

**Title:**

**Duration and change in BCG effectiveness against tuberculosis with time since vaccination: evidence from a Norwegian population-based cohort study.**

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1 **Abstract**

2 **Background:** Little is known about how long the Bacillus Calmette-Guerin (BCG) vaccine  
3 protects against tuberculosis (TB). We assessed its long-term vaccine effectiveness (VE).

4 **Methods:** Historical cohort study based on tuberculin skin test (TST) and BCG information  
5 from participants to Norway mandatory mass TB screening and BCG vaccination programme,  
6 linked to the National TB Register, Population and Housing Censuses and the Population  
7 Register for emigrations and deaths. TST negative subjects aged 12-50 years and eligible for  
8 BCG vaccination were followed-up to the first TB episode or December 2011. The main  
9 outcomes were all and pulmonary tuberculosis. Cox regressions were used to estimate VE by  
10 time since vaccination, adjusted for age, calendar time, county-level TB rates, demographic and  
11 socio-economic indicators.

12 **Findings:** Follow-up was on average over 40 years, for 83,421 unvaccinated and 297,905 BCG  
13 vaccinated subjects, with 260 TB episodes. Tuberculosis rates were 3.3 per 100,000 person-  
14 years in unvaccinated and 1.3 per 100,000 person-years in vaccinated subjects. The adjusted  
15 average VE over 40-year follow-up was 49% (95% CI: 26%, 65%); although the evidence was  
16 less strong after 20 years [ up to 9 years, VE =61% (95% CI: 24%, 80%), 10-19 years,  
17 58% (27%, 76%), 20-29 years, 38% (-32%, 71%), and 30-40 years, 42% (-24%, 73%)]. VE against  
18 pulmonary TB for the same time intervals were respectively 67% (27%, 85%), 63% (32%, 80%),  
19 50% (-19%, 79%) and 40% (-46%, 76%).

20 **Interpretation:** Findings are consistent with long-lasting BCG protection but waning of VE  
21 with time.

22 **Funding:** Norwegian Institute of Public Health, and Department of Infectious Diseases  
23 Epidemiology, London School of Hygiene and Tropical Medicine

## 24 **Introduction**

25 Bacillus Calmette-Guerin (BCG), the sole Tuberculosis (TB) vaccine licensed for use in human  
26 populations, is an important part of TB control efforts.<sup>1</sup> It provides on average 86% protection  
27 against miliary and meningeal TB in children.<sup>2-4</sup> BCG also protects against pulmonary tuberculosis  
28 (PTB), although its effect varies geographically and appears higher further from the equator,<sup>5-7</sup>  
29 ranging for instance from no evidence of protection in the Indian TB prevention Trial up to 78%  
30 efficacy in the British MRC trial.<sup>7</sup> Reasons for such variability, discussed elsewhere,<sup>7,8</sup> note good  
31 efficacy if vaccination is done prior to infection with *Mycobacterium tuberculosis* (Mtb) or  
32 sensitization by environmental mycobacteria.<sup>7,9</sup> BCG may also protect against TB infection,<sup>10,11</sup>  
33 suggesting a greater contribution to TB control than previously assumed, though our understanding  
34 of the immunological basis of BCG-derived protection remains limited.<sup>12</sup>

35 BCG is one of the commonest vaccines, but the duration of effect against TB is unclear, even  
36 though this information may influence vaccination policies. The substantial decline in TB incidence  
37 in the 1980-90s led several countries to move from universal vaccination of infants (most Western  
38 European countries) or schoolchildren (e.g. United Kingdom, Norway) to targeted vaccination of  
39 infants at higher risk of TB;<sup>13</sup> it is unclear if BCG protection will last until young adulthood when  
40 the risk of pulmonary TB and transmission to others is higher. A better understanding of long-term  
41 changes in BCG protection may also be useful not only to develop and test new TB vaccines, but  
42 also to adapt vaccination schedules. BCG-booster vaccine candidates are designed on the premises  
43 of enhancing weak or waned pre-existing BCG-derived protection.<sup>14</sup> Other TB vaccine candidates  
44 (recombinant BCG or other attenuated) are empirically inspired or derived from BCG,<sup>14</sup> and the  
45 performance of BCG may inform their potential effect.

46 A recent systematic review<sup>15</sup> suggests BCG protection may last up to 15 years. There is little  
47 information beyond that, because studies have either relatively short follow-up, or have few events  
48 if follow-up is long. The follow-up of participants to the Native American and Alaska Natives BCG  
49 trial found significant BCG protection up to 40 years after vaccination;<sup>16</sup> although these findings

50 have not yet been confirmed elsewhere. We took the opportunity of an historical population cohort  
51 from Norway on which well-preserved information on tuberculin skin testing (TST) and BCG status  
52 was available, with reliable linkage to good TB surveillance from 1962 to 2011, to assess BCG  
53 effectiveness over 40 years in the general population and a different setting.

