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Cost-effectiveness of scaling up mass drug administration for the control of soil-transmitted helminths: a comparison of cost function and constant costs analyses

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Summary

Background The coverage of mass drug administration (MDA) for neglected tropical diseases, such as the soil-transmitted helminths (STHs), needs to rapidly expand to meet WHO’s 2020 targets. We aimed to compare use of a cost function to take into account economies of scale to the standard method of assuming a constant cost per treatment when investigating the cost and cost-effectiveness of scaling up a STH MDA programme targeting *Ascaris lumbricoides*.

Methods We fitted a cost function describing how the costs of MDA change with scale to empirical cost data and incorporated it into a STH transmission model. Using this cost function, we investigated the consequences of taking into account economies of scale on the projected cost-effectiveness of STH control, by comparison with the standard method of assuming a constant cost per treatment. The cost function was fitted to economic cost data collected as part of a school-based deworming programme in Uganda using maximum likelihood methods. We used the model to investigate the total reduction in the overall worm burden, the total number of prevalent infection case-years averted, and the total number of heavy infection case-years averted. For each year, we calculated the effectiveness as the difference between the worm burden or number of cases and the number in absence of treatment.

Findings When using the cost function, the cost-effectiveness of STH control markedly increased as the programme was scaled up. By contrast, the standard method (constant cost per treatment) undervalued this and generated misleading conclusions. For example, when scaling up control in the projected district from 10% to 75% coverage of at-risk school-age children, the cost-effectiveness in terms of prevention of heavy burden infections was projected to increase by over 70% when using the cost function, but decrease by 18% when assuming a constant cost per treatment.

Interpretation The current exclusion of economies of scale in most economic analyses must be addressed if the most cost-effective policies for the control of neglected tropical diseases are to be formulated. These findings are also relevant to other large-scale disease interventions.

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Introduction

Mass drug administration (MDA) is used to control many neglected tropical diseases (NTDs). This type of preventive chemotherapy targets all at-risk eligible individuals within defined age ranges. Although in 2012, 700 million people received at least one MDA round, this is only 36% of those in need of treatment. Since the London declaration on NTDs in 2012, the availability of donated drugs has dramatically increased and is no longer regarded as a major bottleneck to the control and elimination efforts of the included NTDs. However, the scaling up of the implementation of MDA programmes remains the key obstacle to reaching the 2020 targets set by WHO and the London declaration. This issue with scaling up implementation is particularly true for the soil-transmitted helminths (STHs), for which WHO has set goals to scale up MDA, so that by 2020, 75% of preschool and school-age children in need will be treated regularly (ie, annually where prevalence is 20–50% or biannually where prevalence exceeds 50%).

MDA programmes have beneficial economics of scale—increasing the number treated reduces the cost per treatment (panel). Such economies of scale arise because many of the costs associated with MDA are fixed (ie, not dependent on the number treated) and therefore increasing the interventions output reduces the fixed cost per treatment (panel). Furthermore, as programmes expand they are likely to become more efficient through better organisation and learning by doing. Such economies of scale are not unique to MDA and can also occur for other interventions.

However, although accounting for economies of scale is recommended in economic assessments, because of the absence of detailed cost data, most studies assume constant returns to scale—ie, the cost per treatment is constant, regardless of the number treated. Thus, with this assumption, the total cost of MDA would increase linearly with the number treated.

In view of this need to rapidly scale up MDA, understanding how the cost and cost-effectiveness of MDA
programmes might be affected by these reported economies of scale, and assessment of the potential effect of ignoring them on policy recommendations, is important.

We aimed to use a STH transmission model to compare use of a cost function to take into account economies of scale to the standard method of assuming a constant cost per treatment when investigating the cost and cost-effectiveness of scaling up a STH MDA programme targeting Ascaris lumbricoides.

Methods
Model
We used a fully age-structured model of the transmission dynamics of STHs for this analysis. In this analysis, we used the model parameters pertaining to A lumbricoides to act as a control case (appendix).

We used the model to simulate a hypothetical district, with a total population of 200 000 individuals at risk of infection—chosen to take into account the full range of the cost data—and a school-based albendazole treatment programme targeting school-age children (5–14 years old; about 32% of the total population). The assumed age distribution pertains to sub-Saharan Africa and is based on Uganda’s demographic profile. As in previous analyses, three different transmission settings were investigated—low (reproductive number \( R_0 = 2 \)), medium (\( R_0 = 3 \)), and high (\( R_0 = 5 \); panel). These stratifications differ from the WHO prevalence categories for reasons described by Truscott and colleagues. The analysis was done by looking at one district to show the key principles clearly, although the conclusions would apply to national programmes as a whole.

