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**Characterising the 'Txt2Stop' smoking cessation text messaging intervention in
terms of behaviour change techniques**

Michie Susan UCL

Free Caroline LSHTM

West Robert UCL

Abstract

Background: The Txt2Stop SMS messaging programme has been found to double smokers' chances of stopping. It is important to characterise the content of this information in terms of specific behaviour change techniques (BCTs) for the purpose of future development.

Aims: This study aimed to a) extend a proven system for coding BCTs to text messaging and b) characterise Txt2Stop using this system.

Methods: A taxonomy previously used to specify BCTs in face-to-face behavioural support for smoking cessation was adapted for the Txt2Stop messages and inter-rater reliability for the adapted system assessed. The system was then applied to all the messages in the Txt2Stop programme to determine its profile in terms of BCTs used.

Results: The text message taxonomy comprised 34 BCTs. Inter-rater reliability was moderate, reaching a ceiling of 61% for the core program messages with all discrepancies readily resolved. Of 899 texts delivering BCTs, 218 aimed to maintain motivation to remain abstinent, 870 to enhance self-regulatory capacity or skills, 39 to promote use of adjuvant behaviours such as using stop-smoking medication, 552 to maintain engagement with the intervention and 24 were general communication techniques.

Conclusions: The content of Txt2Stop focus on helping smokers with self-regulation and maintaining engagement with the intervention. The intervention focuses to a lesser extent on boosting motivation to remain abstinent; little attention is given to promoting effective use of adjuvant behaviours such as use of nicotine replacement therapy. As new interventions of this kind are developed it will be possible to compare their effectiveness and relate this to standardised descriptions of their content using this system.

Introduction

There is a global need for affordable, high-reach interventions to aid smoking cessation (WHO, 2006). The majority of people in high and low-middle income countries have mobile phones and in many of these countries text messaging services are free or nearly free to the user (Ofcom, 2009). A large UK-based trial of an intervention called 'Txt2Stop', based on one that had previously been evaluated in New Zealand (STOMP) (Rodgers et al., 2005) has found a doubling of quit rates at six months (Free 2011,). The trial compared Txt2Stop with an intervention containing text messages unrelated to quitting in 5,800 smokers and analysed their effect on cessation by intention to treat. Biochemically verified continuous abstinence at 6 months was 10.7% in Txt2Stop versus 4.9% in the control, a rate ratio of 2.10 (95% CI 1.80 to 2.68). This opens the way for similar types of intervention to be developed in other countries. In order to be able to do this it is essential that the nature and content of the intervention be described using a system that can be extrapolated beyond the current version.

Recent research in behaviour change has identified reliable methods for specifying the content of interventions in terms of component behaviour change techniques (BCTs). Taxonomies of BCTs have been developed and applied in relation to interventions to aid smoking cessation, improve diet, increase physical activity and prevent recurrent cardiovascular disease (Michie et al, 2011a); (Michie et al., 2011b); (West, Evans, & Michie,2011, Redfern et al 2012). This approach has allowed the identification of 'active ingredients' within multi-component interventions in these domains (West, Walia, Hyder, Shahab & Michie, 2010; Michie, Abraham, Whittington, McAteer & Gupta, 2009). Studies detecting associations between inclusion of certain BCTs in behavioural interventions and effectiveness have been conducted both in relation to national datasets of validated one month quit rates (West et al., 2010) and in relation to systematic reviews (Michie, et al.,

2009); (West et al., under review). BCTs for behavioural support in smoking cessation have been grouped according to their functions in bringing about behaviour change, i.e. linked to mechanisms of change (Michie, Churchill, & West, 2011c).

Although smokers using Txt2Stop were twice as likely to be continuously abstinent at 6 months as those who did not, 90% were smoking at this point. Therefore, there is scope to improve this intervention. A first step is to understand how the intervention works, in other words, the “active ingredients” within the intervention. To achieve this, it is necessary to identify the specific BCTs within the intervention. This paper describes the development of a BCT taxonomy for specifying the content of smoking cessation interventions and characterizes Txt2Stop in terms of its component BCTs.