54

## 55 **Methods**

### 56 ***Study design and population***

57 This was a historical population-based cohort study targeting TST negative subjects aged 12 to 40-  
58 50 years, to whom intradermal BCG vaccination was offered as part of the mandatory nationwide  
59 Norwegian tuberculosis mass-screening programme between the late 1940s and 1975.<sup>17-19</sup>

60 Participants were screened for tuberculosis in mobile units – including chest radiography (CXR)  
61 and tuberculin skin testing (TST) using the adrelin von Pirquet (aP) method,<sup>18</sup> which was  
62 standard in Norway until 2004. Screening campaigns were repeated every 2 to 10 years depending  
63 on local TB incidence. Overall attendance was 80-85%, the rest did not attend because they had  
64 been screened in other program (~5% e.g. as a military recruit), ill or temporary absent (5-10%) or  
65 reason unknown (~5%).<sup>17</sup> TST negative school leavers (13-14 years) were also offered vaccination  
66 through the annual school screening program.

67 Only Norwegian-born subjects aged 12 and over were included in the study, limited to those  
68 screened during the last round from 1962 to 1975 when data were computerized and all TB cases  
69 were compulsorily notified to a central TB Register (established 1962). We excluded subjects who  
70 had TB before or in the year of screening, and those with unknown TST and BCG status. Those  
71 aged under 12 years were not included because they were not offered BCG routinely, unless they  
72 had been in contact with a TB case. There was no specific exclusion of immunocompromised  
73 subjects; immunosuppression was not a specific contraindication for BCG vaccination as factors  
74 such as HIV infection and most immunosuppressant drugs were not yet present or widely used.

75 Also, there is also no clear reason why occurrence of these factors (if any) during the years of  
76 follow-up should influence TB rates in vaccinated and unvaccinated persons differently.

### 77 ***Tuberculin testing and BCG vaccination***

78 Tuberculin skin testing by the aP method was done using Danish Old Tuberculin (OT), at a  
79 concentration corresponding to about 70% of the international standard from 1947 to 1953, and  
80 subsequently doubled from 1954 to improve sensitivity.<sup>19</sup> A positive reaction was defined by  
81 induration  $\geq 4$ mm. BCG was manufactured at the Bergen State BCG Laboratory using the Swedish-  
82 Gothenburg strain.<sup>20</sup> Liquid BCG was used until 1959, progressively replaced by freeze-dried BCG  
83 between 1959 and 1973,<sup>21</sup> with standardization between the two formulations done by routinely  
84 comparing post-vaccination TST induration size in schoolchildren.<sup>22</sup> From 1973 BCG was provided  
85 from Statens Serum Institute, Copenhagen.

### 86 ***Follow-up and data sources***

87 Participants accrued person-years from entry (TST negative at screening 1962-75) until the first  
88 episode of tuberculosis, emigration, death or end of follow-up (December 2011). Tuberculosis was  
89 ascertained through linkage to the National Tuberculosis Register, and censoring by death or  
90 emigration was checked in the population register. Prophylactic treatment for Latent TB infection  
91 (LTBI) was seldom used in Norway before 2002 and was therefore not a concern. Data sources  
92 were linked directly using the Birth Number (BN), a unique 11-digit personal identifier allocated to  
93 all Norway residents at birth or immigration, and used across administrative databases.

94 The screening database contained information on fact, date and results of CXR and TST, and BCG  
95 vaccination. BCG status was ascertained from health cards (~87%) subjects, scar examination  
96 (~7%) and self-reported vaccination history (~6%).

97 The National Tuberculosis Register provided the TB notifications since 1962,<sup>23,24</sup> and county-level  
98 TB-rates. Its completeness was estimated at 95% in 2008, based on crosschecking carried out since  
99 1975 with Rifampicin prescriptions and laboratory results.<sup>23,25</sup>

100 *Census data* (1960 and 1970) provided information on potential confounders, including birth date ,  
101 gender and marital status, and proxy-measures for socio-economic position (head-of-household  
102 education level and occupation, number of residents in household, urban/rural category of place of  
103 residence) at enrolment.

104 Quantitative variables were transformed into categories: five-year average annual TB rates at  
105 county-level in 1961-65<sup>26</sup> (proxy for local epidemiology) was classified in three levels (respectively  
106 <20, 20-25 and 26+ per 100,000); head-of-household's education level was grouped in lower  
107 secondary or less (up to 10<sup>th</sup> grade), higher secondary (11<sup>th</sup> to 13<sup>th</sup> grade), and post-secondary,  
108 vocational or tertiary; head of household's occupation was grouped by sectors shown to be related  
109 to TB infection risk in Norway,<sup>27</sup> respectively manufacturing, construction, mining and blasting,  
110 technical, scientific, humanities and arts, administration/management, sales and services,  
111 agriculture, forestry and fishing, trade transportation and communication, military and other;  
112 household size was grouped in four categories (0-2, 3-4, 5-6, and 7+ residents).

