Manjomo, RC; Mwagomba, B; Ade, S; Ali, E; Ben-Smith, A; Khomani, P; Bondwe, P; Nkhoma, D; Douglas, GP; Tayler-Smith, K; Chikosi, L; Harries, AD; Gadabu, OJ (2016) Managing and monitoring chronic non-communicable diseases in a primary health care clinic, Lilongwe, Malawi. Public health action, 6 (2). pp. 60-5. ISSN 2220-8372 DOI: https://doi.org/10.5588/pha.16.0003

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Managing and monitoring chronic non-communicable diseases in a primary health care clinic, Lilongwe, Malawi

R. C. Manjomo,1 B. Mwagomba,2 S. Ade,3,4 E. Ali,5 A. Ben-Smith,6 P. Khomani,1 P. Bondwe,1 D. Nkhoma,1 G. P. Douglas,7 K. Tayler-Smith,5 L. Chikosi,8 A. D. Harries,3,9 O. J. Gadabu1

http://dx.doi.org/10.5588/pha.16.0003

Chronic non-communicable diseases (NCDs) are now the world’s leading cause of mortality, with a significant and rapidly growing impact in low- and middle-income countries (LMICs).1,2 In 2010, there were 34.5 million deaths (two of every three deaths globally) due to NCDs, with cancer, ischaemic heart disease, stroke and diabetes being the predominant causes.3 Despite the increasing burden of disease and associated mortality, access to prevention, care and treatment remains out of reach for most people in LMICs, and as a result there have been calls for action to improve the situation.4,5

In September 2011, the United Nations convened a high-level meeting on NCDs, and agreement was reached on a goal to reduce NCD deaths by 25% by 2025 in people aged 30–70 years.6,7 This was taken forward into the Sustainable Development Goals (SDG), with SDG 3.4 aiming to reduce premature mortality from NCDs by one third by 2030.8 The targets selected to achieve this goal include reducing elevated blood pressure, smoking cessation, reducing salt intake and increasing physical activity. While there is increasing agreement about the upstream policies required to combat NCDs and reduce NCD mortality, far less is known downstream about how to deliver and monitor quality services for the prevention, care and treatment of chronic disease for the millions of people in need.

In LMICs, patients with NCDs are usually managed in tertiary or secondary level hospitals, but there is an urgent and important need to know how to decentralise and integrate the management of NCDs into primary health care and how to monitor the incidence and prevalence of disease, treatment outcomes and associated morbidity and mortality in this setting. A recent study from Kenya reported on the integrated management of patients with hypertension and/or diabetes in a primary health care setting supported by Médecins Sans Frontières (MSF), with encouraging results.9 There is little information, however, about how this could work at the peripheral level in government settings in other LMICs.

In Malawi, a nationwide World Health Organization (WHO) STEPWise approach to Surveillance (STEPS) survey showed that in 2009 respectively 33% and 6% of the population surveyed had hypertension and diabetes mellitus (DM);10 as a result, a Non-Communicable Diseases Management Unit was established within the Ministry of Health. A national strategy and action plan for NCDs has been developed, including the roll-out of the concept of a ‘chronic care clinic’. Currently, most patients with NCDs such as hypertension, DM, asthma and epilepsy are managed in one of the four national tertiary care facilities, or, in some district hospitals, in specialised out-patient clinics treating one disease at a time on a day of the week. Such specialised clinics are difficult for patients with more than one disease, and may not be cost-effective. A decision was therefore made by the Ministry of Health, in collaboration with partners, to pilot the use of chronic care clinics for key NCDs such as hypertension, DM, asthma and epilepsy at the primary health care level.

To test the concept, a chronic care clinic was set up in the primary health care facility in Area 25, Lilongwe, with patient enrolment starting from March 2014. A decision was made to assess the feasibility, challenges, burden of disease and monitoring system during a 12–15 month period soon after the clinic opened in order to identify gaps, correct mistakes and adjust resources to the disease patterns being observed. The aim of this study was to describe the management and monitoring of patients with NCDs in...
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this primary health care clinic, along with the burden, treatment and programme outcomes of these patients. Specific objectives were to determine, between March 2014 and 30 June 2015: 1) the number of patients registered in each quarter and cumulatively with the different NCDs; 2) the baseline demographic characteristics, risk factors and existing disease-related complications of all patients who had been cumulatively registered by 30 June 2015; 3) the programme outcomes in patients cumulatively registered in the five quarters; and 4) the management and treatment outcomes of patients with specific NCDs.

