/pit and composting latrines are associated with lower risk of diarrhoea [25, 27-30]. However, from these studies we do not know if pit latrines with a slab but without a water seal will provide similar protection.

Several observational studies have used data from demographic health surveys (DHS) to assess the effect of improved sanitation on diarrhoea risk. Studies conducted in Indonesia [31] and Malawi, found that children from households with access to a private improved sanitation facility had lower odds of diarrhoea [32] compared to those with no sanitation facility. A study conducted by Fuller and colleagues used 217 Demographic and Health Surveys from 74 countries, found that access to improved sanitation was associated with reduced prevalence of diarrhoea [Prevalence Ratio (PR): 0.93 95% CI; 0.92-0.95] [33]. But the effect of sanitation on diarrhoea varied between countries and across time suggesting that the environmental, social and geographical context plays important role. The questions used for DHS to capture the data on latrine classification are focused on the design of the latrine rather than the functionality of the latrine [34]. For example a pit latrine with a slab may be considered as improved by JMP because of the design. But if there is a leakage in the pit, the faeces will come out of the pit and contaminate the environment. So this latrine cannot be considered to hygienically separate faeces from the environment and thereby JMP should not consider it as improved. But, the DHS questionnaire does not include questions to capture this information [35]. As a result these national surveys likely include substantial measurement error of exposure.
There is also evidence from large nationwide surveys that access to private improved (MDG) sanitation is associated with less diarrhoea [36, 37]. These large surveys are prone to substantial measurement error in categorising sanitation facilities due to reliance on report by the respondent and lack of detailed questions to assess the functionality of the latrine in confining faeces. More over in these large nationwide surveys data on reported diarrhoea is collected at one point in time that cannot capture the seasonality of diarrhoea. However diarrhoeal diseases follow seasonal variation [38] and sanitation may have a variable effect depending on the season. This is why longitudinal prevalence of diarrhoea estimated through repeated measures has been identified as a preferable indicator of diarrhoea for low income high risk populations [39, 40]. A nationwide study conducted in rural Indonesia suggested that lack of improved latrines was associated with higher reported diarrhoea (OR=1.23, 95% CI: 1.18-1.29) [26] and under 5 child mortality (OR = 1.29, 95% CI = 1.25–1.31). This study used the JMP definition and collected longitudinal diarrhoea data to capture variation in seasonality. However this finding has not been replicated in other low income country contexts.

We have identified some studies that have looked at the effect of sharing a latrine on diarrhoea. A recent systematic review conducted to compare health outcomes associated with shared sanitation versus individual household sanitation reported increased adverse health outcomes associated with shared sanitation. However most of the studies included in the review did not adequately address potential confounding and did not allow the effect of different types of shared sanitation (Improved/unimproved) to be distinguished [24]. An analysis of DHSs from 51 countries reported a 10% reduction in diarrhoea among households with private sanitation facilities compared to households with shared sanitation [23]. The study also reported heterogeneity in the effect of shared sanitation across countries. A multicounty case control study conducted in 7 low income country sites in sub-Saharan Africa and South Asia found families of children with moderate to severe diarrhoea more commonly used shared facilities than control families (47.5% vs. 41.2% OR=1.2; 95% CI 1.1-1.3) overall [41]. But these findings were not consistent in all the 7 countries. Suggesting that local context plays an important role. More over
within the same country sharing may have variable effect in rural and urban context or depending on whether sanitation is shared by neighbours or acquaintances or by public. We have limited data to understand the context in which shared sanitation is as effective in separating human faeces from human contact as private sanitation.

The objective of the present study was to assess the association between sanitation type and diarrhoeal disease among children<5 years of using data collected as part of an evaluation of a water, sanitation and hygiene intervention project [42-44]. The findings of this study will help us to understand the relevance of different classifications of sanitation used for international monitoring.

5.6 Methods

The data used in this secondary analysis was collected as part evaluation of the sanitation, hygiene education and water supply in Bangladesh (SHEWA-B) programme. The methods of the programme evaluation including household enrolment, assessment of exposure and outcome, human subject protection has been described elsewhere [42-44]. However for the convenience of the reader some of these are described briefly.

5.6.1 Study population

The study population of this secondary data analysis were the households with children <5 years of age in rural Bangladesh where a large health impact study was being implemented. The SHEWA-B programme selected the specific intervention sub-districts with lower than average performance in term of health and social indicators because of the perceived need and the absence of other active programs addressing water, sanitation and hygiene in these communities. The control areas of the SHEWA-B health impact study were selected from similar geographical and socioeconomic status as the intervention areas [42-44].

5.6.2 Household enrolment

The SHEWA-B health impact study team selected fifty intervention unions using probability proportional to population size. Fifty control unions were also selected using probability proportional to population size of the union.
The SHEWA-B health impact study team collected a list of all the villages from the union council. From each of the selected unions a village was selected randomly from the list of villages in that union. The field workers visited the village and identified the centre of the village by asking the residents. They then identified an eligible household nearest to the centre point and sought consent for an interview. A household was considered to be eligible if they had at least one child <5 years of age. To enrol the next household, the field workers skipped the next two closest households, and then looked for the next closest eligible household. The first 10 households with a child <3 years of age were also requested to participate in a monthly disease surveillance [42-44].

5.6.3 Assessment of household sanitation

In 2007, the SHEWA-B health impact study field workers conducted a face to face interview with the primary caregivers of children <5 years of age to fill out a structured questionnaire survey. The field workers were trained in data collection using the assessment tool, how to conduct interviews, and human subject protection. Before the actual data collection the field workers conducted practice interviews outside the study areas.

The questionnaire survey included questions regarding demographic information, household possessions and behaviour related to water sanitation and hygiene. Then fieldworkers also conducted a spot check of the household water, sanitation and hygiene related infrastructure to record the quality and upkeep of the facility. The initial questionnaires were developed based on the indicators for the evaluation. The questionnaire was then reviewed by the principle investigator of the evaluation as a quality assurance procedure including checking for ambiguous or potentially leading questions. The questionnaire was developed in English and then translated in Bengali. The questionnaire was pilot tested in the field for comprehensibility prior to final data collection. Questions were amended, reworded or replaced following piloting.
A field supervisor was responsible for ensuring the quality of data collected in the field. For at least 5% of the surveys the field worker observed the data collectors and conducted repeat interviews. Each field facilitator was observed to make sure the questions were asked as intended. At the end of data collection each data collector reviewed the completed forms, before leaving the house to check for completeness. At the end of a data collection day, the enumerators cross-checked each other’s completed questionnaire in the presence of the field supervisor for any inconsistency in data.

5.6.4 Assessment of diarrhoea

The SHEWA-B health impact study team recruited a female community member with at least eight years of formal education and trained them as a community monitor in each of the study villages. The community monitor was trained in use of the data collection tool, interview technique and human subject protection. The community monitor visited each of the enrolled households after the initial questionnaire survey, every month for 24 months, starting from October 2007. They collected information on episodes of diarrhoea among all children <5 years of age in a household, during the 2 days preceding the interview. Diarrhoea was defined as the passage of 3 or more loose or watery stools in the 24 hours period preceding the interview [45]. The questionnaire was designed following the same steps as the questionnaire for household assessment.

A field supervisor monitored the collection of data by the community monitors. The community monitors reviewed the completed data collection forms before leaving the respondents household. Every month the field supervisor reviewed the completed data collection forms to check for consistency and completeness of data. Then before entering the data a research officer reviewed the completed data collection forms for consistency and completeness.

5.6.5 Operational definitions of variables used in the secondary data analysis

We categorised the primary exposure variable, sanitation access in four different ways based on information collected through the survey. First, we
categorised sanitation facilities as “improved” or “unimproved” as defined by JMP based on technology type (Table 1). Second, we further categorised improved sanitation facilities based on whether the facility used water seal technology. Thus we classified all households in four technology categories: a) improved with a water seal, b) improved without a water seal, c) unimproved facility, and d) open defecation. If during visual inspection, a sanitation facility was found to have a water seal but the seal was broken then it was considered as an improved facility without water seal. Third, we categorised sanitation facilities according to the four categories of the JMP sanitation ladder: a) improved private facilities b) improved shared facilities c) unimproved facilities (pit latrine without a slab or hanging latrine) d) no facilities. Fourth, we categorised sanitation facilities based on the MDG definition where improved private facilities were considered “improved” and the rest of the categories in the ladder were considered “unimproved” (Table 1). A latrine was considered to be dirty if the field workers could see faeces in the commode or slab/floor.

A household was considered to have appropriate water drainage if it had either a drain (constructed with or without concrete and cement) or a soak pit in order to dispose of household waste water. A household was considered to have appropriate solid waste disposal if it had a drum or a specific pit and the waste was found to be disposed in such a way that no waste was observed outside the pit or drum. A household was considered to have appropriate drinking water storage if the field workers found all drinking water containers fully covered at the time of rapid observation. A household was considered to have a proper handwashing facility if water and soap was found in a convenient hand washing station.

To assess the household wealth, we used principal component analysis (PCA) with 23 household characteristics (Table 2) [43, 46-48] excluding water and sanitation infrastructure.

5.6.6 Sample size calculation

We analysed data collected from 1000 SHEWA-B health impact study households. Since the intervention had very limited impact on diarrhoea prevalence
in the first two years of implementation we included both the intervention and control households [49]. We assumed 95% confidence and a design effect of 2.5 and had 86% power to detect 30% (12% to 8%) difference in diarrhoea prevalence among 1.4 children per HH when comparing 400 households with improved latrines to 600 households with other type of latrines.

### 5.6.7 Human subject protection

All study participants of SHEWA-B health impact study provided written informed consent. The Government of Bangladesh Department of Public Health Engineering and UNICEF approved the evaluation. icddr,b administration provided an expedited approval of the study [43]. The hard copies of the questionnaire were stored in a locked cabinet at the icddr,b head office and were only available to the study officials. The electronic data were kept in a password protected computer and were accessed by the study officials only.

### 5.6.8 Data analysis

We calculated the prevalence ratio (PR) of reported diarrhoea among children <5 years of age comparing households with different type of sanitation access using a log-binomial model [50]. To calculate 95% confidence intervals (CI) adjusting for clustering at the village level and repeated observations of diarrhoea in a single household we used generalised estimating equation (GEE) [51] with a robust standard error estimator with the village as the cluster variable.

We conducted univariable analysis to estimate the crude effect of the primary exposure variables and potential confounding variables on the main outcome, adjusting for the effect of village level clustering. We used causal directed acyclic graph (DAG) [52-54] to decide which variable to be included as a potential confounder, excluding variables on the same causal pathway as the exposure variable [55]. All the potential confounding variables that were associated with the outcome and exposure in the univariable analysis were included in the multivariable model [53, 56]. We also considered some forced-in variables (age, gender, wealth, and mother’s education) to be included in the model. For the multivariable model
we included the main exposure, outcome, forced-in and all the confounders together. We calculated the variance inflation (VIF) factor for all the variables in the model to assess multicollinearity [57-59]. We implemented separate multivariate models for the four definitions of sanitation type as primary exposure.

5.7 Results

5.7.1 General household characteristics

Among the 1000 households enrolled in the study who completed the initial questionnaire survey, the field team collected at least one measure of diarrhoea symptoms from 1272 children belonging to 995 households. Twenty nine percent of the mothers reported to have some formal education. More than half of the fathers were farmers or daily wage earners. The most common source of drinking water were shallow tube-wells (81%) (Table 5.2).

Most (92%) of the households reported having access to a latrine. More than half of the households individually owned a latrine. Among the households who reported access to any latrine, 44% (n=400) reported sharing the facility with at least 1 other household (Table 5.2). The most common type of latrine accessed by these households was a pit latrine with a slab (n=553, 56%) More than 90% of the households had access to an improved source of water for drinking. Thirty one percent of these households individually owed a water source (Table 5.3). About 50% of these households had access to soap and water at a convenient place. About a quarter of these households stored water in a covered container.

5.7.2 Diarrhoea prevalence

Over 24 months time period, on average the community monitors visited children 22 times with the majority (67%, 863) of them visited 24 times. In total the field team completed 27, 843 monthly child visits, diarrhoea was reported in 26,097 of the child visits. In the 26,097 child visits, the primary caregiver reported that their child had diarrhoea in the preceding 2 days in 2,804 monthly child visits (10.7%).

Male children had nine percent higher diarrhoea prevalence compared to female children (95% CI: 0%, 20%; P=0.05). In the multivariate analysis the estimate
remained the same although the strength of the statistical evidence became slightly weaker (adjusted PR=1.09; 95% CI: 1.00, 1.19; P=0.06). Children under 2 years of age had increased risk of diarrhoea than older children (PR=1.43; 95% CI: 1.26, 1.63; P<0.001). Adjusting for other variables changed the effect estimate only slightly.

Children whose mother had formal education had 13% lower risk of diarrhoea (PR=0.87; 95% CI: 0.77, 0.98). In multivariable analysis the effect was attenuated slightly but the 95% confidence limit included the null (adjusted PR=0.89; 95% CI: 0.78, 1.01; P=0.07). Children belonging to upper middle wealth quintile had lower prevalence of diarrhoea compared to children in poorest quintile (PR= 0.85; 95% CI: 0.72, 1.01) (Table 5.4). In the multivariate analysis the estimate changed towards the null and the strength of the statistical evidence became much weaker (PR= 0.91; 95% CI: 0.75, 1.09).

5.7.3 Sanitation technology type

Twenty three percent of households had access to an improved sanitation facility with a water seal and 56% had access to an improved sanitation facility without a water seal (Table 5.3). Children from households with access to an improved sanitation facility without a water seal had a 14% higher prevalence of diarrhoea compared to children from households with access to an improved sanitation facility with a water seal (95% CI: -2%, 33%) (Table 5.4 and 5.5). In the multivariate analysis the estimate of diarrhoea risk was slightly lower and the strength of the statistical evidence became weaker (PR=1.11; 95% CI: 0.94, 1.30; P=0.12). In reference to the households with access to an improved sanitation facility with a water seal access to an improved sanitation facility without a water seal and access to unimproved sanitation facility (PR=1.10; 95% CI 0.86, 1.40; P=0.46) was associated with similar reduction in the prevalence of diarrhoea (Table 5.5).

Children belonging to households with access to improved sanitation technology had only 5% reduced risk of diarrhoea compared to those with access to unimproved sanitation technology (excluding open defecation) (PR=1.05; 95% CI
0.82, 1.25) (Table 5.4, 5.5). But the 95% confidence interval included null. In the multivariate analysis most of this small effect size was eliminated by confounders.

5.7.4 JMP sanitation ladder

Less than half (43%) of the households had access to private improved sanitation where about 36% of households had access to a shared improved sanitation. Children from households with access to a private improved sanitation had diarrhoea on 10% of the monthly visits. Children belonging to households with access to a shared improved sanitation had only 4% increased risk of diarrhoea compared to those with access to private improved sanitation (PR=1.04; 95% CI: 0.93, 1.17) (Table 5.4, 5.5). But the 95% confidence interval included null. In the multivariate analysis, adjusting for the effect of confounders like; children’s gender, age, presence of soap and water at a convenient location, presence of solid waste disposal system, mother’s education and wealth, most of this small effect size was eliminated (PR=1.01; 95% CI: 0.90, 1.13) by confounders (Table 5.5).

5.7.5 MDG classification

The prevalence of diarrhoea among children <5 years of age in households with access to private improved sanitation was 10.2% and in households with access to unimproved sanitation the prevalence was 11.2%. The mean diarrhoea prevalence over the 24 months in households with access to improved sanitation as defined by MDG, was only 5% lower than households with access to unimproved sanitation facilities (PR=1.05; 95% CI: 0.94, 1.18)(Table 5.4 and Table 5.5). However, the 95% confidence interval included null. In the multivariate analysis most of this small effect size was eliminated by confounders (Table 5.4 and Table 5.5).

5.7.6 Other sanitation characteristics

The mean diarrhoea prevalence among children from households with access to any type of shared sanitation facilities was 11.7%. The children from households with access to shared sanitation facilities had 6% higher diarrhoea prevalence compared to those with access to private sanitation facilities (PR=1.06; P=0.27).
However, the difference observed could be due to chance alone. (Table 5.4 and Table 5.5).

Among households with access to improved sanitation technology as defined by JMP, households with access to a dirty improved sanitation had 15% higher diarrhoea prevalence compared to those with clean improved sanitation (PR: 1.15; 95% CI: 1.01, 1.30; P=0.04). In the adjusted analysis the prevalence ratio was smaller and was not statistically significant (PR: 1.09; 95% CI: 0.96, 1.25; P=0.20) (Table 5.6).

5.7.7 Other household characteristics

Children from households with an appropriate solid waste disposal system had lower risk of diarrhoea (PR=0.74, 95% CI: 0.61, 0.89) compared to those without appropriate solid waste disposal system. Adjusting for other variables in the multivariate model did not change the effect estimate. Presence of soap and water in a handwashing station was associated with 9% lower prevalence of diarrhoea but the association was not statistically significant (PR=0.91; 95% CI: 0.82, 1.02; P=0.12). Children from households who stored water in a covered container had lower prevalence of diarrhoea but the reduction was not statistically significant (PR=0.94; 95% CI: 0.84, 1.05; P=0.25). Children who were exclusively breast fed as reported by the mother in the past 24 hours had lower prevalence of diarrhoea compared children who were not exclusively breastfed. But the difference was not statistically significant (PR=0.92; 95% CI: 0.72, 1.17; P=0.50).

5.8 Discussion

The proportion of the rural Bangladeshi population living in the study area, with access to MDG defined improved sanitation was below 50%, which is slightly lower than the national estimate of 52% in 2007 [60]. This could be due to the study area being chosen for its lower than national average performance in term of water sanitation coverage [61].

The objective of the study was to assess the association between sanitation type and diarrhoea. We classified sanitation using three classifications (JMP
technology, MDG, JMP sanitation ladder) used for international monitoring. None of the classifications of household level sanitation explained differences in diarrhea prevalence that was independent of confounding child and household characteristics. Neither technology types that were considered as improved nor sharing of a sanitation facility was associated with diarrhea prevalence independent of confounding. Other household and child characteristics that were associated with diarrhea risk independent of effect of other variables included female gender, lower age and absence of appropriate solid waste disposal, and lack of mother’s education. Taken together these findings suggest that in the context of rural areas with predominantly onsite sanitation, household provision of sanitation may not be causally associated with any reduction in diarrhea risk among children under 5 years of age. The fact that none of the classifications of sanitation used for international monitoring explained difference in diarrhea risk that is independent of confounding and the fact that other sanitation factors like cleanliness of latrines were associated with modest and statistically insignificant reduction in diarrhea may suggest that in this context other determinants of childhood diarrhea such as open defecation by children, lack of cleanliness of latrine, lack of sanitation in the neighbourhood, presence of animal faeces, lack of handwashing with soap, poor food hygiene, drinking water quality and nutritional status may be more important.

Previous studies that have evaluated the relationship between improved sanitation technology (ignoring sharing) access and diarrhea have shown conflicting results [26, 33]. The heterogeneity in the effect of improved sanitation technology across different studies and surveys could be due to difference in the degree of measurement error or due to difference in various factors related context of the study. In the study conducted in Indonesia [26] latrine categorisation was based on self-reports rather than visual inspection. Even the standard questionnaire used in DHS has the potential to cause misclassification bias as a latrine is judged based of the design of the facility rather than function of the latrine in separating faeces from the environment. For example, a latrine might have a pit latrine with a water seal but if the pit is broken from the back it will contaminate the environment [62]. This misclassification bias due to measurement error would influence the measure of
association toward the null. However in this study the categorisation of latrine was
done based on visual inspection rather than self-report to minimise misclassification
bias. The source of heterogeneity could also be due to different contexts. A multi-
country analysis of the effect of improved sanitation technologies on diarrhoea
found that in some contexts access to improved sanitation was protective while in
some there was no association while in some, there was a harmful effect [26].

In our study context access to improved sanitation technologies may not be
associated with diarrhoea for two important reasons related to study context. First,
in our study context diarrhoea is endemic, so the population is likely to have some
level of immunity to common circulating pathogens. This may attenuate the
relationship between improved sanitation access and diarrhoea [63].

Second there may be other sources of household faecal contamination like
open defecation by children, lack of cleanliness of latrine, lack of sanitation in the
neighbourhood and presence of animal faeces that are important in reducing
diarrhoeal disease but access to improved sanitation cannot prevent them. In
addition lack of proper faecal sludge management could also contribute to faecal
contamination of the community and there by contribute in diarrhoea disease
transmission that household access to sanitation could not capture in this study. So
even if improved sanitation technologies are effective in confining faeces if used,
access to improved sanitation may still not be associated with reduced diarrhoea.

Moreover it is possible that in this context other transmission pathways like
hands, food and drinking water are more important determinants of diarrhoea
disease. Although in this analysis storing drinking water in a covered container was
not associated with diarrhoea but in similar setting microbiological quality of
drinking water was associated with diarrhoea [64]. Furthermore, in a recent
systematic review it was found that intervention to improve water quality at point of
use may reduce diarrhoea by at least around a quarter [65]. In our study presence of
soap and water was associated with small and statistically insignificant reduction in
diarrhoea. But evidence from a recent systematic review suggests that handwashing
promotion among communities in low and middle income countries (LMICs)
prevents around one-quarter of diarrhoea episodes [66]. Exclusive breast feeding has been recommended as an important diarrhoea prevention strategy [67, 68]. But in this study exclusive breast feeding was also not associated with reduction in diarrhoea. Take together these findings suggest that this setting diarrhoea disease can only be prevented by interventions that address more than one transmission pathway. A recent study has identified that during the past ten years sanitation or water have only been effective in reducing diarrhoea if they were combined [33]. Further studies to look at the combined effect of these factors on faecal contamination and diarrhoea would be informative.

The MDG classification of sanitation combines the technology type as well as sharing status. It is possible that sharing of latrines does not pose any additional risk. As a result categorisation of shared facilities as unimproved might account for no association between improved sanitation as defined by MDG and diarrhoea. However in this study when shared facilities were also considered as improved access to improved sanitation had no effect on the prevalence of diarrhoea that is independent of confounding. This may suggest that sharing is less likely to dilute the effect of sanitation on diarrhoea. The evidence in the existing literature linking access to shared sanitation and diarrhoea is inconsistent [23, 24, 69]. In some countries sharing a latrine has been found to be associated increased risk of diarrhoea (not always statistically significant), in some other countries sharing was associated with reduced risk of diarrhoea [24], and in some countries there was no relationship between sharing and diarrhoea [23, 24, 69]. This heterogeneity among countries suggests that the specific social economic and environmental context matters.

Sharing a latrine may have harmful effects because of issues related to cleanliness, maintenance, over use or lack of full time access. These factors are likely to vary depending on the relationship between families sharing the facilities and interaction between them. It is possible that in the context where the people are related or know each other sharing poses less risk because there is less problems with maintenance, access and over use. Although in this study the shared facilities were more likely to be dirty but dirty latrines were more likely to be poorer. The
relationship between shared facilities and cleanliness of latrine was confounded by wealth (Data not shown). So in this context the cleanliness of latrine is less likely to be due to sharing. Households or people sharing the latrine in rural Burundi was not found to be influential [60] in the cleanliness of the latrine. But in urban India sharing a latrine among non-family members were found to be dirtier [61]. It is beyond the scope of this study to fully explain the mechanism by which sharing poses increased risk or setting shared sanitation is safe. Further studies looking at shared facilities comparing different management arrangement of shared facilities will be helpful.

In this study we found that access to an improved and clean latrine was associated with a modest reduction in diarrhoea, with some evidence of confounding. Although the statistical evidence to support this association was weak. It is important to note that this study was not powered to conduct this subgroup analysis. Cleanliness of latrines have been linked with increased bacterial pathogens, latrine use [70, 71], diarrhoea outbreaks [72, 73] and reduced absence from school [74]. Cleanliness might improve use and thereby reduce contamination and prevent diarrhoea. Latrine cleanliness might also be a proxy for general cleanliness and hygiene of the household that are important in reducing transmission of infectious diarrhoea. Although in this observational study we cannot establish causality our findings suggest that latrine cleanliness should be considered as an important component of sanitation interventions.

Our analysis suggests that access to latrines with water seal is associated with more than a 14% reduction in diarrhoea, although the 95% confidence limits included the null. The multivariable analysis suggests that this weak statistical association was confounded by household and child characteristics. Although confounders explained some of the difference it did not explain all of the difference. In this study sanitation was measured before diarrhoea so reverse causality is less likely to affect the estimates. Latrines with water seals prevent flies from coming out of latrines. Presence of flies in the latrine has been found to be associated with diarrhoea [75]. Although, the reduction could be due differences in socio-economic status, between the households with and without presence of flies. The study by Fink and colleagues found children living in households with latrines with water seals
had lower odds of diarrhoea than children in households with basic/improved pit latrine or no latrine. So taken together these findings suggest that access to improved sanitation with water seal technologies might be better in reducing diarrhoea independent of confounding. In this observational study we cannot establish causality as there may be residual confounding due to unmeasured confounding factors. Moreover we did not measure fly density to understand the underlying mechanism by which flush latrines with water seals prevent diarrhoea disease transmission. Further studies with randomised intervention trial might help us better understand this issue.

Appropriate solid waste disposal was found to be associated with lower prevalence of diarrhoea in this study as well as in other studies conducted in different contexts [76, 77]. This may suggest that in this setting, factors like waste disposal might be playing important role in reducing diarrhoeal disease transmission.

Our analysis has some important limitations. This analysis used data from both intervention and control households. It is possible that the intervention area had more improved sanitation and less diarrhoea and there by attenuating the effect of sanitation on diarrhoea. However the intervention did not have any effect on diarrhoea or access to sanitation [61], moreover in our analysis intervention status did not change the effect of sanitation on diarrhoea when adjusted for one variable at a time (Data not shown).

It is possible that households with access to improved sanitation as defined by the MDG were still exposed to diarrhoea causing pathogens from the faecal material of their neighbour [78] if their neighbours have unimproved or no access to a latrine. Infectious diseases are transmitted in both private and public domain [79, 80]. But we do not have data on neighbourhood sanitation to disentangle the effect of improved sanitation given the neighbourhood context. However these findings emphasize that household sanitation access alone may not be a good predictor of diarrhoeal disease in this context.
An important limitation of this study is lack of data on microbiological drinking water quality [64] and nutritional [81-83] status of the child. These are important determinant of diarrhoea and could be a potential confounder. Future studies should collect data on these important determinants of diarrhoea.

The findings from this analysis suggest that in the context where diarrhoea is endemic access to improved onsite sanitation may not be sufficient in reducing diarrhoea disease transmission among children less than five years of age. Additional sanitation related factors such as latrine cleanliness, child faeces disposal, presence of water seal may be necessary in separating human faeces from human contact. Future research to see how these sanitation factors interact with each other in reducing diarrhoeal disease transmission might help us to decide the focus of future sanitation intervention and indicators of international monitoring of sanitation. In rural context where sanitation facility is shared among neighbours or extended family members sharing may not pose additional risk of diarrhoea. However apart from concerns related to health risk associated with shared sanitation there are concerns from a human rights perspective that has to be considered if shared facilities are to be considered as improved for the Sustainable Development Goals. Intervention to improve sanitation may not be sufficient in reducing diarrhoea, so we may need to combine intervention to improve hand hygiene, food hygiene, water quality and nutritional status. Future studies to see combined effect of intervention may be relevant for policy makers to decide how sanitation can be combined with other interventions to achieve maximum health benefit.
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5.10 References


64. Luby, S.P., et al. *Microbiological contamination of drinking water associated with subsequent child diarrhoea*. 2015 (Accepted for publication, Undergoing revision).


Figure 5.1: Directed acyclic graph (DAG) showing general child level/household level; water, sanitation, and hygiene related exposure variables and diarrhoea disease transmission.
Table 5.1: Classification of sanitation used for international monitoring [20].

<table>
<thead>
<tr>
<th>MDG</th>
<th>JMP sanitation ladder</th>
<th>JMP technology type*</th>
<th>Technology type*</th>
<th>Sanitation technology</th>
</tr>
</thead>
<tbody>
<tr>
<td>Open</td>
<td>Open defecation</td>
<td>Open defecation</td>
<td></td>
<td>Defecation in fields, forests, bushes, bodies of water or other open spaces, or disposal of human faeces with solid waste.</td>
</tr>
<tr>
<td>Unimproved</td>
<td></td>
<td></td>
<td></td>
<td>Facilities that do not ensure hygienic separation of human excreta from human contact.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Unimproved facilities include</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• pit latrines without a slab or platform</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>• hanging latrines/Bucket latrines.</td>
</tr>
<tr>
<td></td>
<td>Unimproved</td>
<td>Unimproved</td>
<td>Improved</td>
<td>Facilities that ensure hygienic separation of human excreta from human contact. They include:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Improved-Non flush pit latrine with slab</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Shared improved</td>
<td>Improved</td>
<td>With water seal</td>
<td>• Improved-flush or pour-flush latrine/latrine to</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>– piped sewer system</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>– septic tank</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>– pit latrine</td>
</tr>
<tr>
<td>Improved</td>
<td>Private improved</td>
<td>Improved Without water seal</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*Ignoring sharing of facility
Table 5.2: Household characteristic in rural Bangladesh, 2007 (N=995).

