# Socioeconomic position and mortality risk of smoking: Evidence from the English Longitudinal Study of Ageing (ELSA) 

## Authors

Dan Lewer ${ }^{1}$, Martin McKee ${ }^{1}$, Antonio Gasparrini ${ }^{1}$, Aaron Reeves ${ }^{2}$ and Cesar de Oliveira ${ }^{3}$

1. Faculty of Public Health and Policy, London School of Hygiene and Tropical Medicine, London UK
2. International Inequalities Institute, London School of Economics, London UK
3. Department of Epidemiology and Public Health, University College London, London UK

## Corresponding author:

Dan Lewer
Faculty of Public Health and Policy
London School of Hygiene and Tropical Medicine
15-17 Tavistock Place
London WC1H 9SH
Tel: +44 (0) 7766395792
Email: dan.lewer@ nhs.net

Word count: 2,992


#### Abstract

Background - It is not clear whether the harm associated with smoking differs by socioeconomic status. This study tests the hypothesis that smoking confers a greater mortality risk for individuals in low socioeconomic groups, using a cohort of 18,479 adults drawn from the English Longitudinal Study of Ageing.


Methods - Additive hazards models were used to estimate the absolute smokingrelated risk of death due to lung cancer or COPD. Smoking was measured using a continuous index that incorporated the duration of smoking, intensity of smoking and the time since cessation. Attributable death rates were reported for different levels of education, occupational class, income and wealth.

Results - Smoking was associated with higher absolute mortality risk in lower socioeconomic groups for all four socioeconomic indicators. For example, smoking 20 cigarettes per day for 40 years was associated with $898(95 \%$ CI 738,1058$)$ deaths due to lung cancer or COPD per 100,000 person-years among participants in the bottom income tertile, compared to 327 ( $95 \%$ CI 209,445 ) among participants in the top tertile.

Conclusions - Smoking is associated with greater absolute mortality risk for individuals in lower socioeconomic groups. This suggests greater public health benefits of smoking prevention or cessation in these groups.

Keywords: Smoking, Tobacco, Socioeconomic Factors, Mortality

## INTRODUCTION

Smoking is more common in low socioeconomic groups in almost all high-income countries. In the UK in 2013, for example, $14 \%$ of adults in managerial and professional occupations smoked, compared to $29 \%$ in routine and manual occupations. ${ }^{1}$ Smoking-related diseases such as lung cancer are also more common in low socioeconomic groups. ${ }^{2}$

Many studies show that differences in smoking behaviour only partially explain inequalities in smoking-related diseases such as lung cancer. ${ }^{2-4}$ It has been suggested that the harms of smoking differ by socioeconomic status, but this interaction has received little attention. In a literature search we found 13 studies that tested the interaction between socioeconomic status and smoking (details of the search are included in supplementary material A), but these produced conflicting results. Four found a statistically significant interaction, with low socioeconomic status associated with increased risks of smoking. ${ }^{5-8}$ For example, a cross-sectional study in Canada found that the difference in health outcomes between smokers and non-smokers was greatest for low income participants. ${ }^{5}$ Eight found no evidence of an interaction. ${ }^{9-16}$ For example, a cohort study in Belgium found that the all-cause mortality rate ratios comparing smokers to non-smokers did not differ by level of education. ${ }^{10}$ Finally, one cross-sectional study ${ }^{17}$ observed that the difference in health between smokers and non-smokers was greater for those in high status occupations than among manual workers. The author suggested that the effects of multiple risk factors in low socioeconomic groups do not always accumulate, limiting the risks specifically attributable to smoking. This is now commonly known as the 'Blaxter hypothesis'.

These studies compare the relative risk of smoking across socioeconomic groups, which may hide differences in absolute risk because the baseline risk of smokingrelated diseases is higher in low socioeconomic groups. ${ }^{4}$ The literature has three further limitations. First, studies commonly use simple measures of smoking exposure (such as classifying subjects as current, ex- or never-smokers), overlooking socioeconomic differences in total smoking history. For example, smokers in low socioeconomic groups start younger and smoke more cigarettes per day. ${ }^{18}$ Second, most studies use general health outcomes such as all-cause mortality, meaning that the interaction between smoking and socioeconomic status may be confounded by other risk factors for general poor health. Third, several of the studies use cross-sectional designs, and the results may be biased by selection if some non-smokers quit due to worsening health.

This study uses data from the English Longitudinal Study of Ageing to test the hypothesis that smoking confers greater absolute risk of mortality in low socioeconomic groups. This may help explain the steep social gradients in smokingrelated diseases. The study also aims to use a more complete measure of smoking exposure and use a smoking-specific outcome.

## METHODS

## Data source

The data source was the English Longitudinal Study of Ageing (ELSA), which includes participants in the Health Survey for England's 1998, 1999 and 2001 rounds born before 1953. The sampling and research methodologies are described elsewhere. ${ }^{19}$ All independent variables were taken from the Health Survey for England data, except for wealth, which was taken from the ELSA follow-up survey conducted in 2002. The interview date was used as the study baseline. Linked mortality data including the month, year and underlying cause of death were provided to ELSA by the UK's Office of National Statistics, with complete follow-up to April 2013.

## Study variables

The dependent variable was survival in months from the date of interview. If the underlying cause of death was lung cancer or COPD, the cause was considered 'smoking related'. These diseases have a high degree of specificity, allowing us to examine specific smoking-related risk, although it is recognised that smoking also contributes to many other conditions.