Within the model, the number treated was varied by changing the treatment coverage of the school-age children at risk of infection within the district (panel). Based on the reported coverages from the districts where the cost data were collected, a maximum coverage of 95% of school-age children was assumed within this analysis—the upper limit of the reported coverages. MDA does not include any previous diagnosis before treatment, so uninfected individuals would also be treated.

The efficacy of albendazole against A lumbricoides, defined within the model as the proportion of worms expelled per treatment, was assumed to be 95%.

Costs
We used a cost function that describes how the total cost per treatment (ie, the standard method) undervalued the cost-effectiveness of scaling up MDA programmes and generated some misleading conclusions.

Evidence before this study
Mass drug administration (MDA) programmes are used to control many neglected tropical diseases (NTDs). Although in 2012, 700 million people received at least one MDA round, this is only 36% of those in need of treatment, and the rate of scale up of MDA coverage needs to dramatically increase to achieve the 2020 targets set by WHO. Consequently, having a clear understanding how the cost and cost-effectiveness of MDA programmes might change with scale is vital. MDA programmes have beneficial economies of scale—ie, increasing the number treated by the programme reduces their cost per treatment. Despite widespread understanding of this notion, for economic assessments in this specialty to assume constant returns to scale is nonetheless common practice—ie, the cost per treatment is constant, irrespective of how many are treated. We searched PubMed for the terms “economies of scale”, “cost-effectiveness”, and “mass drug administration”, with no date or language restrictions. We identified no studies that investigated the implications of using cost functions taking into account economies of scale on the cost-effectiveness of scaling up MDA. When we broadened the search beyond MDA interventions, we identified few cost-effectiveness studies that had included economies of scale, because of the absence of data. Therefore, assessment of the likely effect of this assumption on policy recommendations is essential to stimulate informed discussion in this specialty.

Implications of all the available evidence
The analysis shows the fundamental importance that taking into account economies of scale can have in economic assessments, and how the common practice of ignoring this aspect can have a detrimental effect on the conclusions, potentially biasing policy. Although this study focused on MDA programmes for control of NTDs, the findings are also relevant to the assessment of other large-scale control interventions that might have economies of scale, such as vaccination programmes, vitamin A distribution programmes, and malaria control.
The programme cost data were collected through semi-structured interviews with district officials and by detailed examination of the programme accounting records in six intervention districts in Uganda. Both financial and economic costs were collected; economic costs include the opportunity cost of using existing Ministry of Health staff and teachers. The delivery cost data are organised into five main cost types: (1) programme running costs; (2) community awareness activities; (3) training of delivery staff; (4) drug registration and distribution; and (5) production of information, education, and communication material. For this analysis, the unit cost of albendazole (400 mg) was assumed to be US$0·02 (2014 prices). The fitting of the cost function to the data was done using maximum likelihood methods (appendix).

The different cost components of the intervention were identified using an ingredients-based approach, taking into account both the number of units and the prices of units in the local currency (Ugandan Shillings). The appendix includes a summary of the data. The costs presented in the study by Brooker and colleagues were adjusted for inflation using the gross domestic product implicit price deflator and are expressed in US$ 2014 prices.

The cost function taking into account the economies of scale was compared with the standard method of assuming a constant cost per treatment, \( b \), taken as the mean of the data range ($0·85, of which $0·83 is for delivery). In this case, the total cost per year was derived by multiplying the number treated per year, \( g \), by this constant cost per treatment, \( b \). This calculation assumes constant returns to scale.

### Implementation period and time horizon
WHO recommends that interventions are assessed using a 10-year implementation period. However, this period refers only to the timeframe across which the intervention is implemented. The time horizon for the analysis (in this case 50 years) is longer to account for the full benefits of the intervention, which can occur both during and after its period of implementation.
The cost-effectiveness ratios (ie, the cost per unit of effect) were derived by dividing the total cost accrued during the implementation period by the total effects accumulated across the full time horizon.