113 Ethical clearance was obtained from the Norwegian Research Ethics Committee.

#### 114 ***Statistical methods***

115 Hazard ratios (HRs) and 95% confidence intervals (95% CI) comparing respectively the overall and  
116 time specific (5- and 10-year intervals) TB rate in BCG vaccinated to unvaccinated subjects were  
117 computed by fitting Cox regression models to the data. Age-specific TB risk was adjusted for as a  
118 time-updated variable; demographic and socio-economic factors, and calendar time (in 10-year  
119 bands from 1960, to account for secular changes over the long follow-up) were also taken into  
120 account. Less than 3% subjects had missing data on any covariate; they were excluded from  
121 analyses. Starting with a model only including BCG status fitted on the age timescale, we added  
122 calendar time then potential confounders in turn based on descending order of magnitude of  
123 confounding at bi-variable analysis. We also checked their effects on overall vaccine effectiveness  
124 (VE) as well as any collinearity with vaccination status. Time-specific HRs were obtained by fitting  
125 an interaction between split follow-up time and BCG status. We also assessed statistical evidence of

126 log-linear change in HR (thus VE) between time intervals and departure from linearity. P-values  
127 were obtained using Wald and Likelihood-ratio tests as appropriate. The proportional hazard  
128 assumption was assessed graphically using Nelson-Aalen cumulative hazard plots. BCG vaccine  
129 effectiveness (VE) and 95%CI were obtained using the formula  $[VE(\%) = (1 - HR_{v/u}) \times 100]$ . We  
130 repeated analyses for pulmonary tuberculosis (PTB). Statistical analyses were done using Stata® 13.  
131 In this paper ‘crude’ HR/VE refer to estimates only adjusted for current age.

132 ***Missing date of vaccination and sensitivity analysis:***

133 We performed two sensitivity analyses: (1) to TST stringency (by excluding subjects who  
134 developed TB in first two years after screening, likely already infected but not yet reactive to TST),  
135 and (2) to missing information on year of vaccination (missing in 18% BCG vaccinated across the  
136 database, of whom a proportion would have been vaccinated after 1962 and eligible for the study).  
137 Two approaches were used for the latter: firstly assuming all were vaccinated as soon as they  
138 reached age of eligibility and secondly, using predictive mean matching (PMM) multiple  
139 imputation by chained equations<sup>28</sup> (appropriate for truncated quantitative data, in our case the year  
140 of vaccination limited to 1948 to 1975 during the mass screening). Ten imputed datasets were  
141 generated using a PMM imputation model including all baseline covariates and the age-adjusted  
142 cumulative TB hazard. The analyses above were repeated on each imputed dataset restricted to  
143 eligible subjects (i.e. enrolled in 1962-75), and the imputed HRs were obtained by combining  
144 estimates across datasets using Rubin’s rules<sup>29</sup>.

145 ***Role of the funding source:***

146 The sponsors of the study had no role in study design, data collection, data analysis, data  
147 interpretation, writing of the report, or approval of the manuscript of the study. The corresponding  
148 author had full access to all the data in the study and had final responsibility for the decision to  
149 submit for publication.

150

## 151 **Results**

### 152 ***Study sample and Baseline characteristics***

153 About 77% of 1,739,996 subjects registered in the database were aged 12 to 50 years, of which 23%  
154 (306,318/1,334,686) were TST positive unvaccinated; 91.7% of TST negative were vaccinated, but  
155 the date of vaccination was missing in 18.4% (173,384/940,584). The study sample, restricted to  
156 those enrolled in 1962-75, included 83,421 TST negative unvaccinated and 297,905 BCG  
157 vaccinated subjects (figure 1).

158 The distribution of baseline characteristics is presented in table 1. BCG vaccinated were more likely  
159 to be male and be younger at enrolment than unvaccinated. The head-of-household's education  
160 level was also higher among vaccinated (48% higher secondary or above, vs 36% in unvaccinated),  
161 although the distribution of occupational groups were similar between groups. Finally a higher  
162 proportion of BCG vaccinated (49%) lived in households with 5 or more residents than  
163 unvaccinated (27%). The distribution of other baseline characteristics was otherwise broadly similar  
164 between groups.

165 Median follow-ups (in years) were respectively 44 (IQR=41-46) for vaccinated and 41 (IQR=32-  
166 49) for unvaccinated subjects. Censoring by emigration was negligible (<1%), and age-adjusted  
167 overall survival was comparable between groups (supplement eFigure 1).

168 Age-adjusted TB rates were comparable across categories for most baseline characteristics  
169 (supplement eTable 1), except gender where male TB rates were more than twice that of females  
170 (HR=2.46; 95%CI=1.67,3.62). There was no interaction between baseline variables and BCG VE,  
171 except weak evidence for education level (relatively lower VE in lower education level) and  
172 county-level TB rates (relatively lower VE in counties with incidence >25/100,000). Stratified  
173 analyses were consistent with only weak confounding by individual baseline variables (supplement  
174 eTable 2).