Participants' smoking history was summarised using the Comprehensive Smoking Index (CSI). ${ }^{20}$ This measure was chosen because it includes the duration of smoking, intensity of smoking (cigarettes per day) and time since cessation, which are important determinants of smoking-related risk and may vary by socioeconomic status. ${ }^{18}$ It also reflects the non-linear relationship between these variables and smoking-related risk. ${ }^{21}$ The formula is:

$$
[S]=\left(1-0.5 \frac{\text { yearsof smoking }}{\text { hallflite }}\right) \times\left(0.5 \frac{\text { vears sincequit }}{\text { hall-lite }}\right) \times \ln (\text { intensily }+1)
$$

A 'half-life' of 25 years was determined by testing a range of values and maximising model fit. ${ }^{20}$ The recommended formula also included a 'lag term', as harm does not reduce immediately after cessation. However, this did not improve model fit and was excluded. The range of CSI was 0 (for never-smokers) to 3.7 (for an individual who reported smoking 80 per day for 66 years). CSI was included as a continuous measure in survival models. For reporting baseline characteristics, it was aggregated into never smokers and tertiles for ever-smokers.

Education was collapsed into those with (1) A-levels or higher; around 13 or more years of education, (2) qualifications below A-level; typically around 11 years of education, (3) no formal qualifications, (4) other qualifications.

Occupation of the 'household reference person' (the person who owns or rents the participant's home or has the highest income) was based on the UK Office for National Statistic's 'Social Class based on Occupation'22 and was collapsed into those with (1) non-manual, including professional, managerial and technical and skilled non-manual occupations; levels I, II and IIIN (2) skilled manual; level IIIM and (3) semi- and unskilled manual occupations; levels IV and V.

Income was based on equivalised gross household income, with tertiles calculated for each survey year. Income was adjusted for the number, age and relationships of people in the household, using the McClements equivalence score. ${ }^{23}$

Total non-pension household wealth tertiles included financial wealth (savings and investments), the value of any home and other property (less mortgage), the value of any business assets and physical wealth such as artwork and jewellery, net of debt.

Age at last birthday was used in survival models. For reporting baseline characteristics, it was aggregated into ten-year bands.

To determine passive smoking, participants were asked 'does anyone smoke inside this house/flat on most days?' Those who responded 'yes' were recorded as passive smokers.

## Missing data

The ELSA sample included 18,651 adults. Fifty-six cases had missing data for their smoking status (current, ex- or never smoker), cigarettes currently smoked per day, passive smoking status or education level achieved. One case appeared to have an incorrect date of death. Smoking exposure could not be calculated for 56 ex-smokers who reported less than one year of smoking and 59 individuals who described themselves as current smokers yet reported smoking zero cigarettes per day. These cases were excluded, leaving 18,479 ( $99 \%$ of the original sample).

Income data were not available for $3,346(18 \%)$ and occupation data were not available for 435 (2\%). The age of smoking initiation was not available for 1,171 (including $14 \%$ of ex-smokers and $<1 \%$ of current smokers). The number of cigarettes previously smoked per day was not available for 1,157 ex-smokers (14\%). These cases were retained. Multiple imputed complete datasets $(\mathrm{m}=20)$ were generated from age, sex, CSI and all socioeconomic variables, using the Amelia II package. ${ }^{24}$

For additive hazards models, the results were combined using Rubin's Rule. Descriptive analyses used 'missing' categories rather than multiple imputation. Wealth data were collected for a sub-sample of 10,922 participants who responded to a follow-up ELSA survey in 2002. These data were analysed using the interview date in 2002 as the baseline.

## Statistical analysis

Baseline characteristics of study participants were reported by age, sex, all socioeconomic indicators, current smoking status, CSI and passive smoking status. Mortality rates were directly age-adjusted using ten-year age bands and the study population as the reference. Population attributable fractions were calculated for the proportion of smoking-related deaths that could be attributed to smoking, to check how closely the outcome event was related to smoking.

Additive hazards models ${ }^{7,25}$ were used to estimate the number of additional deaths per 100,000 person-years associated with the independent variables. This approach allowed us to compare the absolute risks of smoking and the numbers of deaths that could be avoided by preventing smoking in different socioeconomic groups.

Three models were fitted for each socioeconomic indicator. The dependent variable was the time in months until death due to lung cancer or COPD, with cases censored if they died due to other causes. The first model estimated the additional deaths associated with the socioeconomic indicator, adjusting for age, sex and passive smoking to allow reasonable comparisons between socioeconomic groups. The second model was also adjusted for smoking (using CSI). The third model included an interaction term between CSI and socioeconomic status and reported the effect of

CSI for each socioeconomic level. $p$-values were reported from tests of the difference between the socioeconomic-specific effects of CSI. Models were not adjusted for environmental factors, health behaviours, comorbidities and other factors associated with socioeconomic status that may cause a differential impact, as these variables are plausibly on the causal pathway between socioeconomic status and the interaction effect between smoking and mortality. The analyses were repeated using mortality due to causes other than lung cancer or COPD and all-cause mortality as the events of interest. Kolmogorov-Smirnov and Cramer-von Mises tests were used to evaluate the assumption of time-invariance. ${ }^{26}$ There was evidence that the effect of age varied over follow-up. Age was therefore included as a time-varying regressor. The results report socioeconomic-specific effects of CSI from the third model, with results from all models reported in supplementary material B. As a sensitivity analysis, we re-ran the additive hazards models excluding all participants with CSI greater than 2.5 (equivalent to 40 cigarettes per day for 40 years), as smoking indices may perform poorly at extreme values.

