1 Introduction

2 Acute abdominal pain, out of the trauma setting, is a common presenting symptom in the 3 emergency department, with a wide spectrum of underlying causes<sup>1</sup>.Abdominopelvic computed 4 tomography (CT) has assumed an increasingly important role in the evaluation and diagnosis of these patients and is widely used as an integral part of surgical triage <sup>2, 3, 4</sup>. Abdominopelvic CT, 5 6 although highly accurate in the assessment of the acute abdomen, can be challenging to report 7 particularly in patients who are acutely unwell. The rapid increase in utilisation of CT, particularly out 8 of hours, has created reporting pressures within United Kingdom (UK) radiology departments and 9 this has led to the development of different reporting models. Provisional (initial) CT reports may be 10 issued by trainee radiologists (registrars) with subsequent review by senior onsite Consultant 11 radiologists, or reports may be issued by Consultants themselves. Alternatively in many departments 12 reporting may be carried out by radiologists working offsite with no affiliation to the department 13 where the imaging occurs. Offsite reporters are typically of Consultant level or equivalent, but may 14 not be trained or working within the United Kingdom. Offsite reporting is particularly utilised out of 15 hours, a practice that is well recognised across Europe and North America. Both registrar and offsite 16 reports may be supplemented by an addendum report provided later by an onsite Consultant. 17 Alongside these changes in practice has been recognition of the concept of radiological "error", 18 more often referred to as "discrepancy" and the relationship of a discrepant report to potential or actual harm to the patient<sup>5, 6</sup>. 19

Emergency abdominal or abdominopelvic CT performed out of hours in acutely ill patients is a
complex investigation with the potential to impact positively or negatively on patient outcomes
depending on the accuracy and timeliness of the report. Current UK reporting models involve
radiologists of varying expertise and experience, some of whom are offsite and remote to both the
patient and clinical interaction.

25 The aims of this national, UK-wide audit on acute non-traumatic abdominopelvic CT reporting in

26 surgical and non-surgical groups included:

27	Assessment of major/minor discrepancy rates for provisional (initial) and also addendum	
28	(supplementary) reports in unselected patients across a wide range of institutions.	
29	• Examine factors affecting major discrepancy rate at the level of the provisional report.	
30	• Examine reporting factors affecting cases of major discrepancy where patients came to harm	
31	and also to assess the nature of the harm.	
32	• Obtain sensitivity and specificity of CT in the more common pathologies in both surgical and	
33	non-surgical patient groups	
34	• Document any added value of a Consultant addendum report and to evaluate the availability	
35	of provisional and addendum reports pre-operatively in the surgical group.	
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### 47 Materials and Methods

48 The Royal College of Radiologists (RCR) works closely with individual radiology departments across

49 the four countries within the United Kingdom, nominated individuals/fellows within the

- 50 departments are responsible for co-ordinating both local audits and national RCR audit projects. As
- 51 part of this emergency CT abdominal reporting audit all departmental audit leads were contacted by
- 52 email and invited to participate and submit audit data to the RCR on behalf of their departments.
- 53 Formal ethical approval for this type of study is not required in the UK as all submitted data is
- anonymised and only used to promote best medical practice.

55 Departments were requested to submit patient data in both non-surgical and surgical cohorts. Those

- 56 departments with no onsite general surgery only submitted data in the non-surgical group. Access to
- 57 relevant patient data on PACS (Picture Archiving and Communication System), RIS (Radiology
- 58 Information System) and the patient record was necessary for inclusion.

# 59 <u>Non-surgical group</u>

A retrospective search was undertaken to identify 25 consecutive non-traumatic adult (> 16 years)
emergency patients who underwent abdominopelvic CT from 1<sup>st</sup> January 2013 onwards from the
radiological department database. The patients all had out of hours (6pm-8am weekdays or anytime
at the weekend) emergency abdominal or abdominopelvic CT but no subsequent laparotomy.
Patients who had another intervention during this admission e.g. colonic/JJ stent, percutaneous
drainage, laparoscopy (to include laparoscopic surgical interventions) were included in this category.

66 Patients who underwent non-contrast CT for suspected renal calculus were excluded from the audit.

### 67 Surgical group

Retrospective identification from 1<sup>st</sup> January 2013 onwards of 25 consecutive non-traumatic adult
patients who had out of hours abdominal/abdominopelvic CT and had subsequent laparotomy. For
the purposes of the audit it was expected that for the majority of patients CT would have been

performed within 24-48 hours pre-laparotomy. However patients could still be included if the time

72 interval was greater than 48 hours but the CT deemed pertinent to that episode of care.