METHODS

Study design
This was a descriptive cross-sectional study involving patients registered with specific NCDs at Area 25 Health Centre, Lilongwe, Malawi.

Setting
General setting
Malawi is a small country in central Africa with an estimated population of 16.4 million and a nominal gross domestic product of US$226 per capita.1 It is divided into three regions and has four public tertiary (referral) hospitals and 28 public district hospitals, provided into three regions and has four public tertiary hospitals and 28 public district hospitals, where health care services are generally free of charge. There are over 700 primary health care centres throughout the country where the majority of the rural population seeks care, although it is unusual for patients with NCDs to be managed in such peripheral settings. The health care worker to patient ratio and physician to patient ratio are amongst the highest in the world, estimated at 1:277 and 1:50000, respectively. The task-shifting of care to lower cadres of health care workers is common at both primary and secondary health care facilities.12

Study setting
Area 25 Health Centre serves a catchment population of 80000 adults and children. The chronic care clinic opened formally on 17 March 2014 and started registering patients already under care in the health centre and new patients who were referred or diagnosed with NCDs at the centre. Patients with suspected NCDs are referred to the health centre for diagnosis and care, with a maximum distance between home and the health centre of about 5 km. Once under treatment, patients receive their medications free of charge from the health centre pharmacy. If the health centre has a stock-out of drugs, the patients are advised to go to the public tertiary hospital for their medications. If the health centre has a stock-out of drugs, the patients are advised to go to the public tertiary hospital for their medications. Drugs can be obtained at local pharmacies, but patients have to pay for their medications. The referral, diagnosis, registration, management, monitoring and reporting of NCDs in this centre are briefly described in Table 1.14,15

Study population
All patients registered with NCDs in the Area 25 Health Centre chronic care clinic from 17 March 2014 to 30 June 2015 were included in the study.

Data variables and analysis
The data variables collected in this study included the number of patients with hypertension, DM, asthma and epilepsy newly registered in each quarter and cumulatively registered at the end of each quarter; the demographic characteristics such as sex and age; risk factors for NCDs such as current cigarette smoking (≥1 cigarettes per week), alcohol consumption (drinking any alcohol at any time) and obesity (body mass index ≥30 kg/m²); existing disease-related complications; cumulative programme outcomes (see Table 1) and management and treatment outcomes of each NCD (see Table 1 for the quality of NCD control determined by specific indicators). The data were sourced from an integrated cohort quarterly reports generated by the electronic medical record (EMR) system and the paper-based database at the Malawi Epidemiology and Research Unit, Lilongwe. These were exported to an Excel spreadsheet (Microsoft Corp, Redmond, WA, USA) and analysed descriptively using percentages and frequencies.

Ethics
The study was approved by the National Health Sciences Research Committee (Lilongwe, Malawi). The study met the MSF Ethics Review Board (Geneva, Switzerland) approved criteria for studies of routinely collected data, and was also approved by the Ethics Advisory Group of the International Union Against Tuberculosis and Lung Disease (Paris, France).

RESULTS

A total of 1135 patients were cumulatively registered in the chronic care clinic between 17 March 2014 and 30 June 2015. The numbers of newly registered patients increased in each quarter, and the cumulative numbers of patients rose by the end of each quarter, as shown in Table 2. Hypertension accounted for 53% of the total cumulative registrations by 30 June, with the remainder of the patients registered with DM (18%), asthma (12%), epilepsy (10%) and hypertension and DM together (7%).

Baseline demographic characteristics, risk factors for NCDs and existing NCD-related complications at the time of registration of all patients are shown in Table 2. There were more females (66%) enrolled into care than males. Of all registered patients, 21% were aged ≥65 years. Few patients reported cigarette smoking or alcohol consumption. About one fifth of the patients were obese. Only nine patients had disease-related complications (stroke, cardiovascular disease or blindness) at the time of registration.