These guidelines are based on the assessment of morbidity control strategies. However, elimination strategies, which aim to stop transmission and make future control measures unnecessary, might need a longer timeframe to account for their long-term beneficial effects. Therefore, within a subset of our simulations, we also considered a longer implementation period of 35 years. Our simulation model includes the effects of sexual reproduction and, as a result, elimination is a deterministically definable event. Elimination has been achieved when mean worm burdens have fallen below a crucial threshold or, equivalently, the parasite burden continues to fall to zero in the absence of treatment. As recommended by WHO, a discount rate of 3% was applied to both the costs and effects (panel). The sensitivity of the results to both the use of a 0% discount rate for effects and a rate of 6% for the costs was explored, based on WHO-Choice guidelines. Because the effects and costs are still being discounted (panel) at 3% per year and are only taken into account within the 50-year time horizon, the projected benefits of elimination are not infinite.

Effectiveness metrics
The model was used to investigate three different effectiveness metrics (modified from Medley and colleagues) across the chosen time horizon: (1) the total number of worm-years averted (ie, the number of years lived with a worm prevented); (2) the total number of prevalent infection case-years averted (ie, the number of years lived with a prevalent infection prevented); and (3) the total number of heavy infection case-years averted (ie, the number of years lived with a heavy infection prevented). Heavy burden was defined as having a worm burden above the age-specific thresholds for disease presented by Chan and colleagues (appendix). For each year, we calculated the effectiveness as the difference between the worm burden or number of cases and the number in absence of treatment (appendix).

Role of the funding source
The funders of the study had no role in study design, data collection, data analysis, data interpretation, or writing of the report. The corresponding author had full access to all the data in the study and had final responsibility for the decision to submit for publication.

Results
The cost function taking into account the economies of scale accounted well for the noted patterns in the cost data (figure 1). By contrast, the standard method of assuming a constant cost per treatment did not correspond well to the data; it substantially underestimated the total cost per year of treating a small number of individuals and overestimated the total cost per year of treating a large number of individuals (figure 1A).

When using the cost function taking into account the economies of scale, the projected cost-effectiveness of MDA increased substantially as the number of at-risk school-age children treated within the district was scaled up. This pattern occurred because as treatment was scaled up, the increase in cost derived from the cost function (figure 1A) was smaller than the projected increase in the effects (figure 2A–C). As such, the cost-effectiveness ratio (ie, the
cost per effect) decreased (figure 2D–F). This decrease resulted in an increase in the cost-effectiveness of control—scaling up control from 10% to 75% coverage of at-risk school-age children within our projected district increased the cost-effectiveness in terms of preventing heavy infections by over 70% (appendix). Because an assumed incremental cost per treatment is included within the cost function, the gain in cost-effectiveness with scale showed some diminishing returns and approached a maximum level, because the cost per treatment cannot decrease past the assumed incremental cost per treatment, $\alpha_2$ (figure 1B). By contrast, when the economies of scale were ignored and a constant cost per treatment was assumed—the standard method—the projected cost-effectiveness remained relatively constant when scaling up treatment (figure 2D–F); it only increased slightly in terms of the cost per prevalent case-year averted (figure 2E) and even decreased in terms of the cost per heavy case-year averted (figure 2F). Thus, this standard assumption incorrectly undervalued the cost-effectiveness of scaling up MDA because, when assuming the cost per treatment is constant, the total cost per year increased linearly with the number treated (figure 1A). Consequently, when scaling up treatment, the increase in both the effects (figure 2) and costs were similar, hence the ratio of the two remained constant. The cost-effectiveness in terms of prevalent case-years and heavy case-years averted (figure 2E and 2F) changed with scale, because of the non-linear gain in effects as the coverage of at-risk school-age children increased (figure 2B and 2C).

![Figure 2: Effectiveness and cost-effectiveness of school-based mass drug administration](image)

- A: Effectiveness
- B: Effectiveness
- C: Effectiveness
- D: Cost-effectiveness
- E: Cost-effectiveness
- F: Cost-effectiveness

<table>
<thead>
<tr>
<th>Transmission setting</th>
<th>Worm-years averted (thousands)</th>
<th>Prevalent case-years averted (thousands)</th>
<th>Heavy case-years averted (thousands)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low</td>
<td>6963</td>
<td>201</td>
<td>62</td>
</tr>
<tr>
<td>Medium</td>
<td>7889</td>
<td>74</td>
<td>94</td>
</tr>
<tr>
<td>High</td>
<td>10,460</td>
<td>38</td>
<td>102</td>
</tr>
</tbody>
</table>

Results assume a 10-year implementation period (ie, up to ten annual treatment rounds), a 50-year timeframe, and 75% coverage.