### 175 ***BCG effectiveness against all tuberculosis***



176 Overall 260 first episodes of TB were reported, of which 103 cases/3,131,917 person-years (pyrs) in  
177 unvaccinated (rate=3.3 per 100,000 pyrs), and 157 cases/12,425,272 pyrs in BCG vaccinated (crude  
178 rate 1.3 per 100,000 pyrs), corresponding to an age-adjusted HR=0.36 (0.27,0.48), and VE of 64%  
179 (52%,73%) . After adjusting for calendar time and baseline covariates, HR was 0.51 (0.35,0.74),  
180 thus an average adjusted VE of 49% (26%,65%) over 40 years (table 2). The baseline covariates  
181 had little confounding effect (supplement eTable 2), with most confounding due to calendar time.  
182 Adjusted BCG VE was 51% (7%,74%) in the first 10 years post-vaccination (61% (24%,80%)  
183 when excluding the first 2-year TB episodes), and remained 58% (27%,76%) 10-19 years post-  
184 vaccination, subsequently dropping to 38% (-32%,71%) then 42% (-24,73%) respectively at 20-29  
185 and 30-40 years. There was weak evidence that change in HRs between time intervals was not log-  
186 linear ( $p=0.015$ ). Detailed results are presented in table 2. A further breakdown of VE in 5-year  
187 bands for the first 20 years after vaccination is provided in supplement eTable 3. Estimates  
188 remained similar, except in the first 10 years, when VE is lower at 21% (42% when excluding the  
189 first 2-year TB episodes) in the first 5 years post-vaccination, than 5-10 years (61%).  
190 The Nelson-Aalen cumulative hazard plots did not show severe deviation from the proportionality  
191 assumption (Supplement eFigure 2).

### 192 ***BCG effectiveness against pulmonary tuberculosis***

193 The adjusted VE against PTB over 40 years was 55% (32%,70%). Effectiveness against PTB by  
194 time since vaccination were respectively 0-9 years, 57% (8%,80%) (67% (27%,85%) when  
195 excluding the first 2-year TB episodes), and 10-19 years, 63% (32%,80%). VE was 50% (-  
196 19%,79%) and 40% (-46%,76%) respectively 20-29 and 30-40 years post-vaccination (figure 3;  
197 details in Supplement eTable 4). There was some statistical evidence that change in HRs between  
198 time interval was not log-linear ( $p=0.012$ ).

### 199 ***Missing date of BCG vaccination***

200 Time specific VE estimated either assuming those with missing BCG date were vaccinated as soon  
201 as they reached the eligible age, or using PMM imputation were consistent with the complete data  
202 analysis beyond the first 10 years after vaccination. Sensitivity estimates for the first 10 years were  
203 lower and less precise than the complete data (Supplement eTables 5, 6 and 7).

204

## 205 **Discussion**

206 Our study shows that BCG on average was associated with halving the risk of TB over a 40-year  
207 period after vaccination. When examined by decades, we found that BCG was associated with  
208 about 60% reduction in the risk of TB during the first two decades after vaccination. The VE was  
209 roughly 40% between 20 and 40 years post-vaccination, albeit the evidence was less strong. The  
210 vaccine's association with reduced risk of TB also appeared stronger against pulmonary  
211 tuberculosis, the infectious form of the disease. These results are only the second, to our knowledge,  
212 to present evidence in support of BCG protection against tuberculosis over a period of 40 years or  
213 longer, and the first in a European population.

214

215 The advantages of our study included the large sample size, good documentation of the TST and  
216 BCG vaccination status, and linkage to 50 years of good routine tuberculosis surveillance and  
217 various administrative databases. The study also had limitations: relatively few cases in each time  
218 period, due to low TB rates in Norway since the 1960s<sup>30,31</sup> (due to an effective nationwide TB  
219 control program in the 1940-70s and improvement in living conditions);<sup>27</sup> the lower stringency of  
220 TST compared to trials (people were tested only once at each screening round, and the aP test may  
221 have been less sensitive than the Mantoux test,<sup>32</sup> whereas some trials used higher tuberculin doses  
222 and 2-stage testing<sup>5,7</sup>); this would cause non-differential inclusion of some TST positives and, thus  
223 underestimating vaccine efficacy. The lower VE estimate in the first 5 years is consistent with this  
224 hypothesis. The higher VE obtained when excluding TB cases in the first 2-year suggests TST  
225 sensitization was more often due to infection with Mtb than environmental mycobacteria.

226 There is potential for selection bias and confounding. Those who declined vaccination may have  
227 had a higher TB risk than the general population, leading to an overestimate of the VE. The  
228 information available did not support this; age-adjusted all-cause mortality and loss of follow-up  
229 through emigration were comparable to vaccinated, as were most baseline socio-demographic  
230 characteristics. The unvaccinated group was however on average older than vaccinated so likely to  
231 have been exposed to higher risk of TB earlier in their life; however, these were also subjects who  
232 remained TST negative at several successive screening rounds, and therefore more likely to be  
233 selected for lower risk of TB. We therefore consider the study underestimates BCG effectiveness.  
234 Nonetheless, we acknowledge that in our study, as in most observational studies, there is a potential  
235 for residual confounding, including from unmeasured confounders.