### 73 Data Collection

Data were entered into the Microsoft Office Excel 2007 spreadsheets – "Institutional", "nonsurgical" and "surgical" group questionnaires. Auditors were able to toggle between the three
questionnaires and also to access a drop down glossary of expanded terms (diagnoses) for truncated
items in the drop down lists. These three questionnaires would provide a range of contextual data
which would then be used to explore potential relationships to the chosen audit standards. Details
of the three questionnaires are included in Appendix A.

The institutional questionnaire was used to assess more generic aspects relating to CT reporting out of hours, including the use of radiology trainees/registrars and offsite reporters in the provision of on call reports as well as onsite hospital based Consultants. The institutional questionnaire also explored availability of more specialised gastrointestinal (GI) radiology onsite, either as primary or supplementary/addendum reporter. A GI interest was classified as a radiologist with formal GI reporting sessions and involvement in GI multi-disciplinary team meetings (MDT); GI subspecialty interest was defined as a minimum of 5 sessions of GI reporting per week.

87 The "non-surgical" and "surgical" questionnaires looked more specifically at the patient journey,

88 examining the diagnosis of the provisional report, nature of provisional (initial) reporter,

89 presence/absence of an addendum report and its concordance with the provisional report.

90 Correlation of provisional report with laparotomy findings was assessed in the surgical group

91 together with the presence/absence of a pre-operative provisional and/or addendum report either

92 documented in the patient notes or validated on the RIS system.

For any given case the questionnaires only allowed the auditor to select a single and representative
major/minor diagnosis. The presence of additional secondary diagnoses could be selected but not
itemised.

96 Auditors were instructed to select the provisional +/- addendum report diagnosis, recording their 97 own auditor diagnosis if non-concordant and then also record the laparotomy diagnosis. This 98 process would allow recording of major/minor discrepancy between reports by the auditor, also the 99 type of discrepancy and using patient/radiology records to assess any harm that may have come to 100 the patient. Correlation with surgical findings would also be undertaken.

101 Drop-down lists were widely used to facilitate data entry, data validation configuration restricted

102 data entry to valid responses. Cell references in formulae enabled summary responses to be

displayed and updated automatically. The questionnaires were initially piloted amongst members of

104 the RCR audit committee to evaluate content and to confirm ease of use. The identity of

105 respondents in terms of, a) teaching or district general hospital b) region of the UK, was used to

106 evaluate potential bias between respondents and non-respondents.

107 Responses were incomplete in some parts of the questionnaires, with such data recorded as "no108 response".

### 109 The CT Auditor

110 For the purposes of the audit it was proposed that the auditor evaluating provisional and addendum

111 reports and the CT findings would be a substantive Consultant working onsite in the auditing

institution. This individual should have experience in reporting abdominal CT, in cases of potential

113 major discrepancy it was recommended that there should be case review with another onsite

114 Consultant colleague, preferably with an interest in GI/abdominal radiology and a consensus

115 reached.

It was specified within the audit proforma that the CT auditor should review the CT images blinded
to original report content/reporter identity +/- surgical findings and then review the CT reports
(provisional +/- addendum) and record concordance/discrepancy and their own diagnosis in cases of
discrepancy. The CT auditor would then review the patient notes/RIS in surgical patients to
determine presence/timing of a record of the provisional/addendum report and would also review
provisional/addendum report findings compared to laparotomy findings in surgical patients.

## 122 Discrepancies

123 A major discrepancy comprised a change, or potential change in diagnosis or treatment as a result of 124 either addendum report or CT auditor review. A minor discrepancy occurred where there were 125 minor issues in provisional/addendum reports unlikely to result in harm or change in management. 126 Major discrepancies were coded as false positive (provisional report diagnosis positive findings, 127 negative on auditor review); false negative (provisional report negative diagnosis, positive findings 128 on auditor review); misdiagnosis (incorrect provisional diagnosis); or indeterminate report (an 129 indeterminate report defined as an inappropriately wide range of differential diagnoses, containing 130 the correct diagnosis(es) but with no attempt at triaging the diagnoses or guiding the clinician to the 131 most likely explanation for CT findings). 132 A dropdown menu also allowed grading of each case into; 133 Major discrepancy patient came to harm (harm might include death, unnecessary • 134 intervention (e.g. colonoscopy, endoscopy, drainage), delay in diagnosis or treatment. 135 Major discrepancy patient did not come to harm. •

- 136 Major discrepancy, outcome uncertain
- Minor discrepancy
- Concordance with reports, no issues of concern

### 140 <u>Audit Standards</u>

The derivation of audit standards followed similar practice previously outlined for RCR national audits<sup>7</sup>. It is established practice within the RCR to review all available literature and to adopt a standard/set of standards that is considered by the RCR audit committee to be both practical and

144 achievable in everyday clinical practice.