As a second sensitivity analysis, we fitted Cox's proportional hazards models to assess whether multiplicative and additive models produce different results. The results of the Cox models are shown in supplementary material C.

All analyses and production of graphics were carried out using R version 3.3.1.

## RESULTS

The analysis included 18,479 adults and 223,641 years of follow-up (mean $=12.1$ years). Table 1 shows the baseline characteristics of the sample and the age-adjusted mortality rates. Older people, men, those of lower socioeconomic status and smokers had higher rates of our measure of smoking-related mortality (i.e. death due to lung cancer or COPD), consistent with other research.
<< Table 1 about here >>

There were 5,050 deaths, of which 310 were due to lung cancer and 274 to COPD. $92 \%$ of deaths due to lung cancer or COPD were in current or ex-smokers. Given the prevalence of ever-smoking in this study, the proportion of deaths due to lung cancer or COPD attributable to smoking can be estimated at $80 \%$ ( $95 \%$ CI $73 \%, 85 \%$ ). This compares to $20 \%$ ( $95 \%$ CI $17 \%, 23 \%$ ) of deaths due to all causes.

After adjusting for age, sex and passive smoking, lower socioeconomic groups had higher rates of smoking-related mortality. For example, the rate of smoking-related mortality in participants with less than $£ 76,000$ of wealth was estimated to be 248 $(95 \%$ CI 165,330$)$ per 100,000 person-years higher than in participants with more than $£ 202,000$ of wealth. Adjusting for smoking only partially explained this gradient for all socioeconomic indicators (results of models without interaction terms are shown in supplementary material B). We then included an interaction term between CSI and socioeconomic group to test whether the association between smoking and mortality varied across these groups, finding that the effect of smoking was greater in lower socioeconomic groups (see table 2 and Figure 1). For example, a one-unit increase in CSI was associated with an increase in smoking-related mortality of 160
( $95 \%$ CI 102,218 ) per 100,000 person-years among participants in the top income tertile, compared to $440(95 \%$ CI 362,519$)$ among participants in the bottom tertile. To illustrate this, smoking 20 cigarettes per day for 40 years $(C S I=2.0)$ was associated with 327 ( $95 \%$ CI 209, 445) smoking-related deaths per 100,000 personyears in the top income tertile and $898(95 \%$ CI 738,1058$)$ in the bottom tertile. While increases in smoking were also associated with increases in the rate of mortality due to other causes, the effects did not differ significantly between socioeconomic groups. In the sensitivity analysis excluding participants with CSI greater than 2.5 , the point estimates for the number of deaths per 100,000 personyears attributable to a one-unit increase in CSI reduced by $15 \%$ or less. There was no apparent relationship between the change and socioeconomic level, and no changes in the gradients or significance of results.
<< Table 2 about here >>
<< Figure 1 about here >>

## DISCUSSION

This study investigated socioeconomic differences in the relationship between smoking and mortality. The results show that substantially more deaths due to lung cancer or COPD can be attributed to smoking in low socioeconomic groups, even after accounting for the higher rates of smoking in these groups.

The mechanisms behind this finding were not explored in the present study. Risk factors associated with socioeconomic status, such as diet, physical activity, air pollution and exposure to asbestos, may interact with smoking, ${ }^{27-29}$ and future research could investigate which pathways lead to greater absolute risk in smokers of low socioeconomic status.

Many studies have shown that smoking-related diseases have a steep social gradient ${ }^{30}$ that is only partially explained by smoking behaviour;, ${ }^{3,4}$ findings that are consistent with the results of this study. The finding that smoking is associated with more deaths due to lung cancer or COPD in low socioeconomic groups appears to contrast with the majority of existing studies, which did not find evidence of effect modification. ${ }^{9-}$ ${ }^{16}$ However, these studies used multiplicative regression models that test for differences in relative risk. The analysis in the present study using Cox's proportional hazards models (shown in supplementary material C, which also discusses the differences between absolute and relative risk) showed that hazard ratios associated with smoking were similar across socioeconomic groups. Large differences in the absolute risk of smoking are therefore consistent with similar relative risks (or even a reversed gradient in relative risks), due to differing baseline mortality rates across socioeconomic groups. A study comparing mortality rates of manual and non-manual workers in Scotland ${ }^{16}$ found that the absolute difference between smokers and non-
smokers was similar in the two groups. This study looked at all-cause mortality and a wider definition of 'smoking-related mortality' than lung cancer and COPD, including cardiovascular disease, respiratory infections and some non-respiratory cancers. These results may therefore be consistent with the lack of difference in attributable deaths found for all-causes and 'other causes' of death when comparing occupational classes in the present study. Differences in the social gradients in smoking-related risk for other causes of death may be an area for further research.