236 Our estimates of BCG effectiveness in the first 5 years were lower than previously estimated in  
237 similar populations. BCG effectiveness was about 90% using data from Norway routine school  
238 vaccination programme<sup>21</sup> although they used a case-population approach known to slightly  
239 overestimate VE. Trials in the UK, USA and Canada yielded VEs of 70-80%.<sup>5,15,33</sup> The difference  
240 may partly be attributed to lower stringency of TST and selection through repeated screening of  
241 unvaccinated subjects at lower risk of TB, both discussed earlier; similarly low VE was reported in  
242 an earlier trial without stringent tuberculin testing prior to randomization.<sup>34</sup> Another factor may be  
243 that revaccination may have been captured in the database as a first vaccination; revaccination was  
244 not uncommon in subjects TST negative in spite of previous vaccination.<sup>18,19</sup> Post-vaccination TST  
245 induration is not correlated to BCG efficacy<sup>35</sup> and the current evidence suggests that revaccination  
246 has none to at most modest boosting effect on BCG-derived immunity.<sup>36,37</sup> In such revaccinated  
247 subjects, the VE at start of follow-up may have already declined since their first vaccination, thus  
248 underestimating VE.

249 BCG effect beyond 5 years was consistent with literature reports from similar settings. VE 5-10  
250 years post-vaccination was comparable to estimates in cohorts from Norway<sup>21</sup> and France,<sup>38</sup> and  
251 consistent with the Native American<sup>16</sup> and the British-MRC<sup>5</sup> BCG trials. The overlap between our

252 estimates and these two trials continued 10-15 years post-vaccination, although the latter had higher  
253 point estimates and narrower confidence intervals, consistent stringent TST and complete case  
254 ascertainment. The other trials in the northern hemisphere above the tropic had too few TB episodes  
255 beyond 10 years to measure VE.<sup>15</sup> The Native American trial measured BCG efficacy 15-20 years  
256 post-vaccination at 52% (28%,68%),<sup>16</sup> the sole trial with enough data beyond 15 years. This is  
257 comparable to our present findings, as well as those of Gernez-Rieux et al. who reported VE=51%  
258 over the same interval in a French cohort.<sup>38</sup> Overall, the VE estimated in our study over the first 20  
259 years post-vaccination appear consistent with the literature.

260 In a recent systematic review, only the Native American trial was found to have measured BCG  
261 effectiveness beyond 20 years after vaccination.<sup>15</sup> The 60-years follow-up measured average VE of  
262 55% (31%,77%), similar to ours over 40 years, with estimates 20-30 and 30-40 years post-  
263 vaccination of about 62% (-5%,88%)<sup>16</sup>. By comparison (Supplement eFigure 3), our average VE  
264 over 40-years follow-up was 55% (32%,70%), with VE 20-30 and 30-40 years after vaccination  
265 respectively of 38% (-31%,71%) and 42% (-23%,73%). We had less power than the Native  
266 American trial beyond 20 years, because of the very high TB incidence in their trial population, but  
267 both studies found persistence of BCG protection against tuberculosis beyond 20 years after  
268 vaccination.

269 BCG VE appeared to wane beyond the first 20 years post-vaccination, although the low study  
270 power precluded statistical evidence. A similar trend was noted in the Native American trial, and is  
271 consistent with the recent review on duration of BCG protection.<sup>15</sup> Two hypotheses may explain  
272 decline in VE estimates with time, notably reduction in the unvaccinated subjects' susceptibility or  
273 waning in the vaccinated subjects' immunity. Cross-immunity from sensitization by environmental  
274 mycobacteria among unvaccinated subjects may progressively 'mask' persistent BCG effect,  
275 therefore giving the false impression of declining VE. The decline may also be caused by waning of  
276 BCG-derived immunological memory, one of the premises for development of BCG booster

277 vaccines.<sup>14</sup> The two hypotheses are not mutually exclusive and both may have played some role in  
278 our observations.

279

280 Overall, our results are consistent with the hypothesis of a long-lived BCG-derived immunity,  
281 adding to the evidence that BCG vaccination of subjects not yet infected by Mtb, nor sensitized by  
282 environmental mycobacteria, may confer some protection against tuberculosis for over 20 years.<sup>15</sup>  
283 Besides the emerging evidence that BCG may also protect against Mtb infection,<sup>10,11</sup> a longer  
284 duration of protection would imply that the vaccine is more cost-effective than previously  
285 estimated. In the absence of any new and more effective TB vaccine, the first pillar of the World  
286 Health Organization's (WHO) new "End TB Strategy" recognizes the potential contribution of  
287 continued BCG vaccination of individuals at higher risk of TB to their vision of a "world free of  
288 tuberculosis",<sup>39,40</sup>; a contribution that is strengthened by BCG's longer protection. Furthermore,  
289 given how widely BCG has been used across the world and the possibility that it may interact with  
290 future TB vaccines, it would be important to account for such long-lived effect during the  
291 development of new TB-vaccines.