145 The selected audit standards are included in Table 1 (compliance with standards is also documented

146 in this table). The standards were derived following careful evaluation of relevant, current published

147 literature taking into account the differing clinical scenarios and definitions of discrepancy included

148 in these publications<sup>8-20</sup>. A comparison of the national audit findings against these standards were

expressed as counts and percentages. A search of all available published literature (from 1950

150 onwards) was undertaken using the MEDLINE and National Health Service evidence (including the

151 Cochrane library of systematic reviews and the National library of guidelines) to establish supporting

152 literature and confirm/derive figures for the audit standards and made available during audit

153 committee deliberations.

154 For the purposes of the audit there were three main groups providing provisional (initial) CT reports-

- 155 1) Registrar (trainee radiologist)
- Offsiter (radiologist working remotely for an outsourcing agency at Consultant level or
   equivalent)

158 3) Consultant radiologist onsite (may or may not have GI radiology expertise)

159 Addendum (supplementary) reports to initial, provisional reports are provided by hospital-based

160 onsite Consultant radiologists with varying degrees of GI radiology expertise.

### 161 <u>Statistical analysis</u>

162 Exploratory analyses of all variables in the three questionnaires (institutional, non-surgical and

surgical) were performed to identify any significant variables that might predict discrepancy of the

164 provisional report with the auditor review. The auditor was used as the reference standard. The

165 variables investigated included:

166	Nature of provisional reporter (registrar, onsite Consultant or offsiter)
167	• Effect of registrar discussion of case with onsite Consultant (if documented)
168	• Effect of type of onsite Consultant (General vs GI radiologist) and also presence/absence of
169	GI radiologist onsite.
170	Effect of district general hospital vs teaching hospital
171	• Effect of availability of on-call registrar reporting of CT (present/absent)
172	• Effect of availability of on-call CT reporting by on site Consultants
173	• Effect of availability of on-call reporting of CT by offsite radiologists
174	For each of these variables major discrepancy risk ratios (95% CI) were estimated from generalised
175	linear models with a binary outcome and log link, with robust standard errors to allow for non-
176	independence of results from the same hospital. Separate models were first fitted to the surgical
177	and non-surgical group data. A model was then fitted to the combined data: this allowed risks to
178	differ in the surgical and non-surgical groups, as well as according to the variable being investigated.
179	The model was also extended to allow for interactions (i.e. allowing the discrepancy rate ratios to
180	differ between the surgical and non-surgical groups). For institutional comparisons (i.e. district
181	general hospitals vs teaching hospital) further models were fitted adjusting for differences in the
182	proportions of registrar, Consultant and offsite reports by including appropriate indicator variables
183	as covariates in the models. An analogous series of models was used to analyse risk ratios for any
184	discrepancy (major and minor combined).
185	For those subjects where an addendum report was available conditional logistic regression modes

For those subjects where an addendum report was available conditional logistic regression modes (with robust standard errors that allowed for non-independence of results from the same hospital) were used to investigate the value of the addendum report. The paired outcomes compared by the model were i) whether or not there was a major discrepancy between the provisional report and the