By using an outcome that is closely related to smoking (mortality due to lung cancer or COPD) and a smoking exposure variable that captures important elements of risk, this study overcomes some of the issues with existing studies. It is a study of older people, which means that a large proportion of participants' lifetime smoking is likely to be in the past. The mean duration of smoking was 42 years for current smokers, while mean follow-up was 12.1 years. This limits the risk of bias due to unmeasured smoking during follow-up and smoking cessation due to ill health. The study population also includes the vast majority of smoking-related deaths, with $99 \%$ of deaths due to COPD and $98 \%$ of deaths due to lung cancer in England and Wales occurring in people aged over $50 .{ }^{31}$

Smoking was based on self-report and a limited number of variables (duration of smoking, intensity of smoking and time since cessation). This simplifies smoking behaviours, which are likely to change over time. Recall may be inaccurate due to the difficulty of remembering the average number of cigarettes smoked or social desirability bias. Studies that compare biomarkers such as cotinine and exhaled carbon monoxide with self-report data have found that self-report data tend to underestimate prevalence of current smoking, but underreporting is not associated
with socioeconomic status. ${ }^{32}$ This suggests that social gradients in the effects of smoking are unlikely to be strongly affected by recall bias.

The study used cause-specific mortality data, which may be susceptible to misclassification. Death due to lung cancer is likely to be well defined and cancers are often histologically confirmed. Diagnosis of death due to COPD may have less certainty and misclassification may occur. ${ }^{33}$ Analyses of cause-specific mortality may also be susceptible to bias from competing risks, for which we have not accounted. Other research suggests that smokers in lower socioeconomic groups are more likely to suffer premature death due to cardiovascular causes ${ }^{34}$ and may be less likely to survive until they develop lung cancer or COPD. This scenario would mean that the observed differences in the risks of smoking are understated.

The Health Survey for England (from which the ELSA sample is drawn) may have selection bias due to non-response. The response rate is difficult to estimate because the study population is a subset of Health Survey for England participants. Response rates for the full Health Survey for England sample were 69\%, 70\% and 67\% in 1998, 1999 and 2001 respectively. ${ }^{35}$ The results for wealth tertiles are subject to further drop-out, with a response rate of $59 \%$ in the follow-up survey from which wealth information was drawn. Bias may have affected the study if non-response was associated with both socioeconomic status and smoking-associated risk.

The results are likely to be applicable in settings with similar patterns of socioeconomic status as England, including other Western European countries, but may not be generalizable to low-income countries, where smoking patterns and socioeconomic conditions are different.

## Conclusions

Some tobacco control policies, particularly individual or group-level smoking cessation interventions, appear more effective in higher socioeconomic groups. ${ }^{36}$ Prevalence of smoking is reducing most rapidly in high socioeconomic groups, and smoking in high-income countries is increasingly associated with deprivation. ${ }^{37}$ Using an additive approach, this study shows large inequalities in the absolute mortality risk of smoking. It suggests that smoking prevention and cessation in lower socioeconomic groups can lead to greater population health benefits than would be expected simply because of their higher smoking rates. This underlines the importance of strengthening population-based policies that are effective in low socioeconomic groups, thereby narrowing inequalities in smoking, including steadily increasing taxation. ${ }^{38}$

## Acknowledgements

We thank all of the staff working on the English Longitudinal Study of Ageing (ELSA) and the participants in the project. The English Longitudinal Study of Ageing is supported by the National Institute on Aging (NIA/NIH) USA (grant number 5 R01 AG017644-16) and a consortium of the United Kingdom government departments coordinated by the Office for National Statistics. These funding bodies had no role in the study design; in the collection, analysis, and interpretation of data; in the writing of the report; or in the decision to submit the article for publication.

## Conflicts of interests

None declared.

## Key points

- Smoking is associated with greater absolute mortality risk for individuals in lower socioeconomic groups.
- The social gradient in risk is seen across multiple indicators of socioeconomic status.
- Smoking among people with low income or education is associated with three times the rate of death due to lung cancer or chronic obstructive pulmonary disease than smoking among people with high income or education.
- The public health benefits of smoking prevention are greatest in lower socioeconomic groups.


## Ethics approval and informed consent

Informed consent was obtained from all individual participants included in the English Longitudinal Study of Ageing, which was approved by the National Research Ethics Service (London Multicentre Research Ethics Committee MREC/01/2/91). This analysis was approved by the Ethics Committee of the London School of Hygiene and Tropical Medicine.

## REFERENCES

1 Health and Social Care Information Centre. Statistics on Smoking - England 2015. 2015. (http://www.hscic.gov.uk/catalogue/PUB17526/stat-smok-eng-2015rep.pdf).

2 Van der Heyden JHA, Schaap MM, Kunst AE, Esnaola S, Borrell C, Cox B, et al. Socioeconomic inequalities in lung cancer mortality in 16 European populations. Lung Cancer 2009; 63: 322-30.

3 Menvielle G, Boshuizen H, Kunst AE, Dalton SO, Vineis P, Bergmann MM, et al. The Role of Smoking and Diet in Explaining Educational Inequalities in Lung Cancer Incidence. JNCI J Natl Cancer Inst 2009; 101: 321-30.

4 Sidorchuk A, Agardh EE, Aremu O, Hallqvist J, Allebeck P, Moradi T. Socioeconomic differences in lung cancer incidence: a systematic review and meta-analysis. Cancer Causes Control 2009; 20: 459-71.

5 Birch S, Jerrett M, Eyles J. Heterogeneity in the determinants of health and illness: the example of socioeconomic status and smoking. Soc Sci Med 2000; 51: 307-317.

6 Nordahl H, Lange T, Osler M, Diderichsen F, Andersen I, Prescott E, et al. Education and Cause-specific Mortality: The Mediating Role of Differential Exposure and Vulnerability to Behavioral Risk Factors. Epidemiology 2014; 25: 389-96.