Txt2Stop consists of 186 core behaviour change text messages combined with additional personalised messages selected from a database of 713 messages. These use an algorithm based on demographic and personal interests, for example smokers' concerns about weight gain following quitting or interest in receiving text messages about the unethical tactics of the tobacco companies. Participants are asked to set a quit date within 14 days of randomization, and receive five text messages per day in the first five weeks and a further three per week for six months. Participants can opt to have a 'quit buddy' where participants with similar characteristics and quit dates are paired up so that they can text each other for support. If they want help to deal with craving, they can also text the word 'crave' and receive an immediate text message randomly selected from a database of 128 messages. If participants smoke they can text the word 'lapse' and a series of three messages are delivered in response from a database of 47 messages.

Txt2Stop was adapted from the STOMP text messages. As a result of user testing amongst 62 smokers, ex-smokers and smokers trying to quit, messages were discarded, modified or added (for more details. see Free, 2012).

This study aimed to (i) extend the BCT coding method to characterize text-messaging interventions and (ii) use the method to specify the content of 'Txt2Stop', the first text messaging smoking cessation intervention with clearly established efficacy.

Methods

1. Development of coding method

Two coders independently analysed the 186 core behaviour change messages using a taxonomy of 43 BCTs previously found to be reliable in coding the context of treatment manuals for face-to-face behavioural support for smoking cessation (Michie et al., 2011a). The coding instructions were developed from this coding scheme by the coders. Coders were health psychology researchers with a background in behaviour change interventions and knowledge of smoking cessation. Coding ambiguities became apparent because the text messages were brief, did not have a very clear behaviour change target or there was more than one target, and one message may involve more than one BCT. This led to revising the coding instructions such that a) if a text message clearly included more than one BCT, both would be identified, b) if a text message did not relate clearly to a specific BCT it would be labelled as 'not codable'. An example of the former is "Making change is hard but the reward is huge. More money, better health, easier life. Keep thinking of yourself as a non-smoker", which comprises the BCTs 'Provide information on

consequences of smoking and smoking cessation' and 'Strengthen ex-smoker identity'. There were also 128 'crave' texts and 47 'lapse' texts and 538 "personal interest" texts which comprised information selected on the basis of participants' demographic and personal interests.

In addition, it was found that two BCTs (facilitate restructuring of social life, advise on avoidance of social cues for smoking) that were differentiable in face-to-face support could not easily be differentiated in text messages and these were merged. Moreover, five BCTs were identified that had not been part of face-to-face support: 'promote behavioural substitution' 'provide behavioural substitution', 'promote engagement with programme', 'promote self-reward', and 'create or reinforce negative associations'. The second of these arose from the fact that the text message could act in real time as a substitute for smoking.

All text 'crave' text messages were coded as providing a real time substitute for smoking.

The third BCT arose from the fact that maintaining contact with the programme on a daily basis was an important part of the intervention. All 538 personal interest text were coded as promoting engagement with the program and providing behavioural substitution. The fourth and fifth new BCTs emerged because the modality of communication made it feasible to present brief communications to foster negative emotional associations with smoking and to prompt the user to provide rewards for achievements as the course progressed.

2. Classification of text messages by BCT

Once the coding system had been finalised, all 899 Txt2Stop messages were coded to develop a profile of BCTs classified according to their function. The texts were

independently coded (CF and SM) and discrepancies were discussed and agreed by RW and SM. The content of the messages was then characterised as a profile of the number of different types of BCT used and the number of BCTs classified according to their function. It should be noted that one text could have more than one BCT,

Results

1. The coding method

Sixty one per cent (113) of the 186 core programme text messages were classified exactly the same by independent coders using the revised coding system. This included multiple BCTs within many of the messages. Although the level of agreement was relatively low compared with previous BCT coding schemes, differences arose from subtly different interpretations of the intent behind some of the text messages and these were readily resolved through discussion. Minor changes were made to BCT wording and coding instructions (see Web Supplement for final coding guidelines). Out of a total of 47 BCTs potentially incorporated into Txt2Stop (43 from the face-to-face interventions, two merged and five added), in the event only 34 were used. Others were either not appropriate (e.g. measure expired-air CO), were judged by the intervention designers not to be required (e.g. set graded tasks) or appropriate (e.g. provide feedback on current behavior) or may not have been considered when designing the intervention (e.g. prompt self-recording).