189	auditor ii) whether or not there was a major discrepancy between the addendum report and the
190	auditor. Analogous analyses were performed for any discrepancy (major and minor combined).
191	Sensitivity and specificity calculations were undertaken in relation to the ten most commonly
192	occurring diagnosed pathologies in both the surgical and non-surgical groups. To allow for non-
193	independence of results from the same hospital in Table 1 (compliance with standards) 95%
194	confidence intervals for percentages were computed using the bootstrap: specifically, non-
195	parametric, bias corrected and accelerated 95% confidence intervals were calculated from 100,000
196	bootstrap samples clustered by hospital.
197	Finally, sensitivity/specificity calculations were undertaken in relation to the most common
198	pathologies in the surgical and non-surgical groups (pathology identified from provisional report if
199	concordant with auditor, if not concordant then derived from the auditor or laparotomy diagnosis).
200	Definitions for true positive, true negative, false positive and false negative are included in Appendix
201	B. Two additional terms are used (see result tables and appendix B). Non-concurrence with
202	indication of diagnosis (NCID) – the provisional CT report contains the diagnosis in question when
202 203	indication of diagnosis (NCID) – the provisional CT report contains the diagnosis in question when compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an
203	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an
203 204	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non-
203 204 205	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) – in these cases neither the provisional nor
203 204 205 206	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) – in these cases neither the provisional nor auditor/laparotomy diagnoses contain the diagnosis in question, but there is also non-agreement
203 204 205 206 207	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) – in these cases neither the provisional nor auditor/laparotomy diagnoses contain the diagnosis in question, but there is also non-agreement between provisional and auditor/laparotomy findings. So, for example in NCNID, looking at cases
203 204 205 206 207 208	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) – in these cases neither the provisional nor auditor/laparotomy diagnoses contain the diagnosis in question, but there is also non-agreement between provisional and auditor/laparotomy findings. So, for example in NCNID, looking at cases negative for appendicitis, the provisional report and auditor/laparotomy would contain a diagnosis
203 204 205 206 207 208 209	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) — in these cases neither the provisional nor auditor/laparotomy diagnoses contain the diagnosis in question, but there is also non-agreement between provisional and auditor/laparotomy findings. So, for example in NCNID, looking at cases negative for appendicitis, the provisional report and auditor/laparotomy would contain a diagnosis other than appendicitis but differing also from one another, so not true negatives for appendicitis
203 204 205 206 207 208 209 210	compared to auditor/laparotomy findings, but the provisional report diagnosis is part of an indeterminate report and thereby recorded as non-concurrence. The second term is non- concurrence with no indication of diagnosis (NCNID) — in these cases neither the provisional nor auditor/laparotomy diagnoses contain the diagnosis in question, but there is also non-agreement between provisional and auditor/laparotomy findings. So, for example in NCNID, looking at cases negative for appendicitis, the provisional report and auditor/laparotomy would contain a diagnosis other than appendicitis but differing also from one another, so not true negatives for appendicitis for the purposes of the audit. NCID and NCNID cases were excluded from calculations. Bootstrap

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# 219 <u>Results</u>

220	The complete response	s to the three audit o	questionnaires togethe	er are included in Appendix A. A

- total of 109/188 eligible departments responded to the audit (58%). Summary results of the
- institutional questionnaire/departmental demographics are included in Table 2. Case demographics
- are included in Table 3 note 4931 patients were included in the audit (2568 non-surgical group,
- 224 2363 surgical group; 48% male, 52% female). Table 3 also includes information on the source of the
- 225 CT request and the location and seniority of the provisional reporters.
- 226 In 179/887 (20.2%) provisional registrar reports there was evidence of discussion with an onsite
- 227 Consultant radiologist documented in the provisional report.
- 228 Ninety- five departments submitted 25 cases in the non-surgical group, the remainder submitted 24.

In the surgical group one department submitted 26 cases, 78 departments submitted 25 cases and

- the remainder between 4 and 24.
- 231 The identity of responding departments and hospitals were reviewed. The percentage of
- 232 departments participating from teaching hospitals in England differed from district general hospitals
- 233 by <1%. The geographic distribution of departments differed by 10.8% when respondents were
- 234 compared with non-respondents in England. In Northern Ireland, the difference was 3.5%, in Wales
- 235 1.3% and in Scotland 8.5% however this was not statistically significant.

# 236 Overview of CT report Concordance

A detailed overview of these data is included in Appendix B.

# • <u>Non-surgical group</u>

1947 patients had a provisional CT report with no evidence of addendum and of these there wasconcordance with the auditor in 1782 patients.

241 621 patients had evidence of an addendum report with provisional, addendum and auditor reports

242 concordant in 472 patients. Varying levels of discordance were noted in the remaining patients

243 (Appendix B) with the most prominent category being auditor concordance with addendum and not

244 with provisional (75 patients).

• Surgical Group

1728 patients had a provisional CT report with no evidence of an addendum and of these the
provisional report was concordant with the auditor in 1557 patients. In 1423/1557 there was also
agreement with laparotomy.

249 635 patients had evidence of an addendum report with provisional, addendum and auditor reports

250 concordant in 510 of these patients. Varying levels of discordance were noted in the remaining

251 patients (Appendix B) with again the most prominent category being auditor concordance with

addendum and not with provisional (72 patients). In the 510 patients with concordance of all 3

reports there was also agreement with laparotomy findings in 471 (39 disagreed).

## 254 Nature of discrepancies and patient harm

255 Summary characteristics and analysis by type of major discrepancies are included in Table 4. The

number of additional incorrect secondary major diagnoses was greater in provisional (6 non-surgical,

257 10 surgical) than addendum reports (1 in each group). There were single incidents of additional

258 indeterminate reporting in non-surgical provisional and addendum reports and in surgical

259 provisional reports.