Table: The sensitivity of the total effectiveness to the assumed transmission setting
The total effectiveness of the intervention in terms of the overall reduction in worm burden and the number of heavy case-years averted—both metrics that are based on infection intensity—increased with the assumed level of pre-control endemicity or transmission intensity (table). By contrast, the total number of prevalent case-years averted—a measure based only on infection prevalence—decreased as the transmission intensity increased (table). This situation arose because of the non-linear relation between mean worm burden and prevalence (figure 3A), created by aggregated distributions of worm numbers (a large proportion of worms were in a small proportion of individuals); thus, at higher worm burdens, large changes in mean worm burden are likely to lead to only small changes in prevalence (figure 3A). Furthermore, annual treatment of school-age children only is not always effective in terms of reducing the overall level of transmission within the population. This finding is shown by looking at the effect of control on the local force of infection (panel)—a measure of transmission—which decreased as the assumed level of transmission intensity was increased (figure 3B).

Because of these relations, the higher the transmission intensity, the higher the cost-effectiveness of the intervention in terms of the reduction in the overall worm burden and heavy infections averted (figure 2D and 2F). However, counterintuitively, the cost-effectiveness in terms of the number of prevalent case-years averted was higher the lower the level of transmission intensity (figure 2E).

The increase in cost-effectiveness in terms of heavy infections averted as the level of assumed transmission intensity was increased shows some diminishing returns (table). This finding occurred because in high-transmission settings, treatment of school-age children only does not effectively control the number of heavy infections.

When we included a longer implementation period in areas with low transmission intensity (ie, 35 years instead of 10 years), the benefits of elimination within the 50-year time horizon of the analysis became apparent (figure 4). The potential for local elimination by chemotherapy alone is theoretically possible when worm levels become low enough for no one individual to harbour both a male and female worm, which means eggs are unfertilised and transmission is broken—ie, a transmission breakpoint (panel). Under these circumstances, the cost-effectiveness increased at a faster rate as the coverage was scaled up (figure 4A). This finding occurred because, after elimination is achieved, the costs of future control are avoided. As coverage is increased, the time to elimination is reduced, generating a lower total cost (figure 4B). When falsely assuming a constant cost per treatment—the standard assumption—the total cost of scaling up control was overestimated (figure 4B).

Varying the discount rate had little effect on the projected cost-effectiveness of control (appendix) and the general conclusions remain unchanged. However, the choice of the discount rate for the costs had implications regarding the potential cost savings generated by reaching elimination (appendix); the higher the discount rate, the lower the potential cost savings.

**Discussion**

Because of economies of scale, the cost per treatment of MDA decreased with increasing numbers treated. Consequently, projected cost-effectiveness of STH control programmes markedly increased as they were scaled up to treat more at-risk school-age children. Although this analysis was done by investigating one district targeting primarily *A lumbricoides* as a case study,
these conclusions can be applied more generally to national STH programmes. This increase in cost-effectiveness has important implications for countries and donors that are considering scaling up MDA in line with the targets set by the WHO and the London declaration (appendix).14 These results also have implications for MDA resource allocation for other NTDs that do not have widespread control.

By contrast, the standard method of assuming a constant cost per treatment undervalued the cost-effectiveness of scaling up MDA and might even incorrectly generate misleading conclusions. For example, when scaling up control in the projected district from 10% to 75% coverage of at-risk school-age children, the cost-effectiveness in terms of preventing heavy infections was projected to increase by over 70% when using the cost function, but decrease by 18% when assuming a constant cost per treatment (appendix). This analysis shows the fundamental importance taking into account economies of scale can have in economic assessments, and how ignoring this aspect, which is done in most economic assessments, can have a detrimental effect on conclusions, potentially incorrectly affecting policy.28 This finding is particularly relevant to NTDs because of the nature of the costs of MDA—many of which are fixed, because the drugs themselves are often donated or inexpensive—but is also relevant to other large-scale control programmes.13

This research generated two other important conclusions for resource allocation and programme planning. First, accounting for economies of scale when comparing the reported costs of different alternative strategies is essential, since if ignored analyses might lead to incorrect assumptions regarding the relative costs of different strategies, and concomitantly might lead to the use of suboptimum policies for MDA implementation.39 Second, use of models that account for the transmission dynamics of the parasite under MDA in any economic assessment, which can take into account the indirect effects of interventions, is desirable, as opposed to static models.10