292

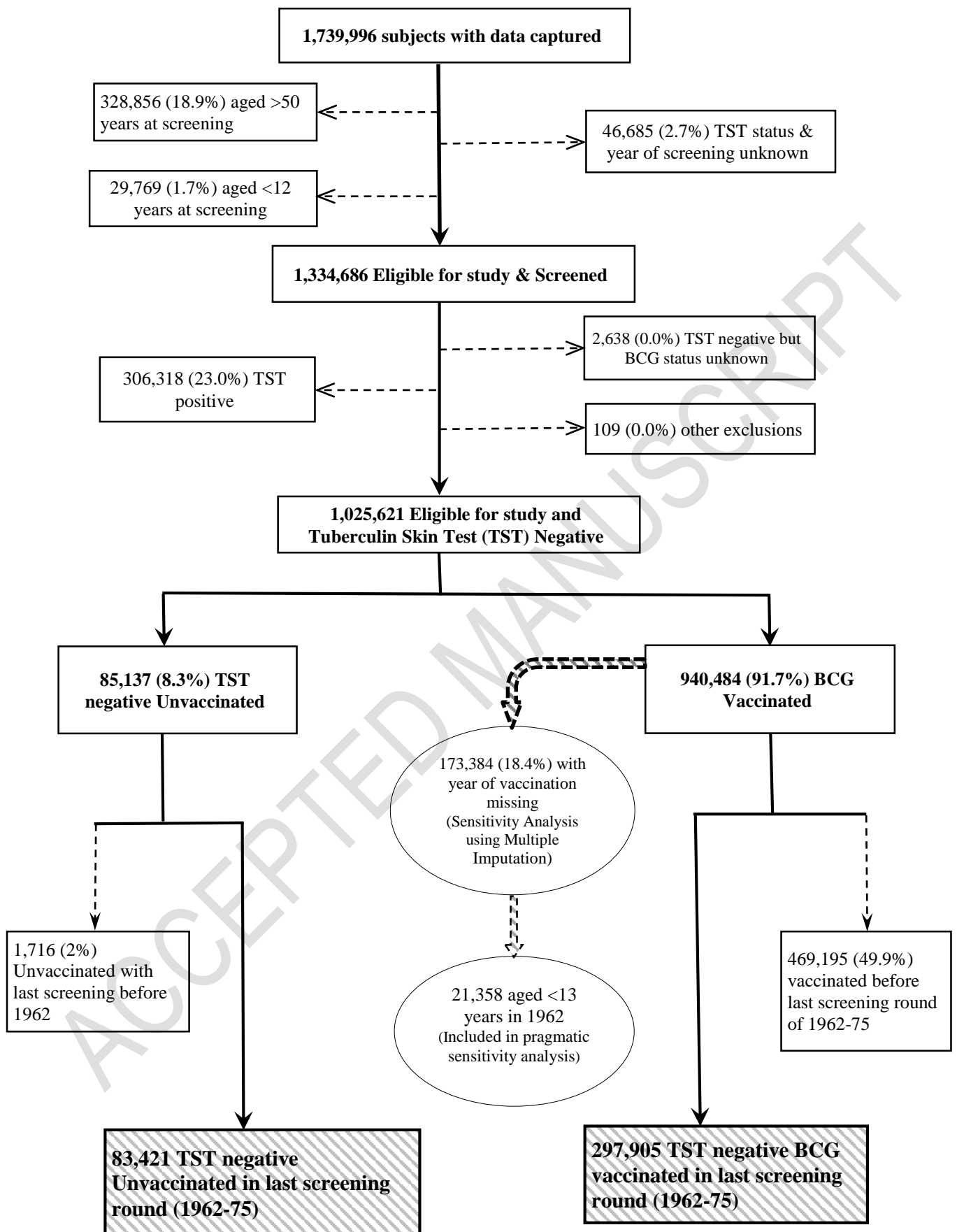
293

294 **Contributors:** IA conceived the study. PN-D prepared the research protocol with input from all  
295 authors. PN-D did all statistical analyses under the supervision of EH, LCR, and PM, and drafted  
296 the initial report. All authors interpreted results and contributed to the final report.

297 **Conflict of interest statement:** LCR, PM, and IA are coinvestigators in a separate study of a  
298 similar question in another setting (England) funded by a grant from the UK National Institute for  
299 Health Research during the conduct of this study. IA reports grants from the UK National Institute  
300 for Health Research and British Medical Research Council for other tuberculosis-related research  
301 during the conduct of this study. PN-D and EH declare no competing interests.