# 260 • <u>Non-surgical group</u>

261	In 47 patients there was evidence on notes/imaging review of subsequent additional procedures
262	that may have been unnecessary following a major discrepancy. These were predominantly
263	additional imaging procedures, but also included CT/ultrasound guided drainage (3 patients),
264	laparoscopy (3 patients) and endoscopy (3 patients).
265	15/72 patients with provisional report major discrepancy were considered by the auditor to have
266	come to harm as a result of the report: Delay in diagnosis (7 patients), delay in treatment (7
267	patients), unnecessary investigations (2 patients) and unspecified (1 patient).
268	• <u>Surgical group</u>
269	36/132 patients with provisional report major discrepancy were considered by the auditor to have
270	come to harm as a result of the report and were detailed as follows: Delay in diagnosis (3 patients),
271	delay in surgery (24 patients), unnecessary investigations (1 patient) and unnecessary surgery (8
272	patients).
273	Results of statistical analyses
274	The full results of all analyses are included in Appendix C.
275	Predictors of provisional agreement with auditor (pooled non-surgical and non-surgical data)
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	Table 5 shows risks of major discrepancy for onsite Consultants, radiology registrars and offsite
277	Table 5 shows risks of major discrepancy for onsite Consultants, radiology registrars and offsite reporters separately in the surgical and non-surgical groups. Overall risks of major discrepancy were
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	reporters separately in the surgical and non-surgical groups. Overall risks of major discrepancy were
278	reporters separately in the surgical and non-surgical groups. Overall risks of major discrepancy were 5.6% in the surgical group and 2.8% in the non-surgical group. In each group major discrepancy risks
278 279	reporters separately in the surgical and non-surgical groups. Overall risks of major discrepancy were 5.6% in the surgical group and 2.8% in the non-surgical group. In each group major discrepancy risks were highest in offsite reporters and lowest in onsite Consultants, although these between group
278 279 280	reporters separately in the surgical and non-surgical groups. Overall risks of major discrepancy were 5.6% in the surgical group and 2.8% in the non-surgical group. In each group major discrepancy risks were highest in offsite reporters and lowest in onsite Consultants, although these between group differences only achieved statistical significance in the surgical group (p=0.0003). There was no

351% higher) in offsite reports than registrars (p=0.0001, joint test of differences). Restricting to
major discrepancies where the patient came to harm numbers were reduced but the pattern of
results was similar (sections 1.1.5 to 1.1.7 in Appendix C); for the pooled analysis the joint test of
differences among the three groups was borderline statistically significant (p=0.061) with risks
statistically significantly higher for the offsite group compared to the onsite Consultants (p=0.018). A
similar pattern of discrepancy risk ratios was seen when all discrepancies, not just major
discrepancies, were considered (sections 1.1.4 in Appendix C).

291 There was little evidence of differences in risks of discrepancy according to whether or not registrars

discussed their interpretations with a Consultant (section 1.2 Appendix C). Among Consultants,

discrepancy risks were lower in those with a GI interest or a GI sub-specialty than in those without

such specialisation (section 1.3 Appendix C). Combining the two specialist groups, risk of a major

discrepancy was 28% lower (95% CI 57% lower to 21% higher) and risk of discrepancy was 32% lower

296 (95% CI 5% to 51%), with this latter difference achieving statistical significance (p=0.022).

297 Looking at institutional comparisons there was no evidence of differences in discrepancy risks

between district general hospitals and teaching hospitals (section 1.4 Appendix C). There was

299 evidence that major discrepancy risk ratios were higher in hospitals where on call registrar reporting

300 was available (risks increased by 76% (95% Cl 9% to 184%, p=0.021) in the pooled analysis).

301 However, this difference was much reduced in magnitude and became non-statistically significant

when adjusted for registrar/onsite Consultant/offsiter imbalances between institutions (section 1.5Appendix C).

There was also evidence that major discrepancy risk ratios were higher in hospitals where on- call CT reporting by onsite Consultants was available (section 1.6 Appendix C). In both the non-surgical and surgical groups major discrepancy risks were lowest (2.3% in the non-surgical group, 3.8% in the surgical group) when on-call CT reporting by an onsite Consultant was fully available. When this was partially or not available risks were higher (3.4% and 3.6% respectively in the non-surgical group,

309 8.0% and 8.2% in the surgical group) although these between group differences only achieved 310 statistical significance in the surgical group (p=0.0093). There was no evidence that the major 311 discrepancy risk ratios differed between the two groups (p=0.56) suggesting results could be pooled. 312 In the combined analysis major discrepancy risks were 85% (95% CI 20% to 188%) higher when on-313 call CT reporting was partially available and 90% (95% CI 6% to 239%) higher when this was not 314 available compared to when it was fully available. These differences were somewhat reduced in 315 magnitude when adjusted for registrar/onsite Consultant/offsiter imbalances between institutions 316 with the overall test of adjusted differences between groups being only borderline statistically 317 significant (p=0.066).