Within this analysis, the potential costs and effects of expanding the coverage of school-age children beyond 95% were not considered, because the coverage would need to be extrapolated beyond the range of the data used to parameterise the cost function.1 In future studies, the potential increase in costs and diseconomies of scale (panel)11,12 will be analysed as programmes are expanded to cover harder-to-reach groups (eg, schools in remote areas and school-age children who do not attend the treatment days). The strength of these diseconomies of scale and the point at which they start to apply within a control programme will vary in different areas, which is likely to have implications regarding the costs to achieve elimination and the most cost-effective strategy. As such, more detailed studies and cost of control measurements are needed in these groups.

In the modelled scenarios, elimination with a school-based strategy was only possible in areas with low transmission intensity. To achieve elimination in areas with medium-to-high transmission requires an expansion of control beyond school-age children to include preschool and adults or an increased treatment frequency, or both.9,10 Although treatment of adults is likely to be more expensive initially, it will reduce the duration of programme needed, which ultimately is likely to lead to cost savings.10

In this analysis, we focused only on A lumbricoides to act as a case study. One should note that there remains uncertainty surrounding the parameterisation of the key epidemiological processes of STH transmission models. However, the findings presented regarding the increase in cost-effectiveness preliminarily arose because of the non-linearity in the costs, and therefore these results are directly relevant to the other STHs (eg, hookworm and trichuris) and parameter estimates. However, elimination of hookworm and trichuris would not be possible in most settings with only an annual treatment programme targeting school-age children, because for hookworm most worms are harboured by adults, and for trichuris the treatment efficacy of albendazole is lower than for A lumbricoides.9,31,32 Consequently, treatment of school-age children alone does not have as marked an effect on transmission compared with A lumbricoides.

The presented cost function relates only to a programme targeting only school-age children once per year. More cost functions were not explored because the dataset by Brooker and colleagues5 was the only relevant one that could be identified for a STH programme.4 These results highlight the need for further cost data to be collected so that more comprehensive cost functions or predictive costing models can be developed to represent a range of different settings, strategies, and potential diseconomies of scale.11,13,15 These should be able to account for the potential difference between expansion of control by increasing coverage within a district, as shown herein, and expansion to new districts, because these are likely to have different economies and diseconomies of scale. These cost functions should also include potential economies of scope (panel)—ie, how integration of different control programmes might yield lower costs per treatment.4 The absence of cost data for preschool children and adults constitutes a major barrier for further research regarding how best to optimise STH control and the development of further cost functions for the different strategies.

A further need is for the STH specialty to decide which are the best effectiveness metrics by which to judge different control strategies, since this is crucial when establishing the choice of intervention.8,9,40 Within this debate, consideration of how different programmatic objectives might need different effectiveness metrics will be important.

This work further shows that when interventions are aimed at reducing morbidity, their effectiveness metric should be based on infection intensity and not simply...
prevalence. Basing the effectiveness metric on prevalence in this context can produce counterintuitive and misleading conclusions, showing treatment to be more cost-effective in lower versus higher transmission areas, which poorly represents the effect of treatment on disease burden, which is related to heavy infections. This finding was also reported by Guyatt and colleagues. 14

The best metric for assessment of the effect on morbidity within models might be heavy case-years averted, which can take into account the fact that a certain worm burden can have different health consequences depending on the host’s age—just looking at the overall reduction in worm burden does not account for this. However, the numerical thresholds at which worms cause disease are surrounded with uncertainty, and probably depend on several host-specific factors. 15 Furthermore, translating egg counts—how intensity is measured in practice—to number of worms can be difficult. 16 By contrast, when assessing interventions aimed at reducing transmission, metrics based on morbidity or heavy infections will be misleading, undervaluing the potential need to expand treatment to other age groups or increase the treatment frequency to reduce transmission.

These results support those of previous modelling studies 9–11 that showed that when the programmatic aim is to reduce transmission, as opposed to morbidity, targeting school-age children alone is unlikely to be sufficient to achieve the desired results. 5–11,13,17

Contributors
HCT did the analysis and wrote the first draft of the manuscript. JET coded the model and did the parameter estimation. JET, FMF, TDH, SJB, and RMA contributed to the design of the study and writing of the manuscript. All authors read and approved the final version of the manuscript.

Declaration of interests
RMA is a non-executive director of the board of GlaxoSmithKline. All other authors declare no competing interests.

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