7 Rod NH, Lange T, Andersen I, Marott JL, Diderichsen F. Additive Interaction in Survival Analysis: Use of the Additive Hazards Model. Epidemiology 2012; 23: 733-7.

8 Pampel FC, Rogers RG. Socioeconomic status, smoking, and health: a test of competing theories of cumulative advantage. J Health Soc Behav 2004; 45: 306321.

9 Trinder PM, Croft PR, Lewis M. Social class, smoking and the severity of respiratory symptoms in the general population. J Epidemiol Community Health 2000; 54: 340-343.

10 Gruer L, Hart CL, Gordon DS, Watt GCM. Effect of tobacco smoking on survival of men and women by social position: a 28 year cohort study. BMJ 2009; 338: b480-b480.

11 Thrane C. Explaining educational-related inequalities in health: Mediation and moderator models. Soc Sci Med 2006; 62: 467-78.

12 Williamson DL. Health behaviours and health: evidence that the relationship is not conditional on income adequacy. Soc Sci Med 2000; 51: 1741-1754.

13 Mehta N, Preston S. Are major behavioral and sociodemographic risk factors for mortality additive or multiplicative in their effects? Soc Sci Med 2016; 154: 93-9.

14 Charafeddine R, Van Oyen H, Demarest S. Does the association between smoking and mortality differ by educational level? Soc Sci Med 2012; 74: 1402-6.

15 Schnohr C, Højbjerre L, Riegels M, Ledet L, Larsen T, Schultz-Larsen K, et al. Does educational level influence the effects of smoking, alcohol, physical
activity, and obesity on mortality? A prospective population study. Scand J Public Health 2004; 32: 250-6.

16 Marang-van de Mheen PJ, Davey Smith G, Hart CL. The health impact of smoking in manual and non-manual social class men and women: a test of the Blaxter hypothesis. Soc Sci Med 1999; 48: 1851-6.

17 Blaxter M. Health and Lifestyles. Routledge, 1990.

18 Office for National Statistics. Chapter 1 - Smoking (General Lifestyle Survey Overview - a report on the 2011 General Lifestyle Survey). 2013. (http://webarchive.nationalarchives.gov.uk/20160105160709/http://www.ons.gov. uk/ons/dcp171776_302558.pdf).

19 Steptoe A, Breeze E, Banks J, Nazroo J. Cohort Profile: The English Longitudinal Study of Ageing. Int J Epidemiol 2013; 42: 1640-8.

20 Leffondré K, Abrahamowicz M, Xiao Y, Siemiatycki J. Modelling smoking history using a comprehensive smoking index: application to lung cancer. Stat Med 2006; 25: 4132-46.

21 Peto J. That the effects of smoking should be measured in pack-years: misconceptions 4. Br J Cancer 2012; 107: 406-7.

22 Rose D, O'Reilly K. The ESRC review of government social classifications. Office for National Statistics, 1998.

23 McClements LD. Equivalence scales for children. J Public Econ 1977; 8: 191210.

24 Honaker J, King G, Blackwell M. Amelia II: A Program for Missing Data. J Stat Softw 2011; : 7.

25 Lin DY, Ying Z. Semiparametric analysis of the additive risk model. Biometrika 1994; 81: 61-71.

26 Martinussen T, Scheike TH. Dynamic Regression Models for Survival Data. Springer, 2006.

27 Cui Y, Morgenstern H, Greenland S, Tashkin DP, Mao JT, Cai L, et al. Dietary flavonoid intake and lung cancer-A population-based case-control study. Cancer 2008; 112: 2241-8.

28 Sun J-Y, Shi L, Gao X-D, Xu S-F. Physical Activity and Risk of Lung Cancer: A Meta-Analysis of Prospective Cohort Studies. Asian Pac J Cancer Prev 2012; 13: 3143-7.

29 Menvielle G, Boshuizen H, Kunst AE, Vineis P, Dalton SO, Bergmann MM, et al. Occupational exposures contribute to educational inequalities in lung cancer incidence among men: Evidence from the EPIC prospective cohort study. Int J Cancer 2009; : 1928-1935.

30 Marmot M, Shipley MJ, Rose G. Inequalities in death - specific explanations of a general pattern? Lancet 1984; 1: 1003-6.

31 Office for National Statistics. Deaths registered in England and Wales (Series DR): 2014. 2015.

32 Gorber SC, Schofield-Hurwitz S, Hardt J, Levasseur G, Tremblay M. The accuracy of self-reported smoking: A systematic review of the relationship
between self-reported and cotinine-assessed smoking status. Nicotine Tob Res 2009; 11: 12-24.

33 Jensen HH, Godtfredsen NS, Lange P, Vestbo J. Potential misclassification of causes of death from COPD. Eur Respir J 2006; 28: 781-5.

34 McCartney D, Scarborough P, Webster P, Rayner M. Trends in social inequalities for premature coronary heart disease mortality in Great Britain, 1994-2008: a time trend ecological study. BMJ Open 2012; 2: e000737.

35 Mindell J, Biddulph JP, Hirani V, Stamatakis E, Craig R, Nunn S, et al. Cohort Profile: The Health Survey for England. Int J Epidemiol 2012; 41: 1585-93.

36 Hiscock R, Murray S, Brose LS, McEwen A, Bee JL, Dobbie F, et al. Behavioural therapy for smoking cessation: The effectiveness of different intervention types for disadvantaged and affluent smokers. Addict Behav 2013; 38: 2787-96.