<table>
<thead>
<tr>
<th>Variable</th>
<th>n</th>
<th>Percent or mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean Number of household (HH) residents</td>
<td>995</td>
<td>5.6</td>
</tr>
<tr>
<td>Mean Number of children age &lt;5 years</td>
<td>995</td>
<td>1.3</td>
</tr>
<tr>
<td>Female &lt;5 children</td>
<td>505</td>
<td>51</td>
</tr>
<tr>
<td>Mothers with no formal education</td>
<td>286</td>
<td>29</td>
</tr>
<tr>
<td>Fathers with no formal education</td>
<td>347</td>
<td>35</td>
</tr>
<tr>
<td>Father’s occupation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Farmer/homemaker</td>
<td>247</td>
<td>25</td>
</tr>
<tr>
<td>Day labour, Rickshaw puller</td>
<td>288</td>
<td>29</td>
</tr>
<tr>
<td>Skilled worker</td>
<td>93</td>
<td>9.5</td>
</tr>
<tr>
<td>Working abroad</td>
<td>68</td>
<td>6.8</td>
</tr>
<tr>
<td>Salaried employee</td>
<td>109</td>
<td>11</td>
</tr>
<tr>
<td>Business owner</td>
<td>176</td>
<td>18</td>
</tr>
<tr>
<td>House construction</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tin roof†</td>
<td>905</td>
<td>91</td>
</tr>
<tr>
<td>Cement floor†</td>
<td>88</td>
<td>8.8</td>
</tr>
<tr>
<td>Brick walls†</td>
<td>98</td>
<td>10</td>
</tr>
<tr>
<td>Mean number of rooms†</td>
<td>996</td>
<td>2.2</td>
</tr>
<tr>
<td>Household with electric connection†</td>
<td>459</td>
<td>46</td>
</tr>
<tr>
<td>Proportion who owned</td>
<td></td>
<td></td>
</tr>
<tr>
<td>House†</td>
<td>930</td>
<td>93</td>
</tr>
<tr>
<td>Wardrobe†</td>
<td>286</td>
<td>29</td>
</tr>
<tr>
<td>Radio†</td>
<td>210</td>
<td>21</td>
</tr>
<tr>
<td>Bicycle†</td>
<td>258</td>
<td>26</td>
</tr>
<tr>
<td>Mobile phone†</td>
<td>309</td>
<td>31</td>
</tr>
<tr>
<td>Black and white television†</td>
<td>190</td>
<td>19</td>
</tr>
<tr>
<td>Colour television†</td>
<td>90</td>
<td>9.1</td>
</tr>
<tr>
<td>Sewing machine†</td>
<td>62</td>
<td>6.2</td>
</tr>
<tr>
<td>Refrigerator†</td>
<td>23</td>
<td>2.3</td>
</tr>
<tr>
<td>Motor cycle†</td>
<td>23</td>
<td>2.3</td>
</tr>
<tr>
<td>Mean number of items owned</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tables†</td>
<td>995</td>
<td>1.1</td>
</tr>
<tr>
<td>Chairs†</td>
<td>995</td>
<td>2.2</td>
</tr>
<tr>
<td>Watches/clocks†</td>
<td>995</td>
<td>1.4</td>
</tr>
<tr>
<td>Beds†</td>
<td>995</td>
<td>0.9</td>
</tr>
<tr>
<td>Inexpensive sleeping cots†</td>
<td>995</td>
<td>1.3</td>
</tr>
<tr>
<td><strong>Mean acres of agricultural land</strong></td>
<td>995</td>
<td>0.88</td>
</tr>
<tr>
<td><strong>Mean acres of non-agricultural land</strong></td>
<td>995</td>
<td>0.19</td>
</tr>
<tr>
<td>Have access to a latrine</td>
<td>918</td>
<td>92</td>
</tr>
<tr>
<td>Have shared access to a latrine</td>
<td>400</td>
<td>44</td>
</tr>
<tr>
<td>Individually owned a latrine</td>
<td>518</td>
<td>56</td>
</tr>
<tr>
<td><strong>Source of water for drinking</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shallow tube well</td>
<td>805</td>
<td>81</td>
</tr>
<tr>
<td>Deep tube well</td>
<td>96</td>
<td>10</td>
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<tr>
<td>Individually owned source of drinking water</td>
<td>306</td>
<td>31</td>
</tr>
<tr>
<td><strong>Cooking Fuel</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wood</td>
<td>249</td>
<td>25</td>
</tr>
<tr>
<td>Crop residue/grass</td>
<td>611</td>
<td>61</td>
</tr>
<tr>
<td>Dung</td>
<td>127</td>
<td>13</td>
</tr>
</tbody>
</table>

†Included to calculate wealth quintile.
Table 5.3: Distribution of latrine characteristics in rural Bangladesh according to different classification of sanitation (N=995).

<table>
<thead>
<tr>
<th>Classification</th>
<th>Sanitation Type</th>
<th>n</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>JMP sanitation technology type</td>
<td>Improved</td>
<td>786</td>
<td>79</td>
</tr>
<tr>
<td></td>
<td>Unimproved</td>
<td>132</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>No facility</td>
<td>77</td>
<td>8</td>
</tr>
<tr>
<td>Sanitation technology type (Modified JMP)</td>
<td>Improved with a water seal</td>
<td>233</td>
<td>23</td>
</tr>
<tr>
<td></td>
<td>Improved without a water seal</td>
<td>553</td>
<td>56</td>
</tr>
<tr>
<td></td>
<td>Unimproved</td>
<td>132</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>No facility</td>
<td>77</td>
<td>8</td>
</tr>
<tr>
<td>JMP sanitation ladder</td>
<td>Private improved</td>
<td>425</td>
<td>43</td>
</tr>
<tr>
<td></td>
<td>Shared improved</td>
<td>361</td>
<td>36</td>
</tr>
<tr>
<td></td>
<td>Unimproved</td>
<td>132</td>
<td>13</td>
</tr>
<tr>
<td></td>
<td>Open defecation</td>
<td>77</td>
<td>8</td>
</tr>
<tr>
<td>MDG sanitation type</td>
<td>Improved</td>
<td>425</td>
<td>43</td>
</tr>
<tr>
<td></td>
<td>Unimproved</td>
<td>570</td>
<td>57</td>
</tr>
</tbody>
</table>
Table 5.4: Univariable relationship between sanitation, water and hygiene related variables and diarrhoea among children < 5 years of age in rural Bangladesh, 2007-2009 (N=26,097)\(^*\).

<table>
<thead>
<tr>
<th>Exposure (^*)</th>
<th>No. (%) monthly visits with diarrhoea</th>
<th>PR (^†)</th>
<th>95% CI (^‡§)</th>
<th>P value (^§)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>With exposure</td>
<td>Without exposure</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sanitation type</td>
<td>Based on technologies (N=24,029)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved with a water seal</td>
<td>5,984 (25)</td>
<td>589 (9.8)</td>
<td>589 (9.8)</td>
<td>1</td>
</tr>
<tr>
<td>Improved without a water seal</td>
<td>14,464 (60)</td>
<td>1,624 (11.2)</td>
<td>589 (9.8)</td>
<td>1.14</td>
</tr>
<tr>
<td>Unimproved</td>
<td>3,581 (14)</td>
<td>397 (11.1)</td>
<td>589 (9.8)</td>
<td>1.16</td>
</tr>
<tr>
<td>JMP technologies (N=24,029)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>20,448 (85)</td>
<td>2,213 (10.8)</td>
<td>2,213 (10.8)</td>
<td>1</td>
</tr>
<tr>
<td>Unimproved</td>
<td>3,581 (15)</td>
<td>397 (11.09)</td>
<td>2,213 (10.8)</td>
<td>1.05</td>
</tr>
<tr>
<td>JMP sanitation ladder (N=26,097)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private improved</td>
<td>11,213 (43)</td>
<td>1,142 (10.2)</td>
<td>1,142 (10.2)</td>
<td>1</td>
</tr>
<tr>
<td>Shared improved</td>
<td>9,235 (35)</td>
<td>1,071 (11.6)</td>
<td>1,142 (10.2)</td>
<td>1.04</td>
</tr>
<tr>
<td>Unimproved</td>
<td>3,681 (14)</td>
<td>397 (11.1)</td>
<td>1,142 (10.2)</td>
<td>1.07</td>
</tr>
<tr>
<td>Open defecation</td>
<td>2,068 (8)</td>
<td>194 (9.4)</td>
<td>1,142 (10.2)</td>
<td>1.08</td>
</tr>
<tr>
<td>MDG (N=26,097)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved</td>
<td>11,213 (43)</td>
<td>1,142 (10.2)</td>
<td>1,142 (10.2)</td>
<td>1</td>
</tr>
<tr>
<td>Unimproved</td>
<td>14,884 (57)</td>
<td>1,662 (11.2)</td>
<td>1,142 (10.2)</td>
<td>1.05</td>
</tr>
<tr>
<td>Other sanitation variables</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dirty latrine (N=20,448)</td>
<td>12,634 (61)</td>
<td>720 (9.2)</td>
<td>1,493 (11.8)</td>
<td>1.15</td>
</tr>
<tr>
<td>Sharing of latrine (N=24,029)</td>
<td>10,192 (42)</td>
<td>1,190 (11.7)</td>
<td>1,420 (10.3)</td>
<td>1.06</td>
</tr>
<tr>
<td>Child defecation site</td>
<td>N</td>
<td>Mean</td>
<td>Standard Deviation</td>
<td>P Value</td>
</tr>
<tr>
<td>---------------------------------------</td>
<td>-----</td>
<td>------</td>
<td>--------------------</td>
<td>---------</td>
</tr>
<tr>
<td>In a latrine</td>
<td>3,712 (13)</td>
<td>329 (9.6)</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>In potty/nappy</td>
<td>1,630 (6)</td>
<td>131 (9.0)</td>
<td>329 (9.55)</td>
<td>0.90</td>
</tr>
<tr>
<td>No specific place (open)</td>
<td>22,501 (81)</td>
<td>2,344 (11.1)</td>
<td>329 (9.55)</td>
<td>1.18</td>
</tr>
</tbody>
</table>

### Household and child characteristics

(N=26,097)

<table>
<thead>
<tr>
<th></th>
<th>N</th>
<th>Mean</th>
<th>Standard Deviation</th>
<th>P Value</th>
<th>Confidence Interval</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mother’s education &gt;0 years</td>
<td>19,712 (71)</td>
<td>1,843 (10.1)</td>
<td>961 (12.4)</td>
<td>0.87</td>
<td>0.77, 0.98</td>
</tr>
<tr>
<td>Father’s Education &gt;0 years</td>
<td>18,185 (65)</td>
<td>1,676 (9.9)</td>
<td>1,128 (12.3)</td>
<td>0.92</td>
<td>0.82, 1.03</td>
</tr>
<tr>
<td>Presence of water and soap at handwashing station</td>
<td>14,335 (52)</td>
<td>1,392 (10.3)</td>
<td>1,412 (11.2)</td>
<td>0.91</td>
<td>0.82, 1.02</td>
</tr>
<tr>
<td>Store drinking water in fully covered container</td>
<td>5,938 (21)</td>
<td>516 (9.5)</td>
<td>1,305 (11.2)</td>
<td>0.94</td>
<td>0.84, 1.05</td>
</tr>
<tr>
<td>Has appropriate solid waste disposal system</td>
<td>845 (3)</td>
<td>66 (8.1)</td>
<td>2,738 (10.8)</td>
<td>0.75</td>
<td>0.63, 0.89</td>
</tr>
<tr>
<td>Appropriate water drainage system</td>
<td>11,762 (42)</td>
<td>1,081 (9.7)</td>
<td>1,723 (11.5)</td>
<td>0.94</td>
<td>0.82, 1.07</td>
</tr>
<tr>
<td>Exposed to WATSAN intervention</td>
<td>13,015 (50)</td>
<td>1,418 (10.9)</td>
<td>1,386 (10.6)</td>
<td>1.08</td>
<td>0.78, 1.49</td>
</tr>
<tr>
<td>Male child</td>
<td>12,687 (49)</td>
<td>1,377 (10.9)</td>
<td>1,427 (10.6)</td>
<td>1.09</td>
<td>1.00, 1.20</td>
</tr>
<tr>
<td>Number of &lt; 5 child in a house</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 child &lt;5 years of age</td>
<td>16,994 (65)</td>
<td>1,746 (10.3)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 child &lt;5 years of age</td>
<td>7,785 (30)</td>
<td>853 (11.0)</td>
<td>1,746 (10.3)</td>
<td>1.05</td>
<td>0.93, 1.20</td>
</tr>
<tr>
<td>3 child &lt;5 years of age</td>
<td>1,092 (4)</td>
<td>173 (15.8)</td>
<td>1,746 (10.3)</td>
<td>1.09</td>
<td>0.78, 1.53</td>
</tr>
<tr>
<td>4 child &lt;5 years of age</td>
<td>226 (1)</td>
<td>32 (14.2)</td>
<td>1,746 (10.3)</td>
<td>1.94</td>
<td>1.53, 2.47</td>
</tr>
<tr>
<td>Number of &lt; 5 child continuous</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;1 &lt;5 child in same household</td>
<td>9,103 (35)</td>
<td>1,058 (11.6)</td>
<td>1,746 (10.3)</td>
<td>1.07</td>
<td>0.95, 1.23</td>
</tr>
<tr>
<td>Age &lt;2 years</td>
<td>9,614 (37)</td>
<td>1,287 (13.4)</td>
<td>1,517 (9.2)</td>
<td>1.43</td>
<td>1.26, 1.63</td>
</tr>
<tr>
<td>Year 2 surveillance (vs. Year 1)</td>
<td>13,410 (51)</td>
<td>1,094 (8.2)</td>
<td>1,710 (13.5)</td>
<td>0.61</td>
<td>0.51, 0.71</td>
</tr>
<tr>
<td>Month since initiation of surveillance</td>
<td>0.97</td>
<td>0.96, 0.98</td>
<td>&lt;0.001</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------------------------</td>
<td>------</td>
<td>-------------</td>
<td>--------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exclusive breast feeding last 24 hours (N=8,889)</td>
<td>904 (10)</td>
<td>114 (12.6)</td>
<td>1,079 (13.5)</td>
<td>0.92</td>
<td>0.72, 1.17</td>
</tr>
<tr>
<td>Wealth index quintile</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poorest</td>
<td>5,232 (20)</td>
<td>562 (10.7)</td>
<td>562 (10.7)</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>Lower middle</td>
<td>5,221 (20)</td>
<td>725 (13.9)</td>
<td>562 (10.7)</td>
<td>1.15</td>
<td>0.95, 1.38</td>
</tr>
<tr>
<td>Middle</td>
<td>5,220 (20)</td>
<td>588 (11.3)</td>
<td>562 (10.7)</td>
<td>1.07</td>
<td>0.89, 1.27</td>
</tr>
<tr>
<td>Upper middle</td>
<td>5,222 (20)</td>
<td>445 (8.5)</td>
<td>562 (10.7)</td>
<td>0.85</td>
<td>0.72, 1.01</td>
</tr>
<tr>
<td>Richest</td>
<td>5,202 (20)</td>
<td>448 (9.3)</td>
<td>562 (10.7)</td>
<td>0.95</td>
<td>0.77, 1.16</td>
</tr>
</tbody>
</table>

*Some variable has different denominators. In those cases denominators are presented next to the name of the variable in column 1.

†Prevalence Ratio
‡95% Confidence Interval
§Adjusting for clustering at village level
|| Water Sanitation and Hygiene intervention
Table 5.5: Multivariable relationship between sanitation, water and hygiene related variables and diarrhoea among children under 5 years of age in rural Bangladesh 2007-2009 (N=26,097).

<table>
<thead>
<tr>
<th>Exposure*</th>
<th>Crude† prevalence ratio (95% confidence interval)</th>
<th>P value†</th>
<th>Adjusted‡ prevalence ratio (95% confidence interval)</th>
<th>P value‡</th>
</tr>
</thead>
<tbody>
<tr>
<td><em><em>Sanitation type: technologies</em>§ (N=24,029)</em>*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved with a water seal</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Improved without a water seal</td>
<td>1.14 (0.98, 1.33)</td>
<td>0.09</td>
<td>1.11 (0.94, 1.30)</td>
<td>0.21</td>
</tr>
<tr>
<td>Unimproved: Pit latrine without slab/hanging latrine</td>
<td>1.16 (0.92, 1.46)</td>
<td>0.21</td>
<td>1.10 (0.86, 1.40)</td>
<td>0.46</td>
</tr>
<tr>
<td>Sharing a latrine (Vs non-shared latrines)</td>
<td>1.06 (0.96, 1.117)</td>
<td>0.27</td>
<td>1.05 (0.95, 1.16)</td>
<td>0.35</td>
</tr>
<tr>
<td>Male child (Vs female child)</td>
<td>1.09 (1.00, 1.20)</td>
<td>0.05</td>
<td>1.11 (1.01, 1.21)</td>
<td>0.04</td>
</tr>
<tr>
<td>&lt;2 years of age (Vs 2 years and above)</td>
<td>1.43 (1.26, 1.63)</td>
<td>&lt;0.001</td>
<td>1.42 (1.25, 1.62)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Presence water and soap at handwashing station (Vs no soap and/or water)</td>
<td>0.91 (0.82, 1.02)</td>
<td>0.12</td>
<td>0.95 (0.84, 1.07)</td>
<td>0.38</td>
</tr>
<tr>
<td>Has appropriate solid waste disposal system (Vs no solid waste disposal system)</td>
<td>0.75 (0.63, 0.89)</td>
<td>0.001</td>
<td>0.78(0.65, 0.95)</td>
<td>0.01</td>
</tr>
<tr>
<td>Mother’s education &gt;0 years (s any formal education)</td>
<td>0.87 (0.77, 0.98)</td>
<td>0.03</td>
<td>91 (79, 1.03)</td>
<td>0.15</td>
</tr>
<tr>
<td><strong>Wealth index quintile</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poorest</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lower middle</td>
<td>1.15 (0.95, 1.38)</td>
<td>0.15</td>
<td>1.15 (0.94, 1.40)</td>
<td>0.19</td>
</tr>
<tr>
<td>Middle</td>
<td>1.07 (0.89, 1.27)</td>
<td>0.45</td>
<td>1.08 (0.89, 1.31)</td>
<td>0.42</td>
</tr>
<tr>
<td>Upper middle</td>
<td>0.85 (0.72, 1.01)</td>
<td>0.07</td>
<td>0.89 (0.72, 1.09)</td>
<td>0.25</td>
</tr>
<tr>
<td>Richest</td>
<td>0.95 (0.77, 1.16)</td>
<td>0.59</td>
<td>1.04 (0.80, 1.35)</td>
<td>0.79</td>
</tr>
<tr>
<td><em><em>Sanitation type: JMP technology</em>§ (N=24,097)</em>*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Unimproved technology (vs. Improved technology)</td>
<td>1.05 (0.86, 1.29)</td>
<td>0.61</td>
<td>1.01 (0.82, 1.25)</td>
<td>0.89</td>
</tr>
</tbody>
</table>
### Sanitation type: JMP sanitation ladder *(N=26,097)*

<table>
<thead>
<tr>
<th>Sanitation Type</th>
<th>Prevalence Ratio (95% CI)</th>
<th>P Value</th>
<th>Prevalence Ratio (95% CI)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private improved</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shared improved</td>
<td>1.04 (0.93, 1.17)</td>
<td>0.476</td>
<td>1.01 (0.90, 1.13)</td>
<td>0.90</td>
</tr>
<tr>
<td>Unimproved</td>
<td>1.07 (0.87, 1.33)</td>
<td>0.514</td>
<td>1.001 (0.80, 1.25)</td>
<td>0.99</td>
</tr>
<tr>
<td>Open defecation</td>
<td>1.08 (0.88, 1.32)</td>
<td>0.469</td>
<td>1.00 (0.81, 1.23)</td>
<td>0.98</td>
</tr>
</tbody>
</table>

### Sanitation type: MDG *(N=26,097)*

<table>
<thead>
<tr>
<th>Sanitation Type: MDG</th>
<th>Prevalence Ratio (95% CI)</th>
<th>P Value</th>
<th>Prevalence Ratio (95% CI)</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unimproved sanitation (vs. private improved sanitation)</td>
<td>1.05 (0.94, 1.18)</td>
<td>0.377</td>
<td>1.00 (0.89, 1.13)</td>
<td>0.94</td>
</tr>
</tbody>
</table>

* Among households that has access to any latrine.
† Adjusting for clustering at village level. P value for comparing prevalence of diarrhoea among households grouped according to different household characteristics.
‡ Adjusting for clustering at village level and all the other variable presented in the table.
§ Separate multivariate model for each classification of sanitation as primary exposure variable.
Table 5.6: Multivariable relationship between sanitation, water and hygiene related variables and diarrhoea among children under 5 years of age, restricted to household with access to improved technology as defined by JMP (N=20,448).

<table>
<thead>
<tr>
<th>Exposure</th>
<th>Crude prevalence ratio (95% confidence interval)</th>
<th>P value*</th>
<th>Adjusted prevalence ratio (95% confidence interval)</th>
<th>P value†</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improved latrine with water seal (vs. latrine without water seal)</td>
<td>1.16 (0.99, 1.35)</td>
<td>0.06</td>
<td>1.09 (0.92, 1.29)</td>
<td>0.33</td>
</tr>
<tr>
<td>Shared improved latrine (vs. Private improved latrine)</td>
<td>1.04 (0.93, 1.18)</td>
<td>0.46</td>
<td>1.00 (0.89, 1.13)</td>
<td>0.94</td>
</tr>
<tr>
<td>Dirty latrine (Vs clean latrine)</td>
<td>1.15 (1.01, 1.30)</td>
<td>0.04</td>
<td>1.09 (0.96, 1.25)</td>
<td>0.20</td>
</tr>
<tr>
<td>Male child (Vs female child)</td>
<td>1.13 (1.02, 1.25)</td>
<td>0.02</td>
<td>1.12 (1.01, 1.24)</td>
<td>0.03</td>
</tr>
<tr>
<td>&lt;2 years of age (Vs 2-5 years of age)</td>
<td>1.44 (1.25, 1.66)</td>
<td>&lt;0.001</td>
<td>1.45 (1.26, 1.67)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Presence of water and soap at handwashing station (Vs absence of sop and/or water)</td>
<td>0.93 (0.81, 1.05)</td>
<td>0.24</td>
<td>0.94 (0.83, 1.07)</td>
<td>0.35</td>
</tr>
<tr>
<td>Has appropriate solid waste disposal system (Vs no solid waste disposal)</td>
<td>0.71 (0.59, 0.86)</td>
<td>0.001</td>
<td>0.71 (0.58, 0.87)</td>
<td>0.001</td>
</tr>
<tr>
<td>Mother’s education &gt;0 years (Vs any formal education)</td>
<td>0.89 (0.74, 0.99)</td>
<td>0.04</td>
<td>0.89 (0.76, 1.04)</td>
<td>0.14</td>
</tr>
<tr>
<td>Wealth index quintile</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Poorest</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lower middle</td>
<td>1.13 (0.92, 1.39)</td>
<td>0.25</td>
<td>1.14 (0.92, 1.41)</td>
<td>0.24</td>
</tr>
<tr>
<td>Middle</td>
<td>1.07 (0.87, 1.29)</td>
<td>0.55</td>
<td>1.12 (0.92, 1.38)</td>
<td>0.25</td>
</tr>
<tr>
<td>Upper middle</td>
<td>0.83 (0.67, 1.01)</td>
<td>0.07</td>
<td>0.90 (0.72, 1.13)</td>
<td>0.37</td>
</tr>
<tr>
<td>Richest</td>
<td>0.94 (0.76, 1.18)</td>
<td>0.61</td>
<td>1.04 (0.79, 1.37)</td>
<td>0.78</td>
</tr>
</tbody>
</table>

* Adjusting for clustering at village level using generalised estimating equation (GEE) [51] with a robust standard error estimator with the village as the cluster variable
† Adjusting for clustering at village level and all the other variable presented in the table
Chapter 6: Discussion

This chapter summarizes the key findings, discusses strengths and limitations, explains how this research has contributed to the knowledge base in the sector and considers the implications for policy and future research.

The aim of the study was to further our understanding of the importance of sanitation quality and coverage, in protecting health. A cross sectional study to assess the association between sanitation quality and microbiological faecal contamination of households was conducted. To explore the effect of neighbourhood sanitation coverage on faecal contamination of the household environment sanitation coverage among neighbouring households within 20 metres of a target household we measured. An analysis to assess the relationship between type of sanitation facility and childhood diarrhoea using secondary data from a health impact evaluation was conducted. In carrying out this study the issue of identifying feasible and valid indicators of faecal contamination had to be confronted. Following a pilot of several methods contamination of sentinel toys and children’s hands by indicator organisms as indicators of household faecal contamination was ultimately used. The thesis thus additionally contributes to the knowledge base relating to what type of microbiological indicator and site should be considered in measuring household faecal contamination in the rural low-income country context.

International monitoring of sanitation helps to understand needs of countries, informs policy and facilitates implementation of policies to improve services. Worldwide there is a wide variety of sanitation facilities [1]. This variation can affect not only user experience but also the extent to which faeces are contained and contamination prevented [2-5]. For international monitoring of sanitation this variation is a challenge. The WHO/UNICEF Joint monitoring Programme (JMP) categorizes sanitation facilities as improved and unimproved based on the technology used by the household. For the Millennium Development Goal (MDG) target related to sanitation, shared facilities are considered unimproved regardless of the sanitation category [6, 7]. Now that the MDG era is coming to an end the
related indicators and definitions are being modified for monitoring progress towards the post 2015 Sustainable Development Goals (SDGs). There is discussion about whether to consider improved but shared sanitation categories as improved facilities for the SDG target if the facility is shared by a limited number of households (five households or 30 people). Ideally, for international monitoring sanitation would be classified on the basis of evidence for its relative effectiveness in isolating human excreta from the environment and delivering health benefits, but this evidence base is weak.

Sanitation quality was classified using a variety of existing definitions used for international monitoring, as the intention was also to comment on the public health significance of these definitions. To define sanitation quality, four different definitions of sanitation were used that considered the technology categorisation and number of households using the facility. The categorisation included: a) JMP technology classification of ‘improved’ and ‘unimproved’; b) segregated JMP improved technology classification of ‘improved with water seal’ and ‘improved without water seal’; c) JMP sanitation ladder with four groups including ‘private improved’ ‘shared improved’ ‘unimproved’ and ‘open defecation’; and d) Binary MDG classification of ‘private improved’ and ‘unimproved’ (Figure 6.1) [6, 7].

6.1 Key findings

Households with flush or pour flush latrines connected to pits or tanks and households with basic pit latrines with slabs (JMP improved) had no less faecal contamination than those with poorer quality latrines (JMP unimproved). However, households with private (not shared) flush or pour flush latrines connected to pits or tanks or with private pit latrines with slabs had somewhat lower levels of contamination than households with access to poorer quality and/or shared sanitation, independent of potentially confounding household characteristics.

Increasing neighbourhood coverage with good quality (JMP improved) sanitation (flush or pour flush latrines connected to pits or tanks or basic pit latrines with slabs) or good quality (JMP improved), private sanitation was not associated with a decrease in faecal contamination in target households.
Other household characteristics that were associated with higher levels of faecal contamination on sentinel toys included cleanliness of latrine, presence of animal faeces, having a mother with no formal education, lacking an appropriate water drainage system and study site. Contamination of children’s hands was associated with visible cleanliness of hands and child’s activity prior to sample collection. However contamination of children’s hands was not associated with any of the variables related to sanitation (household sanitation access or coverage in the neighbourhood).

There was no association between sanitation characteristics (either technology or sharing) and diarrhoea prevalence in children less than five years of age.

Other household characteristics that were associated with lower diarrhoea prevalence included having a mother with any formal education, and having an appropriate solid waste disposal system.