The programme outcomes at the end of five quarters for all patients cumulatively registered during these periods are shown in Table 4. No patient was known to have stopped treatment and there were no known deaths or transfers-out (transfer to other health facilities). In every quarter, a substantial proportion of patients did not attend for unknown reasons, and this remained fairly constant, at about 30% during the last four quarters. One year after the clinic

ACKNOWLEDGEMENTS

This research was conducted through the Strengthening Operational Research and Training Initiative (SORT IT), a global partnership led by the Special Programme for Research and Training in Tropical Diseases at the World Health Organization (WHO/TDR), Geneva, Switzerland. The model is based on a similar model developed jointly by the International Union Against Tuberculosis and Lung Disease (The Union), Paris, France, and Medecins Sans Frontieres (MSF), Brussels Operational Centre, Luxembourg. The specific SORT IT programme that resulted in this publication was jointly developed and implemented by the Operational Research Unit (LUXOR), the Centre for Operational Research, The Union; the Centre for International Health, University of Bergen, Bergen, Norway; the Institute of Tropical Medicine, Antwerp, Belgium; the University of Nairobi, Nairobi, Kenya; and the University of Chester, Chester, UK. The authors thank the Malawi Epidemiology and Research Unit, Lilongwe, for their help and assistance in finding data missing in the electronic medical record system, and the World Diabetes Foundation, Gentofte, Denmark, for financial support to help set up the chronic care clinic in Lilongwe.

This operational research programme was funded by the Union, MSF, the Department for International Development, London, UK, and the WHO. The clinic also received financial support from the World Diabetes Foundation, Gentofte, Denmark. The funders had no role in the study design, data collection and analysis, decision to publish, or preparation of the manuscript.

Conflicts of interest: none declared.

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had formally opened, the proportion registered as lost to follow-up was 19%.

The management and treatment outcomes in patients with hypertension, DM, asthma and epilepsy during each of the five quarters are shown in Table 5. In patients with hypertension (which included those with hypertension alone or in combination with DM), over 90% were prescribed medication and had their blood pressure measured, but during the last four quarters less than 50% had their blood pressure controlled. In patients with DM (which included those with DM alone or in combination with hypertension), over 90% were prescribed medication and over 80% had their fasting blood glucose measured, but only 15–36% had any blood glucose control. All patients with asthma were always given medication, and 60–75% remained without any serious asthma attack between clinic visits. All patients with epilepsy were always given medication, and 85–94% remained seizure-free between visits.

**DISCUSSION**

This is the first report from Malawi to describe how a chronic care clinic set up at the primary health care level performed during the first 15 months of operation. There were increasing numbers of patients newly registered each quarter, and the cumulative burden of patients with NCDs was over 1000 by the end of 12 months. Hypertension was the predominant problem. There was a low prevalence of smoking and alcohol consumption, but obesity was recorded in one fifth of patients at presentation. Our working definitions for cigarette smoking and alcohol consumption were imprecise, however, and it is possible that the preva-

**TABLE 1** Management and monitoring of NCDs in the chronic care clinic in Area 25 Health Centre, Lilongwe, Malawi

| Referral and diagnosis | Patients with suspected NCDs based on symptoms are referred from the local community and villages to the health centre by community health workers—a passive case-finding approach. There is also active surveillance of DM and hypertension taking place in Area 25 (adult population 50,000) through a Wellcome Trust initiative (Malawi Epidemiology and Research Unit). Patients identified with high blood pressure or elevated blood glucose levels in accordance with national guidelines are referred to the health centre. Patients are then reassessed at the health centre and diagnosed according to the national guidelines, which follow international standards. Diagnosed patients are managed in the health centre’s chronic care clinic. |
| Clinic management | The chronic care clinic is staffed by paramedical clinical officers and nurses who have undergone appropriate training in the diagnosis and management of key NCDs. Treatment is based on what is stipulated in the Malawi Standard Treatment Guidelines. The clinic monitors the care of DM patients through FBG testing and of other patients through use of ‘vital signs kiosk’ that is directly interfaced with an EMR. There is no paper-based record system. |
| EMR | In the clinic, an EMR has been set up in a way similar to those being used in the antiretroviral therapy clinics throughout the country and the diabetic clinics in Blantyre, Zomba and Lilongwe, as previously described. These use a touch screen, point-of-care electronic system that has data fields for NCDs and their management. Demographic, clinical and treatment information for the patient is collected during registration and entered in real time into the EMR. Clinical and treatment information is also entered in real time at each follow-up visit. At these visits, the patient is given the next appointment date, usually after 1–3 months; every patient should therefore be seen at least once in a quarter. Medical prescriptions are administered from the clinic and the data entered into the EMR. |
| Quarterly reports and outcome measures | The EMR generates quarterly reports, stratified by type of NCD, on 1) the number of new cases registered in the quarter (this provides a measure of disease incidence); 2) the number of cases cumulatively registered since the clinic started; 3) the cumulative number of cases attending the clinic during the quarter (this provides a measure of disease prevalence in the clinic); and 4) of those who attended the clinic in the quarter, the quality of NCD control determined by specific indicators (namely, the proportion of DM patients with FBG < 7 mmol/l [126 mg/dl]); the proportion of hypertensive patients with blood pressure < 140/90; the proportion of asthma patients with no asthma attack requiring an emergency hospital visit in the last 3 months; and the proportion of epileptic patients with no epileptic seizure in the last 3 months). Programmatic outcomes include: attended the clinic at least once in the quarter; death; known to have stopped treatment; loss to follow-up (defined as not seen in the clinic for 12 months); transferred out; and did not attend the clinic in the quarter for unknown reasons. |