37 Hiscock R, Bauld L, Amos A, Platt S. Smoking and socioeconomic status in England: the rise of the never smoker and the disadvantaged smoker. J Public Health 2012; 34: 390-6.

38 Hill S, Amos A, Clifford D, Platt S. Impact of tobacco control interventions on socioeconomic inequalities in smoking: review of the evidence. Tob Control 2014; 23: e89-97.

Table 1: Baseline characteristics and mortality rates

|  |  |  | Age-adjusted mortality rate per 100,000 person- |  |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  | years |


|  | £12k-£22k | 5053 (27) | 299 | 2495 | 2794 |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | <£12k | 5041 (27) | 390 | 2711 | 3101 |
|  | Missing | 3346 (18) | 229 | 2000 | 2228 |
| Wealth ${ }^{\text {c }}$ | >£202k | 3640 (20) | 188 | 1860 | 2047 |
|  | £76k-£202k | 3634 (20) | 197 | 2125 | 2322 |
|  | <£76k | 3648 (20) | 511 | 2947 | 3459 |
|  | Missing | 7557 (41) | - | - | - |
| Smoking | Never | 6827 (37) | 60 | 1999 | 2059 |
|  | Ex | 8030 (43) | 310 | 2438 | 2748 |
|  | Current | 3622 (20) | 795 | 3046 | 3842 |
| CSI | Never-smoker | 6827 (37) | 60 | 1999 | 2059 |
|  | $<0.8$ | 3415 (18) | 159 | 2234 | 2394 |
|  | 0.8-1.6 | 3516 (19) | 430 | 2706 | 3136 |
|  | 1.6-3.7 | 3516 (19) | 917 | 3083 | 4000 |
|  | Missing | 1205 (7) | 110 | 2111 | 2221 |
| Passive | No | 13429 (73) | 190 | 2196 | 2386 |
| smoking | Yes | 5050 (27) | 637 | 2964 | 3600 |
| All |  | 18479 | 261 | 1997 | 2258 |

a. Crude rates are shown for age groups.
b. 'A-level' means A-level or higher (including higher education); 'GCSE' means GCSE or equivalent (e.g. O-level).
c. Wealth data was collected in a follow-up survey in 2002. Missing data is non-respondents to the follow-up survey. Rates are calculated from 2002 and are not reported for participants with missing data because death between the baseline survey (conducted in 1998, 1999 or 2001) and follow-up survey is a reason for non-response.

Table 2: Deaths per 100,000 person-years associated with a one-unit increase in the Comprehensive Smoking Index, stratified by socioeconomic status (95\% CIs) ${ }^{\text {b }}$

|  |  | Cause of death |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | Lung cancer or COPD | Other | All |
| Education ${ }^{\text {a }}$ | A-level | 152 | 206 | 358 |
|  |  | $(89,215)$ | $(54,359)$ | $(192,525)$ |
|  | GCSE | 258 | 361 | 619 |
|  |  | $(172,344) *$ | $(189,534)$ | (426, 812)* |
|  | None | 383 | 293 | 675 |
|  |  | $(322,445) * * *$ | $(153,432)$ | $(519,831)^{* *}$ |
|  | Other | 357 | 223 | 579 |
|  |  | $(220,494) * *$ | $(-68,514)$ | $(269,889)$ |
| Occupation | Non-manual | 241 | 377 | 618 |
|  |  | $(184,297)$ | $(248,507)$ |  |
|  | Skilled manual | 366 | 178 | 542 |
|  |  | $(295,436) * *$ | $(27,328)$ | $(378,707)$ |
|  | Manual | 363 | 268 | 630 |
|  |  | $(273,453) *$ | $(74,462)$ | $(413,847)$ |
| Income | >£22k | 160 | 175 | 335 |
|  |  | $(102,218)$ | $(33,317)$ | $(181,488)$ |
|  | £12k-£22k | 314 | 360 | 673 |


|  |  | $(244,383) * * *$ | (204, 516) | $(502,845)^{* *}$ |
| :---: | :---: | :---: | :---: | :---: |
|  | <£12k | 440 | 317 | 756 |
|  |  | $(362,519) * * *$ | $(141,494)$ | $(564,948)^{* *}$ |
| Wealth | >£202k | 217 | 251 | 468 |
|  |  | $(121,312)$ | $(54,449)$ | $(249,686)$ |
|  | £76k-£202k | 215 | 283 | 498 |
|  |  | $(128,302)$ | $(87,480)$ | (286, 710) |
|  | <£76k | 446 | 264 | 709 |
|  |  | $(338,554)^{* * *}$ | $(25,503)$ | $(445,973)$ |

a. 'A-level' means A-level or higher (including higher education); 'GCSE' means GCSE or equivalent (e.g. O-level).
b. Adjusted for age, sex and passive smoking. ${ }^{*} \mathrm{p}<0.05 ;{ }^{* *} \mathrm{p}<0.01 ;{ }^{* * *} \mathrm{p}<0.001$. p-values test the difference between each value and the value for the highest socioeconomic group (e.g. 'GCSE' vs. 'A-level').