6.2 Interpretations of key findings

In this rural context, with multiple source of household faecal contamination, variation in sanitation infrastructure did not explain variation in faecal contamination or diarrhoeal disease. Neighbourhood level sanitation coverage was not found to be an important determinant of household faecal contamination. Private use and cleanliness of latrine was associated with lower faecal contamination. But these factors were not associated with any reduction in the prevalence of diarrhoeal disease. Taken together these findings suggest that onsite sanitation access may have limited effect in hygienically separating human faeces from human contact and thereby reducing transmission of diarrhoea-causing enteric pathogens. There may be several possible explanations for limited effectiveness of sanitation access. First, there may be other sanitation-related factors (such as cleanliness of latrine, presence of water seal and safe child’s faeces disposal) that are necessary in hygienically separating human excreta from human contact and reducing transmission of diarrhoea-causing enteric pathogens. Second, ensuring separation of human excreta from human contact at household level is not sufficient in reducing
transmission of diarrhoea causing enteric pathogens. Other routes of transmission like food, drinking water and hands may need to be targeted simultaneously. In addition nutritional status may also need to be improved. Third, it also possible that the measure of household faecal contamination used in the study is not a good indicator of the reduction in household faecal contamination associated with sanitation.

The reason access to improved (JMP) sanitation is not associated with reduced faecal contamination and diarrhoea is possibly because firstly, access to sanitation alone is not sufficient to separate human faeces from human contact. In this study, even access to sanitation facilities with a water seal was no better at reducing faecal contamination and diarrhoea compared to improved sanitation facilities without water seal. Presence of a water seal may prevent flies from breeding within the latrine and may reduce fly numbers and thereby provide protection from one route of faecal contamination within household environment [8]. This may provide additional evidence that provision of sanitation infrastructure may not be enough to prevent household faecal contamination and diarrhoea. The limited impact of provision of improved (JMP) sanitation on faecal contamination and diarrhoea found in this study is supported by a recent study that presents a pooled estimate of Demographic and Health Surveys (DHS) conducted between 2003 and 2013 [9], though in contrast, a study conducted in Indonesia found improved sanitation (JMP) to be protective against diarrhoea [10]. But the inconsistency in the findings could be due to difference in country context [9] or due to variation in important confounders such as soap use for handwashing and water quality. Similarly, a study conducted in Kenya found access to improved (JMP) sanitation to be associated with reduction in faecal contamination of hand contact surfaces within the toilet but the same study found the level of faecal contamination in the toilet was not correlated with faecal contamination of household surfaces [11]. In this study, household faecal contamination was measured using the sentinel toy method, which is more likely to capture the contamination within the household rather than the latrine.

There may be several sources of household faecal contamination, such as poor cleanliness of the toilet, poor maintenance of facility, unsafe disposal of
children’s faeces [11, 12] that access to good quality sanitation alone cannot prevent. In this study, private use was associated with lower contamination of sentinel toys, even after adjusting for the effect of wealth, mother’s education, presence of animal faeces, presence of appropriate water and solid waste disposal system, visible cleanliness of hands and nail (proxy for hand hygiene), study site and time of data collection, among others. Findings from observational studies suggest that washing hands with soap is effective in removing microorganisms from hands [39, 70-72] and therefore an important determinant of household faecal contamination. In this study presence of soap and water at a handwashing station was not associated with faecal contamination of toy ball in the univariable analysis. So this was not included as a potential confounder to be included in the multivariable analysis. But since visible cleanliness of hand was associated with faecal contamination of hand, this was used a proxy for hand hygiene and included in the multivariable analysis as a potential confounder. However, in this observational study we cannot exclude the possibility that there may be residual confounding due to unmeasured household characteristics (such as general cleanliness of the household, family members attitude and practices towards cleanliness of the household, general hygiene practices of the household members) that may influence faecal contamination. These factors are difficult to measure but may be important predictors of household faecal contamination.

In this study lower faecal contamination of the toy ball was also associated with absence of animal faeces, mother’s education, and presence of appropriate water drainage and study site. In this study wealth was associated with lower faecal contamination of the toy ball in the unadjusted analysis, so it is an important confounder. Therefore wealth was included in the multivariate analysis to adjust or its effect. But Faecal contamination of the household environment is actually influenced by underlying, unmeasured, broader, social, economical, cultural and environmental differences [30, 73]. The confounding factors considered here are only proxy for these underlying unmeasured broader factors. It is possible that access to an improved latrine and absence of animal faeces, mother’s education, and presence of appropriate water drainage are all proxy measures of these unmeasured
differences and hence associated with faecal contamination. A two-arm, randomised, controlled trial in which households in one arm receive improved sanitation with private use and households in the other arm receive improved sanitation with shared use, could help better understand this issue.

Sharing a latrine may have a harmful effect due to issues related to cleanliness, maintenance, over use or lack of fulltime access. Moreover cleanliness of latrine was also associated with lower contamination of sentinel toys. In this study, sanitation access (exposure) was measured prior to outcome (faecal contamination) measurement so reverse causality is less likely to be an issue. Nonetheless the findings suggest that these factors related to maintenance and use of sanitation facilities, may be important in hygienically separating human faeces from human contact. These factors were also found to be important predictors of diarrhoea in previous studies [2, 3, 13-16].

Although in this study private use and cleanliness of latrine were associated with reduction in faecal contamination, these factors were not associated with reduced diarrhoea prevalence. This may be because the faecal contamination and health outcome studies were conducted in slightly different settings and at different times. Therefore some of the difference in effect could be due to social, cultural and environmental differences between the study site and time, as observed in previous studies of sanitation [9, 16]. Moreover, the inconsistency could be due to the degree of measurement error in assessing sanitation. Depending on the degree of measurement error, the misclassification bias would lead to underestimation of the effect of sanitation on faecal contamination or diarrhoea. In addition, the indicator organisms are only weakly associated with presence of enteric pathogens [17, 18]. As a result, presence of indicator organisms is likely to be weakly associated with diarrhoea disease. Moreover in the context of this study, the population is likely to develop some degree of immunity to common circulating enteric pathogens. This may attenuate the relationship between microbiological indicators of faecal contamination and diarrhoea [19]. Therefore, even if sanitation may be associated with contamination by indicator organisms, it may not be associated with diarrhoea. It is possible that in this context none of these factors are sufficient alone to prevent
faecal contamination to a degree that would prevent diarrhoea. Maybe a combination of these factors is necessary. In a previous study conducted in Bangladesh it was found that households that had access to sanitation facilities with a water seal and had no visible faeces in the premises had lower level of household faecal contamination [20].

The second reason for the limited effect of sanitation access may be that in this setting other routes of transmission are more important. In similar setting microbiological quality of drinking water was associated with diarrhoea [21]. Furthermore, in a recent systematic review it was found that intervention to improve water quality at point of use may reduce diarrhoea by at least around a quarter [22]. In the study presented in chapter five, presence of soap and water was associated with small and statistically insignificant reduction in diarrhoea. But evidence from a recent systematic review suggests that handwashing promotion among communities in low and middle income countries (LMICs) prevents around one-quarter of diarrhoea episodes [23]. Exclusive breast feeding has been recommended as an important diarrhoea prevention strategy [24-26]. Malnutrition has been also identified as important determinant of diarrhoea [27-29] although in the secondary data analysis presented in chapter six data on nutritional status was not included. Take together these findings suggest that in this setting, diarrhoea disease can only be prevented by interventions that address more than one transmission pathways. A recent study has identified that during the past ten years sanitation or water have only been effective in reducing diarrhoea if they were combined [9]. Further studies to look at the combined effect of these factors on faecal contamination and diarrhoea would be informative.

In this study, household waste disposal was found to be associated with 25% reduction of diarrhoea with limited effect of confounding. This finding is consistent with two previous studies [31, 32]. However the mechanism by which household waste disposal reduces diarrhoeal disease risk is not well known. It is possible that solid waste disposal is a proxy indicator for general cleanliness of the household member and household, which could not be captured in this observational study. Although in the observational study causality could not be established but the
findings highlight the importance of considering factors other than sanitation in reducing transmission of diarrhoea causing enteric pathogens.

The third reason for the limited effect of sanitation on faecal contamination observed in this study could be the choice of measure of household faecal contamination. Toy contamination has been found to be associated with several sanitation-related factors including, household sanitation, presence of animal faeces, cleanliness of children’s hands and presence of an appropriate water drainage system, suggesting that the level of toy ball contamination is likely to be a reasonable proxy of household sanitation and hygiene. Previous studies have also found toy ball contamination to be linked to household sanitation [20, 33, 34]. A reduction in the microbiological contamination levels on toys is a proximal indicator of household contamination that a child may encounter in comparison to other exposure pathways such as surface and fomites. Toy balls might be more directly exposed to the household environment than water. Hands may be a closer indicator of level of contamination that the child may encounter however, hand contamination [35] data are likely to be more variable because of variation in handwashing practices. In this study, hand contamination was not found to be associated with any of the variables related to sanitation, suggesting that random hand contamination may not be a good indicator of household sanitation. Compared to hands, toy balls are less subject to frequent washing. Further studies with experimental study design might help us to better understand the utility of sentinel toys as a proxy for household faecal contamination.

6.3 Strengths and Limitations of the research

In this thesis, access to sanitation was the primary exposure of interest, so it was important to minimize any misclassification of sanitation as improved or unimproved due to error in coding sanitation facilities during data collection. The standard core questionnaire used by the JMP was used to collect data on sanitation status [36]. However there are concerns about reliability of these questions as there are many types of sanitation facilities available [36, 37]. In this study additional questions were added to the survey to cross check functionality of the sanitation
facilities. Moreover prior to the main data collection, the set of questionnaires to assess sanitation access was assessed for Inter-observer reliability. The questions to assess sanitation status were found to be highly reliable in the inter-observer reliability study. In addition extensive training on coding of sanitation with several field practices was provided, to ensure that all the data collectors could code the latrines correctly and reliably across different households. During the data collection, the principal investigator (Tarique Huda) and the field supervisors observed the data collection process in a random selection of at least 5% of households (6 HH per village) and conducted repeat spot-check in a (different) random selection of 5% of households (6 HH per village), to cross-check the coding of latrines extensively.

An important limitation of this study was the use of faecal indicator bacteria (FIB) to assess faecal contamination because presence of FIB may not be correlated with presence of viruses that may originate in human faeces. But presence of Coliphages indicate the presence of enteric viruses, and Clostridium perfringens, an obligate anaerobe, indicates presence of parasitic protozoan and enteric viruses [38]. So may be monitoring a suite of indicator organisms is more likely to be predictive of risk to human health.

Another important limitation of using FIB is that, FIB may have non-human origin and does not necessarily signify risks to human health [39-42] [43-46]. In a cross-sectional study conducted in India assessed faecal exposure via community water sources (N = 123) and in the home (N = 137) using human- and nonhuman-associated Bacteroidales microbial source tracking (MST) markers and faecal coliforms (FCs). Animal faecal markers were widely detected in both public and domestic domains, indicating ubiquitous risks of exposure to animal faeces and Zoonotic pathogens [47]. This makes presence of FIB bacteria an imprecise outcome indicator for sanitation. As a consequence the confidence intervals of the estimates becomes wider, making the results less likely to be statistically significant even if a true difference exists [48]. A range of microbial source tracking (MST) methods (genotypic, phenotypic, and chemical) are available that can be used to identify human/non-human sources of faecal pollution in the household environment [39,
But MST methods are time consuming, labour-intensive, and expensive (require costly laboratory equipment) [53]. As a result this may have limited feasibility in assessing the impact of large scale sanitation/hygiene programme in low income country context. [20]

It is possible that the overall level of faecal coliforms on the toy balls and hands might represent faecal coliforms originating from both human and animal faeces. Nevertheless in this study presence of faecal coliforms was associated with lack of access to flush latrine and inadequate latrine cleanliness (Chapter 3) after adjusting for the effect of presence of animal faeces, consistent with findings from similar settings [54]. There is evidence from small-scale observational studies suggesting that presence of FIB on hands and toys may be associated with household sanitation [42, 55-64]. Although our estimates may not represent the true contribution of sanitation in reducing human faecal contamination of toy balls, it could give some indication of reduction from overall faecal contamination.

6.4 Policy implications of the research

Based on the findings of this observational study conducted in rural areas in which diarrhoea is endemic, no conclusive recommendation regarding changes to policy in relation to classification of sanitation used for international monitoring can be provided.

Nevertheless, findings from observational study presented in this thesis add to the evidence base that does not support the inclusion of shared facilities as ‘improved’. Although in this study sharing a latrine was not associated with additional risk of diarrhoea, the shared latrines were found to be dirtier than individual latrines and associated with higher faecal coliform contamination. This suggests that even in a context in which a sanitation facility is shared among extended families or among acquaintances, there may still be concerns related to maintenance and use. Further research needs to be undertaken to understand the context in which shared sanitation is safe before considering shared sanitation as improved for international monitoring.
Apart from concerns related to health risk there other factors related to privacy and access, particularly for women and young children that also need to be considered. For example, in rural areas of Bangladesh families sharing the facilities may not have shared ownership. As a result, non owning families may not have continuous access to the latrine. But this scenario may be different for shared urban toilets in rented houses or public toilets. Sharing may have different implication in rural and urban areas, even if it is shared by families who know each other. For example, sharing a latrine among families that are renting their house in urban areas may have different level of access compared to household who share a latrine owned by an extended family member.

For the MDGs the definition of ‘improved sanitation’ focused on the provision of hardware. In the study reported here a limited effect of sanitation infrastructure on faecal contamination of the household environment was found. This may suggests that other sanitation, related factors such as maintenance of sanitation facility, use by all household members including children and faecal sludge management should be considered if intervention to improve sanitation is expected to provide maximum reduction in health risk.

Future interventions to prevent diarrhoea may need to target additional transmission routes such as food, water and hands.

The current sets of questions in national surveys to collect information on sanitation do not include questions to elucidate whether there is leakage in the latrine pit/tank. Therefore, future questions on sanitation could include the option for visual inspection of sanitation facility to collect detailed information on the sanitation infrastructure in order to minimise measurement error.

6.5 Conclusions

The experience of working towards achieving the Millennium Development Goals (MDG) related to sanitation have provided the international community with an opportunity to generate important knowledge regarding the strength and limitations of defining and monitoring access to sanitation. Now that the world has
adopted the Sustainable Development Goals (SDG), evidence-based changes in the
definition of improved sanitation will shape how low income countries improve the
health of their populations by ensuring adequate sanitation. The findings of this
thesis provide further evidence of limited effectiveness of sanitation infrastructure in
reducing household faecal contamination and diarrhoea in contexts in which
diarrhoea is endemic. The thesis provides further evidence that contamination of
study-introduced toy balls could be used as a proxy indicator of household faecal
contamination if found to be associated with health outcome in future studies. The
findings of this thesis also add to existing knowledge by providing evidence of the
potential adverse effects of access to shared sanitation on household faecal
contamination in the context of rural areas in which latrines are shared among
neighbours or acquaintances. Although this thesis has important limitations (such as
using faecal indicator bacteria which are likely to be an imprecise measure of human
faecal contamination as primary outcome) in the absence of convincing evidence
that shared sanitation provides similar protection to individual latrines, shared
facilities can only be considered improved if issues with maintenance can be tackled
effectively. More research needs to be undertaken to understand the challenges of
ensuring hygienic sanitation for un-served and underserved population, how to
address these challenges. We also need to increase research efforts to integrate
sanitation, water quality, handwashing and nutritional interventions and to
understand better ways to monitor the impact of these interventions on ensuring
better health and quality of life.
6.6 References


21. Luby, S.P., et al. Microbiological contamination of drinking water associated with subsequent child diarrhoea. 2015 (Accepted for publication, Undergoing revision ).
52. Mattioli, M.C., et al., Enteric pathogens in stored drinking water and on caregiver's hands in Tanzanian households with and without reported cases of child diarrhea. PLoS ONE, 2014. 9(1).


<table>
<thead>
<tr>
<th>No. of Using</th>
<th>Improved</th>
<th>Unimproved</th>
<th>Open defecation</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Household (HH)</td>
<td>2-5 HHs</td>
<td>6 or more HHs</td>
<td>Defecation in fields, forests, bushes, bodies of water or other open spaces</td>
</tr>
</tbody>
</table>

2. **JMP** Technology

<table>
<thead>
<tr>
<th>Improved</th>
<th>Unimproved</th>
<th>Open defecation</th>
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</thead>
<tbody>
<tr>
<td>With water seal</td>
<td>- Flush or pour-flush latrine to:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>o piped sewer system</td>
<td></td>
</tr>
<tr>
<td></td>
<td>o septic tank</td>
<td></td>
</tr>
<tr>
<td></td>
<td>o pit latrine with slab</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ventilated Improved pit latrine</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Composting latrine</td>
<td></td>
</tr>
<tr>
<td>Without water seal</td>
<td>- Pit latrine with slab</td>
<td></td>
</tr>
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</table>

3. **MDG**

<table>
<thead>
<tr>
<th>Improved</th>
<th>Unimproved</th>
<th>Open defecation</th>
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</thead>
<tbody>
<tr>
<td>Improved</td>
<td>Unimproved</td>
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</table>

4. **SDG**

<table>
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<th>Unimproved</th>
<th>Open defecation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Improved</td>
<td>Unimproved</td>
<td></td>
</tr>
</tbody>
</table>

**Figure 6.1: Comparing classification of sanitation used for international monitoring.** Note: JMP classification does not consider sharing status but for all the other definition sharing by different number of households are considered in the definition. For the classification 2, 3 and 4 some of the shared facilities are also considered to be unimproved even if the toilet is of improved technology. * The WHO/UNICEF Joint monitoring Programme (JMP) for water supply and sanitation, † Millennium Development Goal definition related to sanitation, ‡ Sustainable DevelopmentGoal definition of sanitation
### Appendix 1: Search terms used for comprehensive literature review.

#### Table 7.1: Search terms and strategy for Embase conducted on 15th October 2015

<table>
<thead>
<tr>
<th>Search strategy</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sanitation/ or environmental sanitation/ or sewage/ or sewage disposal/</td>
</tr>
<tr>
<td>2. (sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank</td>
</tr>
<tr>
<td>or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)).ab,ti.</td>
</tr>
<tr>
<td>3. diarrhea/dm, ep, pc or Enterobacteriaceae infection/ or Enterobacteriaceae/</td>
</tr>
<tr>
<td>4. (diarrh<em>ea or diarrh</em>eal disease* or waterborne infection* or waterborne illness* or</td>
</tr>
<tr>
<td>dysenter* or cholera or shigell* or cryptosporid* or salmonell* or escherichia or</td>
</tr>
<tr>
<td>campylobacter or cyclospor* or giardia* or rotavirus).ab,ti.</td>
</tr>
<tr>
<td>5. Microbial contamination/ or bacterium contamination/ or enterobacteriaceae/ or</td>
</tr>
<tr>
<td>coliform bacterium/ or faecal coliform/ or Escherichia coli/ or Streptococcus/ or</td>
</tr>
<tr>
<td>Enterococcus/ or enterococccaceae/</td>
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<tr>
<td>6. (((micro* or bacteria* or environment* or fecal or faecal or household or domestic</td>
</tr>
<tr>
<td>or home or water or hand or floor or surface or soil or toy or produce) adj3 (contamina* or</td>
</tr>
<tr>
<td>pollut* or hygiene)) or (water adj3 quality) or ((fecal or faecal or total or thermotolerant)</td>
</tr>
<tr>
<td>adj3 coliform) or Escherichia coli or E coli or streptococ* or enterococ* or</td>
</tr>
<tr>
<td>Enterobacteriaceae or heterotrophic plate count bacteria).ab,ti.</td>
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<tr>
<td>7. (quality or coverage or type or ladder or level or commun* or categor* or neighbourhood</td>
</tr>
<tr>
<td>or neighborhood).ab,ti.</td>
</tr>
<tr>
<td>8. ((sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank</td>
</tr>
<tr>
<td>or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)) adj (quality or</td>
</tr>
<tr>
<td>improv* or hygienic or coverage or type or ladder or level or commun* or categor* or</td>
</tr>
<tr>
<td>neighbourhood or neighborhood)).ab,ti.</td>
</tr>
<tr>
<td>9. ((quality or improv* or hygienic or coverage or type or ladder or level or commun* or</td>
</tr>
<tr>
<td>categor* or neighbourhood or neighborhood) adj (sanita* or latrine* or toilet* or water</td>
</tr>
<tr>
<td>closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or</td>
</tr>
<tr>
<td>faecal or fecal) adj disposal)).ab,ti.</td>
</tr>
<tr>
<td>10. (1 or 2) and (3 or 4)</td>
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<tr>
<td>11. (1 or 2) and (5 or 6)</td>
</tr>
<tr>
<td>12. (1 or 2) and 7 and (3 or 4)</td>
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<tr>
<td>13. (8 or 9) and (3 or 4 or 5 or 6)</td>
</tr>
<tr>
<td>14. JMP.mp.</td>
</tr>
<tr>
<td>15. 14 and 2</td>
</tr>
<tr>
<td>16. 10 or 11 or 12 or 13 or 15</td>
</tr>
<tr>
<td>17. Limit 16 to (human and English language and article and yr=&quot;2000-Current)</td>
</tr>
</tbody>
</table>
Table 7.2: Search terms and strategy for Global health conducted on 15th October 2015

<p>| | |</p>
<table>
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<tr>
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<tbody>
<tr>
<td>1</td>
<td>(sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)).ti.</td>
</tr>
<tr>
<td>2</td>
<td>(diarrh<em>ea or diarrh</em>eal disease* or waterborne infection* or waterborne illness* or dysenter* or cholera or shigell* or cryptosporid* or salmonell* or escherichia or campylobacter or cyclospor* or giardia* or rotavirus).ab,ti.</td>
</tr>
<tr>
<td>3</td>
<td>diarrhoea/</td>
</tr>
<tr>
<td>4</td>
<td>Microbial contamination/ or enterobacteriaceae/ or coliform bacteria/ or faecal coliforms/ or Escherichia coli/ or Streptococcus/ or Enterococcus/ or enterococcaceae/</td>
</tr>
<tr>
<td>5</td>
<td>(((micro* or bacteria* or environment* or fecal or faecal or houseold or domestic or home or water or hand or floor or surface or soil or toy or produce) adj3 (contamina* or pollution* or hygiene)) or (water adj3 quality) or ((fecal or faecal or total or thermotolerant) adj3 coliform) or Escherichia coli or E coli or streptococ* or enterococ* or Enterobacteriaceae or heterotrophic plate count bacteria).ab,ti.</td>
</tr>
<tr>
<td>6</td>
<td>1 and (2 or 3 or 4 or 5)</td>
</tr>
<tr>
<td>7</td>
<td>(sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)).ab,ti.</td>
</tr>
<tr>
<td>8</td>
<td>((quality or improv* or hygienic or coverage or type or ladder or level or commun* or categor* or neighbourhood or neighborhood) adj (sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal))).ab,ti.</td>
</tr>
<tr>
<td>9</td>
<td>((sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)) adj (quality or improv* or hygienic or coverage or type or ladder or level or commun* or categor* or neighbourhood or neighborhood)).ab,ti.</td>
</tr>
<tr>
<td>10</td>
<td>8 or 9</td>
</tr>
<tr>
<td>12</td>
<td>10 and (2 or 3 or 4 or 5)</td>
</tr>
<tr>
<td>13</td>
<td>JMP.mp. [mp=abstract, title, original title, broad terms, heading words, identifiers, cabicodes]</td>
</tr>
<tr>
<td>14</td>
<td>7 and 14</td>
</tr>
<tr>
<td>15</td>
<td>6 or 12 or 14</td>
</tr>
<tr>
<td>17</td>
<td>limit 15 to (english language and journal article and yr=&quot;2000 -Current&quot;)</td>
</tr>
</tbody>
</table>
Table 7.3: Search terms and strategy for Medline conducted on 15th October 2015

<table>
<thead>
<tr>
<th>Step</th>
<th>Search Terms and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>(sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or (excreta or faeces or feces or stool or faecal or fecal) adj disposal)).ti.</td>
</tr>
<tr>
<td>2</td>
<td>(diarrh<em>ea or diarrh</em>eal disease* or waterborne infection* or waterborne illness* or dysenter* or cholera or shigell* or cryptosporid* or salmonell* or escherichia or campylobacter or cyclospor* or giardia* or rotavirus).ab,ti.</td>
</tr>
<tr>
<td>3</td>
<td>diarrhea/dm, ep, pc or Enterobacteriaceae infection/</td>
</tr>
<tr>
<td>4</td>
<td>Microbial contamination/ or bacterium contamination/ or enterobacteriaceae/ or coliform bacterium/ or faecal coliform/ or Escherichia coli/ or Streptococcus/ or Enterococcus/ or enterococcaceae/</td>
</tr>
<tr>
<td>5</td>
<td>(((micro* or bacteria* or environment* or fecal or faecal or household or domestic or home or water or hand or floor or surface or soil or toy or produce) adj3 (contamina* or pollution* or hygiene)) or (water adj3 quality) or ((fecal or faecal or total or thermotolerant) adj3 coliform) or Escherichia coli or E coli or streptococ* or enterococ* or Enterobacteriaceae or heterotrophic plate count bacteria).ab,ti.</td>
</tr>
<tr>
<td>6</td>
<td>1 and (2 or 3 or 4 or 5)</td>
</tr>
<tr>
<td>7</td>
<td>(sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or (excreta or faeces or feces or stool or faecal or fecal) adj disposal)).ab,ti.</td>
</tr>
<tr>
<td>8</td>
<td>((quality or improv* or hygienic or coverage or type or ladder or level or commun* or categor* or neighbourhood or neighborhood) adj (sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal))).ab,ti.</td>
</tr>
<tr>
<td>9</td>
<td>((sanita* or latrine* or toilet* or water closet or privy or sewer* or sewage or septic tank or ((excreta or faeces or feces or stool or faecal or fecal) adj disposal)) adj (quality or improv* or hygienic or coverage or type or ladder or level or commun* or categor* or neighbourhood or neighborhood)).ab,ti.</td>
</tr>
<tr>
<td>10</td>
<td>(8 or 9) and (2 or 3 or 4 or 5)</td>
</tr>
<tr>
<td>11</td>
<td>JMP.mp. [mp=title, abstract, subject headings, heading word, drug trade name, original title, device manufacturer, drug manufacturer, device trade name, keyword]</td>
</tr>
<tr>
<td>12</td>
<td>7 and 11</td>
</tr>
<tr>
<td>13</td>
<td>6 or 10 or 12</td>
</tr>
<tr>
<td>14</td>
<td>limit 13 to (human and english language and yr=&quot;2000 -Current&quot;)</td>
</tr>
</tbody>
</table>
Appendix 2: Consent form and guideline for 3 hours observation

Informed consent form for 3 hours semi-structured observation

Project title: Role of sanitation in preventing contamination of the domestic environment and protecting health.

Investigator: Tarique Md. Nurul Huda

Part I: Information Sheet

Introduction

Hello (Assalamualaikum/Nomoshkar). My name is ________ and I work with the ICDDR,B (Cholera Hospital) in Dhaka. I am here to invite you to take part in a research study. You are free to decide whether or not to be in the study.

Purpose of the research:

The purpose of the study is to understand whether latrine quality is linked with household environmental contamination. This will help us understand how to improve health of under-5 children.

Procedure:

We are interested in the health of <5 children. Because you have a child under the age of 5, we would like to invite you to participate in this study. If you agree to participate in the study I will observe the activities of your child <5. I will also observe your general household activities. I will spend 3 hours in your household. I will stay in your household from 9 AM-11 AM. During the observation, you can carry on your usual daily routine, as if I was not present. I will not obstruct any of your daily activities. I also wish to ask you for the permission to take pictures. I might take pictures of different activities within your household. I will show you the pictures. If you agree, these pictures might be used as illustration of my observations in future presentations. If you do not want your face to be visible on the pictures, I will blur your face. This way nobody will be able to recognize you. I will also take some notes on paper.

Benefits:

There is no immediate benefit to you from this study. The study will help us better understand conditions in Bangladesh. This information may help to improve child health in future.

Costs and Compensation:

There is no cost to you for being in this study. You will not receive anything for being in the study.