There was also evidence that discrepancy risks were higher when on call CT reporting was carried out by off- rather than onsite radiologists (risks increased by 61% (95% CI 6% to 145%, p=0.025) in pooled analysis). However, this difference was again reduced in magnitude and became nonstatistically significant when adjusted for registrar/onsite Consultant/offsiter imbalances between institutions (section 1.7 Appendix C). There was no evidence that the availability of a speciality GI radiologist onsite, or that routine onsite Consultant review of outsourced CT on-call reports was associated with risks of discrepancy (sections 1.8 and 1.9 Appendix C).

325 Table 6 shows where discrepancy occurred between addendum, provisional and auditor reports in 326 the subset of the data where an addendum report was available. There are five eventualities: all 327 reports can agree, all can disagree, or any pair can agree whilst disagreeing with the third. The net 328 benefit of the addendum can be assessed by comparing the number of occasions when the auditor 329 agrees with the addendum but not the provisional with the number of occasions where the auditor 330 agrees with provisional but not the addendum. In the non-surgical group there is net benefit from 331 switching to an addendum report in terms of major discrepancies (19 resolved, 3 introduced) and in 332 terms of all discrepancies (75 resolved, 26 introduced). Using conditional logistic regression both 333 differences are statistically significant (p=0.006 major discrepancy, p<0.0001 all discrepancies).

334 In the surgical group there is again a strong net benefit in switching to an addendum, both in terms

of major discrepancies (45 resolved, 2 introduced) and all discrepancies (72 resolved, 13 introduced).

- 336 Using conditional logistic regression both differences are statistically significant (p<0001).
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## 338 Availability of results pre-operatively

- A written or validated RIS provisional report was available pre-operatively in 98.3% of patients (Table
- 1). A written or validated addendum report was only available pre-operatively in 64.3% of patients.
- 341 In 45 patients with a major discrepancy at provisional report level the discrepancy was corrected at
- 342 addendum. In 14/45 of these cases the addendum was not available pre-operatively; hence there
- 343 were 14 cases of potentially avoidable major discrepancy (only 1/14 patients came to harm).

### 344 <u>"Normal" CT and Laparotomy Findings</u>

- 345 Twenty-two patients had a "normal" laparotomy. Of these 10 patients also had a "normal"
- 346 provisional CT report with pathology reported in 12 patients (including cases of ischaemia, Crohn's
- 347 disease, appendicitis, colitis). Twenty-three patients had a "normal" CT report and still proceeded to
- 348 laparotomy. Of these patients 10 also had a normal laparotomy with pathology found in the
- remaining 13 (including 3 cases of appendicitis, 3 cases of ischaemic bowel, 1 abscess and 2 small
- 350 bowel obstructions, "no response" in 4 patients).

## 351 Overall compliance with audit standards

- 352 These are documented in Table 1.
- 353 Overall registrars met the audit standard for correlation of provisional report with laparotomy
- 354 (standard >80%, achieved 83.7%) but onsite Consultants narrowly missed their standard (standard
- 355 >90%, achieved 87.2%). Offsite radiologists missed their target by a larger margin (standard >90%,

356 achieved 78.9%).

# 358 <u>Sensitivity/Specificity Data for the common pathologies</u>

- 359 For results of these calculations please see appendix D.

361	The ten most common provisional report CT diagnoses were selected from the non-surgical and
362	surgical groups. Sensitivity/specificity calculations were then undertaken using the auditor final
363	diagnosis as reference standard (for definitions see earlier and also appendix C). Non-surgical results
364	are found in table 7, surgical results in table 8. In addition, the ten most common provisional report
365	CT diagnosis sensitivity/specificity calculations were then repeated, but using the laparotomy
366	diagnosis as the reference standard (see table 9). CT was most sensitive in the diagnosis of
367	appendicitis using both the auditor and laparotomy as reference standard (96.4%, 95.6%
368	respectively). There was a considerable drop off however noted in relation to the diagnosis of
369	ischaemic bowel when using the auditor as reference standard (89.5%) as opposed to laparotomy
370	<del>(72.5%).</del>
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389	Discussion
390	Discrepancy in radiological reporting is a complex issue and the causes of discrepancy are numerous,
391	well recognised and often inter-related <sup>5, 6</sup> . Radiologist specific causes include faulty reasoning, lack
392	of knowledge (particularly when working outside an individual's area of specialty expertise), failure
393	of perception or poor communication of findings. System related factors are also important and a
394	number of causes are recognised – staff shortages (with over reliance on locum radiologists),
395	combined with excess workload, inexperience of staff and insufficient or inaccurate clinical and/or
396	previous radiological information <sup>5</sup> .
397	The investigation of discrepancy rates and related causes in radiology has been the subject of
398	numerous publications with an emphasis on radiology registrar reporting, trauma and cranial CT <sup>21-25</sup> .
399	There is variation in published rates for discrepancy in CT abdominal reporting and again these
400	papers predominantly assess registrar reporting and there are differences in sample sizes and also