## FIGURE LEGEND

Figure 1: Deaths due to lung cancer or COPD per 100,000 person-years associated with a one-unit increase in the Comprehensive Smoking Index, with 95\% Cis


## SUPPLEMENTARY MATERIAL A: DETAILS OF LITERATURE SEARCH

We searched Embase (1947 to 19 August 2016), Medline (1946 to week 2 August 2016) and Global Health (1910 to week 32 2016) using the OvidSP interface for studies that included the synonyms or subject headings for 'socioeconomic status', 'smoking', 'interaction' and 'morbidity or mortality' in their title or abstract. Results were limited to studies in the English language. The search identified 2,025 unique studies, of which seven were considered relevant. A manual search of the references and an internet search identified six further studies, giving a total of 13 relevant studies.

# SUPPLEMENTARY MATERIAL B: DETAILED RESULTS FROM ADDITIVE HAZARDS MODELS 

In all tables: Model i: adjusted for age, sex and passive smoking. Model ii: as model A, also adjusted for Comprehensive Smoking Index (CSI). Model iii: as model B, also including interaction term between CSI and socioeconomic status. Results for model iii shows socioeconomic-specific effects of CSI (rather than interaction terms). p-values test the difference between socioeconomic-specific effects and the highest education level.

Table B1: Deaths per 100,000 person-years attributable to educational levels (95\% CIs)

|  |  | Mortality due to lung cancer or COPD | Mortality due to other causes | All-cause mortality |
| :---: | :---: | :---: | :---: | :---: |
| Model i | A-level/HE | - | - | - |
|  | Below A-level | $58(9,108)$ | $31(-124,186)$ | $89(-76,255)$ |
|  | None | $145(97,193)$ | $297(151,443)$ | $441(288,595)$ |
|  | Other | $106(28,184)$ | $87(-138,313)$ | 193 (-44, 430) |
| Model ii | A-level/HE | - | - | - |
|  | Below A-level | $37(-12,86)$ | $12(-144,167)$ | $49(-117,215)$ |
|  | None | $99(53,146)$ | $255(109,401)$ | $354(201,507)$ |
|  | Other | $73(-5,150)$ | $57(-168,282)$ | $130(-107,366)$ |
|  | CSI | $306(264,349)$ | $281(186,375)$ | 587 (481, 692) |
| Model iii | A-level/HE | - | - | - |
|  | Below A-level | -19 (-64, 25) | -86 (-277, 104) | -106 (-304, 93) |
|  | None | -54 (-102, -7) | $202(15,390)$ | $148(-50,345)$ |
|  | Other | -52 (-122, 17) | $52(-238,341)$ | $0(-300,299)$ |
|  | CSI: A-level/HE | $152(89,215)$ | $206(54,359)$ | $358(192,525)$ |
|  | CSI: Below A-level | 258 (172, 344)* | $361(189,534)$ | 619 (426, 812)* |
|  | CSI: None | $383(322,445)^{* * *}$ | 293 (153, 432) | $675(519,831)^{* *}$ |
|  | CSI: Other | $357(220,494)^{* *}$ | 223 (-68, 514) | $579(269,889)$ |

Table B2: Deaths per 100,000 person-years attributable to occupation (95\% CIs)

|  | $\begin{array}{c}\text { Mortality due to } \\ \text { lung cancer or } \\ \text { COPD }\end{array}$ |  |  |  |
| :--- | :--- | :---: | :---: | :---: | \(\left.\begin{array}{c}Mortality due to <br>

other causes\end{array}\right) ~\) All-cause mortality $\quad$.
*p < 0.05; **p < 0.01; *** p < 0.001

Table B3: Deaths per 100,000 person-years attributable to income tertiles (95\% CIs)

|  | $\begin{array}{c}\text { Mortality due to } \\ \text { lung cancer or } \\ \text { COPD }\end{array}$ |  |  |  |
| :--- | :--- | :---: | :---: | :---: | \(\left.\begin{array}{c}Mortality due to <br>

other causes\end{array}\right) ~\) All-cause mortality

Table B4: Deaths per $\mathbf{1 0 0 , 0 0 0}$ person-years attributable to wealth tertiles ( $\mathbf{9 5 \%}$ CIs)

|  | $\begin{array}{c}\text { Mortality due to } \\ \text { lung cancer or } \\ \text { COPD }\end{array}$ |  |  |  |
| :--- | :--- | :---: | :---: | :---: | \(\left.\begin{array}{c}Mortality due to <br>

other causes\end{array}\right) ~\) All-cause mortality
*p < 0.05; **p < 0.01; *** p < 0.001

## SUPPLEMENTARY MATERIAL C: RELATIVE VS. ABSOLUTE RISKS AND RESULTS FROM COX'S PROPORTIONAL

## HAZARD MODELS

The present study focuses on the absolute risks of smoking. This approach was chosen to compare the attributable and therefore avoidable deaths in different socioeconomic groups. Tables C1 and C2 show simple hypothetical examples to illustrate the different perspectives provided by relative and absolute risks. In table C 1 , the relative risk of smoking is the same for both socioeconomic groups, but more deaths are attributable to smoking in the low socioeconomic group. The difference reflects the higher 'baseline' risk (i.e. the risk of death in non-smokers) in the low socioeconomic group.

This effect is particularly important when observing the effect of smoking on the risk of smoking-related diseases such as lung cancer, because there are few deaths among non-smokers. Small differences in the risk of death among non-smokers can therefore have a large bearing on the relative risk. The hypothetical example in table C2 illustrates this. If non-smokers in the low and high socioeconomic groups both had a risk of 3 per 100,000 (a difference of only 7 per 100,000), the relative risk of smoking for the low socioeconomic group would more than triple (changing from 13 to 43) while the attributable death rate would only change slightly (from 120 to 127).