2. Classification of text messages by BCT

The frequency of BCTs in each of the types of Txt2Stop message is shown in Table 1.

Insert Table 1 here

They are grouped according to their function in bringing about behaviour change. Of the 1703 instances of BCTs in the Txt2Stop intervention, 218 were aimed at maintaining motivation to remain abstinent, 870 at enhancing self-regulatory capacity or skills, 39 at promoting use of adjuvant behaviours such as using stop-smoking medication (NRT), 552 at maintaining engagement with the intervention and 24 were general communication techniques. Thus, all intervention functions were represented by BCTs.

Over 90% of the instances of BCTs involved just seven BCTs. Three were motivational: 'provide information on consequences and consequences', 'boost motivation and self-efficacy', 'create or reinforce negative associations'. Three were self-regulatory: 'promote use of relaxation techniques', 'promote behavioural substitution' and 'provide behavioural substitution'. One was related to intervention delivery, 'promote engagement with the programme'.

Discussion

The results demonstrated the possibility of developing a method to characterize an effective smoking cessation text-messaging intervention in terms of its component BCTs. In previous research, taxonomies of BCTs have been used to characterize interventions as described in intervention protocols or published reports, with good reliability. The reliability of using this method to characterize text messages was lower. The coders, who were experienced in coding both types of material, have provided several possible explanations for this. Text messages are extremely brief, stand-alone messages, without context, providing less to draw on in judging the intent of the words. In addition, the telescoped language gives rise to ambiguity of interpretation and each message may contain one,

more or no BCTs. It should be noted that Txt2Stop was designed before the availability of a taxonomy of BCTs. If future intervention designers use a taxonomy of BCTs to design their interventions, the specification will be more precise and there will not be the need for post-hoc coding with its associated problems of inter-rater reliability.

Thirty-four BCTs were identified, although two-thirds of the texts contained one or more of just six BCTs. These served to boost motivation and promote self-regulatory activities. To our knowledge, this is first time that an attempt has been made to systematically specify a text messaging smoking cessation intervention in terms of its component BCTs. It provides a basis for experimental manipulation of intervention content to ascertain which components are the “active ingredients” in the intervention, paving the way for designing more effective interventions.

Future text messaging interventions may benefit from more frequent use of the BCTs identified in this research, such as ‘strengthen ex-smoker identity’, ‘provide reassurance’ and ‘advise on use of social support’. Txt2Stop included one BCT that had not been identified in smoking cessation guidelines, protocols or published reports (Michie et al., 2011c) but had been previously identified in interventions to reduce excessive alcohol literature (Michie, et al., 2012): ‘Behavioural substitution’. This was used in three ways: suggestions for substituting activities were given, texting from the mobile phone was itself used as a substitution for participants using the text ‘crave’ function and receiving personal interest messages was used as a substitution for smoking.

Taxonomies of BCTs continue to be developed (Michie et al., 2011d; Michie et al, 2012), allowing for more accurate characterisation of interventions (see www.ucl.ac.uk/health-psychology/BCTtaxonomy/). For example, some messages provided feedback to

participants about the health and physical benefits they had achieved by quitting. The message “Health update! Well done, your oxygen levels are now normal” was specifically timed to be sent on the quit day to arrive when oxygen levels would be normal. Since this is not ‘feedback on current behaviour’, this type of message was coded as ‘provide information on the consequences of the behaviour’. In the most recent, integrated taxonomy, there is a new, more specific BCT, ‘Health consequences’, defined as ‘Record/provide information about health consequences of performing the behaviour’. Reliable coding of interventions requires close attention to the definition of each BCT and a good knowledge as to how it differs from others. It is a skill that requires both training and practice. Good results have been achieved from intensive one-day workshops and long-distance tutorial training is currently being evaluated. A further development of use to intervention designers and reporters is the establishment of a hierarchical structure, with 14 groupings of BCTs identified (Michie, Johnston, Abraham, Francis, Hardeman, Eccles, Richardson & Cane, 2012). The aim of this structure is to make the taxonomy more conceptually coherent and easier to use.