302

ACCEPTED MANUSCRIPT



**Figure 1: Flowchart from the population cohort to the study sample<sup>1</sup>**

<sup>1</sup> Broken single lines depicts excluded subjects and solid arrows are those included in analyses. The thick lines are subjects included in sensitivity analyses

**Table 1: Baseline characteristics of study participants**

	<b>BCG vaccine (N = 297905)</b>	<b>No BCG vaccine (N = 83421)</b>
<b>Sex</b>		
Female (%)	163634 (55%)	54340 (65%)
Male (%)	134271 (45%)	29081 (35%)
<b>Age at entry category</b>		
12-15 years old (%)	145366 (49%)	3171 (4%)
16-20 years old (%)	67990 (23%)	6251 (7%)
21-30 years old (%)	29989 (10%)	5943 (7%)
31-40 years old (%)	27217 (9%)	21315 (26%)
41+ years old (%)	27343 (9%)	46741 (56%)
<b>Birth cohort (year of birth)</b>		
1910-1919	5026 (2%)	38771 (46%)
1920-1929	30566 (10%)	26813 (32%)
1930-1939	25371 (9%)	7272 (9%)
1940-1949	67809 (23%)	5930 (7%)
≥1950	169133 (57%)	4635 (6%)
<b>Marital status</b>		
Married	78321 (26%)	63932 (77%)
Single/Other	216162 (73%)	18455 (22%)
Missing	3422 (1%)	1034 (1%)
<b>Education level of head of household</b>		
Lower secondary or less	151968 (51%)	52554 (63%)
Higher secondary	120522 (40.6%)	27430 (33%)
Tertiary / Vocational / Post-secondary	24383 (8%)	2652 (3%)
Missing	1032 (0.4%)	785 (1%)
<b>Type of Municipality at entry (Urban/Rural)</b>		
Rural	125580 (42%)	36765 (44%)
Urban	171916 (58%)	46489 (56%)
<b>Number of residents in household at entry</b>		
0-2	21002 (7%)	19504 (23%)
3-4	132790 (45%)	41137 (49%)
5-6	109416 (37%)	18292 (22%)
≥7	34276 (11%)	4319 (5%)
<b>Occupation category of head of household at entry</b>		
Manufacture, construction, mining	119232 (40%)	34571 (41%)
Technical, scientific, humanities	24814 (8%)	4653 (6%)
Administration, sales, services	38234 (13%)	11475 (14%)
Agriculture, forestry, fishing	54497 (18%)	17025 (20%)
Trade, transport, communication	49356 (17%)	13178 (16%)
Military, Other	10136 (3%)	1438 (2%)
Missing	1636 (1%)	1081 (1%)
<b>5-year average annual tuberculosis notification rate for 1961-65, per 100,000 person-years</b>		
<20per100000	127961 (43%)	41976 (50%)
20-25per100000	78637 (26%)	17310 (21%)
≥26per100000	91300 (31%)	24135 (29%)
<b>Follow-up*</b>		
<b>Median follow-up (IQR<sup>‡</sup>) (years)</b>	<b>44 (41-46)</b>	<b>41 (32-49)</b>
<b>Total Follow-up (person-years)</b>	<b>12425273</b>	<b>3131918</b>
<b>First TB episodes and crude rate</b>		
# All first TB episodes (rate per 100,000 pyrs)	157 (1.3)	103 (3.3)
# Pulmonary TB first episode (rate per 100,000 pyrs)	121 (1.0)	78 (2.5)