401 definitions of discrepancy. Allowing for this discrepancy rates for CT abdomen reporting range 402 widely from < 0.1% to 18%<sup>8, 12, 14, 17, 26-31</sup>. A meta-analysis looking at discrepancy rates in adult CT (all types and including elective and emergency) demonstrated overall no significant differences in rates 403 404 of discrepancy between a registrar and more senior radiologists, with a pooled discrepancy rate for 405 abdominopelvic CT of 2.6%<sup>32</sup>. A recent study looking at abdominal CT in surgical patients found a 406 14% rate (146/1071 reports) of clinically important management changes following double/expert reading of initial CT reports<sup>33</sup>. There is a relative paucity of published literature pertaining to 407 408 discrepancy in outsourced, offsite radiology, a large series published in 2005 looking at a radiological group practice, reported a discrepancy rate of 2.1% for CT of the abdomen/pelvis<sup>20</sup>. 409

410 The demand for access to radiology services continues to increase year on year in the UK. Due to its 411 high diagnostic accuracy and increased availability CT has experienced a rapid expansion in its roles 412 both in and out of hours; a growth of 141% in CT scans was reported in the USA over a 10 year 413 period<sup>34</sup>. Unfortunately the increased diagnostic imaging workload has not been matched by an 414 increase in reporting radiologists. This is a situation which is particularly acute in the UK, but is also 415 recognised worldwide. It is challenging to maintain a 24 hour service, 7 days a week and to ensure 416 that emergency imaging, in particular CT, is reported in a timely and accurate manner. These service 417 challenges have led to the development of other reporting models – registrars often provide the first 418 tier of reporting, however increasingly hospitals have been looking at offsite/outsourced radiology 419 reporting solutions, particularly during antisocial hours and weekends. Outsourcing is now widely 420 used in the UK, but it is a worldwide phenomenon with remote reporting hubs in India, Australasia, Europe and the USA<sup>35, 36</sup>. 421

Our study incorporated 4931 patients from 108 United Kingdom radiology departments. It explored
in detail factors that might be related to increased major discrepancy at the level of the provisional
(initial) radiology report on review by a CT auditor. When compared to an onsite Consultant there
was a statistically significant increased risk of major discrepancy and major discrepancy with harm in

426 an offsite/outsourced CT report, this finding was consistent in both surgical and pooled data. Major 427 discrepancy was also found to be more likely in the surgical group; registrars had a major 428 discrepancy rate intermediate between onsite Consultants and offsite reporting radiologists. These 429 findings are also reflected in failure of compliance with the major discrepancy audit standards. 430 Offsite reporters narrowly missed the non-surgical major discrepancy standard (standard <5%, 431 achieved 5.2%) but also missed the surgical major discrepancy (standard <5%, achieved 12.7%) and 432 pooled (standard <5%, achieved 8.7%) standards. Both registrars and onsite Consultants were able 433 to meet the recommended provisional report standards for major discrepancy. The overall major 434 discrepancy rate (patient came to harm) standard was also missed in the surgical group (standard 435 <1%, achieved 1.5%).

436 These results do raise important questions and there are no immediate or straightforward solutions. 437 It is clear in the UK at least that the national shortage of Consultant radiologists is going to persist 438 with no short or medium term answers to the workforce shortfall. Hence the offsite and outsourced 439 solution is not only attractive but has become a necessity in many hospitals. The issue of concern is 440 maintaining quality in the outsourced arena. Many of the reported factors associated with increased 441 risk of discrepancy are particularly relevant to a remote, offsite reporter<sup>5</sup>. Factors particularly 442 affecting offsite reporters include: excess workload, fatigue, exposure to a wide range of studies for 443 reporting not reflecting their specialty training and experience, lack of clinical contact and clinical 444 information, lack of access to previous imaging and problems with communication. There is no 445 doubt that the presence of local clinical networks, were radiologists work closely with surgical 446 teams, can enhance the quality of CT reporting. Lack of access to these established networks is a 447 significant disadvantage to radiologists reporting remotely <sup>37</sup>. Close in-person collaboration between 448 the reporting radiologist and the surgical team is associated with significant and also frequent 449 changes in patient management, even when the radiological report is correct and contains the 450 necessary diagnostic information <sup>38</sup>. There is another important potential side-effect of increased 451 utilisation of outsourced reporting, namely reduced exposure of radiology trainees to on-call

experience. When managed appropriately involvement in out-of-hours, emergency radiology is an
invaluable part of radiology training. Reducing this exposure, outsourcing is one important cause of
this, is likely to have a significant and deleterious effect on training the radiologists of the future <sup>39</sup>.