Risks:

There is no risk from being in the study. We will only collect information. My presence in your home for several hours may be uncomfortable for you. But we do not expect any harm to come to you or your family because of the study.
Privacy:

We assure that the privacy of information identifying you will be strictly maintained. The information identifying you will only be accessible to me, my research team, the ethical Review Committee. Any information that is gathered about you and your family will be kept anonymous. All paper documents will be kept in a locked cabinet at ICDDR,B. The research team will have sole access to the locked cabinet. All digital data with personal identifiers will be maintained on secure systems protected by passwords. Your name and identity will not be used in reporting and presenting study findings, or in their publication in journals. We will use the information only for the purpose of research. In case of future use of the information collected from the study anonymous information may be supplied to other researchers. But this will not compromise with your privacy and anonymity.

Voluntary participation:

You are free to decide whether or not to be in the study. You are free to leave the study at any time. You do not have to give any reason for leaving the study. You will not lose any benefits for leaving the study. If you do take part in the study, you are free to refuse to answer any question. You do not have to give any reason for refusing to answer any questions.

Persons to Contact

If you have any question about this research study you may contact Mr. Tarique Md. Nurul Huda (Study Coordinator). His mobile number is 01772362311. His office number is 988-1761.

If you have questions about your right in the study, you may call Mr. M A Salam Khan, Committee coordination secretariat at 9886498. His office is located at 68, Shaheed Tajuddin Ahmed Sarani Mohakhali, Dhaka 1212.

Part II: Consent Form

The nature of the study has been explained to me. I have had the opportunity to ask questions about it. I understand what will be required of me and what will happen to me, if I take part. I understand that my participation in this study is voluntary. I understand that I do not have to answer any questions if I do not want. I understand that I can leave the study freely at any time. I understand that these conditions also apply to any children for whom I give consent to participate in the study. I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I agree to participate in the study (tick)

☐ I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I give my consent for pictures of me and my household facilities to be taken and used.

☐ I give my consent for all household members below the age of 18 years and for whom I am the parent of guardian to participate in the study. (Tick)
Name of the main caregiver_______________________________
Age_________Years

__________________________________________________  ___________________
Signature of the Investigator or his representative    Date
Observation guideline

**Project title:** Role of sanitation in preventing contamination of the domestic environment and protecting health.

**Objective of the observation:** To understand, where the <5 children are potentially exposed to pathogens that are washed or carried into their environment (Household surfaces /fomite)

Household identification

Date of observation / /  Time of Starting (24 hrs):

**Observation:**

1. Get an idea about the setting of the household and compound?
2. Get an idea about the cleanliness of different parts of the household/compound?
3. Get an idea about the daily routine of the child? What the child does in different time of the day?
4. Get an idea about animal movement in the household?
5. Get an idea about the place for different household activities?
6. Observe where the child spends his time? Where does the child go?
7. During different activities (During playing, roaming around) of the child what surfaces come in contact with the child’s hands?
   a. How often?
   b. What is the general cleanliness status of the place/surface?
   c. Where is the place in respect to latrine, tube well?
   d. What else happens in that place to get an idea about how clean that place is?
      i. Is it a place for defecation, cleaning, and other household activity?
      ii. Is there animal moving around, presence of animal faeces nearby?
8. During the observation time what objects comes in contact with the child’s hands and mouth?
9. Collect information of the object the child comes in contact/play with
   a. Identify/describe the place/object specifically
   b. How much time the child spends there?
   c. What else comes in contact with that object?
10. Use of cow dung in the households and in the cooking. How is child come in contact with any cow dung?

Time of finishing (24 hrs):
Appendix 3: Consent form and questionnaire for household questionnaire survey

Informed consent form for Household questionnaire survey

Project title: Role of sanitation in preventing contamination of the domestic environment and protecting health.

Part I: Information Sheet

Introduction

Hello (Assalamualaikum/Nomoshkar). My name is ________ and I work with the ICDDR,B (Cholera Hospital) in Dhaka. I am here to invite you to take part in a research study. You are free to decide whether or not to be in the study.

Purpose of the research:

The purpose of this study is to understand whether latrine quality is linked with household environmental contamination. This will help us understand how to improve health of children.

Procedure:

We are enrolling households with at least one child aged between 6 and 24 months. If you agree to participate in the study I will visit different parts of your household. At the end of observation I will ask some questions about your household routine and practices. It will take around 2 hours. I will also ask about your child’s health. I will take some notes on a tablet computer.

I also wish to ask you for the permission to take pictures. I might take some pictures of different facilities and activities of your household. I will show you the pictures that I will take. If you agree, these pictures might be shown as illustration in future presentations. If you do not want your face to be visible on the pictures I will blur your face, so that nobody can recognize you.

I will ask you to rinse your hands in a liquid of plastic bag. I will also ask your child to rinse his/her hands, similarly in a liquid of plastic bag. I will demonstrate the hand rinse procedure. After that I will take the plastic bags with the hands rinse liquid in it. We will test the hand rinse liquid in a lab. We are interested to see if there is any harmful germ in it.

Today, I will give your child a toy ball to play with. I will leave the ball overnight with your child. Tomorrow, I will come back to your household same time as today. I will rinse the ball in a liquid of plastic bag. After taking the toy rinse liquid I will return the ball to you for your child to keep.

When I return to rinse the ball after 24 hours, I will also ask you some question about what happened to the ball within the last 24 hours.
Benefits:

There is no immediate benefit to you from this study. The study will help us better understand conditions in Bangladesh. This information may help to improve child health in future.

Costs and Compensation:

There is no cost to you for being in this study. You will not receive anything for being in the study.

Risks:

There is no risk from being in the study. We will only collect information. My presence in your home for several hours may be uncomfortable for you. But we do not expect any harm to come to you or your family because of the study.

Privacy:

We assure that the privacy of information identifying you will be strictly maintained. The information identifying you will only be accessible to me, my research team, the ethical Review Committee. Any information that is gathered about you and your family will be kept anonymous. All paper documents will be kept in a locked cabinet at ICDDR,B. The research team will have sole access to the locked cabinet. All digital data with personal identifiers will be maintained on secure systems protected by passwords. Your name and identity will not be used in reporting and presenting study findings, or in their publication in journals. We will use the information only for the purpose of research. In case of future use of the information collected from the study anonymous information may be supplied to other researchers. But this will not compromise with your privacy and anonymity.

Voluntary participation:

You are free to decide whether or not to be in the study. You are free to leave the study at any time. You do not have to give any reason for leaving the study. You will not lose any benefits for leaving the study. If you do take part in the study, you are free to refuse to answer any question. You do not have to give any reason for refusing to answer any questions.

Persons to Contact

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Part II: Consent Form

The nature of the study has been explained to me. I have had the opportunity to ask questions about it. I understand what will be required of me and what will happen to me, if I take part. I understand that my participation in this study is voluntary. I understand that I do not have to answer any questions if I do not want. I
understand that I can leave the study freely at any time. I understand that these conditions also apply to any children for whom I give consent to participate in the study. I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I agree to participate in the study (tick)

☐ I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I give my consent for pictures of me and my household facilities to be taken and used.

☐ I give my consent for all household members below the age of 18 years and for whom I am the parent of guardian to participate in the study. (Tick)

Name of the main caregiver ________________________________
Age ________ Years

________________________________________  _________________
Signature of the Investigator or his representative    Date
HOUSEHOLD CROSS SECTIONAL SURVEY

Project title: Sanitation and faecal contamination of the domestic environment

Note: Ask these questions to the mother or the main caregiver of the child.

[এই প্রশ্নগুলো বাচ্চার মাকে অথবা মূল পরিচালকারীকে জিজ্ঞাসা করুন]

PART A: QUESTIONNAIRE

Section 1. Questionnaire identification

1.1 খানা নং (Household ID): ..................................................................................................

(Please follow the specific code sheet)

1.2 ইনস্ট্রুমেন্ট টাইপ [Instrument Type] (Code: Cross Sectional Survey=A1): ............................................

1.3 ক্লাস্টার নং [Cluster number (starting point number)]: ............................................................

1.4 জেলা নাম এবং কোড (District name & district geocode): ...........................................................

1.5 উপজেলা নাম এবং কোড (Upazila name & code): ........................................................................

1.6 ইউনিয়নের নাম (Union name):

1.7 ঠিকানা (Address):

add1 খানা প্রধানের নাম [Name of household head]: .................................................................

add2 খানা প্রধানের পিতা/খানার নাম [Father’s/husband’s name of HH head]: ..............................................

add3 বাড়ির নাম [Bari Name]: ................................................................................................................

add4 আগ্রাবাদের নাম [Village]: ........................................................................................................

add5 বাড়ির অবস্থান (নির্দিষ্ট করুন) [Location (specify)]: .................................................................

1.8 FRA নাম এবং কোড (FRA name & code): ................................................................................

1.9 তথ্য সংগ্রহের তারিখ (Date of data collection): DD/MM/YYYY

1.10 তথ্য সংগ্রহ শুরুর সময় (24 N:Uv) [Time of Starting (24 hrs)]: HH:MM

1.11 লাইভিং রুমের জিআইএস কোড নিবিড়তা নিবিড়ত [GIS coordinates of the entrance of the living room].

<table>
<thead>
<tr>
<th>Latitude</th>
<th>Longitude</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Section 2. Respondent and household demographics

2.1 [Name of respondent:] ...........................................................................................................

2.2 [Status of main respondent] ...............................................................................................

   1. [Mother of youngest child] .................................................................................................

   2. [Main-Male caregiver] ........................................................................................................

   3. [Main-Female caregiver] ....................................................................................................

2.3 [Age of main respondent: (in years)] ..................................................................................

2.4 [Sex of head of household (1=Male, 0=Female)] ............................................................

(Note: By household, I mean all the people that eat food from the same cooking pot)

2.5 Is the household head differently able? (1=Yes, 0=No)] ...................................................

2.6 [Age of household head: (in years)] .....................................................................................

2.7 [Education of mother of the target child (Years of education completed, DK=999)] ....

2.8 [Education of father of the target child<5 (Years of education completed, DK=999)] 

2.9 [Main occupation of father of the target] ............................................................................

Occupation Code:

1. কৃষক [Farmer/Cultivator]
2. পালাটি [Hosekeeper]
3. আগ্রী শ্রমিক [Agri-labor]
4. আগ্রী শ্রমিক ছাড়া [Non-agri labor]
5. সরকারী কর্মচারী (সরকারী/ইস্টেট/এনিমি.ও) [Salaried job (Govt./Private/NGO)]
6. রাজমিশ্র [Mason (Rajmistr)]
7. কাঠ মিশ্র [Carpenter]
8. ভাজারিকা [Van/Rickshaw puller]
9. ফিশারম্যান [Fisherman]
10. মানুষক কাপড়/মাঝি [Boatman]
11. মানুষক (পালক এনিমি) [Professional practitioner (Doctor/lawyer)]
12. পালোট্রি/ বাসার জন্য পুত্র লালন- পালনকারী [Poultry/livestock rearer]
13. বোকারী [Homoeopath]
14. আযায়ক চিকিৎসক/ কবরাজ/ ওহী [Spiritual healer/kabiraj/ Ojha]
15. পশুচারিতা কর্মচারী [Professional practitioner (Doctor/lawyer)]
16. ইমাম/ ধর্মিয়াজক (মামেমী) [Imam/priest]
17. অশ্বথার চাকুরিকা [Retired service holder]
18. ছাত্র [Student]
19. অচান্ত চাকুরিকা [Retired service holder]
20. অবসরপ্রাপ্ত আমালিয়া [Retired service holder]
21. বিদ্যালয়ের প্রশিক্ষক/ ডিপলমার [Professional practitioner (Doctor/lawyer)]
22. পোলিট্রি/ বাসার জন্য পুত্র লালন- পালনকারী [Poultry/livestock rearer]
23. বোকারী [Homoeopath]
24. আযায়ক চিকিৎসক/ কবরাজ/ ওহী [Spiritual healer/kabiraj/ Ojha]
25. পশুচারিতা কর্মচারী [Professional practitioner (Doctor/lawyer)]
26. ইমাম/ ধর্মিয়াজক (মামেমী) [Imam/priest]
27. অশ্বথার চাকুরিকা [Retired service holder]
28. ছাত্র [Student]
29. অচান্ত চাকুরিকা [Retired service holder]
30. বিদ্যালয়ের প্রশিক্ষক/ ডিপলমার [Professional practitioner (Doctor/lawyer)]
2.10. How many people in total live in your household at present? ...................................................................................................

Note: Household would be defined as cooking in the same pot regardless of number of living house/room.)]]

2.11. How many children less than five years old live in your household?

2.11.x Male………………………………..

2.11.y Female………………………….…

2.11.1 Include the information of the target child first. Then, list rest of the <5 children’s (youngest to old) information. Make sure you also keep a list of the child with the ID in your note book as the ID will be needed later in the questionnaire.

A. Child Name

B. Date of birth (DD/MM/YY)

C. Age in months

D. Gender

E. Motor milestone

[Blacksmith]
[Goldsmith]
[Potter (soil smith)]
[Shoe polisher/maker]
[Shopkeeper]
[Vendor (Feriwala/hawker)]

 POTTY trader, capital <=10000]

 [Business, capital >10000]

 [Tailor]

 [Driver]

 [Cottage industry]

 [Business, capital >10000]

 [Motor milestone]

 [Domestic maid /servant]

 [Landlord (Provide land for farmers for sharecropping or others)]

 [Staying abroad]

 [Died/untraced]

 [Begger]

 [Teacher]

 [Others (specify)]

 [Don’t know]
2.12 How many Households are there in your compound (Bari)?

(Examples: বাড়ি বলতে একটি উঠানে বসবাসের কারী হাজারা সদস্যদের বুঝানো হয়ে থাকে, সাধারণত রক্তের সম্পর্ক আছে এবং ৫-১২ টি কাঁটাকে একটি বাড়িতে বসবাস করে) (Note: Bari is comprised of a group of usually 5-12 households that share a common courtyard or have linked courtyard and are usually blood relatives.)

Section 3. Respondent’s Hand washing practices

3.1 When do you wash your hands with soap? (This is an open-ended question)

Note: After noting down the answers of this open-ended question, check appropriate code to the boxes below

<table>
<thead>
<tr>
<th>3.1.1</th>
<th>Before preparing food</th>
</tr>
</thead>
<tbody>
<tr>
<td>3.1.2</td>
<td>Before eating</td>
</tr>
<tr>
<td>3.1.3</td>
<td>After eating</td>
</tr>
<tr>
<td>3.1.4</td>
<td>Before feeding a child</td>
</tr>
<tr>
<td>3.1.5</td>
<td>After cleaning child’s anus</td>
</tr>
<tr>
<td>3.1.6</td>
<td>After disposal of child feces</td>
</tr>
<tr>
<td>3.1.7</td>
<td>After defecation</td>
</tr>
<tr>
<td>3.1.8</td>
<td>After handling cow-dung</td>
</tr>
<tr>
<td>3.1.9</td>
<td>After returning from outside compound</td>
</tr>
<tr>
<td>3.1.10</td>
<td>After cooking</td>
</tr>
<tr>
<td>3.1.11</td>
<td>After dish/crockery washing</td>
</tr>
</tbody>
</table>
3.1.12 After cutting/cleaning fish

3.1.13 After cleaning yard/household

3.1.14 After contacting with dirt

3.1.15 Before serving food

3.1.16 Never

3.1.17 Others (Specify)

3.2 Do you have soap available for hand washing?

**Code:**

Yes [1]  
No [0]  
DK [999]

**Skip Note:** If the answer to question 3.2 is 0 or 999 go to question 3.4

3.3 When do you think it is important to wash hands with soap? Open ended question. Multiple answers are allowed here.

**Note:** Don’t read the answer, encourage by asking if there is anything else until he/she mentions there in nothing else and check all mentioned?

Yes [1]  
No [0]  

3.4.1 Before preparing food

3.4.2 Before eating

3.4.3 After eating

3.4.4 Before feeding a child
3.4.5 After cleaning child’s anus
3.4.6 After disposal of child feces:
3.4.7 After defecation
3.4.8 After handling cow-dung
3.4.9 After returning from outside compound
3.4.10 After cooking
3.4.11 After dish/crockery washing
3.4.12 After cutting/cleaning fish
3.4.13 After cooking
3.4.14 After dish/crockery washing
3.4.15 After cutting/cleaning fish
3.4.16 After cleaning yard/household
3.4.17 After returning from outside compound
3.4.18 After contact with dirt
3.4.19 Before serving food
3.4.20 Others (Specify)

3.5 What have you done in the last half an hour? (Note: Do not read the answers. Probe, anything else? (Multiple answer possible))

- Prepared food [Mentioned] 1
- Ate foods [Not mentioned] 0
- Fed the child [Mentioned]
- Cleaned child anus [Mentioned]
- Disposed child’s faeces [Mentioned]
- Defecated [Mentioned]
- Handled cow dung [Mentioned]
- Handled agricultural products/crops [Mentioned]
- Returned from outside compound [Mentioned]
- Washed dishes [Mentioned]
- Cleaned household [Mentioned]
12. Handled animals [Handled animals] .................................................................

13. Washed clothes [Washed clothes] ...................................................................


777. Other: specify ................................................................................................

3.6 Did you wash your hand(s) within last half hour? ........................................

[Yes] ........................................ 1

[No] ............................................. 0 (3.10-এ কোন ক্ষিপক করন) [[Skip to question no 3.10]]

3.8 How many time(s) did you wash your hand(s) within last half hour? ...........

1. Right hand .......................................................... .................................

2. Left hand .......................................................... .................................

3. Both hands .......................................................... ...............................

3.9 What did you use to clean hands within last half an hour? (Use the code for the cleansing agents)]............................................................... .............................

1. Bar soap

2. Powdered detergent

3. Only water

4. Laundry soap

5. Ash

6. Mud

7. Liquid soap

777. Others (Specify)

3.10 What did your child do within the last half hour? ........................................

Mentioned ........................................ 1

Not mentioned .................................. 2

1. Slept

2. Ate

3. Played

4. Defecated

777. Others (Specify)
3.11 Did he/she wash hand(s) within last half an hour?

- Yes [ ]
- No [ ]

3.10.b What did the child do since starting of the interview?

- Mentioned [ ]
- Not mentioned [ ]

3.13 How many time(s) did he/she wash hand(s) within last half an hour?

1. Right hand [ ]
2. Left hand [ ]
3. Both hands [ ]

3.14 What did he/she use to clean hands after the following event(s) within last half an hour? (Use the code for the cleansing agents)

1. Bar soap [ ]
2. Powdered detergent [ ]
3. Only water [ ]
4. Laundry soap [ ]
5. Ash [ ]
6. Mud [ ]
7. Liquid soap [ ]

777. Other [ ]
2. ข้าวการ์ตูน [Ate] .................................................................☐
3. ที่นั่ง [Played] .................................................................☐
4. ปัสสาวะ [Defecated] ...........................................................☐
777. อื่น ๆ (ระบุ) [Others (Specify)] ............................................☐

3.11.b  Krankness ที่เกิดขึ้นก่อนเริ่มต้นการสัมภาษณ์ [Did he/she wash hand(s) since starting of the interview?]

[Yes] ........................................1
[No] ........................................0 (11.6 ครั้ง) [(Skip to question no 11.6)
[DK] .......................................999 (11.6 ครั้ง) [(Skip to question no 11.6)

3.13.b  Krankness ที่เกิดขึ้นก่อนเริ่มต้นการสัมภาษณ์ [How many time(s) did he/she wash hand(s) since starting of the interview?]

1. เขี่ยมือด้วย [Right hand] ...........................................................☐
2. เขี่ยวนิ้ว [Left hand] ...............................................................☐
3. เขี่ยวทั้งสอง [Both hands] ...........................................................☐

3.14.b  Krankness ที่เกิดขึ้นก่อนเริ่มต้นการสัมภาษณ์ [What did he/she use to clean hands after the following event(s) since starting of the interview? (Use the code for the cleansing agents)].......................... ......................................

1. เบอร์นิส [Bar soap]
2. ผงล้างจาน [Powdered detergent]
3. น้ำ [Only water]
4. สารล้างมือ [Laundry soap]
5. สารล้างมือ [Liquid soap]
6. สารล้างมือ [Other (Specify)]

11.6 ฉันไม่รู้ (คุณมีชื่อของ [Child’s name]) ถ้ามีหลายเด็กในคุณ ดังนี้ (ถ้าคุณมีเกิน 5 ชั่วโมง หรือเด็กที่อายุไม่ถึง 5 ชั่วโมง) [May I please look at [Child’s name] hands (if more than one under-5 children living in a household than observed and collect information on all of the children <5 )]

[Visible dirt] .................................................................1
[Unclean appearance] ........................................2
<table>
<thead>
<tr>
<th>Child ID</th>
<th>Child ID</th>
<th>Child ID</th>
<th>Child ID</th>
<th>Child ID</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
</tbody>
</table>

**a. হাতের নখ [Fingernails]**

**b. করতল [Palms]**

**c. আঙুলের সমুদ্রভাগ [Fingerpads]**

**[Collection of hands rinse sample]**

**[Instruction to data collector]:** Read to the mother the following instruction. I will now request you to help me to rinse your child’s hands in this bag (Show the mother the whirl-pak bag so that she can show the child). Please follow my instructions. (Demonstrate the mother hand rinse technique and ask her to help the child rinse hands following the standard operating procedure for hand rinse) Please take all the samples following the standard operating procedures (SOP).

3.15 **Is Collection of hands rinse sample complete?** ………………………………………………………………………………………………………………………………

[Yes] ..............................................1
No [No]. ..............................................0

**Toy Ball collection:**

**[Toy Ball collection: Instruction to data collector]:** Give the child the sentinel ball and tell the mother that he can play with the ball and you will come back tomorrow to rinse the ball in a similar bag used for hand and will return the Ball.
3.16 你能提供给小孩玩具球吗？[Is ball supplied to the child?]

……………………………………

是[Yes]…………………………1

否[No]…………………………0

3.17 提供玩具球的时机 [Time of supplying the toy ball]

……………………………………

3.19 最近一次降雨的时间在过去两周内？（如果在14天内未降雨，请在14之后加888）[When was the most recent time it rained in the past 2 weeks?(Code 888 if it did not rain within 14 days)]

………………………………………………………………………………………………………………………………………………………………

[days ago]

Section 4: Faeces disposal

4.1 成员们通常到哪里排便？（Note: 乌尔达塔克乌尔顿戈拉）[Where do the members of your household usually go for defecation? (Note: Read out the responses to the respondent)]

1. 野草[Open bush]
2. 野地[Open field]
3. 野草，河边/湖边/池塘边[Open, by the side of river/pond/lake]
4. 厕所[In a toilet]

4.2 成员们通常到哪里排便？（Note: 乌尔达塔克乌尔顿戈拉）[Where do you usually defecate?]

1. 野草[Open bush]
2. 野地[Open field]
3. 野草，河边/湖边/池塘边[Open, by the side of river/pond/lake]
4. 厕所[In a toilet]

4.3 成员们通常到哪里排便？（Note: 乌尔达塔克乌尔顿戈拉）[Where do other adults (18+) in the household usually defecate?]

1. 野草[Open bush]
2. 野地[Open field]
3. 野草，河边/湖边/池塘边[Open, by the side of river/pond/lake]
4. পায়োখানায় [In a toilet]

4.4 আপনার ঘরার <৩ বছর শিশু (টাইটুনি শিশু) সাধারণত কোথায় পায়োখানা করে? (২.১১ এবং এই থেকে শিশুর নাম ব্যবহার করুন) [Where do the <3 child in the household usually defecate? (Use name of the child from question 2.11)]

888. ধরেনজা নয় [Not Applicable]

999. জানি না / নির্দিষ্ট নয় [Don’t know / Not sure] ঘরার অন্য কেউ জানে কি না দেখুন [Probe to see if someone in the HH knows]

4.5 আপনার ঘরার ৩-৫ বছরের শিশু সাধারণত কোথায় পায়োখানা করে? [Where do the children aged 3-5 years usually defecate?]

<table>
<thead>
<tr>
<th>1. পাটি [Potty]</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2. নাপি/ডায়ের [Nappy / diaper]</td>
<td></td>
</tr>
<tr>
<td>3. উঠানে (পটি ছাড়া) [In the courtyard (without potty)]</td>
<td></td>
</tr>
<tr>
<td>4. ঘরের ভিতরে (পটি ছাড়া) [Inside the house (without potty)]</td>
<td></td>
</tr>
<tr>
<td>5. পায়োখানায়/টিয়াপানে [In Toilet / Latrine]</td>
<td></td>
</tr>
<tr>
<td>6. বিচ্ছুর্য/লেজ্জন [Bush / forest / field]</td>
<td></td>
</tr>
<tr>
<td>7. কোন নিদিষ্ট জায়গায় নয় [No specific place]</td>
<td></td>
</tr>
<tr>
<td>777. অন্যান্য (কর্ণা লিখুন) [Other (specify)]</td>
<td></td>
</tr>
</tbody>
</table>

888. ধরেনজা নয় [Not Applicable]

999. জানি না / নির্দিষ্ট নয় [Don’t know / Not sure] ঘরার অন্য কেউ জানে কি না দেখুন [Probe to see if someone in the HH knows]
4.6 Where do the children above 5 (up to 18 years) usually defecate?

1. Potty
2. Nappy/diaper
3. In the courtyard (without potty)
4. Inside the house (without potty)
5. In Toilet/Latrine
6. Bush/forest/field
7. No specific place
8. Other (specify)

888. Not Applicable

999. Don’t know/Not sure

Probe to see if someone in the HH knows

4.7 Where did you defecate the last time?

1. Open bush
2. Open field
3. By the side of river/pond/lake
4. In a toilet

4.8 When was the last time your youngest child/infant (<3 years) defecated?

1. Today
2. Yesterday
3. 2 or more days ago
4. Cannot remember
5. Refused

888. Not Applicable
4.9 Where did the child (<3 years) defecate the last time? [Do Not Read Responses]

1. Potty
2. Nappy / diaper
3. In the courtyard (without potty)
4. Inside the house (without potty)
5. In Toilet / Latrine
6. Bush / forest / field
7. No specific place
8. Other (specify)
9. Don’t know / Not sure

4.10 What was done with the faeces? [Do Not Read Responses]

1. Left there
2. Put / rinsed into toilet or latrine
3. Put / rinsed into drain or ditch
4. Rinsed near tubewell/pond
5. Thrown into the bush / forest / field
6. Thrown into garbage
7. Thrown into a specific pit for child’s faeces
8. Buried
9. Other (specify)

4.11 How did you handle the faeces? [Do Not Read Responses]

1. Hands only/bare hands
2. Hands cloth / paper / leaves / straw
3. কোচাল/যোনীর কৃষিকাজ-এর হাতিয়ার [Local agricultural hoe/instrument]
4. সেনি গঁথ [Sani-scoop]
5. কেনা কিছুই করা হয় না [Did nothing]

777. অন্যান্য (কর্ণনা লিখুন) [Other (specify)]

______________________________

999. জানি না / নিশ্চিত নয় [Don’t know / not sure]

4.12 আপনার শিশু (৩ -৫ বছরের ) সর্বশেষ কখন পায়ঘাট করেছে? [When was the last time your youngest child / infant (3-5)
defecated?]

1. আজ [Today]
2. পাঁকাল [Yesterday]
3. ২ দিন বা তার আগে [2 or more days ago]
4. মোনে করতে পারছি না [Cannot remember] ৪.১৬নং প্রশ্ন চলে যান (Skip to 4.16)
5. বলতে রাজি নয় [Refused] ৪.১৬নং প্রশ্ন চলে যান (Skip to 4.16)

888. অযোজন নয় [Not Applicable] ৪.১৬নং প্রশ্ন চলে যান (Skip to 4.16)

4.13 আপনার (৩-৫ বছর) শিশু সর্বশেষ কোথায় পায়ঘাট করেছে? [Where did the child 3 –5 years
defecate the last time?]