**TABLE 2** Quarterly and cumulative registrations* from March 2014 to June 2015 at Area 25 Health Centre, Lilongwe, Malawi

<table>
<thead>
<tr>
<th></th>
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<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Hypertension only</td>
<td>208</td>
<td>69</td>
<td>277</td>
<td>84</td>
<td>361</td>
<td>122</td>
<td>483</td>
<td>119</td>
<td>602</td>
</tr>
<tr>
<td>DM only</td>
<td>66</td>
<td>9</td>
<td>75</td>
<td>40</td>
<td>115</td>
<td>34</td>
<td>149</td>
<td>50</td>
<td>199</td>
</tr>
<tr>
<td>Hypertension and DM</td>
<td>27</td>
<td>13</td>
<td>40</td>
<td>7</td>
<td>47</td>
<td>12</td>
<td>59</td>
<td>19</td>
<td>78</td>
</tr>
<tr>
<td>Asthma</td>
<td>42</td>
<td>33</td>
<td>75</td>
<td>10</td>
<td>85</td>
<td>18</td>
<td>103</td>
<td>36</td>
<td>139</td>
</tr>
<tr>
<td>Epilepsy</td>
<td>80</td>
<td>7</td>
<td>87</td>
<td>9</td>
<td>96</td>
<td>5</td>
<td>101</td>
<td>16</td>
<td>117</td>
</tr>
<tr>
<td>Total†</td>
<td>423</td>
<td>131</td>
<td>554</td>
<td>150</td>
<td>704</td>
<td>191</td>
<td>895</td>
<td>240</td>
<td>1135</td>
</tr>
</tbody>
</table>

*At the end of each quarter the new registrations are added to the previous cumulative registration to obtain a new cumulative number.†The chronic care clinic opened on 17 March 2014 and patients already in the health centre were cumulatively registered up to 30 June. DM = diabetes mellitus.
lence of these lifestyle habits was more common than we have reported.

We were able to track programmatic outcomes through the EMR, although this required help from the Wellcome Trust database in the Malawi Epidemiology and Research Unit, Lilongwe. Worryingly, a large proportion of patients who did not attend either in the quarter or after 1 year were recorded as lost to follow-up, meaning that they had not been seen in the clinic for ≥1 year. We do not know whether they were truly not seen or whether this was a feature of missing data. Furthermore, we do not know whether these patients who were lost to follow-up or recorded as non-attending had died or transferred out, and this requires further investigation. Of patients who were seen, it was encouraging that most were prescribed medication, that most of those with hypertension and/or DM had their blood pressure and/or blood glucose measured and that a substantial proportion with epilepsy had their seizures controlled according to our pre-set parameters. Interestingly, less than 10% of patients had both hypertension and DM, much lower than has been found elsewhere.\textsuperscript{16,17} This requires further investigation to determine whether patients with DM have their blood pressure measured regularly and vice versa. About a third of patients with asthma had a severe attack in Quarters 2 and 3, compatible with the season of the year when asthma attacks are more frequent in the country.\textsuperscript{18} Despite medication, the control of blood pressure and DM was generally poor during all of the quarters of the period studied.

The strength of this study is the reporting of the feasibility of running a chronic care clinic within a routine government setting that uses an EMR, with the lessons learnt being applicable to similar settings in Malawi and possibly elsewhere. Furthermore, this observational study adhered to the Strengthening the Reporting of Observational Studies in Epidemiology (STROBE) guidelines.\textsuperscript{19} Limitations relate to the operational nature of the study, which included imprecise definitions of NCD risk factors and a lack of detailed individual information about why patients failed to attend the clinic in the various quarters. It is also possible that some of the patients diagnosed and classified as having asthma had chronic obstructive airways disease.