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The audit also evaluated the addendum/supplementary report and availability of reports in surgical
patients pre-operatively. This was partly in response to the recently published UK National
Emergency Laparotomy Audit <sup>40</sup>, which highlighted deficiencies in Consultant radiologist reported
abdominopelvic CT prior to surgery (53%). This laparotomy audit did acknowledge that 24 hour
contemporaneous reporting was available at all hospitals in the audit offering laparotomy, though
the grade of reporting radiologist was not specified.

In our study a written/validated RIS provisional report was available pre-laparotomy in 98.3% of patients (standard 100%), but only 64.3% of addendum reports were available pre-operatively (standard 100%). Of note the majority of departments in the audit offered secondary review of registrar provisional reports with the issuing of an addendum, usually by the rostered CT Consultant radiologist the next morning. The majority (22/38) of departments utilising offsite CT reporters do not routinely review offsite on call CT reports.

468 The study did establish the value of the onsite Consultant addendum report with statistically 469 significant benefits of switching to an addendum in terms of reducing both major and all 470 discrepancies within provisional reports and in both non-surgical and more markedly the surgical 471 groups. The timing of issue of addendum reports is also relevant (see earlier) for them to have 472 appropriate clinical impact. A benefit in terms of reduction in major discrepancy in provisional 473 reporting was also noted in the audit when evaluating the availability of a specialist GI radiologist. 474 Clearly numbers of specialist GI radiologists are relatively limited but there may be a role for both 475 addendum double reading and peer review by GI radiologists of abdominopelvic CT on-call where 476 resources allow.

477 The final component of the study for discussion relates to the sensitivity/specificity of CT in the more 478 common pathologies in the non-surgical and surgical groups. It is beyond the scope of this report to cover all pathologies in these areas but of note is the reduction in sensitivity of CT in the diagnosis of 479 ischaemic bowel in the surgical group when using the auditor as reference standard (89.5%) when 480 compared to laparotomy (72.5%); specificity was the same in both groups (99.5%). The specificity 481 compares well with published data<sup>39</sup>, with sensitivity reduced. The reasons for this are unclear but 482 483 may reflect difficulties encountered when diagnosing early stages of intestinal ischaemia on CT and 484 later correlated with laparotomy findings. Overall registrars met the audit standard for correlation of provisional report with laparotomy (standard >80%, achieved 83.7%) but onsite Consultants 485 narrowly missed their standard (standard >90%, achieved 87.2%). Offsite radiologists missed their 486 target by a larger margin (standard >90%, achieved 78.9%). 487 488

This study does have limitations. It was performed retrospectively and as such findings do rely on 489 availability and accuracy of relevant documentation. The results reflect practice from 2013. Data was 490 incomplete in some sections and also the prevalence of discussion of cases by registrars with onsite 491 Consultants may not be fully reflected in the reports, possibly enhancing the accuracy of registrar reporting. The response rate overall of 58% bears favourable comparison other similar published 492 studies in the literature<sup>7, 41</sup>. There was no evidence of significant response bias- only small 493 494 percentage differences were evident between proportions of departments from teaching and non-495 teaching hospitals who did and did not respond, similar findings were found when looking at 496 geographical response rates.

497 Conclusion

This study provides data on factors influencing discrepancy rate in the provisional (initial)
radiological report in a large cohort of patients undergoing emergency abdominal CT. The lowest
rate of discrepancy was found when reporting was undertaken by onsite Consultant radiologists.
Statistically significant increases in the rates of major discrepancy and in patients coming to harm

502	were found when reporting was undertaken by a radiologist at a site remote from the image
503	acquisition. Patients undergoing surgery were at a greater risk of major discrepancy and harm than
504	non-surgical patients. These findings give cause for concern and should provide impetus for further
505	consideration of optimal models of service provision for the reporting of emergency abdominal CT. It
506	is clear that both the seniority and location of the reporter can have a significant effect on the
507	accuracy of emergency CT reporting and hence patient outcomes. Radiological departments should
508	also ensure that a robust and timely system of onsite Consultant addendum reporting is in place as a
509	safety net for registrar and offsite reporters.
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