The impact of the Txt2Stop intervention on specific smoking cessation attitudes and behaviours has been evaluated in a subsample of 600 participants. Qualitative interviews with participants about their experiences of the intervention provided further insight into the types of messages that participants found most and least helpful and some ideas about the possible mechanisms of action of the intervention. This will be reported elsewhere. Future text messaging interventions are likely to benefit from drawing on both the method developed in this study and the more generic work investigating efficacy of the component BCTs. The data from the current study, together with BCTs found in other modalities to be effective but not currently found in Txt2Stop, would constitute useful information to underpin the next version of a text-messaging intervention. This approach should also be extended to other low-cost, high-reach distance behavioural support, such as telephone support and internet-based support (Brown, et al., 2011).

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Statement of competing interests

Robert West undertakes research and consultancy for companies that manufacture smoking cessation medications. He is also a trustee of QUIT.

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Table 1. Frequency of behaviour change techniques, grouped according to their function, and tabulated by type of Txt2Stop message (codes in the first column are based on those in Michie et al, 2011c)

BCT Code and Function	BCT Label	Core Behaviour change texts (n=186)	Crave texts (n=128)	Lapse texts (n=47)	Personal interest texts (n=538)	TOTAL (n=899)
BM1	Provide information on consequences of smoking and smoking cessation	20	9	1	12	42
BM2	Boost motivation and self-efficacy	21	18	27	45	111
BM4	Provide rewards contingent on successfully stopping smoking	10	1	1		12
BM5	Provide normative information about others' behaviour and experiences	2				2
BM6	Prompt commitment from the client there and then				1	1
BM8	Strengthen ex-smoker identity	8				8
BM10	Identify reasons for wanting and not wanting to stop smoking	5				5
BM11	Explain the importance of abrupt cessation				1	1
BM13	Create or reinforce negative associations	7	3		25	35
BM14	Distract from motivation to engage in behaviour				2	2

Total motivational		72	31	29	86	218
BS1	Facilitate barrier identification and problem solving	6				6
BS2	Facilitate relapse prevention and coping	8		7		15
BS3	Facilitate action planning/develop treatment plan	4		1	1	6
BS4	Facilitate goal setting	1				1
BS5	Prompt review of goals	2				2
BS7	Advise on changing routine	6	2			8
BS8	Advise on environmental restructuring	7	1		1	9
BS9	Set graded tasks	7				7
BS10	Advise on conserving mental resources	3	4			7
BS11	Advise on avoidance of cues for smoking	3	2		3	8
BS12	Facilitate restructuring of social life	2			1	3
BS13	Advise on methods of weight control	7				7
BS14	Promote use of relaxation techniques	2			78	80
BS15	Promote behavioural substitution	28	13	8	49	98
BS16	Promote self-reward	7				7
BS17	Provide behavioural substitution		60		538	666
Total self-regulation		103	80	16	671	870
A1	Advise on stop-smoking medication	7	1	1		9
A2	Advise on/facilitate use of social support	11	6	1	8	26

A5	Give options for additional and later support	4				4
Total adjunctive		22	7	2	8	39
RD3	Promote engagement with the programme	14			538	552
Total intervention delivery		14	0	0	538	552
RC2	Elicit and answer questions	2				2
RC4	Explain expectations regarding treatment programme	8				8
RC6	Provide information on withdrawal symptoms	5				5
RC10	Provide reassurance	9				9
Total general communication		24	0	0	0	24
TOTAL number of BCTs		235	118	47	1303	1703