Several implications result from this study. First, the quarterly and cumulative cohort system that we have set up for chronic disease care generally functioned well, and is similar to systems being implemented for DM and hypertension clinics in central hospitals in Malawi\textsuperscript{13} and in primary health care centres in other countries such as Jordan.\textsuperscript{16,17} In these other settings, cohort monitoring relies on an electronic system, as this is probably the only way to follow a cohort of patients with their programmatic and management outcomes that increases cumulatively month by month. Over time, the EMR will also allow a survival analysis of each particular cohort to assess the quality of disease control, the development of co-morbidities and the programmatic outcomes, as has been undertaken in Jordan.\textsuperscript{20} Such information, updated and produced every quarter, will allow the clinic not only to review and assess the growing case load but also to rationally plan for human resources, drugs and consumables that are needed at the facility level.

We did experience challenges with the EMR in the chronic care clinic in Lilongwe, mainly around ascertaining that each patient visit had been recorded and ensuring that the system is functional at all times; there is a need for a rapid response team linked to government that is consistently available to resolve computer problems when they arise. It has been suggested that clinics run both paper-based and electronic record systems. Anecdotally, this has been done elsewhere in antiretroviral clinics in Malawi, but given the human resource constraints that the coun-

### Table 3: Baseline demographic characteristics, risk factors and NCD-related complications at the time of registration in all patients registered in Area 25 Health Centre, Lilongwe, Malawi, by 30 June 2015

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>All patients</td>
<td>1135</td>
</tr>
<tr>
<td>Sex</td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>381 (34)</td>
</tr>
<tr>
<td>Female</td>
<td>754 (66)</td>
</tr>
<tr>
<td>Age groups, years</td>
<td></td>
</tr>
<tr>
<td>0–14</td>
<td>49 (4)</td>
</tr>
<tr>
<td>15–44</td>
<td>450 (40)</td>
</tr>
<tr>
<td>45–64</td>
<td>400 (35)</td>
</tr>
<tr>
<td>&gt;65</td>
<td>236 (21)</td>
</tr>
<tr>
<td>Risk factors for non-communicable disease</td>
<td></td>
</tr>
<tr>
<td>Current cigarette smoker</td>
<td>5 (&lt;1)</td>
</tr>
<tr>
<td>Consumes alcohol</td>
<td>20 (2)</td>
</tr>
<tr>
<td>Obese (defined as body mass index ≥30 kg/m(^2))</td>
<td>230 (20)</td>
</tr>
<tr>
<td>Disease-related complications at the time of registration</td>
<td></td>
</tr>
<tr>
<td>Stroke</td>
<td>5</td>
</tr>
<tr>
<td>Previous myocardial infarction</td>
<td>0</td>
</tr>
<tr>
<td>Cardiovascular disease</td>
<td>1</td>
</tr>
<tr>
<td>Blind</td>
<td>3</td>
</tr>
<tr>
<td>Amputation below the knee</td>
<td>0</td>
</tr>
</tbody>
</table>

NCD = non-communicable disease.

### Table 4: Cumulative programmatic outcomes recorded between Quarter 2 2014 and Quarter 2 2015 in patients registered with NCDs at Area 25 Health Centre, Lilongwe, Malawi

<table>
<thead>
<tr>
<th>Patients with NCDs</th>
<th>Quarter 2 2014 n (%)</th>
<th>Quarter 3 2014 n (%)</th>
<th>Quarter 4 2014 n (%)</th>
<th>Quarter 1 2015 n (%)</th>
<th>Quarter 2 2015 n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total number cumulatively registered at the end of the quarter</td>
<td>423 (81)</td>
<td>554 (86)</td>
<td>704 (95)</td>
<td>895 (98)</td>
<td>1135 (98)</td>
</tr>
<tr>
<td>Attended the chronic care clinic at least once during the quarter</td>
<td>343 (81)</td>
<td>318 (57)</td>
<td>251 (36)</td>
<td>361 (40)</td>
<td>576 (51)</td>
</tr>
<tr>
<td>Did not attend the clinic in the quarter for unknown reasons</td>
<td>80 (19)</td>
<td>236 (43)</td>
<td>453 (64)</td>
<td>534 (60)</td>
<td>337 (30)</td>
</tr>
<tr>
<td>Known to have stopped treatment</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Loss to follow-up*</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>222 (19)</td>
</tr>
<tr>
<td>Transferred out to another health facility</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Died</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

*Defined as no consecutive attendance at the clinic for 1 year.
NCD = non-communicable disease.
try faces, with increased workload errors creeping in, we believe it is better to use one system or the other rather than trying to operate both simultaneously.