1. পাটি [Potty]
2. নাপ্পি/আঘাত [Nappy / diaper]
3. উঠানে (পাটি ছাড়া) [In the courtyard (without potty)]
4. ঘরের ভিতরে (পাটি ছাড়া) [Inside the house (without potty)]
5. পায়ঘাটার /লাটিন্স [In Toilet / Latrine] ৪.১৬নং প্রশ্ন চলে যান (Skip to 4.16)
6. কোপ-ঝাড়ে/ Jiang [Bush / forest / field] ৪.১৬নং প্রশ্ন চলে যান (Skip to 4.16)
7. কেনা নিবিড় জায়গায় নয় [No specific place]

777. অন্যান্য (কর্ণনা লিখুন) [Other (specify)]

______________________________

888. অযোজন নয় [Not Applicable]

999. জানি না / নিশ্চিত নয় [Don’t know / Not sure] খানার অন্য কেউ জানে কি না লেখুন
[Probe to see if someone in the HH knows] ৪.১৬ নং প্রশ্ন চলে যান (Skip to 4.16)
4.14 সেই পায়াখানা কি করা হয়েছিল? [What was done with the faeces?] [Do Not Read Responses]

1. যেখানে পায়াখানা করেছিল সেখানেই ফেলে রাখা হয়েছিল [Left there] 4.16 নং পশ্চাৎ চলে যান (Skip to 4.16)
2. ট্যাঙ্কের পায়াখানার ভিতরে ফেলা/ধোয়া হয়েছিল [Put / rinsed into toilet or latrine]
3. কোন পায়াখানার ভিতরে ফেলা/ধোয়া হয়েছিল [Put / rinsed into drain or ditch]
4. ট্যাঙ্কের, পুকুরের কাছে ধোয়া হয়েছিল [Rinsed near tubewell/pond]
5. কোপ-ঝড়ে/অপকল ফেলা হয়েছিল [Thrown into the bush / forest / field]
6. ময়লা অবর্জ্জনার মধ্যে ফেলা হয়েছিল [Thrown into garbage]
7. নির্দিষ্ট পার্কে ফেলা হয়েছিল [Thrown into a specific pit for child’s faeces]
8. মাটির নীচে পুড়ে ফেলা হয়েছিল [Buried]

999. অন্যান্য (বর্ণনা লিখুন) [Other (specify)]

4.15 সেই পায়াখানার আপনি কিভাবে পরিষ্কার করেছিলেন? [How did you handle the faeces?] [Do Not Read Responses]

1. হাতে [Hands only/bare hands]
2. হাতে/পোশাক/কাপড় [Hands and cloth / paper / leaves / straw]
3. সেদীশ্রেষ্ঠার কৃষিকাজ-এর হাতিয়ার [Local agricultural hoe/instrument]
4. সি-স্কোপ [Sani-scoop]
5. কোন কিছুই করা হয় না [Did nothing]

777. অন্যান্য (বর্ণনা লিখুন) [Other (specify)]

999. জানি না / নিশ্চিত নয় [Don’t know / Not sure]

4.16 অনেক বছরের ৫ বছরের বেশী বিশ্বাস করো কাছে পায়াখানা করেছে? [Where did the child above 5 years (up to 18 years) defecate the last time?]

1. পা [Potty]
2. নাপিটি/ডায়াপার [Nappy / diaper]
3. উঠানে (পাটী ছাড়া) [In the courtyard (without potty)]
4. ঘরের ভিতরে (পাটী ছাড়া) [Inside the house (without potty)]
5. পায়াখানায়/টোয়ালেট [In Toilet / Latrine]
6. কোপ-ঝড়ে/অপকল [Bush / forest / field]
7. कोन निम्नित जायचय नय [No specific place]

777. अन्यां (कर्मना निम्नु) [Other (specify)] ___________________________

888. झोयो नय [Not Applicable]

999. जानिना ना / निम्न किन नय [Don’t know / Not sure] खानार अन्य केऊ जानेकि ना देखुन [Probe to see if someone in the HH knows]

4.17 परिक्षार पार्याचा बाबराज उपकारता कि कि? (इत एकाट उत्तरकृ ष्ण प्रश्न) [Note: उत्तरुलो आनालाहें पर्ने धर्मादरक व अन्य नियत आखरते गाने धर्मा याके साधक उपकार वर्ता आने साधक करते हयहे नीमनो, आरो किछु आहे किन्हा आणि अन्य किछु (कृपया अन्यानाये 7 लिखुन:) [What are the benefits of using clean toilet? Note: Don’t read the answer, encourage by asking if there is anything else until he/she mentions there in nothing else and check all mentioned?]

उल्लेख करेंचे [Mentioned]..........................1

उल्लेख करनं [Not mentioned]...................0

1. डायरेक्टी कम हय [Less diarrhoea].................................................................

2. अन्य -किचुण डायरेक्टी कम हय (निम्नित कोन रोपा नाम बोलेणे) [Less illness (type of illness not specified)]........

3. राग जीवाणु कम [Less germs].................................................................

4. भालो ग्या (Smell better)........................................................................

5. बेशी गोपनीयता [More Privacy]..............................................................

6. सामाजिक मर्याला[Social Status ].............................................................

777. अन्यां: निम्नित कर हो लिखुन [Other: specify]..............................................

999. जानिना ना

[DK]................................................................................................................

4.18 आपनार जाना मेंटे, गड्ड 24 घण्टे एस खानार झालंबयक कोण सदस्या ठाणू जायगाते पार्याचा करेंचे कि? [Do you know if any adult in your household had to defecated open with last 24 hours?] ..................

हाँ [Yes].................................................................1

ना [No].................................................................0

जानिना ना [DK].................................................................999

4.19 आपनार जाना मेंटे, गड्ड 7 दिने एस खानार झालंबयक कोण सदस्या ठाणू जायगाते पार्याचा करेंचे कि? [Do you know if any adult in your household had to defecate open with last 7 days?]...........................

हाँ [Yes].................................................................1

ना [No].................................................................0
4.20 Among the Households in your compound how many has access to a latrine? [DK]=999

4.21 Among the Households in your compound individually uses a latrine?

Section 5: Household asset

5.1 Does your household (or any member of your household) have:

- Electricity [Electricity] ..........................................................................................................
- Number of Almirah or wardrobe [Number of Almirah or wardrobe] ...........................................
- Number of tables [Number of tables] ....................................................................................
- Number of chair or bench [Number of chair or bench] ............................................................
- Number of watch or clock [Number of watch or clock] .......................................................
- Number of khat [Number of khat] ........................................................................................
- Number of chouki [Number of chouki ] .................................................................................
- A radio that is working [A radio that is working] ..............................................................
- A B/W television that is working [A B/W television that is working] ...............................  
- A color television that is working [A color television that is working] ............................
- Refrigerator [Refrigerator] ........................................................................................................
- A bicycle (used for commercial purposes not toy for children) [A bicycle (used for commercial purposes not toy for children)] .................................................................
- A motorcycle [A motorcycle] ..............................................................................................
- A sewing machine [A sewing machine] ................................................................................
- Number of Mobile phones [Number of Mobile phones] .......................................................
- A land phone [A land phone] ..............................................................................................

5.2 How many rooms the households have (exclude bathroom and Kitchen)?

5.3 Status of living house

- Self-owned [Self-owned] .................................................................................................
- Rental [Rental] ..............................................................................................................
- Govt. land [Govt. land] .....................................................................................................
Skip Note-5.1: যদি কোড ২ নাহিব, তবে ৫.৫ - এখানে [If answer is not 2 then skip to 5.5]
5.4 যদি ৫.৩ - এর উপর ২ হয় (ভাড়া বাড়ি), তবে বসতিবাড়িটির আয়তন কি ২০০ বর্গফুট কম? [If 5.3 answer is 2 (rental) then do the area is less than 200 sq. ft.?]

Code: হ্যা [Yes] ................................................1
না [ No] ................................................0

5.5. আপনার খানায় রাত্রার জন্য প্রধানত কি ধরনের জ্বালানী ব্যবহার করা হয়? [What type of fuel does your household mainly use for cooking?]

কাঠ [Wood] .................................................................01
শস্যের অশ্রিটিংস্ক্যাটিংজাত [Crop residue / grass ] ......................02
কসম গোলর [Dung cakes ] ...........................................03
কয়লা [Coal / coke / lignite ] ...........................................04
কাঠ কয়লা [Charcoal ] ...............................................05
কেরোসিন [Kerosene ] ................................................06
বিধ্বাত [Electricity ] ...................................................07
করল গ্যাস/প্রাকৃতিক গ্যাস [Liquid gas / gas ] ..........................08
গ্যাস [Bio-gas/LPG gass] ............................................09
অন্যান্য[Other] ..........................................................777
(বর্ণনা লিখুন) [Specify other] __________________________
জানি না [Don’t know ] ................................................999

5.6 আপনার খানায় সদস্যদের কি কোন বসতিভূমি আছে? [Does your household own any homestead land?]

Code: হ্যা [Yes] .........................................................1
না [ No]. ...............................................................0
বলতে রাজি হয়নি [Refused] ..............................................666
জানি না [Don’t know]. ................................................999

Skip Note: ৫.২যদি ৫.৬ নং প্রশ্নের উত্তর ০/৬৬৬/৯৯৯ হয়, তবে ৫.৮এই প্রশ্নে চল যান। [If Answer of 5.6 is 2/666/999, skip to 5.8]

1 We need to set up a cut off area (200 sq feet) by practical demonstration during training and train FRAs according so that they can assess easily by observing the household whether the household area is less than 200 sq feet or not.
5.7 (৫.৬) এর উপর হয় হলে, খানার সদস্যদের বসত্ববাড়িতে মোট কতটুকু জমি আছে (ডেসিমেল)? [How much homestead land (decimal) does your household own?]..............................................................

জমির পরিমাণ কলে না পারলে ৯৯৯৯৯ বলান [If Answer of 5.7 is Don’t know please insert 99999]

পরিমাণ [AMOUNT] ___________ (একক নিউন্ট করে লিখুন) [SPECIFY UNIT]____________

পরিমাণ [AMOUNT] ___________ (একক নিউন্ট করে লিখুন) [SPECIFY UNIT]____________

5.8 আপনার খানা সদস্যদের কি বসত্বজ্ঞ ছাড়া অন্য কোন জমি আছে? [Does your household own any land, other than homestead land]?........

........................................................................

Code: হয় [Yes].................................................................1

না [ No]. .................................................................0

বলতে রাজি হয়নি [Refused]............................................666

জানি না [Don’t know]..................................................999

Skip Note: যদি ৫.৮নং ধাপের উপর ০/৬৬৬/৯৯৯ হয়, তবে ৫.১০নং ধাপে চলে যান। [If Answer of 5.8 is 0/666/999, skip to 5.10]

5.9 (৫.৭) হয় হলে, খানার সদস্যদের বসত্ববাড়িতে বাদে মোট কতটুকু জমি আছে (ডেসিমেল)? [How much land (decimal) does your household own (other than the homestead land)?]............................

জমির পরিমাণ কলে না পারলে ৯৯৯৯৯ বলান [If Answer of 5.9 is Don’t know please insert 99999]

পরিমাণ [AMOUNT] ___________ (একক নিউন্ট করে লিখুন) [SPECIFY UNIT]____________

পরিমাণ [AMOUNT] ___________ (একক নিউন্ট করে লিখুন) [SPECIFY UNIT]____________

5.10 আপনার মেট সামাজিক প্রেক্ষাপটে আপনার খানাটির অবস্থান কি রকম? [How would you describe your economic status].................................................................

☐

ধনী [Dhoni/Rich].................................................................1

উচ্চ মধ্যবিত্ত [Uchho modho bitto/Upper middle class].................2

মধ্যবিত্ত [Modho Bitto/Middle class]...........................................3

লামাডি [Doridro or Nimno motho bitto/Poor]..............................4

হতনালি [Hotodoridro/ Extreme Poor]........................................5
5.11 Do you use cow dung in the floor of living room?

[Yes].................................1
[No]......................................0

5.12 Do you use cow dung in the floor of kitchen room?

[Yes].................................1
[No]......................................0

5.13 Do you use cow dung for cooking?

[Yes].................................1
[No]......................................0

5.14 Do you have any domestic animal?

[Yes].................................................1
[No]. .............................….................0

5.15 (If yes what type (how many)?)

1. Goat
2. Cow
3. Chicken
4. Duck
5. Cat
6. Pigeon
7. Dog

[Specify other]_________________________

5.16 Have you observed any animal that is not domestic in your household within last 24 hours?

[Yes].................................1
[No]. .............................….................0
5.17 (5.16) এর উর্দু হাঁ হলে, কি ধরনের এবং কতগুলো? [If yes what type (how many)?]

1. ঘাতল [Goat].......................................................... 1
2. গরু [Cow].............................................................. 0
3. চুরিয়া [Chicken].................................................... 1
4. হাঁস [Duck] ........................................................... 0
5. বিড়ল [Cat] ........................................................... 0
6. ককুতর [Pigeon]..................................................... 1
7. বুকুর [Dog] ........................................................ 0
777. অন্যান্য [Other].................................................. 0

[Specify other] ______________________________________

Section 6: Reported diarrhea

Note: প্রশ্ন 2.11 অনুযায়ী খানার ৫ বছরের কম বয়সী শিশু চিহ্নিত করুন এবং নিচের প্রশ্নগুলোকে
প্রতীক শিশুর জন্য উত্তর লিপিবদ্ধ করুন। [Note: Identify all <5 child in the household according to ID given in question 2.11 and record answer to the following questions for each child.]

6.1 প্রতি ২ দিনে শিশুটির পাতলা পায়খানা ছিল কী? [Has the child had diarrhoea during the past two days?]................

(Note: ২৪ ঘণ্টার মধ্যে শিশুটির কমপক্ষে ৩ বা ততোধিক সংখ্যক বার পাতলা পায়খানা (যে পায়খানাকে পানির
পরিমাণ বেশি কিন্তু মল কম থাকে) হলে তাকে ডায়রিয়া বলা হয়। আমশায় বা রক্ত আমশায়কেও ডায়রিয়া বলা হয়
কারণ তাতে পানির পরিমাণ বেশি থাকে এবং তাতে শিশু বার বার পায়খানা করতে থাকে। যে পায়খানাতে পানির
পরিমাণ কম কিন্তু মলের পরিমাণ বেশি তা ২৪ ঘণ্টায় ৩ বা ততোধিকবার হলেও তাকে ডায়রিয়া বলা যাবে না।
যে বাছা অধ্যায় বুকের দুধ থাকে তাকে কখনো কখনো ২৪ ঘণ্টায় ৩ বা ততোধিকবার পাতলা এবং পেটের মত পায়খানা
করে থাকে, তাকে ডায়রিয়া বলা যাবে না) [Diarrhoea is the passage of unusually loose or watery
stools, usually at least three times in a 24 hour period. However, it is the consistency
of the stools rather than the number that is most important. Frequent passing of
formed stools is not diarrhoea. Babies fed only breast milk often pass loose, "pasty"
stools; this also is not diarrhoea. Mothers usually know when their children have
diarrhoea and may provide useful working definitions in local Situations (WHO,
2005)]

ঃ [Yes]................................................................................. 1
না [ No]............................................................................... 0
জানি না [Don’t know].......................................................... 999

1. <5 Child ID no 1 (Target child)........................................ 1
2. <5 Child ID no 2 .......................................................... 0
3. <5 Child ID no 3 .......................................................... 0
4. <5 Child ID no 4 .......................................................... 0
5. <5 Child ID no 5 .......................................................... 0

254
6.2 Has the child had diarrhoea during the past 1 week?

- Yes [1]
- No [0]
- Don’t know [999]

1. <5 Child ID no 1 (Target child) 
2. <5 Child ID no 2 
3. <5 Child ID no 3 
4. <5 Child ID no 4 
5. <5 Child ID no 5 

6.3 Has the child had diarrhoea during the past two weeks?

- Yes [1]
- No [0]
- Don’t know [999]

1. <5 Child ID no 1 (Target child) 
2. <5 Child ID no 2 
3. <5 Child ID no 3 
4. <5 Child ID no 4 
5. <5 Child ID no 5 

6.4 If 6.2 answer is 1, then how long did the diarrhoea last for? (N/A=888, DK= 999)

1. <5 Child ID no 1 (Target child) Hours Days 
2. <5 Child ID no 2 Hours Days 
3. <5 Child ID no 3 Hours Days 
4. <5 Child ID no 4 Hours Days 
5. <5 Child ID no 5 Hours Days
PART B: SPOT CHECKS

Section 7. Water-handling

7.1 [May I take a look around your home to look at some of the items related to water, sanitation, and hygiene?]

What is the source of the water that the household usually use for drinking? [(Ask the respondent to show you the source. Observe and ask question if necessary to identify the type of water source)]

<table>
<thead>
<tr>
<th>Source Type</th>
<th>Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Shallow tube well (&lt;250 feet)</td>
<td>01</td>
</tr>
<tr>
<td>Deep tube well (250+ feet)</td>
<td>02</td>
</tr>
<tr>
<td>Protected ring/dug well</td>
<td>03</td>
</tr>
<tr>
<td>Unprotected dug well</td>
<td>04</td>
</tr>
<tr>
<td>Shallow Tara pump</td>
<td>05</td>
</tr>
<tr>
<td>Deep Tara pump</td>
<td>06</td>
</tr>
<tr>
<td>Arsenic free treatment plant</td>
<td>07</td>
</tr>
<tr>
<td>Water from protected spring</td>
<td>08</td>
</tr>
<tr>
<td>Water from unprotected spring</td>
<td>09</td>
</tr>
<tr>
<td>Surface water:</td>
<td></td>
</tr>
<tr>
<td>Rainwater</td>
<td>10</td>
</tr>
<tr>
<td>Tanker truck</td>
<td>11</td>
</tr>
<tr>
<td>Cart with small tank</td>
<td>12</td>
</tr>
<tr>
<td>Pathogen treatment plant</td>
<td>13</td>
</tr>
<tr>
<td>Directly from River/dam/lake/ponds</td>
<td>14</td>
</tr>
<tr>
<td>Piped water into dwelling</td>
<td>15</td>
</tr>
<tr>
<td>Piped water into yard/plot</td>
<td>16</td>
</tr>
<tr>
<td>Public tap/stand pipe</td>
<td>17</td>
</tr>
<tr>
<td>Other: specify</td>
<td>777</td>
</tr>
</tbody>
</table>
7.1.1 提问者问及水井的经纬度坐标 [GIS coordinates of the source of drinking water].

<table>
<thead>
<tr>
<th>Latitude</th>
<th>Longitude</th>
</tr>
</thead>
</table>

7.2 问及水井的属性？（归属、类型）[(Ask and check): Ownership type of the water point]? .................................................................

- অধুনাত ঐ খানার [Only for the household] ......................... 1
- কর্যেকটি খানা মিলে/ অঝিকার [Shared] 2
- অন্য কেউ [Someone else] 3
- পাবলিক [Public] 4

7.3 问及水井的用户？（归属、类型）[(Ask and check): User of the water point] .................................................................

- অধুনাত ঐ খানার [Only for the household] ......................... 1
- কর্যেকটি খানা মিলে/ অঝিকার [Shared] 2
- পাবলিক [Public] 3

**Skip Note:** 你只在7.3问及水井的用户是1，则跳到7.5 [If answer of 7.3 is 1, skip to 7.5]

7.4 提问者问及水井的用户是2/3，则问及多少户共享此水井？（归属、类型）[(Ask and check): If 7.3 is 2/3, how many households sharing the water point]? .................................................................

7.5 谈及水井的使用时间？（你需要计算水井到客厅的所需时间）(How much time is needed to bring drinking water to the living room?) (Ask the respondent) .................

7.6 提问者问及水井的归属？（你通常饮用的水井来源是什么）（需要问及水井的类别）(What is the source of the water that usually used by the household for cooking foods (follow code list of 7.1))? (Ask the respondent to show you the source. Observe and ask question if necessary to identify the type of water source)] ........................................................................................................................................

7.7 提问者问及水井的归属？（你通常饮用的水井来源是什么）（需要问及水井的类别）(What is the source of the water that you usually use for bathing?) (follow code list of 7.1) (Ask the respondent to show you the source. Observe and ask question if necessary to identify the type of water source)

7.8 提问者问及水井的归属？（你通常饮用的水井来源是什么）（需要问及水井的类别）(What is the source of the water that usually used by the household for washing fruits and vegetables? (follow code list of 7.1) (Ask the respondent to show you the
source. Observe and ask question if necessary to identify the type of water source)............................................................................................

7.9 আপনার খালা বাসন থেকে আপনি প্রধান উৎস কী? (৭.১ এ দেয়া কোড লিস্ট থেকে কোড বাসন) [উত্তরদাতাকে পানির উৎস দেখাতে বলুন। প্রয়োজনে দেখুন ও প্রশ্ন করুন পানির উৎস যাচাই করুন।] [What is the source of the water that you usually use for washing utensils? (follow code list of 7.1)] (Ask the respondent to show you the source. Observe and ask question if necessary to identify the type of water source)

7.10 খানাটি সকল ধরনের কাজের জন্য সারা বছর পর্যাপ্ত পরিমাণে পানি পাওয়া কিনা? (ঝুঁকি করুন) (খাওয়ার জন্য, রান্নার জন্য, ফল-শুকুন এবং তরী-তরীকার খেয়াল জন্য) [Dose the household get enough water through the year for all purpose (Drinking, Cooking, Washing fruits & Vegetables)],[

| Yes | 1 |
| No  | 0 |

7.11 (7.1 এ উল্লেখিত) পানির উৎসের স্থানটি দেখতে পরিক্ষা ছিল কিনা? [Did the source of water point observe looked clean?]

Note: (পরিক্ষার অর্থ স্থানটিতে পানি জমে থাকবে না, চারপাশে পায়েখানা বা অন্য কোন মলা পড়ে থাকবে না) [Clean means no water logging, no feces besides, no dirt besides, etc.]

| Yes | 1 |
| No  | 0 |

7.12 পানির উৎসের স্থানটি দেখতে কোন ছিল? (Note: পানির উৎসটি(খাবার পানি) পর্যবেক্ষন করে নিমোক বিষয় গুলো সমস্তে তথা সংগঠন করন [How was the source of water point looking?] (Note: Observe the water point and note the following points)]

| Yes | 1 |
| No  | 0 |

Section 8: Waste disposal

8.1. খানাটিতে মলা/ অবরক্ষণ (তরল নয়) চোখের জন্য নিস্তে কোন হ্রাস রয়েছে কিনা? [Do the household has fixed place for solid waste disposal?]

| Yes | 1 |
| No  | 0 |

Refused | 666
Skip Note: যদি উত্তর ০ বা ৬৬৬ হয়, তবে ৮.৪-এ যান [If answer of 8.1 is 0 or 666, skip to 8.4.]

8.2 যদি ৮.১এর উত্তর ১ হয়, তাহলে সেটা কি ধরনের স্থান? [If 8.1 is 1 (yes), what kind of fixed place it is?]

8.3 সেখানে কিভাবে ময়লায় আর্বজনা ফেলার জায়গা খুঁজে পালন করা হয়? [How do the household dispose solid waste there?]

8.4. খামাটিতে কি কোন পানি নিষ্কাশন ব্যবস্থা আছে? [Do the household has any water drainage system?]

Skip Note: যদি উত্তর ০/৬৬৬ হয়, তবে সেক্সন ৯-এ যান [If answer of 8.4 is 0 or 666, skip to Section 9]
Section 9: Materials of the living household

9.1. ছাদ তৈরীতে কি কি উপাদান ব্যবহার করা হয়েছে? (পরিদর্শন করে যাচাই করুন) [Main material of the roof]…. □□

(Interviewer: Record your observation)

[Natural roof]
কাঠাঃ (বাঁশ/ঝাড়) [Kaccha (bamboo / thatch)] ............1

[Rudimentary roof]
টিন [Tin] .................................................................2

[Finished roof (pukka)]
সিমেন্ট/ কঁটাল টালি [Cement / concrete / tiled]......3
অন্যান্য লিখন [Other: Specify].........................................777

9.2. দেয়াল তৈরীতে কি কি উপাদান ব্যবহার করা হয়েছে? (পরিদর্শন করে যাচাই করুন) [Main material of the walls]...........□□

(Interviewer: Record your observation)

[Natural walls]
পাতা/বাঁশ/মাটি (কাঠা) [Jute / bamboo / mud (kaccha)] .....1

[Rudimentary walls]
কাঠ [Wood] ...........................................................................2

[Finished walls]
ইট/সিমেন্ট [Brick / cement] .................................................3
টিন [Tin] ...................................................................................4
অন্যান্য নির্দিষ্ট করে লিখন [Other: Specify]......................777

9.3. মুখে তৈরীতে কি কি উপাদান ব্যবহার করা হয়েছে? (পরিদর্শন করে যাচাই করুন) [Main material of the floor]..........................................................................................................................
Section 10: Sanitation

10.1.1 আপনার খানার সমস্ত সাধারণত কোন ধরনের পায়াখানা ব্যবহার করেন? [What kind of toilet facility do members of your household usually use?]

[Note: উত্তরদাতাকে পায়াখানাটি দেখাতে অনুরোধ করুন এবং পায়াখানাটি পর্যবেক্ষণ করার পর কোড করুন। যদি ফ্ল্যাশ অথবা পানি চেলে ফ্ল্যাশ করা পায়াখানা থাকে তাহলে ফ্ল্যাশকের বার্জ বোধ যায় তা ঘোষ/চেক করুন।]

[Flush or pour flush toilet flushed to] (Observe the slab and water seal containing water):

1. Piped sewer system
2. Septic tank
3. Flush to pit latrine (onsite/Off set) with slab and water seal
4. Unknown place/not sure/DK where
5. Pit latrine with slab & no water seal but with a lid
6. Ventilated Improved Pit (VIP) latrine
7. Composting toilet, (Composting toilet ensure separation of urine, water and excreta (vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water)
A composting latrine may or may not have a urine separation device.)

Flush-toilet: A toilet may or may not have a water seal/broken water seal and no lid]

Flushing or pour flush toilet connected to somewhere else (canal, ditch, river, etc.)

Pit latrine without slab/open pit

Hanging toilet/latrine

Bucket

Open defecation:

No facility/bush/field

Others: Specify

GIS coordinates of the source of drinking water.

Latitude

Longitude

Skip Note: If answer of 10.1 is 12, skip to 10.18

When was the most recent time this toilet was used?

[days ago]

Do you share this toilet facility with other households?

(Ask): how many households sharing the toilet facility?
10.2.4 How many people including children use this toilet? 

10.3 Ownership type of the Toilet? 

- Only for the household [1]
- Shared [2]
- Someone else [3]
- Public [4]

10.4 Path to the toilet suggests regular use (is clear, well-worn, without grass or any barriers etc.)

10.5 Observe the general exterior of the toilet

- Is there any superstructure on the toilet?
- Is there a door/curtain?
- Can an average sized adult use the toilet without being seen?
- Is there roof over the toilet?
- Is there a ventilation pipe?

10.6 Is there a cover on top of the ventilation pipe that protects the flies from going out?

10.7 What are the walls of the toilet mostly made of?

- Concrete
- Tin
- Bamboo/Mud
- Plastic
- Tree leaves
- Jute bag
- Straw
8. काठ [Wood]

888= প্রয়োজন নয় [Not applicable])

i) পাতল্যের ছাদ মূলত কি দিয়ে তৈরী? [What is the roof of the toilet mostly made of?]........

1. কঠিন [Concrete]
2. চিন [Tin]
3. বাঁশ [Bamboo]
4. প্লাস্টিক [Plastic]
5. গাছের পাতা [Tree leaves]
6. পাতের খাল [Jute bag]
7. খোড় [Straw ]

888= প্রয়োজন নয় [Not applicable])

10.6 টুলাটে থেকে ময়লা কোথায় যায়? (জিজ্ঞাসা করন এবং সন্ধ হলে পর্যবেক্ষণ করন) [Where does the waste from toilet go? (Ask and observe if possible)]....................................................................................