Table C1: Hypothetical example showing the difference between relative and absolute risks: all-cause mortality

|  | Risk: number of deaths per 100,000 <br> within a time period |  | Comparative risk measure |  |
| :--- | :---: | :---: | :---: | :---: |
|  | Non-smoker | Smoker | Relative risk | Attributable death <br> rate |
| High socioeconomic status | 500 | 1250 | 2.5 | 750 |
| Low socioeconomic status | 750 | 1875 | 2.5 | 1125 |

Table C2: Hypothetical example showing the difference between relative and absolute risks: mortality due to lung cancer

|  | Risk: number of deaths per 100,000 <br> within a time period | Comparative risk measure |  |  |
| :--- | :---: | :---: | :---: | :---: |
|  | Non-smoker | Smoker | Relative risk | Attributable <br> death rate |
| High socioeconomic status | 3 | 45 | 15.0 | 42 |
| Low socioeconomic status | 10 | 130 | 13.0 | 120 |

To test the relative risks of smoking in our study population, we fitted Cox's proportional hazards models using the same dependent and independent variables as the additive hazards models described in the main analysis. We fitted models estimating the effect of each indicator of socioeconomic status on each mortality outcome ('smoking-related', 'other' and all-cause). For brevity, only the socioeconomic-specific effects of CSI from the models that include an interaction term between socioeconomic status and CSI are shown. The proportional hazards assumption was tested via correlation of Shoenfeld's residuals with time and visual examination of Shoenfeld's plots. None of the models showed any evidence that the assumption was violated. p -values for an interaction effect were derived from likelihood ratio tests comparing models including an interaction term to models not including an interaction term. Table C3 shows the results.

These results, consistent with other studies comparing relative risk of smoking in different socioeconomic groups, show that the relative risks of smoking are similar across socioeconomic groups, and are sometimes found to be lower in low socioeconomic groups. This is consistent with substantially higher absolute risks of smoking in low socioeconomic groups because the baseline risk (i.e. the risk of death due to lung cancer or COPD in non-smokers) is higher in low socioeconomic groups.

Table C3: Hazard ratios associated with a one-unit increase in the Comprehensive Smoking Index, stratified by socioeconomic status (95\% CIs)

|  |  | Mortality due to <br> lung cancer or <br> COPD | Mortality due to <br> other causes | All-cause mortality |
| :--- | :--- | :---: | :---: | :---: |
| Education | A-level/HE | $3.32(2.44-4.50)$ | $1.29(1.16-1.43)$ | $1.40(1.28-1.54)$ |
|  | Below A-level | $3.33(2.55-4.36)$ | $1.40(1.26-1.55)$ | $1.55(1.42-1.71)$ |
|  | None | $2.55(2.24-2.90)$ | $1.20(1.14-1.26)$ | $1.32(1.26-1.39)$ |
|  | Other | $3.27(2.23-4.80)$ | $1.09(0.94-1.25)$ | $1.24(1.09-1.41)$ |
|  |  | $\mathrm{p}=0.121$ | $\mathrm{p}=0.008$ | $\mathrm{p}=0.005$ |
| Occupation | Non-manual | $2.89(2.43-3.44)$ | $1.28(1.20-1.36)$ | $1.39(1.31-1.47)$ |
|  | Skilled manual | $3.03(2.52-3.64)$ | $1.19(1.11-1.28)$ | $1.35(1.27-1.44)$ |
|  | Manual | $2.48(2.06-2.99)$ | $1.23(1.14-1.33)$ | $1.36(1.27-1.46)$ |
|  |  | $\mathrm{p}=0.242$ | $\mathrm{p}=0.278$ | $\mathrm{p}=0.761$ |
| Income | $>£ 22 \mathrm{k}$ | $2.95(2.26-3.84)$ | $1.27(1.15-1.41)$ | $1.39(1.27-1.53)$ |
|  | $£ 12 \mathrm{k}-£ 22 \mathrm{k}$ | $3.04(2.51-3.69)$ | $1.26(1.17-1.36)$ | $1.40(1.31-1.50)$ |
|  | $<£ 12 \mathrm{k}$ | $2.61(2.25-3.04)$ | $1.19(1.12-1.27)$ | $1.32(1.25-1.39)$ |
|  |  | $\mathrm{p}=0.095$ | $\mathrm{p}=0.011$ | $\mathrm{p}=0.007$ |
| Wealth | $>£ 202 \mathrm{k}$ | $3.20(2.53-4.03)$ | $1.31(1.19-1.43)$ | $1.44(1.33-1.57)$ |
|  | $£ 76 \mathrm{k}-£ 202 \mathrm{k}$ | $3.04(2.40-3.85)$ | $1.25(1.15-1.36)$ | $1.38(1.27-1.49)$ |
|  | $<£ 76 \mathrm{k}$ | $2.50(2.09-2.98)$ | $1.19(1.11-1.27)$ | $1.31(1.24-1.40)$ |
|  |  | $\mathrm{p}=0.136$ | $\mathrm{p}=0.775$ | $\mathrm{p}=0.742$ |

Models were adjusted for age, sex and passive smoking, and included an interaction term between socioeconomic status and CSI. The results show the stratum-specific hazard ratios for a one-unit increase in CSI (rather than interaction terms). p-values were derived from likelihood ratio tests comparing models including an interaction term to models not including an interaction term.