Second, we need to be more precise about classifying patients as smokers and alcohol consumers, with practical definitions available for health care staff in the clinic. The clinic needs to encourage those who smoke to quit.\textsuperscript{21} As the system matures, we should also consider capturing information about other risk factors such as salt intake and physical inactivity.\textsuperscript{22} Obesity increases the risk of cardiovascular disease and stroke,\textsuperscript{23} and there is growing evidence that in DM or pre-DM patients an increase in daily ambulatory activity leads to a reduction in cardiovascular morbidity.\textsuperscript{24} Interventions to change lifestyle behaviour need to be introduced at chronic care clinics for patients with hypertension and/or DM, and they need to be accompanied by regular and appropriate monitoring.

Third, we need to become better at controlling hypertension and DM to reduce the morbidity and mortality that accompany these conditions. This is not a problem unique to Malawi. DM is generally poorly controlled throughout most of Africa,\textsuperscript{25} and globally it is estimated that only about 13% of patients with hypertension have their blood pressure controlled.\textsuperscript{26} Interventions to change lifestyle behaviour need to be introduced at chronic care clinics for patients with hypertension and/or DM, and they need to be accompanied by regular and appropriate monitoring.

Finally, it would be important to be able to quickly identify patients who failed to attend their scheduled appointments so that they can be brought back to the clinic for a visit through either the aid of outreach workers or the use of mobile phone technology, as has been used in antiretroviral clinics in Kenya.\textsuperscript{27} Prioritising patients with severe hypertension or badly controlled DM is one way of moving forward on this issue and ensuring that these patients do not miss clinic appointments.

In conclusion, we have shown that it is possible to run and monitor registrations and outcomes for patients with NCDs in an integrated chronic care clinic at the primary health care level in Malawi. The evaluation of the clinic at 12 months has been useful in identifying some shortcomings such as EMR functionality, non-attendance and loss to follow-up, and the clinic needs to improve in controlling blood pressure and blood glucose levels in those with hypertension and DM.

References

El 10% y 7% de los pacientes presentaba hipertensión y diabetes. En o más, el 20% era obeso, contaba con un diagnóstico exclusivo de registrados aumentaron en cada trimestre. De estos pacientes el 66% Se registraron 1135 pacientes y los casos nuevos acumulada, el tratamiento y el desenlace clínico de los pacientes Utilizando un sistema de electrónico seguimiento de las atención primaria de salud en Lilongwe, Malawi.

Marco de referencia:


ne se son présentés au centre de santé et 19% ont été enregistrés comme perdus de vue (pas vus depuis au moins 1 an) au cours du dernier trimestre. Parmi ceux qui se sont présentés, plus de 90% ont eu une prescription de médicaments et 80% à 90% des patients atteints d’hypertension et/ou de diabète ont eu une mesure de tension artérielle/déglycémie. Plus de 85% des patients avec épilepsie n’ont pas eu de convulsions et 60–75% des patients atteints d’asthme n’ont pas eu de crise grave. Le contrôle de la tension artérielle (41–51%) et du diabète (15–38%) a été médiocre.

Conclusion : Il est possible de prendre en charge les patients atteints de maladies chroniques non transmissibles dans un contexte de centre de santé primaire au Malawi, mais il faut accorder plus d’attention à l’amélioration de la fréquentation du centre et au contrôle de l’hypertension et du diabète.

Marco de referencia: Los pacientes con diagnóstico de enfermedades crónicas no transmisibles que acuden a un centro de atención primaria de salud en Lilongwe, Malawi.

Objetivo: Se sirvió de un sistema electrónico de suivi de los dossiers médicos, describir el poids trimestral y acumulado de las maladies, la prise en charge y el pronostic de los pacientes enregistrés entre mars 2014 et juin 2015.

Schéma: Une étude transversal.

Résultats: De 1135 patients nouvellement enregistrés avec une augmentation chaque trimestre, 66% étaisaient des femmes, 21% avaient plus de 65 ans, 20% étaient obèses, 53% avaient une hypertension isolée, 18% avaient un diabète isolé, 12% avaient de l’asthme, 10% avaient une épilepsie et 7% avaient à la fois hypertension et diabète. Chaque trimestre, environ 30% des patients