1. ময়লা "পাটনালিঙ্গন ব্যবস্থা" পাইপের মাধ্যমে যায় (জিজ্ঞাসা করন) [Waste drains to underground piped sewer system (Ask)] (১০.৮.১ নং প্রশ্ন চলো যান Skip to Q10.8.1)
2. ময়লা দুর্বল পিটের মাধ্যমে পড়েছে এবং সেখানেই থাকছে (উত্তরদাতা পিট তৈরীতে কঠিন বিং সংগ্রহে উল্লেখ করবে। পিটের উপরিভাগ এবং ছিদ্র আছে কি না পর্যবেক্ষণ করন) [Waste goes into onsite pit and stays there (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage])
3. ময়লা দুর্বল পিটের মাধ্যমে পড়েছে এবং সেখানেই থাকছে (উত্তরদাতা পিট তৈরীতে কঠিন বিং সংগ্রহে উল্লেখ করবে। পিটের উপরিভাগ এবং ছিদ্র আছে কি না পর্যবেক্ষণ করন) [Waste goes into offset pit and stays there (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage])
4. ময়লা দুর্বল টাংকের মাধ্যমে পড়েছে এবং সেখানেই থাকছে (টাংকের উপরের কঠিন বিং ঢালকা এবং ছিদ্র আছে কি না পর্যবেক্ষণ করন) [Waste goes into onsitetank and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining])
5. ময়লা দুর্বল টাংকের মাধ্যমে পড়েছে এবং সেখানেই থাকছে (টাংকের উপরের কঠিন বিং ঢালকা এবং ছিদ্র আছে কি না পর্যবেক্ষণ করন) [Waste goes into offset tank and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining])
6. কমপঞ্চ পিট (শাক সবজির ময়লা, খড়, ঘাস, কাঠের ঘড়া, ছাত পিটের মাধ্যমে মিশ্রিত হয়, সার হিসাবে এই ময়লা ব্যবহৃত হয়, ওয়াটার সিল নেই, এটি এনজিও/সরকার সহযোগিতার স্বাধীন) [Compost pit (vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water seal, Built in assistance with the NGOs/government)]
7. পাইপ বা তাকিরিয়ক নালা দিয়ে ময়লা খোলা ঝাড়ায় (লেক/নদী/পানিতে) পড়েছে (সন্ধ হলে পর্যবেক্ষণ করন) [Waste drains to open (lake/river/water) via
8. ঢাকনি হাঁটা নলা দিয়ে মলা খোলা জায়গায় (ছেক/নদী/পানিতে) পড়ছে (পর্যবেক্ষণ করুন) [Waste drains to lake/river/water via open drain (Observe)] (১০.৮.১ নং প্রশ্নে চলে যান Skip to Q10.8.1)

9. বালিতের পড়ছে [Bucket] (১০.৮.১ নং প্রশ্নে চলে যান Skip to Q10.8.1)

10. ময়লা সরাসরি জলাশয়ে বা নিচু জমিতে পড়ছে (পুলক্ষ) [Waste directly fall into water body or low land (Hanging)] (১০.৮.১ নং প্রশ্নে চলে যান Skip to Q10.8.1)

777. অন্যান্য (নিম্নিতে করে লিখুন) [Other (specify)]

888. প্রয়োজা নয় [Not applicable]

31. ময়লা দুরে পিটের মধ্যে পড়ছে (বিং না, দ্বারা আছে) [Waste goes into offset pit (Using no rings but slab)]

32. ময়লা দুরে পিটের মধ্যে পড়ছে (বিং না, দ্বারা নাই) [Waste goes into offset pit (Using no rings or slab)]

33. ময়লা দুরে পিটের মধ্যে পড়ছে (বিং আছে, দ্বারা নাই) [Waste goes into offset pit (Using rings but no slab)]

10.7 পিটের/টাংকের বাইরে বা ভিত্তের চাঁদিন্তে পর্যবেক্ষন করুন [Observe the onsite or off site pit/tank in all direction]

(১= হাঁ [yes], ০= bv [No], ৯৯৯= জানিনা [DK], ৮৮৮= প্রয়োজা নয় [Not applicable])

1. পিটের/টাংকের উপরিভাগ মাটি থেকে উপরে দেখা যাচ্ছে কি? [Is the top of the pit visible (above the ground)]?

2. ময়লা দেখা যাচ্ছে পিটের ছিদ্র/扬州করে পাইনর ভিত্তের বা বাইরে ময়লা দেখা যাচ্ছে? [Waste/faeces visible in or around the pipe, because of Leakage in the connecting pipe]?

3. পিট/টাংকের ছিদ্রের কারণে ভিত্তের বা বাইরে ময়লা দেখা যাচ্ছে [Waste/faeces visible because of leakage in the pit/tank]?

Skip Note: ১০.৭.৩ নং প্রশ্নের উত্তর ১ হলে ১০.৮ নং প্রশ্নে চলে যান। [If the answer to question 10.7.3 is 1 go to question 10.8]

4. ময়লা দেখা যাচ্ছে না কিন্তু পিট/টাংকে ডাঙ্গায় দিয়ে মাছি আশা যাচ্ছে করতে পারবে? [No visible waste but broken pit/tank that may allow flies coming out of the toilet]?

Skip Note: ১০.৭.৪ নং প্রশ্নের উত্তর ১ হলে ১০.৮ নং প্রশ্নে চলে যান। [If the answer to question 10.7.4 is 1 go to question 10.8]

5. ময়লা দেখা যাচ্ছে না কিন্তু পিট/টাংকেরটাঁচ/ডাঙ্গায় দেখা যাচ্ছে দিয়ে মাছিমাছি আশা যাচ্ছে করতে পারবে না? [No visible waste but crack in the pit/tank]?
10.8.1 Observe the interior of the toilet

10.8.2 Presence of odour

10.8.3 Presence of flies

10.8.4 Main material of the floor

10.8.5 Stool visible on the slab or floor?

10.8.6 Stool visible on the walls?

10.8.7 Stool visible on the door/curtain?

Skip note: If 10.8.3 = 0/888 skip to 10.10

10.8.8 Is there any commode in the toilet?
10.8.9 Is the commode broken?

............................................................................................................................... ..............................

1= হ্যা [Yes]
0= না [No]
888= প্রয়োজন নয় [not applicable]

10.8.10 Is there faeces visible in the commode? 

............................................................................................................................... ..............................

1= হ্যা [Yes], 0= না [No], 888= প্রয়োজন নয় [not applicable]

10.9 Is there faeces visible in the commode?

............................................................................................................................... ..............................

1= হ্যা [Yes], 0= না [No], 888= না প্রয়োজন [not applicable]

10.10 Is there a lid covering the squatting hole/drop hole? 

............................................................................................................................... ..............................

10.9.1 Is there a lid fully covering the squatting hole at the time of observation?

............................................................................................................................... ..............................

10.10 Is the toilet full? (Note: Toilet is considered full if faeces have reached over the exit of the squatting hole. In case of toilets with water seal or offset pit/tank, if there is confusion flush water to see if the faeces flushes away.)

............................................................................................................................... ..............................

1= হ্যা [Yes], 0= না [No], 999= অস্পষ্ট, 888= না প্রয়োজন [not applicable]

10.11 [Observe through the hole in the toilet]

............................................................................................................................... ..............................

1. Water in pipe (Water seal, pour some water in the hole to check if there is water in the water seal)
2. Only pipe visible (no water seal)
3. Broken pipe (Water seal broken)
4. পাইপ নেই, খোলা গর্ত নিয়ে সরাসরি মল দেখা যাচ্ছে (পিটের গর্ত খোলা), তবে পিটটি এখনও সম্পূর্ণ তুলে যায়নি [No pipe, open hole to the pit, can see faeces in the pit, but the pit is not full yet]
5. কমোডির জিডিটি মল দিয়ে তবে গেছে (faeces have reached the exit of the squatting hole)
888. প্রয়োজন নয় [Not applicable]

10.12 যদি 10.11 নং প্লের উত্তর ২ বা ৩ হয়, তবে ময়সা নির্দিষ্ট প্রতিদিনে পাইপের শেষে কোন ক্লাপ আছে কি? (উত্তরদাতাকে জিজ্ঞাসা করন) [If answer to 12 is 2 or 3, is there any flap at the end of the pipe to prevent files from coming out?] (Ask the respondent)

ঘা [Yes] =1
না [No]=0
জানিনা [DK]=999
প্রয়োজন নয় [Not applicable]=888

10.13 পর্যবেক্ষন: চৌদ্দ কথা সম্পূর্ণ করার ও হস্ত মোয়ার জন্য পাদঞ্জালি ভিতরে বা স্থলের কিছু উপাদান উপস্থিত আছে/রয়েছে? [Observation: What materials for anal cleansing and hand wash are present inside or immediately outside the latrine?]

ঘা [Yes] =1
না [No]=0
প্রয়োজন নয়/পর্যবেক্ষণ করা সম্ভব হয় নাই [Not Applicable/ Could not observe]=888

1. পাতা/ঝাস [Leaves/grass].................................................................
2. কাঠি [Twigs/ sticks].................................................................
3. কাপড় [Rag or cloth]...............................................................
4. পাথর [Stones]........................................................................
5. টুয়েলেট পেপার [Hygienic (toilet) paper]................................
6. পানির পাত/বদ্দা/মণ [Water container / vessel]........................
7. পানির টাপ [Water tap]............................................................
8. সাপান [Soap]........................................................................
9. ছাঁই অথবা মাটি [Ash or soil for cleansing]............................
10. খবরের কাগজ [Newspaper]....................................................
11. কিছুই নাই [Nothing]............................................................

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10.14 How long have you had the present toilet in this place? (Don't know = 999)

- बर्ष (years)
- मास (Month)

10.15 Where did the household member defecate before this latrine was built? (Note: Read out the responses to the respondent)

- खोपो जायणय (Open)
- कोरेकटी खाना मिले/ अंदीहार [Owned a shared latrine]
- अन्य कारो [Used someone’s latrine]
- निजेख (GKK) [Had another latrine (individual)]
- जानन ना [Don’t know]
- नाप्नय नय [Not applicable]

10.16 When did the latrine that you use last fill up?

- गत तिन मासेर मध्ये [Within the last 3 months]
- >3-6 मास आधे [> 3 – 6 months ago]
- >6-12 मास आधे [> 6 – 12 months ago]
- >12 मास आधे [> 12 months ago]
- एवढनो नदे नाही [Not yet filled up]
- नाप्नय नय [Not applicable]

Skip Note: If answer of 10.16 is 5/888/999, skip to 10.18

10.17 What did you do when the latrine filled?

- लाटिनीनचे 200 मिटरपासे गर्ने पर्यंत भज्जी व अन्यान्य भज्जी अपसारण [Discarded contents in a pit within 200 meters of the latrine]
- लाटिनीनचे 200 मिटरपासे बाईद्यु गर्ने पर्यंत भज्जी व अन्यान्य भज्जी अपसारण [Discarded contents in a pit > 200 meters from the latrine]
- दिक्कलेखाप, बजरले/नदीले/ पूरुण व अन्य कोण पद्धति उपयोग करत खोपो जायणय अपसारण [Discarded contents openly nearby bushes, river, pond or any other general water body]
10.18 Is there any Human faeces present within the household? [Covered the latrine and built a new one] ...............................................................[Switched to the second pit of a dual pit latrine] ...........................................................................[Other (Specify)] ..................................................................................777

10.18 খানার সীমানার মধ্যে মানুষের মল/পায়ঃখানা পড়ে থাকতে দেখেছেন কি? [লাট্রিনটি ঢেকে ফেলা এবং নতুন একটি তৈরী করা] ..........................................................................................................................4

10.19 Number of piles of Animal faeces present within the household (mark all that apply) [Covered the latrine and built a new one] ...........................................................................................................................5

10.19 খানার সীমানার মধ্যে পশু-পাখির মল/পায়ঃখানা পড়ে থাকতে দেখেছেন কি? [লাট্রিনটি ঢেকে ফেলা এবং নতুন একটি তৈরী করা] ..........................................................................................................................5

10.18 Is there any Human faeces present within the household? [Covered the latrine and built a new one] ...........................................................................................................................4

10.19 Number of piles of Animal faeces present within the household (mark all that apply) [Covered the latrine and built a new one] ...........................................................................................................................5

10.19 খানার সীমানার মধ্যে পশু-পাখির মল/পায়ঃখানা পড়ে থাকতে দেখেছেন কি? [লাট্রিনটি ঢেকে ফেলা এবং নতুন একটি তৈরী করা] ...........................................................................................................................5

10.18 Is there any Human faeces present within the household? [Covered the latrine and built a new one] ...........................................................................................................................4

10.19 Number of piles of Animal faeces present within the household (mark all that apply) [Covered the latrine and built a new one] ...........................................................................................................................5

10.18 Is there any Human faeces present within the household? [Covered the latrine and built a new one] ...........................................................................................................................4

10.19 Number of piles of Animal faeces present within the household (mark all that apply) [Covered the latrine and built a new one] ...........................................................................................................................5
10.22 Is there any human faeces present within the compound? [Yes] .......................... 1
[No] ........................................... 0

10.23 Number of piles of Human faeces within the compound that could be considered open defecation

<table>
<thead>
<tr>
<th>Number of piles</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>555</td>
<td>Too numerous to count (more than 10 piles)</td>
</tr>
<tr>
<td>999</td>
<td>Cannot tell / could not observe</td>
</tr>
</tbody>
</table>

10.24 Is there any Animal faeces present within the compound?

<table>
<thead>
<tr>
<th>Animal Type</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Poultry (chicken, duck, and pigeon)</td>
<td>[Poultry (chicken, duck, and pigeon)]</td>
</tr>
<tr>
<td>2. Cow / Buffalo</td>
<td>[Cow / Buffalo]</td>
</tr>
<tr>
<td>4. Pig</td>
<td>[Pig]</td>
</tr>
<tr>
<td>5. Dog or Cat</td>
<td>[Dog or Cat]</td>
</tr>
<tr>
<td>777. Other</td>
<td>[Other]</td>
</tr>
</tbody>
</table>
### Section 11: Hand washing

11.1 **Can you show me where you mostly wash your hands after you back from the toilet?**

**ASK TO SEE AND OBSERVE**

<table>
<thead>
<tr>
<th>Place Description</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inside/near toilet facility</td>
<td>1</td>
</tr>
<tr>
<td>Inside/near kitchen/cooking place</td>
<td>2</td>
</tr>
<tr>
<td>Elsewhere in yard (within 3 steps)</td>
<td>3</td>
</tr>
<tr>
<td>Elsewhere in yard (&gt;3 steps but &lt;10 feet)</td>
<td>4</td>
</tr>
<tr>
<td>Outside yard (&gt;10 feet from the latrine)</td>
<td>5</td>
</tr>
<tr>
<td>Other: specify</td>
<td>6</td>
</tr>
<tr>
<td>No permission to see</td>
<td>7</td>
</tr>
</tbody>
</table>

**Skip Note:** If answer is 7, skip to 11.5

**Skip Note:** If answer of 11.1 is 666, skip to 11.5

11.2 **Observation only: Is water available there for hand washing?**

<table>
<thead>
<tr>
<th>Availability</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td>No</td>
<td>0</td>
</tr>
</tbody>
</table>

1. Soap
2. Detergent
3. Ash
4. Mud/sand
5. Other: specify
6. None of the above

11.3 **Observation only: Is there soap or detergent or locally used cleansing agent?**

<table>
<thead>
<tr>
<th>Availability</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>1</td>
</tr>
<tr>
<td>No</td>
<td>0</td>
</tr>
</tbody>
</table>

1. Soap
2. Detergent
3. Ash
4. Mud/sand
5. Other: specify
6. None of the above
11.4 (প্রোমো পর্যবেক্ষন কর্ম) যদি হাত ধোয়ার শুরু হাত ধোয়ার কোন উপাদান না থাকে তবে উর্জনাতা কি 1 মিনিটের মধ্যে হাত ধোয়ার কোন উপাদান অনেকে পারে? [Observation only: If cleaning agent is not present, can the respondent bring cleaning agent within 1 minute?]

ঃ [Yes].................................1

না [ No].................................0

প্রশ্নায়ক নয় [Not applicable]........888

1. সাদান [Soap].................................................................

2. ডিটারজেন্ট [Detergent].................................................................

3. ছাই [Ash].................................................................

4. মাটি/ বালু [Mud/sand].................................................................

777. অন্যান্ত (নির্দিষ্ট করে লিখুন) [Other: specify].................................

6. উপরের কোনটিই না [None of the above]........................................

11.5 খানাটিতে পানির টাপ আছে কি? [Do you have water tap for your household?].........................

ঃ [Yes].................................1

না [ No].................................0

11.7 আমি কি আপনার হাঁজের দেখতে পারি? [May I please look at your hands?]

ময়লা স্পটিভাবে দেখা যাচ্ছিল [Visible dirt].................................................................1

ময়লা স্পটিভাবে দেখা যায়নি এবং অপরিজ্জ্বল ছিল [Unclean appearance]......................2

পরিচারের ছিল [Clean].........................................................................................3

পর্যবেক্ষণ করা সম্ভব হয়নি/প্রত্যাখ্যান [Observation was not possible/refused]........4

1. হাতের নখ [Fingernails].................................................................

2. কারতল [Palms]..................................................................................

3. আঁধারের সম্ভাব্যার [Fingerpads]..........................................................

11.8 হাত ধোয়ার প্রদর্শন: ৩-৫ বছর বয়সের বাচ্চার হাতধোয়া প্রদর্শন [(Hand washing demo): Hand washing demo for child 3 – 5 years old]:

দেখা করে তুমি দেখে সাধারণভাবে/চোখাচুখে কিভাবে তুমি পায়োজনা করার পর হাত ধোও ( বালে হাতে নেট রাখুন যে উর্জনাতা কিভাবে হাত ধুয়েছিলেন এবং পরবর্তীতে তিনি কিভাবে হাত ধুয়েছিলেন যা কিউ নিন্দ্রের কোনো পূর্ণ করতে সাহায্য করবে।) [Please show me how you usually wash your hands after you go to the toilet for defecation. (Please note in the blank space about how did she washed her hands and later on how did she dry and fill up the following questions with appropriate code)]

______________________________

নীচের দেখা উত্তরগুলোর সাথে মিলিয়ে হৃদ্য বা না কোড করুন [Please check this based on answers of the open question.]

ঃ [Yes]..................................................1
11.8.1 Does the household have a baby aged 3-5 years? [Do the household have a baby aged 3-5 years?]

Skip Note: If answer of 11.8.1 is 0, skip to 11.9

11.8.2 Did the caregiver participate? [Participated]

Skip Note: If answer of 11.8.2 is 0, skip to 11.9

11.8.3 Did the caregiver use only water? [Used only water]

11.8.4 Did the caregiver use soap? [Used soap]

11.8.5 Did the caregiver wash both hands? [Washed both hands]

11.8.6 How long did the caregiver rub hands with soap? [How long (count seconds) the person rubs hands with soap?]

11.8.7 Did the caregiver dry the hands? [Dried with]

- Dried hands on clothing that was wearing: Sharir Anchal, shalwer/ kamiz etc. 1
- Dirty cloth (such as lungi, gamsa, towel etc. those looked dirty) 2
- Clean cloth 3
- Air dry 4
- Not dry 5
- Not applicable 888

11.9 Hand washing demo: Hand washing demo child caregiver:

Please show me how you usually wash your hands after you go to the toilet for defecation. (Please note in the blank space about how did she washed her hands and later on how did she dry and fill up the following questions with appropriate code)
11.9.1 अंशभाग करेंगे [Participated]........................................................................................................

Skip Note:-यदि 11.9.1 नं घड़े का उद्धरण 0 हो तो यह उद्धरण 12-ए यान। [If answer of 11.9.1 is 0, skip to 12]

11.9.2 चुपु पानी बत्तिहार करेंगे [Used only water]..........................................................................

11.9.3 साफ कीप करेंगे [Used soap]......................................................................................................

11.9.4 दुर्घटना हुई [Washed both hands]............................................................................................... 

11.9.5 कत्तक धरे (सेकेंड पदना करने) हात साफ दिये घरे [How long (count seconds) the person rub hands with soap? ]..............................................................

11.9.6 हात घरीय करेंगे [Dried with........................................................................................................

परिधाय कपड़े (शाड़ियाँ चाल, सेकोरा/ कामिज इत्यादि) [Dried hands on clothing that she was wearing]: Sharir Anchal, shalwer/ kamiz et................................. 1

लुंगी/गाम्सा/अन्य (या परिधाय करेंगे) नेंय देखा [Lungi / gamsa / others (not wearing) and looked dirty]............................................2

परिधाय कपड़े [Clean cloth] 3
बातास [Air dry] 4
आफना हानि [Not dry] 5
हलाहल नया [Not applicable] 888

12. यह घर थे को हात धोया नमुना सहज हरे करे या? Has hand risne sampel been taken for this household?......................................................

ँ [Yes].................................1
ना [ No]. ........................................ 0
हलाहल नया [Not applicable]........888

13. यह घर का बल सच्चा हरे करे को? Was a ball supplied to a child in this household?....................... 

ँ [Yes].................................1
ना [ No]. ........................................ 0
हलाहल नया [Not applicable]........888

14. यह घर का वार्ता टापु स्थल हरे करे को? Has Fly tapes been placed in this household?....................

ँ [Yes].................................1
ना [ No]. ........................................ 0
हलाहल नया [Not applicable]........888

275
15. Have you checked if all the questionnaire is complete before leaving the household?

- [Yes] .................................................. 1
- [No] .................................................... 0
- [Not applicable] ......................... 888

Thank you. **Part-B is finished.**

Name, signature of FRA: 

Checked by FRO:
Follow up questionnaire survey

Project title: Role of sanitation in preventing contamination of the domestic environment and protecting health

Note: Ask these Questions to the mother or the main caregiver of the child.

[এই প্রশ্নগুলো বাচ্চার মাকে অথবা মূল পরিচালককে জিজ্ঞেস করুন ]

**TOY COLLECTION SHEET (A4)**

<table>
<thead>
<tr>
<th>Household ID</th>
<th></th>
</tr>
</thead>
</table>

**Baseline visit related information**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Data collectors name</td>
<td></td>
</tr>
<tr>
<td>2. Date of Interview</td>
<td>3. Time of Interview</td>
</tr>
<tr>
<td>□□ / □□ / □□ (dd/mm/yy)</td>
<td>□□ : □□ (hh:mm, 24 hr format)</td>
</tr>
</tbody>
</table>

**Follow up Visit**

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>4. Data collectors name</td>
<td></td>
</tr>
<tr>
<td>5. Date of Interview</td>
<td>6. Time of Interview</td>
</tr>
<tr>
<td>□□ / □□ / □□ (dd/mm/yy)</td>
<td>□□ : □□ (hh:mm, 24 hr format)</td>
</tr>
<tr>
<td>7. Number of full hours since Baseline visit</td>
<td></td>
</tr>
<tr>
<td>□□ : □□ (hh:mm, 24 hr format)</td>
<td></td>
</tr>
</tbody>
</table>
### Toy Collection

<table>
<thead>
<tr>
<th>Location</th>
<th>Appearance</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outside home</td>
<td>Unused</td>
<td></td>
</tr>
<tr>
<td>Outside home - on ground in yard</td>
<td>Used and clean appearing</td>
<td></td>
</tr>
<tr>
<td>Outside home - in a container</td>
<td>Used and unclean appearance</td>
<td></td>
</tr>
<tr>
<td>Outside home - in another home</td>
<td>Used and visibly dirty</td>
<td></td>
</tr>
<tr>
<td>Inside home - in storage container/cabinet</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inside home - on surface other than ground, not in container (table, bed, etc)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inside home - on ground/floor</td>
<td></td>
<td></td>
</tr>
<tr>
<td>in child’s hand</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Others (Specify)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

9. Additional notes on toy appearance, location, damage, retrieval process, etc.

10. Name of Respondent:

11. Relationship of respondent to index child:

12. Index of Respondent:

### Notes

- The table above provides a structured format for recording information about toy collections, including location, appearance, and additional notes.

- Locations are categorized as Outside home, Inside home, and Others (Specify).

- Appearances include Unused, Used and clean appearing, Used and unclean appearance, and Used and visibly dirty.

- Additional notes allow for more detailed information about the toy collection process.

- The table is designed to be easily filled out and read, ensuring that all relevant information is captured.

- The document is in Bengali and English, with some sections in both languages for clarity and accessibility.
### PART B: Use of Toy Ball

<table>
<thead>
<tr>
<th>Question</th>
<th>Options</th>
<th>Rating</th>
</tr>
</thead>
<tbody>
<tr>
<td>13. <em>In your opinion, did your child (target) play with the toy ball?</em></td>
<td>Yes / No / Never</td>
<td></td>
</tr>
<tr>
<td>14. <em>In your opinion, how much did your child (target) play with the toy ball? (read each choice)</em></td>
<td>Several times a day (4 or more times) / Few times a day (2/3 times) / Only once since he/she got the ball / Played but can't tell how many times / Never</td>
<td></td>
</tr>
<tr>
<td>15. <em>In your opinion, did any of the children play with the toy ball?</em></td>
<td>Yes / No / Not applicable</td>
<td></td>
</tr>
<tr>
<td>16. <em>In your opinion, how much did any of the other children in the household or Bari play with the toy ball? (read each choice)</em></td>
<td>Several times a day (4 or more times) / Few times a day (2/3 times) / Only once since he/she got the ball / Played but can't tell how many times / Never</td>
<td></td>
</tr>
<tr>
<td>17. <em>From what you saw, did the children play with the toy ball?</em></td>
<td>Not applicable (skip to 17)</td>
<td></td>
</tr>
</tbody>
</table>
the ball mostly inside the home, mostly outside the home or equal amount inside and outside the home?]

1... [Mostly inside the home]
2... [Mostly outside the home]
3... [equal amounts inside and outside the home]

18. When the ball was inside, how often did the ball touch the ground? (read each choice)

1... [All of the time]
2... [Most of the time]
3... [Sometimes]
4... [Rarely]
5... [Never]
6... [Was never played with inside]

19. When the ball was outside, how often did the ball touch the ground? (read each choice)

1... [All of the time]
2... [Most of the time]
3... [Sometimes]
4... [Rarely]
5... [Never]
6... [Was never played with outside]
Appendix 4: Details of Microbiological sample collection and laboratory procedures used in pilot study presented in chapter 2 and 3.

Hand contamination sample collection

Hand rinse sampling technique of collecting hand contamination data was used since this technique has been used in many studies [1, 2] to assess hand contamination and has been found to be associated with diarrhoea [2]. A microbiologist trained in aseptic method of microbiological sample collection, collected data on unannounced hand contamination from both hands of the mother and the <5 children on the same day as the initial household questionnaire survey.

The mother of the <5 child was asked to give consent for giving hand rinse sample for the under <5 child and herself. Hand rinsed samples were collected at the beginning of the household questionnaire survey after the consent process was complete. Both hands were rinsed in a Whirl-Pak bag (Nasco, Fort Atkinson, WI) containing 200 ml sterile Ringer’s solution one after another. Ringer’s solution contains sodium chloride, potassium chloride, calcium chloride di-hydrate, and sodium lactate. The microbiologist held the bag from outside. When the selected hand of the mother/child completely came into contact with the Ringer’s solution, the microbiologist asked the mother/child to rub the fingers and palm against each other for 15 seconds. Then the microbiologist massaged the inserted hand from the outside of the Whirl-pak bag for additional 15 seconds to ensure that all parts of the hand are fully immersed in Ringer’s solution. The microbiologist then instructed the respondent to remove the hand, shaking it so that all the drops of solution remain in the bag. The closed Whirl-Pak bags were placed immediately into a cold box, maintained at ≤ 10°C with ice packs, to prevent bacterial multiplication. Then the samples were transported to the Environmental Microbiology Laboratory of icddr,b for processing.

Sentinel toy sample collection

We measured contamination of a sentinel non-porous plastic toy ball (20 cm diameter) (Picture 1) as a measure of environmental contamination, as this has been found to be associated with quality of latrine in Bangladesh [3, 4]. The sentinel toy sample collection was conducted following similar methodology as used in these studies.

The toy balls were initially sterilized, wrapped in foil paper and stored in a sterile bag until it was given to the selected households. The sentinel toys were given to the households for the child to play with on the same day as the initial household questionnaire survey. The mother was instructed that the child can play with the toy ball with his usual play...
mates and sites. The field team visited the household 24 hours later on the following
day to collect the toy rinse sample. During the follow up visit the microbiologist
asked the mother to locate the ball. The mother was then requested to place the ball
in a Whirl-Pak bag filled with 200 ml ringer’s solution. The ball was rinsed in the
solution for 30 seconds fully immersed. The bag was first shaken for 15 seconds and
then rubbed from outside for an additional 15 seconds to make sure all sides of the
ball is rinsed in the solution. Once the ball has been rinsed it was dried and given
back to the child. The closed Whirl-Pak bags were placed immediately into a cold
box, maintained at ≤ 10°C with ice packs, to prevent bacterial multiplication. Then
the samples were transported to the lab for processing within 24 hours.

Floor/Yard sample collection

Based on the formative research, the field team identified potential mud
surfaces that can be consistently identified in different household. Surface rather
than soil sample was chosen as most of the contamination was found in the upper
surface of the soil [5] in a previous study. The surfaces were chosen based on the
experience of the formative research and the data on soil contamination in rural
Tanzania [5]. Two type of surface sample was collected. We collected environmental
contamination sample from the surfaces using a sterile Whirl-Pak Speci-Sponge bags
(Whirl-Pak Speci-Sponge bag, Nasco, Fort Atkinson, WI) (3.6 cm wide, 7.6 cm Long
and 1.5 cm thick). The sponge was pre-hydrated with 20 ml of ringer’s solution in
the Environmental Microbiology Laboratory of icddr,b.

The first surface sample was collected from the floor of entrance of the main
house. A 100 cm² sampling area was marked on the centre of the floor/surface with
a sterile aluminium stencil frame. Between the samples collection in different
household the stencil frame was sterilized using 70% methanol. The sponge was
rubbed over the fixed sampling area twice, and then placed into the Whirl-Pak bag.