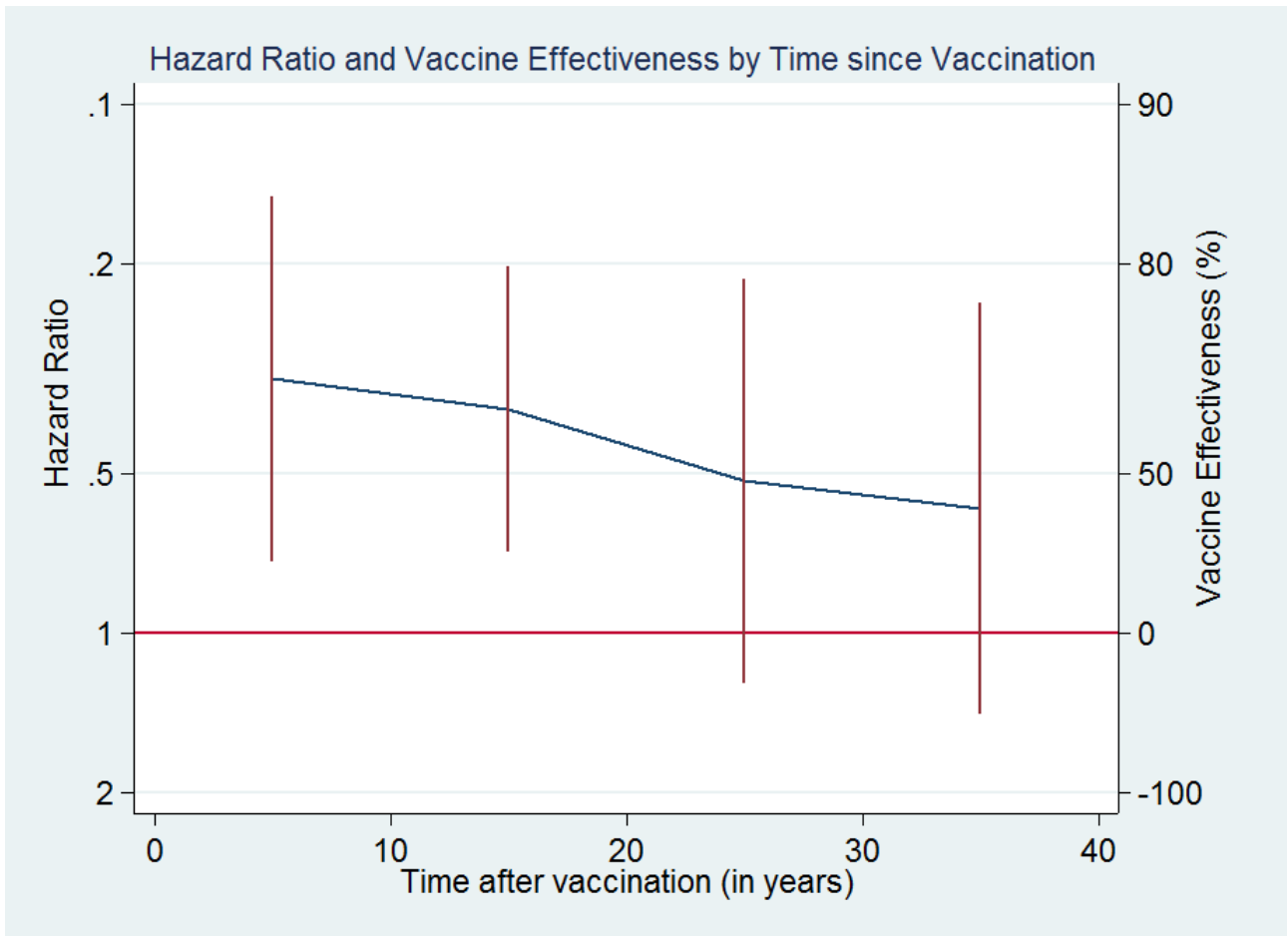


**Table 2: BCG Vaccine Effectiveness against all TB**

Time since vaccination	# TB cases/pyears	Rate (per 100,000pyears)	'Crude' HR* (95%CI)	Crude VE* (95%CI) (%)	p-value	Adjusted HR~ (95%CI)	Adjusted VE~ (%) (95%CI)	p-value
<b>Overall</b>								
Unvaccinated	103/3131917	3.3 (2.7;4.0)	-					
BCG vaccinated	157/12425272	1.3 (1.1;1.5)	0.36 (0.27;0.48)	64 (52 to 73)	<0.001	0.51 (0.35;0.74)	49 (26;65)	<0.001
<b>0-9 years (including TB events in first 2 years after screening)</b>								
Unvaccinated	29/812004	3.6 (2.5;5.1)						
BCG vaccinated	46/2920797	1.6 (1.2;2.1)	0.45 (0.25;0.80)	55 (20 to 75)	0.006	0.49 (0.26;0.93)	51 (7 to 74)	0.03
<b>0-9 years (excluding TB events occurring in first 2 years after screening)</b>								
Unvaccinated	27/812000	3.3 (2.3;4.8)						
BCG vaccinated	36/2920781	1.2 (0.9;1.7)	0.41 (0.23;0.76)	59 (24 to 77)	0.005	0.39 (0.20;0.76)	61 (24 to 80)	0.006
<b>10-19 years</b>								
Unvaccinated	44/784840	5.6 (4.2;7.5)						
BCG vaccinated	45/2874574	1.6 (1.2;2.1)	0.35 (0.21;0.58)	65 (42 to 79)	<0.001	0.42 (0.24;0.73)	58 (27 to 76)	0.002
<b>20-29 years</b>								
Unvaccinated	15/704774	2.1 (1.3;3.5)						
BCG vaccinated	29/2794374	1.0 (0.7;1.5)	0.72 (0.36;1.43)	28 (-43 to 64)	0.35	0.62 (0.29;1.32)	38 (-32 to 71)	0.22
<b>30-~40 years</b>								
Unvaccinated	15/830300	1.8 (1.1;3.0)						
BCG vaccinated	37/3835528	1.0 (0.7;1.3)	0.72 (0.35;1.46)	28 (-46 to 65)	0.36	0.58 (0.27;1.24)	42 (-24 to 73)	0.16

\*'Crude' HRs are adjusted for current age (in years) (Cox model fitted on age timescale)

~Fully adjusted for current age, calendar time, and baseline characteristics; Test for log-linear trend in HRs by timeband p=0.015



**Figure 2: BCG Effectiveness against Pulmonary Tuberculosis by time since vaccination**  
*(Vertical bars represent 95% confidence intervals; TB cases occurring in first 2 years after screening are excluded)*

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