The second surface sample was a composite floor sample. The idea was to
collect surface sample from 3 different part of the same household to measure an
average of the faecal contamination. The 3 surface areas included the middle of the
yard, middle of the living room and middle of the kitchen. For the composite sample
one pre-hydrated sponge was used. The data collector first identified 100 cm² area
in each of the areas and sponged the area twice. One half of one side of a sponge
was swiped over 100 cm² sampling area twice so that sample from each of the 3 sites
can be collected using the same sponge.

The closed Whirl-pak bags were placed immediately into a cold box, maintained
at ≤ 10°C with ice packs, to prevent bacterial multiplication. Then the samples were
transported to the lab for processing within 24 hours.

Quality Control

A sample Whirl-Pak bag was opened at the household during sample collection
and then closed without collecting any sample using the bag. This way a field blank
was analyzed every day to ensure sample rinse bags are free of indicator organisms
and are not getting contaminated during the field sampling process.
Laboratory procedures

All the laboratory procedures took place in Environmental Microbiology Laboratory of icddr,b.

Preparing toy balls

The toy balls were prepared following similar standard operating procedure as a previous study conducted in Bangladesh [6]. The microbiologist washed the balls with soap and water. Then the balls were dried with paper towel. Then the toys were bathed in bleach [200mL of industrial bleach (5.25%) and 1.8 litters of distilled water] for 10 minutes, making sure that the balls were coated with bleach, and after 5 minutes the balls were re-submerged into the bleach. The microbiologist then removed the toys from bleach bath and placed in tub that was sterilized in with bleach. Then the toys were rinsed with distilled water 3 times transferring to sterile tubs between each rinse. Then the balls were left a sterile tub to dry for 30 minutes to 1 hour. Once the balls were dry, they were wrapped in aluminium foil (Cleaned with 70% ethanol), placed inside zipper bags and stored in bucket for the field team to pick up.

Preparing sample for membrane filtration

The environmental contamination samples were processes by a microbiologist in the Environmental Microbiology Laboratory of icddr,b. All the environmental samples were stored in 2-8 °C refrigerators in the lab after transported to the lab until analysed within 24 hours. The samples collected from mother’s hands, child’s hands and sentinel toy was directly processed. In case of sponge samples collected from the surfaces, 180ml of Ringer’s solution was poured into each of the bags containing the sponge. Then the bags were manually shaken vigorously for 1 minute and rubbed with hands for an additional minute. The sponges were then removed from the bags leaving the solutions ready for further processing.

Enumeration of faecal coliform and E. coli using membrane filtration

The samples were processed by a microbiologist via membrane filtration technique to detect faecal coliform using mFC media and E.coli using MI media following EPA method [7, 8] (Box 1) used for drinking water.

The microbiologist filtered 50 ml to 1 ml (Table 2) of liquid recovery media depending on turbidity and type of the sample through a 0.22 µm Millipore (Billerica, MA) membrane filter using a vacuum pump. In majority of the cases only one volume was processed for each sample considering the resource constraints. To develop preliminary understanding of the amount of sample to we first processed samples collected from 3 households (Table 1). The samples from the first 3 households were not included in the final analysis. For each sample droplets of the original recovery media, 10⁻¹ and 10⁻² dilutions of the recovery media, was also plated at a total volume of 100 µl in case the results from the membrane filtration appears Too Numerous to Count (TNTC) [9, 10]. If the samples processed via membrane filtration on the first day produced no detectable colonies, a higher concentration was filtered on the second day using samples stored at 4 °C.
temperature. If there were no target colonies found in the plates on both the days, then the microbiologists reported 0 CFU/200 ml of recovery media.

If there is no target colonies found in the plates then reported 0.5 CFU/200 ml of recovery media. If there is characteristic colony present and less than 500, report as number of CFU per 200 ml of recovery media. If there is characteristic colony present but exceed 500 CFU per membrane, then count the colonies found in the in the droplet of the original recovery media. If the droplet of the original recovery media is also found too numerous to count, then count droplet for $10^{-1}$ dilution were interpreted. In case the droplet of the $10^{-1}$ is also too numerous to count then consider the droplet of $10^{-2}$ dilution to count the number of CFU per 200 ml of recovery media. To control the quality of the test negative controls were tested for contamination for each set of agar media. Every day one lab blank was tested for contamination. The samples were processed by a microbiologist who followed general standard operating procedures that are followed in the lab as described in box 1.

**Box 1: Standard operating procedure of enumeration of faecal coliform and E. coli followed in the environmental microbiology laboratory of ICDDR,B**

<table>
<thead>
<tr>
<th>Filtration of sample through membrane filtration procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Label laboratory ID and processing date on mFC agar plate with a label pen.</td>
</tr>
<tr>
<td>2. Sterilize the surface of the Microfil Membrane Filtration Unit (Billerica, MA) by flaming for 3-5 sec, paying particular attention to the outer edges.</td>
</tr>
<tr>
<td>3. Open a membrane (0.22μm) envelope by peeling back one of the two “easy-to-open” corners and place it on the Microfil support after sufficient cooling.</td>
</tr>
<tr>
<td>4. Take a sterile funnel, grasping from the middle and place it carefully on to the support.</td>
</tr>
<tr>
<td>5. Shake the sample for a while and then pour 50-1 ml or recovery media based on visual inspection of turbidity and experience with the sample into the funnel. Filter the sample under vacuum until the sample has passed entirely through the membrane. Close valve of vacuum, remove the funnel, and press the lever on the vacuum support stem to lift the membrane filter from the vacuum support surface.</td>
</tr>
</tbody>
</table>

**Plating and Incubation**

6. Use sterile forcep to remove the membrane filter and place the membrane filter on to the mFC agar Petri dish for faecal coliform and MI agar (BD Difco, Franklin Lakes, NJ) Petri dish for *E. coli*. The orientation of the filter should remain the same as in the filtration unit.

7. Drop plate 100 μl of original sample as well as 10 and 100 times diluted sample on to mFC agar (faecal coliform) and MI agar (*E. coli*).

8. Incubate the plate at 44.5 ± 0.2°C for 24 ± 2 hours for faecal coliform. Incubate the plates at 35 ± 2°C for 24 hours for *E. coli*. Store the remaining sample at 2-8°C in a refrigerator for further repetition, if required.

**Enumeration**

9. 
   a) Carefully count the blue and greenish blue coloured colonies on the mFC agar and keep record as FC in the Laboratory work log sheet.
b) Carefully count the deep blue colour colonies on the MI agar plate and record as *E. coli*.

**Quality Control**

10. Quality control is performed with each new lot of media prepared

a) For mFC agar *Escherichia coli* ATCC-13706 is used as positive control and *Staphylococcus aureus* ATCC-25923 is used as negative control.

b) For MI agar *Escherichia coli* ATCC-13706 is used as positive control and *Staphylococcus aureus* ATCC-25923 is used as negative control.

**Interpretation**

a) If there are no target colonies of faecal coliforms/*E. coli* on first day then filter a higher amount of recovery media from the stored sample on the second day. If there are no target colonies of faecal coliforms/*E. coli* both days, report: 0 CFU/200 mL.

b) If there is characteristic colony present and less than 500 CFU per membrane, report: number of CFU/200 mL.

c) If there is characteristic colony present but exceed 500 CFU per membrane, than interpret the colonies in the 100 µl droplets of the original sample.

d) If the 100 µl droplets of the original sample also exceed 500 CFU than interpret the colonies in droplets of 10 times diluted sample.

e) If the 100 µl droplets of the 10 times diluted sample also exceed 500 CFU than interpret the colonies in droplets of 100 times diluted sample.

f) If all the in all of the plates of the first day presents with characteristic colony more than 500 than repeat the test using appropriate dilution to achieve countable colony the next day from the sample preserved in the refrigerator.
Table 7.4: Volume of sample filtered or plated as droplets to successfully enumerate *E. Coli* and faecal coliform in 3 household (pilot data not included in the main analysis)

<table>
<thead>
<tr>
<th>Type of sample</th>
<th>E. Coli</th>
<th>Faecal Coliform</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Amount filtered to detect successfully detect colonies</td>
<td>Freq.</td>
</tr>
<tr>
<td>1 Mothers hands</td>
<td>Day 1: 5 ml filtration Day 2: 20 ml filtration</td>
<td>2 1</td>
</tr>
<tr>
<td>2 Childs Hands</td>
<td>Day 1: 5 ml filtration Day 2: 20 ml filtration</td>
<td>2 1</td>
</tr>
<tr>
<td>3 Sentinel toy</td>
<td>Day 1: 0.5 ml filtration 100 µl Drop</td>
<td>2 1</td>
</tr>
<tr>
<td>4 Entrance of living room</td>
<td>Day 1: 0.5 ml filtration 100 µl Drop</td>
<td>2 1</td>
</tr>
<tr>
<td>5 Composite-floor</td>
<td>Day 1: 0.5 ml filtration</td>
<td>3</td>
</tr>
</tbody>
</table>
Table 7.5: Showing the percentage of samples with various detection limits for each type of sample (N=20)

<table>
<thead>
<tr>
<th>Method</th>
<th>Amount filtered or drop plated</th>
<th>Detection limit†</th>
<th>Mother's hands (%)</th>
<th>Children's hands (%)</th>
<th>Sentinel toy</th>
<th>Entrance of living room</th>
<th>Composite floor sample</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Lower</td>
<td>Upper</td>
<td>EC*</td>
<td>FC*</td>
<td>EC</td>
<td>FC</td>
<td>EC</td>
</tr>
<tr>
<td>100 µl of $10^2$ dilution</td>
<td>100000</td>
<td>10000000</td>
<td>10%</td>
<td>5%</td>
<td>45%</td>
<td>35%</td>
<td>40%</td>
</tr>
<tr>
<td>Drop</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100 µl of $10^1$ dilution</td>
<td>10000</td>
<td>1000000</td>
<td>20%</td>
<td>35%</td>
<td>20%</td>
<td>35%</td>
<td>5%</td>
</tr>
<tr>
<td>Drop plate technique</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100 micro liter</td>
<td>10000</td>
<td>1000000</td>
<td>25%</td>
<td>20%</td>
<td>10%</td>
<td>30%</td>
<td>10%</td>
</tr>
<tr>
<td>1 ml filtration</td>
<td>100</td>
<td>100000</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 ml filtration</td>
<td>50</td>
<td>50000</td>
<td>30%</td>
<td>10%</td>
<td>25%</td>
<td>35%</td>
<td>5%</td>
</tr>
<tr>
<td>Membrane filtration</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 ml filtration</td>
<td>20</td>
<td>20000</td>
<td>50%</td>
<td>15%</td>
<td>45%</td>
<td>20%</td>
<td>10%</td>
</tr>
<tr>
<td>10 ml filtration</td>
<td>10</td>
<td>10000</td>
<td>5%</td>
<td>25%</td>
<td>5%</td>
<td>25%</td>
<td>10%</td>
</tr>
<tr>
<td>20 ml filtration</td>
<td>5</td>
<td>5000</td>
<td>15%</td>
<td>10%</td>
<td>15%</td>
<td>15%</td>
<td>10%</td>
</tr>
<tr>
<td>50 ml</td>
<td>2</td>
<td>2000</td>
<td>0</td>
<td>5%</td>
<td>5%</td>
<td>10%</td>
<td>10%</td>
</tr>
</tbody>
</table>

* E. coli (EC), Faecal coliform (FC)

† For lower detection limit we counted 0.5 for no characteristic colony per plate and for upper detection limit we considered 500 colonies per plate to countable.
References


7. CDC, Microbiological Indicator Testing in Developing Countries: A Fact Sheet for the Field Practitioner. 2010, Centre for Disease Control and Prevention.


Appendix 5: Consent form and questionnaire for Neighbourhood questionnaire survey

Informed consent form for neighbourhood questionnaire survey (Chapter 4)

Project title: Role of sanitation in preventing contamination of the domestic environment and protecting health.

Part I: Information Sheet

Introduction

Hello (Assalamualaikum/Nomoshkar). My name is ________ and I work with the ICDDR,B (Cholera Hospital) in Dhaka. I am here to invite you to take part in a research study. You are free to decide whether or not to be in the study.

Purpose of the research:

The purpose of this study is to understand whether neighbourhood sanitation coverage is linked with household environmental contamination. This will help us understand how to improve health of children.

Procedure:

We are enrolling households with at least one child aged between 6 and 24 months in the neighbourhood. If you agree to participate in the study I will visit different parts of your household. At the end of observation I will ask some questions about your household routine and practices. It will take around 30 minutes. I will take some notes on a tablet computer.

I also wish to ask you for the permission to take pictures. I might take some pictures of different facilities and activities of your household. I will show you the pictures that I will take. If you agree, these pictures might be shown as illustration in future presentations. If you do not want your face to be visible on the pictures I will blur your face, so that nobody can recognize you

Benefits:

There is no immediate benefit to you from this study. The study will help us better understand conditions in Bangladesh. This information may help to improve child health in future.

Costs and Compensation:

There is no cost to you for being in this study. You will not receive anything for being in the study.

Risks:

There is no risk from being in the study. We will only collect information. My presence in your home for several hours may be uncomfortable for you. But we do not expect any harm to come to you or your family because of the study.

Privacy:

We assure that the privacy of information identifying you will be strictly maintained. The information identifying you will only be accessible to me, my research team, the ethical Review Committee. Any information that is gathered
about you and your family will be kept anonymous. All paper documents will be kept in a locked cabinet at ICDDR,B. The research team will have sole access to the locked cabinet. All digital data with personal identifiers will be maintained on secure systems protected by passwords. Your name and identity will not be used in reporting and presenting study findings, or in their publication in journals. We will use the information only for the purpose of research. In case of future use of the information collected from the study anonymous information may be supplied to other researchers. But this will not compromise with your privacy and anonymity.

Voluntary participation:

You are free to decide whether or not to be in the study. You are free to leave the study at any time. You do not have to give any reason for leaving the study. You will not lose any benefits for leaving the study. If you do take part in the study, you are free to refuse to answer any question. You do not have to give any reason for refusing to answer any questions.

Persons to Contact

If you have any question about this research study you may contact Mr. Tarique Md. Nurul Huda (Study Coordinator). His mobile number is 01772362311. His office number is 988-1761.

If you have questions about your right in the study, you may call Mr. M A Salam Khan, Committee coordination secretariat at 9886498. His office is located at 68, Shaheed Tajuddin Ahmed Sarani Mohakhali, Dhaka 1212.

Part II: Consent Form

The nature of the study has been explained to me. I have had the opportunity to ask questions about it. I understand what will be required of me and what will happen to me, if I take part. I understand that my participation in this study is voluntary. I understand that I do not have to answer any questions if I do not want. I understand that I can leave the study freely at any time. I understand that these conditions also apply to any children for whom I give consent to participate in the study. I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I agree to participate in the study (*tick*)

☐ I do agree to quotations from my participation in the study to be included anonymously in reports about the study.

☐ I give my consent for pictures of me and my household facilities to be taken and used.

☐ I give my consent for all household members below the age of 18 years and for whom I am the parent of guardian to participate in the study. (*Tick*)

Name of the main caregiver_______________________________

Age_________Years

_______________________________________  ___________________

Signature of the Investigator or his representative    Date
Neighbourhood questionnaire survey

Project title: Role of sanitation in preventing contamination of the domestic environment and protecting health

Note: Ask these Questions to the mother or the main caregiver of the child.

PART A: QUESTIONNAIRE

Section 1: Questionnaire identification
1.1 プロジェクトID [Neighbourhood ID]: ....................................
1.2 ターゲットID [Target Household ID]: ................................................

(Please follow the specific code sheet)

1.3 インストルメントタイプ [Instrument Type] (Code: Cross Sectional Survey=A2):...............
1.4 クラスター番 [Cluster number (starting point number)]: ..........................................
1.5 郵便番号 もしくはコード [District name & district geocode]:................................
1.6 アドレス [Address]:
   add1 頭者氏の名前 [Name of household head]:.............................................
   add2 頭者氏 母の名前 [Father’s/ husband’s name]:...........................................
   add3 バッグの位置 [Location (specify)]:.................................................................
1.7 FRA -名とコード [FRA name & code]: .........................................................
1.8 頭者氏の人数 [Date of data collection]: ..................................................
1.9 頭者氏の開始時間 [Time of Starting (24 hrs)]: .............................................
1.10 グループの場所 [GIS coordinates of the entrance of the living room].

   Latitude
   Longitude

1.11 はい [Is data collection possible?] ..............................................................
   1= はい [yes]   2.1 で無しの場合は [skip to 2.1]
   0= いいえ [No]

1.12 ガル 1.10 がゼロでなければ もし [If 6.2 answer is 0, then why?] ..................................
   1= エンデ [Absent]
   0= ガル [Refuse]  はい サンプルか ショップです [Interview ends here]

Section 2: Respondent and household/compound demographics
2.1. 保護者の氏名 [Name of respondent]: ..........................................................
2.2 ような人数 [How many people in total live in your HH at present?]............................
2.3 আপনার ঘরের ৫ বছরের নীচে কত জন শিশু আছে? [How many children less than five years old live in your household?]

2.3.x ছেলে [Male]..........................................................................................................

2.3.y নারী [Female].....................................................................................................

Skip Note: শিশু সংখ্যা ০০ হলে ৩.১ নং প্রশ্ন চল যান (If the number of the children=00 skip to q3.12)

2.4 আপনার এই ঘরের ৫ বছরের ছোট কতজন শিশু আছে? (ছোট থেকে বড়)  [How many children less than five years old live in your household? List the child youngest to old]

<table>
<thead>
<tr>
<th>A. Child ID/Name</th>
<th>B. Date of birth (DD/MM/YY)</th>
<th>C. Age in months</th>
<th>D. Gender Male=1, Female=0</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Section 3: Household Faeces disposal

3.1 আপনার ঘরের সদস্যদের পায়খানা করার জন্য সাধারনত কোথায় যায়? (Note: উভয়দাতাকে উভয়ঞ্জল পড়ে শোনান [Where do the members of your household usually go for defecation? (Note: Read out the responses to the respondent)]...........................................................................................

5. খোলা জঙ্গলে [Open bush]

6. খোলা মাঠে [Open field]

7. খোলা থাড়াটার, নদী/পুকুর/লেকের পাশে [Open, by the side of river/pond/lake]

8. পাহাড়ের পাড়ায় [In a toilet]

3.2 আপনি সাধারনত কোথায় পায়খানা করেন? Note: উভয়দাতাকে উভয়ঞ্জল পড়ে শোনান [Where do you usually defecate]........................................................................................................

1. খোলা জঙ্গলে [Open bush]

2. খোলা মাঠে [Open field]

3. খোলা থাড়াটার, নদী/পুকুর/লেকের পাশে [Open, by the side of river/pond/lake]

4. পাহাড়ের পাড়ায় [In a toilet]

3.3 আপনার ঘরের অন্যান্য প্রায় বয়স্ক (১৮+) সদস্যদের সাধারনত কোথায় পায়খানা করেন? Note: উভয়দাতাকে উভয়ঞ্জল পড়ে শোনান [Where do other adults (18+) in the household usually defecate?]
........................................................................................................

5. খোলা জঙ্গলে [Open bush]

6. খোলা মাঠে [Open field]

7. খোলা থাড়াটার, নদী/পুকুর/লেকের পাশে [Open, by the side of river/pond/lake]
8. **In a toilet**

3.4 Where do the <3 child in the household usually defecate? (Use name of the child from question 2.4)

| 8. | Potty |
| 9. | Nappy / diaper |
| 10. | In the courtyard (without potty) |
| 11. | Inside the house (without potty) |
| 12. | In Toilet / Latrine |
| 13. | Bush / forest / field |
| 14. | No specific place |
| 777. | Other (specify) |
| 888. | Not Applicable |
| 999. | Don’t know / Not sure |

8. **In a toilet**

3.5 Where do the children aged 3-5 years usually defecate?

| 8. | Potty |
| 9. | Nappy / diaper |
| 10. | In the courtyard (without potty) |
| 11. | Inside the house (without potty) |
| 12. | In Toilet / Latrine |
| 13. | Bush / forest / field |
| 14. | No specific place |
| 777. | Other (specify) |
| 888. | Not Applicable |
| 999. | Don’t know / Not sure |

8. **In a toilet**

3.6 Where do the children above 5 (Upto 18 usually defecate)?

| 8. | Potty |
| 9. | Nappy / diaper |
| 10. | In the courtyard (without potty) |
| 11. | Inside the house (without potty) |
| 12. | In Toilet / Latrine |
| 13. | Bush / forest / field |
14. कोन निर्दित जायगाय नर [No specific place]

777. अन्याना (बर्ना लिखन) [Other (specify)]

888. ग्रंजा नर [Not Applicable]

999. जानि ना / निमिति नर [Don’t know / Not sure] खानार अन्य एक जान ने कि ना देखून [Probe to see if someone in the HH knows]

3.7 अपनी सर्वबंध कोयाय पायावाय करबेड़न? उर्दुलाकेक उर्दुवलो पड़ शोनान [Where did you defecate the last time? (Note: Read out the responses to the respondent)]

1. खाला बंधल [Open bush]
2. खाला माठी [Open field]
3. खाला जायाय, नदी/पुडुक/कोले के पाशे [Open, by the side of river/pond/lake]
4. पायावायन [In a toilet]

3.8 अपनार (३ वर्ष) शित सर्वबंध कक्ष के पायावाय करवेच? [When was the last time your youngest child / infant (<3 years) defecated?] ..........................................................................................

1. आज [Today]
2. पत्कल [Yesterday]
3. २ दिन वा तार आंगे [2 or more days ago]
4. मने कोते पावरहू ना [Cannot remember] ३.१२ नं ओशे चले यान (Skip to q3.12)
5. बलते राफि नरा [Refused] ३.१२ नं ओशे चले यान (Skip to q3.12)
6. घरेला नरा [Not Applicable] ३.१२ नं ओशे चले यान (Skip to q3.12)

3.9 अपनार (<३ वर्ष) शित सर्वबंध कोयाय पायावाय करवेच? [Where did the child (<3 years) defecate the last time?] पौर पड़ू शोनावेन ना [Do Not Read Responses]........................................................................

8. पटी [Potty]
9. नापी/वापार/काद्वा [Nappy / diaper]
10. उठाने (पटी छाड़ा) [In the courtyard (without potty)]
11. घरेला तिरूर (पटी छाड़ा) [Inside the house (without potty)]
12. पायावायनया/उड्डलेट [In Toilet / Latrine] ३.१२ नं ओशे चले यान (Skip to q3.12)
13. कोपः-काड़ू/कप्पल [Bush / forest / field]
14. कोन निर्दित जायाय नर [No specific place]

777. अन्याना (बर्ना लिखन) [Other (specify)]

888. ग्रंजा नर [Not Applicable]

999. जानि ना / निमिति नर [Don’t know / Not sure] खानार अन्य एक जान ने कि ना देखून [Probe to see if someone in the HH knows]

3.10 देवी पायावाय को करा हरेचिल? [What was done with the faeces?] पौर पड़ू शोनावेन ना [Do Not Read Responses]..........................................................................................................................

9 खेच्छेदेपाया पायावाय केरछिल खेच्छेदेपैले फेले राखा हरेचिल [Left there]
৩.১২ নং স্থা চলে যান [skip to 3.12]

10. টায়েলেট/পায়াখানার ভিতরে ফেলা/পড়া হয়েছিল [Put / rinsed into toilet or latrine]
11. দ্বারে/সরকারি ভিতরে ফেলা/পড়া হয়েছিল [Put / rinsed into drain or ditch]
12. টিভিউনেল/ পুরুষরের কাছে পড়া হয়েছিল [Rinsed near tubewell/pond]
13. বোপ-ঝাড়ে ফেলা হয়েছিল [Thrown into the bush / forest / field]
14. ময়লা আবদ্ধকরণ মধ্যে ফেলা হয়েছিল [Thrown into garbage]
15. স্মিডিস পর্দে ফেলা হয়েছিল [Thrown into a specific pit for child’s faeces]
16. মাটির নীচে পুড়ে ফেলা হয়েছিল [Buried]

777. অন্যান্য (পরিষ্কার) [Other (specify)] __________________________________

999. জানি না / নিষ্কর্ষ না [Don’t know / Not sure] ৩.১২ নং স্থা চলে যান [skip to 3.12]

3.১১ সেই পায়াখানার আপনি কিভাবে পরিষ্কার করেছেন? [How did you handle the faeces?] উত্তর পড়ে
শোনার না [Do Not Read Responses] ............................................................................................................

6. হাতে/খালি হাতে [Hands only/bare hands]
7. হাতে/খালি হাতে/কপাট/কাপড়/ঝুড়ুটা [Hands and cloth / paper / leaves / straw]
8. কোন আলোক বা কৃষিপাঞ্চায়ত [Local agricultural hoe/instrument]
9. সানি-স্কোপ [Sani-scoop]
10. কিছুই করা হয় না [Did nothing]

777. অন্যান্য (পরিষ্কার) [Other (specify)] __________________________________

999. জানি না / নিষ্কর্ষ না [Don’t know / not sure]

3.১২ আপনার শিশু (৩ - ৫ বছরের) সবুজ কখন পায়াখানা করেছে? [When was the last time your youngest
child / infant (3-5) defecated?] ............................................................................................................

1. আজ [Today]
2. পূর্ববর্তী [Yesterday]
3. দুই দিন বা তার আগে [2 or more days ago]
4. মনে করতে পারি না [Cannot remember] ৩.১৬ নং পশ্চা চলে যান (Skip to 3.16)
5. বলতে রাখি না [Refused] ৩.১৬ নং পশ্চা চলে যান (Skip to 3.16)

888. ধারনা না [Not Applicable] ৩.১৬ নং পশ্চা চলে যান (Skip to 3.16)

3.১৩ আপনার (৩-৫ বছর) শিশু সবুজ কোথায় পায়াখানা করেছে? [Where did the child 3 – 5 years defecate
the last time?] ............................................................................................................

8. পটী [Potty]
9. নাপ্পি/ডায়াপার/কাঠা [Nappy / diaper]
10. উঠেন (পটী ছাড়া) [In the courtyard (without potty)]
11. ঘরের ভিতরে (পটী ছাড়া) [Inside the house (without potty)]
12. পায়াখানায়/টোয়েলেট [In Toilet / Latrine] ৩.১৬ নং পশ্চা চলে যান (Skip to 3.16)
13. বোপ-ঝাড়ে/জামলে [Bush / forest / field] ৩.১৬ নং পশ্চা চলে যান (Skip to 3.16)
14. কেনার নিজস্ব জায়গায় না [No specific place]
3.14 What was done with the faeces?

1. Left there
2. Put / rinsed into toilet or latrine
3. Put / rinsed into drain or ditch
4. Thrown into the bush / forest / field
5. Thrown into garbage
6. Buried

[Other (specify)]

3.15 How did you handle the faeces?

6. Hands only/bare hands
7. Hands and cloth / paper / leaves / straw
8. Local agricultural hoe/instrument
9. Sani-scoop
10. Did nothing

[Other (specify)]

3.16 Where did the child above 5 years (up to 18 years) defecate the last time?

8. Potty
9. Nappy / diaper
10. In the courtyard (without potty)
11. Inside the house (without potty)
12. In Toilet / Latrine
13. Bush / forest / field
14. No specific place

[Other (specify)]
PART B: SPOT CHECKS

Section 4: Sanitation

4.1 [May I take a look around your home to look at some of the items related to water, sanitation, and hygiene?]

Note: [Unknown place/not sure/DK where]

(Pit latrine with slab & no water seal/broken water seal)
4.1.1 **Source of Drinking Water**

<table>
<thead>
<tr>
<th>Latitude</th>
<th>Longitude</th>
</tr>
</thead>
</table>

**Skip Note:** If answer of 4.1 is 14, skip to 4.18.

4.2.1 **Most Recent Time Toilet was Used**

4.2.2 **Do You Share This Toilet Facility with Other Households?**

Note: Any person or group of persons related or unrelated who do not live in the same dwelling space and do not share a common source of food as the respondent would be considered to belong to other household.

4.3.2 **Ownership Type of the Toilet**

- **K‡qKwU Lvbv wg‡j/ Askx`vi** [Shared] .............................................................2
- **Ab¨ †KD** [Someone else].............................................................................3
- **K‡qKwU Lvbv** [Only for the household]....................................................1
4.4 Path to the toilet suggests regular use (is clear, well-worn, without grass or any barriers etc.).............
(1= 1 [Yes], 0 = 0 [No], 999 = 999 [Not applicable], 888 = 888 [Not applicable])

4.5 Observe the general exterior of the toilet

(1= 1 [Yes], 0 = 0 [No], 999 = 999 [Not applicable], 888 = 888 [Not applicable])

1. Is there any superstructure on the toilet?....... ........

2. Is there a door/curtain?.................................

3. Can an average sized adult use the toilet without being seen?..............................................

4. Is there roof over the toilet?................................

5. Is there any hole in the roof that may allow water to enter through the roof?]

6. Is there a ventilation pipe?]

7. Is there a cover on top of the ventilation pipe that protects the flies from going out?].................

h) What are the walls of the toilet mostly made of? .................................................................

8. Concrete
9. Tin
10. Bamboo/Mud
11. Plastic
12. Tree leaves
13. Jute bag
14. Straw

8. Wood

888 = 888 [Not applicable])

i) What is the roof of the toilet mostly made of?..

8. Concrete
9. Tin
10. Bamboo
11. Plastic
12. Tree leaves
13. Jute bag
14. Straw

888 = 888 [Not applicable])
11. **Waste drains to underground piped sewer (Ask)**
   \[4.8.1\]  
   (Skip to Q4.8.1)

12. **Waste goes into onsite pit and stays there** (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage)

13. **Waste goes into offset pit and stays there** (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage)

14. **Waste goes into onsite tank and stays there** (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)

15. **Waste goes into offset tank and stays there** (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)

16. **Compost pit** (vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water seal, Built in assistance with the NGOs/government)

17. **Waste drains to open (lake/river/water) via pipe/covered drain** (Observe if possible)

18. **Waste drains to lake/river/water via open drain**

19. **Bucket**

20. **Waste directly fall into water body or low land**

777. **Other (specify)**

888. **Not applicable**

31. **Waste goes into offset pit (Using no rings but slab)**

32. **Waste goes into offset pit (Using no rings or slab)**

33. **Waste goes into offset pit (Using rings but no slab)**

4.7 **Observe the onsite or off site pit/tank in all direction**

(1= yes, 0= no, 999= don't know, 888= not applicable)
1. Is the top of the pit visible (above the ground)?

2. Waste/faeces visible in or around the pipe, because of Leakage in the connecting pipe?

3. Waste/faeces visible because of leakage in the pit/tank?

Skip Note: 4.7c  If the answer to question 4.7c is 1 go to question 4.8

4. No visible waste but broken pit/tank that may allow flies coming out of the toilet?

Skip Note: 4.7d  If the answer to question 4.7d is 1 go to question 4.8

5. No visible waste but crack in the pit/tank?

Observe the interior of the toilet

4.8.1 Odor of feces in the latrine/bathroom? 

4.8.2 Flies present? 

4.8.3 Is there a slab/platform in the toilet? 

4.8.4 Main material of the floor (select 1) 

4.8.5 Is Stool visible on the slab or floor? 

4.8.6 Is Stool visible on the walls? 

4.8.7 Is Stool visible on the door/curtain?

Skip note: If 4.8.3= 0/888 skip to 4.10

4.8.8 Is there any commode in the toilet?

Note: Squatting slab or platform that is covering the pit on all sides, has a squatting hole and rose above the surrounding ground level to prevent any surface water entering the pit
4.8.9 कमोड को भयान । कमोड ब्रॉकें हैं? ..............

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.8.10 कमोड जो कुर्सी पार्थराऋ देखते पात्रा गेहुँ कि [Is there faeces visible in the commode?] ...........

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.9 टिल्ली जिन्नोट जो कुर्सी चामना आयो कि? [Is there a lid covering the squatting hole/drop hole?]

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.9.1 पार्थराऋ विषय निर्दिश्ट जिन्नोट सम्पूर्ण चामना आयो कि? [Is there a lid fully covering the squatting hole at the time of observation?] ....

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.10 पार्थराऋ को मल द्वारा तहदी होती? (Note: Toilet is considered full if faeces have reached over the exit of the squatting hole. In case of toilets with water seal or offset pit/tank, if there is confusion flush water to see if the faeces flushes away.)

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.11 पार्थराऋ को मल द्वारा तहदी होती? [If answer to 12 is 2 or 3, is there any flap at the end of the pipe to prevent files from coming out?] (Ask the respondent) ....

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

4.12 यदि 4.11 नं प्रश्न के उद्देश्य 2 या 3 हो, तबं मलब काला निर्दिश्ट को पाइंग्स के नं विलुक मल कलुक आयो कि? (उत्तरदाता को जजाला करन) [If answer to 12 is 2 or 3, is there any flap at the end of the pipe to prevent files from coming out?] (Ask the respondent) ....

(1= हाँ [Yes], 0= ना [No], [Not applicable] =888)

6. पाइंग्स दंडा आयो (वॉटर के सील पानी आयो कि ना ता देखा जना अंग पानी उपलब्ध) [Water in pipe (Water seal, pour some water in the hole to check if there is water in the water seal)]

7. पाइंग्स दंडा आयो को कौन वॉटर के सील नीई [Only pipe visible (no water seal)]

8. पाइंग्स दंडा (वॉटर के सील भंडा) [Broken pipe (Water seal broken)]

9. पाइंग्स नीई, कौन दंडा सरासरी मल दंडा आयो (पिटेट पर्ट दंडा ), तबं पिटेट एउहाँ सम्पूर्ण भरे यानी [No pipe, open hole to the pit, can see faeces in the pit, but the pit is not full yet]
4.13 What materials for anal cleansing and hand wash are present inside or immediately outside the latrine?

Yes [1] ………………………........................................….

No [0]…………………………................................................….

[Not Applicable/ Could not observe]……..888

11. Leaves/grass [Leaves/grass].................................................................

12. Twigs / sticks [Twigs / sticks]...............................................................

13. Rag or cloth [Rag or cloth].................................................................

14. Stones [Stones]...................................................................................

15. Hygienic (toilet) paper [Hygienic (toilet) paper]............................... .

16. Water container / vessel [Water container / vessel].............................

17. Water tap [Water tap].................................................................

18. Soap [Soap]......................................................................................

19. Ash or soil for cleansing [Ash or soil for cleansing]...........................

20. Newspaper [Newspaper].................................................................

4.a Do you want to add more toilet? ...........................................................

1 = हा [Yes].................................................

0 = ना [No].................................................

4.1a What kind of toilet facility do members of your household usually use?

Note: Request the respondent to show the toilet facility and code after observing the facility. If “flush” or “pour flush” probe/check: Where does it flush to?

- Piped sewer system [Piped sewer system].............. 01
- Septic tank [Septic tank] (Observe the concrete cover of the tank). .............. 02
- Flush to pit latrine (onsite/Off set) with slab and water seal [Flush to pit latrine (onsite/Off set)].............. 03
- Unknown place/not sure/DK where [Unknown place/not sure/DK where].............. 04
- Pit latrine with slab & no water seal but with a lid [Pit latrine with slab & no water seal but with a lid].............. 05
[Pit latrine with slab and flap, no water seal] [Ask the respondent about the flap, Flap: a plastic is attached at the end of the pipe to prevent files from coming out of the pit].

[Pit latrine with slab & no water seal/broken water seal and no lid]

[Ventilated Improved Pit (VIP) latrine] [Observe the slab and ventilation pipe]

[Composting toilet, (Composting toilet ensure separation of urine, water and excreta)]

[Flush or pour flush toilet connected to somewhere else (canal, ditch, river, etc.)]

[Pit latrine without slab/open pit]

[Hanging toilet/latrine]

[Open defecation]:

[No facility/bush/field] ........................ 14

Others: Specify] ....................................................................  777

Skip Note:-  

If answer of 4.1 is 14, skip to 4.3.2
4.3.2 পার্থক্যটির মালিকানা? (মন্তব্য) [(Ask): Ownership type of the Toilet?].................................

প্রায়োজনীয় বিশেষ পর্যবেক্ষণ [Detail observation of the toilet facility]

4.4a প্রায়োজনীয় যাবতীয় রাজ্যের দেশ স্বরাষ্ট্র যে কেন ভারী করা যায় না (পরিবার, জীবন ইত্যাদि)। Path to the toilet suggests regular use (is clear, well-worn, without grass or any barriers etc.)

(1= হাঁ [Yes], 0= না [No], 999 = জাজিয়না [DK], 888= প্রায়োজনীয় নয় [Not applicable])

4.5a টোয়েলেটের বাইরের অবস্থা পর্যবেক্ষণ [Observe the general exterior of the toilet]

(1= হাঁ [Yes], 0= না [No], 999 = জাজিয়না [DK], 888= প্রায়োজনীয় নয় [Not applicable])

7 পাইপ এর মাধ্যমে কোন কভার আছে কি যা মাঝে মাঝে কোরা হওয়া প্রতিরোধ করতে পারে? [Is there a cover on top of the ventilation pipe that protects the flies from going out?]

h) পার্থক্যনীয় মজলির ব্যালেন্ট কি দিয়ে তৈরী? [What are the walls of the toilet mostly made of?] ..........................................................
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4.6a To understand the type of the toilet mostly made 
of?

1. [Concrete]
2. [Tin]
3. [Bamboo]
4. [Plastic]
5. [Tree leaves]
6. [Jute bag]
7. [Straw]
8. [Not applicable]

4.6a Where does the waste from toilet go? (Ask and observe if possible)

1. Waste drains to underground piped sewer (Ask) (4.8.1 Skip to Q4.8.1)
2. Waste goes into onsite pit and stays there (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage)
3. Waste goes into offset pit and stays there (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage)
4. Waste goes into onsite tank and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)
5. Waste goes into offset tank and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)
6. Compost pit (vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water seal, Built in assistance with the NGOs/government)
7. Waste drains to open (lake/river/water) via pipe/covered drain (Observe if possible) (4.8.1 Skip to Q4.8.1)
8. Waste drains to lake/river/water via open drain (Observe) (4.8.1 Skip to Q4.8.1)
9. Bucket (4.8.1 Skip to Q4.8.1)
10. Waste directly fall into water body or low land (Hanging) (4.8.1 Skip to Q4.8.1)

Other (specify)_______________________________
888. অন্য নয় [Not applicable]

31. মলার দুর্ন পিটের মধ্যে পড়িয়ে (রিং নাই, প্লাব আছে) [Waste goes into offset pit (Using no rings but slab)]
32. মলার দুর্ন পিটের মধ্যে পড়িয়ে (রিং নাই, প্লাব নাই) [Waste goes into offset pit (Using no rings or slab)]
33. মলার দুর্ন পিটের মধ্যে পড়িয়ে (রিং আছে, প্লাব নাই) [Waste goes into offset pit (Using rings but no slab)]

4.7a পিটের/টাংকের বাইরে বা ভিতরের চুক্তিকে পর্যবেক্ষণ করন [Observe the onsite or off site pit/tank in all direction]

(1= হাঁ [yes], 0= না [No], 999= জানিনা [DK], 888= অন্য নয় [Not applicable])

1. পিটের উপরের মাটি থেকে উপরে দেখা যায় কি? [Is the top of the pit visible (above the ground)]?

2. সংবাদন নেদর ছিদ্রের/ভাঙার কারণে পাইপের ভিতরে বা বাইরে মলার দেখা যায় কি? [Waste/faeces visible in or around the pipe, because of Leakage in the connecting pipe]?

3. পিট/টাংকের ছিদ্রের কারণে ভিতরে বা বাইরে মলার দেখা যায় [Waste/faeces visible because of leakage in the pit/tank]?

Skip Note: 4.7c নে পোশার উজ্বল ১ হলে ৪.৮ নে পোশার চালু যান [If the answer to question 4.7c is 1 go to question 4.8]

4. মলার দেখা যায় না কিন্তু পিট/টাংকের ভাঙা যা দিয়ে মাশা মাছি আশা যায়া করতে পারবে [No visible waste but broken pit/tank that may allow flies coming out of the toilet]?

Skip Note: 4.7d নে পোশার উজ্বল ১ হলে ৪.৮ নে পোশার চালু যান [If the answer to question 4.7d is 1 go to question 4.8]

5. মলার দেখা যায় না কিন্তু পিট/টাংকের ফটেন/ভাঙা দেখা যাচ্ছে যা দিয়ে মাশা মাছি আশা যায়া করতে পারা না [No visible waste but crack in the pit/tank]?

পাথানা উত্তরাধিকার অর্থাৎ পর্যবেক্ষণ [Observe the interior of the toilet]

4.8.1a পাথানা/উণ্টেলে পাথানার পক্ষ করে যাচ্ছে কি? [Odor of feces in the latrine/bathroom]?

1= হাঁ [Yes], 0= না [No], 888= অন্য নয় [Not applicable]

4.8.2a মাছির উপস্থিতি রয়েছে কি? [Flies present]?

1= হাঁ [Yes], 0= না [No], 888= অন্য নয় [Not applicable]

4.8.3a পাথানাতে প্লাব/প্লাটফর্ম আছে কি? [Is there a slab/platform in the toilet]?

(Note: Squatting slab or platform that is covering the pit on all sides, has a squatting hole and rose above the surrounding ground level to prevent any surface water entering the pit)

ইংরেজি [English]

4.8.4a দেয়ার পরখন উপকার [Main material of the floor (select 1)]

[1] মাটি Mud
[2] কাঠ Wood
4.8.5a Is Stool visible on the slab or floor? (Note: toilet is considered full if faeces have reached over the exit of the squatting hole. In case of toilets with water seal or offset pit/tank, if there is confusion flush water to see if the faeces flushes away.)

1= [Yes], 0= [No], [not applicable] =888

4.8.6a Is Stool visible on the walls?

1= [Yes], 0= [No], [not applicable] =888

4.8.7a Is Stool visible on the door/curtain?

1= [Yes], 0= [No], [not applicable] =888

Skip note: If 4.8.3= 0/888 skip to 4.10

4.8.8a Is there any commode in the toilet?

1= [Yes], 0= [No], [not applicable] =888

4.9 Is the commode broken?

1= [Yes], 0= [No], [not applicable] =888

4.9.1a Is there a lid fully covering the squatting hole at the time of observation?

1= [Yes], 0= [No], [not applicable] =888

4.10a Is the toilet full? (Note: toilet is considered full if faeces have reached over the exit of the squatting hole. In case of toilets with water seal or offset pit/tank, if there is confusion flush water to see if the faeces flushes away.)

1= [Yes], 0= [No], [not applicable] =888

Skip note: If 4.8.3= 0/888 skip to 4.13

4.11a Observe through the hole in the toilet

1. Water in pipe (Water seal, pour some water in the hole to check if there is water in the water seal)]
2. Only pipe visible (no water seal)]
3. Broken pipe (Water seal broken)
4. The pipe is not there, the hole is open and you can see faeces in the pit, but the pit is not full yet.

5. When the squatting hole is full, you can see faeces in the hole, but the pit is not full yet.

4.12a If answer to 12 is 2 or 3, is there any flap at the end of the pipe to prevent files from coming out? (Ask the respondent)

- Yes [1]
- No [0]
- Not applicable [999]

4.13a Observation: What materials for anal cleansing and hand wash are present inside or immediately outside the latrine?

- Yes [1]
- No [0]
- Not applicable/ Could not observe [888]

1. Leaves/grass
2. Twigs / sticks
3. Rag or cloth
4. Stones
5. Hygienic (toilet) paper
6. Water container / vessel
7. Water tap
8. Soap
9. Ash or soil for cleansing
10. Newspaper
11. Nothing

4.a Do you want to add more toilet? 

- 1 = Yes [skip to 4.1b]
- 0 = No

4.1b Request the respondent to show the toilet facility and code after observing the facility. If “flush” or “pour flush” probe/check: Where does it flush to?

Note: Request the respondent to show the toilet facility and code after observing the facility. If “flush” or “pour flush” probe/check: Where does it flush to?
<table>
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<tbody>
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<td>310</td>
<td>ফ্লাষ-টুয়েলেট অথবা পানি চেলে ফ্লাস করা টুয়েলেট (শ্লাব এবং পানি ধারনকারী ওয়াটার সীল পর্যন্তকরণ করন) [Flush or pour flush toilet flushed to] (Observe the slab and water seal containing water):</td>
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<td>টুয়েলেটে পায়াকিন্দ্রণ পাইপের সাথে সংযোগ করে দেনা [Piped sewer system]........... 01</td>
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<td>টুয়েলেটে সেপ্টিক ট্যাঙ্ক করা আছে (টুয়েলেট কল্পক পোস্তে ঢাকা আছে কিংবা পর্যন্তকরণ করন) [Septic tank] (Observe the concrete cover of the tank) ...................... 02</td>
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<td>সেপ্টিক ট্যাঙ্কে নাই কিংবা ফ্লাস করে যা পানি চেলে পায়াকিন্দ্রণ নীচে/সুরে পিটের মধ্যে সরিয়ে দেয়া যায় [Flush to pit latrine (onsite/Off set) with slab and water seal]......................... 03</td>
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<td>অজানা জায়গায় /নিষিদ্ধ কোন জায়গায় নেই/ জানিনা [Unknown place/not sure/DK where] .............................................. 04</td>
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<td>পিট-টুয়েলেট, শ্লাব আছে কিন্তু ওয়াইটার সিল নেই তবে কমোডে ডাকনা দেয়ার ব্যাপস্থা আছে)........................................... 05</td>
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<td>পিট-টুয়েলেট যাদে ফ্লাস আছে, তবে ওয়াটার সিল নেই কিংবা ফ্লাস আছে (উদরবিত্তকে ফ্লাস সম্পর্ক নিবারণ করলে, এ পক্ষে পিটের মাধ্যমে গ্রন্থি করা না যেন যেমন দূষণ বাইরে আশা প্রতিরোধ করে) ..... 06</td>
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<td>পিট লাট্রিনের সাথে সংযোগ করে এবং ফ্লাপ এর সাথে পিটের মাধ্যমে প্রলেপ্ত লাগানো থাকে যা মশায় মাছি বাইরে আশা প্রতিরোধ করে।</td>
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<td>বায়ুলালাল উপযোগী উচ্চ লাট্রিন (শ্লাব এবং হেক্টিকন পাইপ পর্যন্তকরণ করন) [Ventilated Improved Pit (VIP) latrine] (Observe the slab and ventilation pipe)]........................................... 07</td>
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<td>কম্পোস্টিং টুয়েলেট [Composting toilet, (Composting toilet ensure separation of urine, water and excreta)]........................................... 08</td>
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<td>(শাকসবজির মাছয়া, তড়ি, ঘাস, কাঠের ওড়া, হাই পিটের মাধ্যমে নিষিদ্ধ হয়, নাইটার সিল নেই একটি কম্পোস্টিং টুয়েলেট হ্রাস আলাদাভাবে ডিভাইস থাকতে পারে যা নাই থাকতে পারে।) (Vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water seal. A composting latrine may or may not have a urine separation device.)]</td>
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<td>পিট/গর্ভ পায়াকিন্দ্রনা স্পর্শ আছে তবে,ওয়াটার সিল নেই অথবা ওয়াটার সিল ভাঙ্গা এবং কোন ডাকনাও নেই, .... 09</td>
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<td>পিট লাট্রিনের সাথে সংযোগ করে এবং ফ্লাস আছে কিংবা ফ্লাপ নেই এবং নদীর উপর ডাকনার অভাবের সৃষ্টি করে থাকে......................................................... 10</td>
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<td>পিট গর্ভ পায়াকিন্দ্রনা, স্পর্শ নেই এবং হেক্টিকন থেকে মশায় মাছি বাইরে আশা করতে পারে এবং দূষণ ছড়ায়...... 11</td>
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<td>পিট লাট্রিনের সাথে সংযোগ করে এবং ফ্লাস আছে কিংবা ফ্লাপ নেই এবং নদীর উপর ডাকনার অভাবের সৃষ্টি করে থাকে......................................................... 10</td>
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<tr>
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<td>ব্যর্থতি [Bucket].......................................................................................................................... 13</td>
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<td>ব্যর্থতি [Bucket].......................................................................................................................... 13</td>
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<td>ব্যর্থতি [Bucket].......................................................................................................................... 13</td>
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</tbody>
</table>
Skip Note-: if 4.1 is 14, skip to 4.18

4.2.1b When was the most recent time this toilet was used? [days ago]

4.2.2a Do you share this toilet facility with other households?

(Note: Any person or group of persons related or unrelated who do not live in the same dwelling space and do not share a common source of food as the respondent would be considered to belong to other household.)

1= yes 0= no 999= Not applicable

4.3b How many households sharing the toilet facility? (Ask and check)

1= yes 0= no 999= Not applicable

4.3.1b How many people including children use this toilet?

1= yes 0= no 999= Not applicable

4.3.2b Ownership type of the Toilet?

1= only for the household 2= shared 3= someone else 4= public

4.4b Path to the toilet suggests regular use (is clear, well-worn, without grass or any barriers etc.)

1= yes 0= no 999= Not applicable 888= not applicable

4.5a Can an average sized adult use the toilet without being seen?

1= yes 0= no 999= Not applicable 888= not applicable
Skip Note: If answer of 10.5f is 0, skip to 10.5h

7. Is there a cover on top of the ventilation pipe that protects the flies from going out?

j) What are the walls of the toilet mostly made of?

8. Concrete
9. Tin
10. Bamboo/Mud
11. Plastic
12. Tree leaves
13. Jute bag
14. Straw

8. Wood

k) What is the roof of the toilet mostly made of?

8. Concrete
9. Tin
10. Bamboo
11. Plastic
12. Tree leaves
13. Jute bag
14. Straw

4.6b Where does the waste from toilet go? (Ask and observe if possible)

1. Waste drains to underground piped sewer (Ask) (4.8.1 Skip to Q4.8.1)
2. Waste goes into onsite pit and stays there (Respondent will report using concrete rings to make the pit. Observe the top of the pit and any leakage)
3. Waste goes into offset pit and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)
4. Waste goes into offset tank and stays there (Observe the concrete cover of the tank and any leakage. Respondent will report building the tank with concrete lining rather than buying the ring for pit lining)
6. Compost pit (shark savaging may, rod, fish, cat's eye, claw): Pit of waste used as manure, no water seal, Built in assistance with the NGOs/government. [Compost pit (vegetable wastes, straw, grass, sawdust, ash added in the pit, the waste used as manure, no water seal, Built in assistance with the NGOs/government)]

7. A pit and a duck pond is filled with manure and water, which is used as a fertilizer. [Waste drains to open (lake/river/water) via pipe/covered drain (Observe if possible)] (4:8.1 NANGA PABA 4:8.1)

8. A pit and a duck pond is filled with manure and water, which is used as a fertilizer. [Waste drains to lake/river/water via open drain (Observe)] (4:8.1 NANGA PABA 4:8.1)

9. A pit and a bucket is filled with manure and water, which is used as a fertilizer. [Waste drains to lake/river/water via open drain (Observe)] (4:8.1 NANGA PABA 4:8.1)

10. A waste goes into offsite pit/tank in all direction [Observe the onsite or off site pit/tank in all direction]

4.7b Pit of waste/tank in all direction

1. Is the top of the pit visible (above the ground)? ........................................................................................................

2. Waste/faeces visible in or around the pipe, because of leakage in the connecting pipe? ........................................................................................................

3. Waste/faeces visible because of leakage in the pit/tank? ........................................................................................................

Skip Note: 4.7c NANGA PABA 4:8.1 NANGA PABA 4:8.1

4. No visible waste but broken pit/tank that may allow flies coming out of the toilet? ........................................................................................................

Skip Note: 4.7d NANGA PABA 4:8.1 NANGA PABA 4:8.1

5. Odor of feces in the latrine/bathroom? ........................................................................................................
4.8.2b flies present? (1=Yes, 0=No, not applicable =888)

4.8.3b is there a slab/platform in the toilet? (Note: squatting slab or platform that is covering the pit on all sides, has a squatting hole and rose above the surrounding ground level to prevent any surface water entering the pit)

4.8.4b main material of the floor (select 1)

1. Mud
2. Wood
3. Cement
4. Tile / brick
5. Plastic

4.8.5b is stool visible on the slab or floor? (1=Yes, 0=No, not applicable =888)

4.8.6b is stool visible on the walls? (1=Yes, 0=No, not applicable =888)

4.8.7b is stool visible on the door/curtain? (1=Yes, 0=No, not applicable =888)

4.9b is there a lid covering the squatting hole/drop hole? (1=Yes, 0=No, not applicable =888)

4.10b is there faeces visible in the commode? (1=Yes, 0=No, not applicable =888)

4.9.1b is there a lid fully covering the squatting hole at the time of observation? (1=Yes, 0=No, not applicable =888)

4.10b is the commode broken? (1=Yes, 0=No, not applicable =888)

4.10b is there any commode in the toilet? (1=Yes, 0=No, not applicable =888)
Is the toilet full? (Note: Toilet is considered full if faeces have reached over the exit of the squatting hole. In case of toilets with water seal or offset pit/tank, if there is confusion flush water to see if the faeces flushes away.) .................................................................

[Yes] =1
[No]=0

[Not applicable]=888

Skip note: If 4.8.3 = 0/888 skip to 4.13

4.11b Obtain data on the condition of the latrine [Observe through the hole in the toilet]..........

1.  পাইপে পানি আছে (ওয়াটার সীলে পানি আছে কি না তা দেখার জন্য অন্য পানি চালুন) [Water in pipe (Water seal, pour some water in the hole to check if there is water in the water seal)]
2.  অন্য পাইপ দেখা যাচ্ছে কোন ওয়াটার সীল নেই [Only pipe visible (no water seal)]
3.  পাইপ ভেঙ্গেছে ওয়াটার সীল ভঙ্গ করেছে [Broken pipe (Water seal broken)]
4.  পাইপ দেখা যাচ্ছে পাইপ দেখা যাচ্ছে (পিটের পাত্র দেখা ), তবে পিটের একটি সম্পূর্ণ ভরে থাকবে [No pipe, open hole to the pit, can see faeces in the pit, but the pit is not full yet]
5.  কমাড এর গিয়ে মাঝে ভার হচ্ছে (faeces have reached the exit of the squatting hole)

888 [Not applicable]

4.12b যদি 4.11 নং প্রশ্নের উত্তর ২ বা ৩ হয়, তবে ময়লা নির্দেশনা প্রতিযোগী পাইপের শেষে কোন ফ্লাপ আছে কি? (উন্নীত শাখা করন) [If answer to 12 is 2 or 3, is there any flap at the end of the pipe to prevent files from coming out?] (Ask the respondent).................................................................

[Yes] =1
[No]=0

[Not applicable]=888

4.13b পর্যালোচনা: দৌটি কাঁটা সম্পূর্ণ করার ও হতে খাদ্যের জন্য পায়ানার ভিতরে বা সর্বাক্ষেপে কি কি উপাদান উপস্থিত আছে/যেয়েছে? [Observation: What materials for anal cleansing and hand wash are present inside or immediately outside the latrine?]

[Yes] .................................................................
[No] ......................................................................

[Not Applicable/ Could not observe]........888

11. পাতা/যাস [Leaves/grass].................................................................
12. কাঁটা [Twigs / sticks].................................................................
13. কাপড় [Rag or cloth].................................................................
14. পাতা [Stones].................................................................
15. ট্যাঙ্কের পেপার [Hygienic (toilet) paper].................................................................
16. পানির পাতা/বকলা/গাম [Water container / vessel].................................................................
17. পানির টাপ [Water tap].................................................................
18. সাবর [Soap].................................................................
19. ভাই অথবা মাটি [Ash or soil for cleansing].................................................................
20. খন্ডের কাপড় [Newspaper].................................................................
11. |Nothing|..............................................................................................................

**Observation** [For the following]:

4.14 |Is there any Human faeces present within the household?|..............................................................................................................

- Ḥা [Yes] ..............................................1
- Não [No]..............................................0

4.15 |Number of piles of Human faeces within the household that could be considered open defecation|......................................................................................

- 555 |Too numerous to count (more than 10 piles)|
- 999 |Cannot tell / could not observe|

4.16 |Is there any Animal faeces present within the household?|..............................................................................................................

- Ḥা [Yes] ..............................................1
- Não [No]..............................................0

4.17 |Number of piles of Animal faeces present within the household (mark all that apply)|......................................................................................

- 555 |Too numerous to count (more than 10 piles)|
- 999 |Cannot tell / could not observe|

1. |Poultry (chicken, duck, and pigeon)|......................................................................................
2. |Cow / Buffalo|......................................................................................
3. |Goat / Sheep|......................................................................................
4. |Pig|......................................................................................
5. |Dog or Cat|......................................................................................
777. |Other|......................................................................................

Thank you.

Name, signature of FRA: ________________________________  Checked by FRO: ____________________________