Uniting mathematics and biology for control of visceral leishmaniasis

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The neglected tropical disease (NTD) visceral leishmaniasis (VL) has been targeted by the WHO for elimination as a public health problem on the Indian subcontinent by 2017 or earlier. To date there is a surprising scarcity of mathematical models capable of capturing VL disease dynamics, which are widely considered central to planning and assessing the efficacy of interventions. The few models that have been developed are examined, highlighting the necessity for better data to parameterise and fit these and future models. In particular, the characterisation and infectiousness of the different disease stages will be crucial to elimination. Modelling can then assist in establishing whether, when, and how the WHO VL elimination targets can be met.

How mathematics can aid elimination of VL
VL (see Glossary) is a potentially fatal protozoan infection transmitted by sandflies. Individuals with acute symptoms, referred to here as patients with kala-azar (KA), show signs of fever, weight loss, splenomegaly, and anaemia; it is believed that almost all patients will die if left untreated at this stage (http://www.who.int/mediacentre/factsheets/fs375/en/). Following recovery from KA via drug treatment, some patients go on to develop post-KA dermal leishmaniasis (PKDL), a nonfatal stage of infection with dermatological symptoms [1]. Worldwide, approximately 200 000–400 000 KA cases occur per year, the majority of which occur on the Indian subcontinent (ISC); in India, Bangladesh, and Nepal. VL on the ISC is anthropoponic (i.e., there are no non-human primary hosts), it is transmitted by just one vector species, Phlebotomus argentipes, and the burden of disease is highly localised. As a consequence, VL on the ISC is one of the NTDs that is targeted by the WHO for elimination as a public health problem (less than one new case of KA per 10 000 people per year) by or before 2017 (http://apps.who.int/iris/handle/10665/148778). In the rest of the world, VL is zoonotic (Box 1), limiting the possibility of elimination, and therefore the goal is 100% detection and treatment of all human cases by 2020 [2].

Within the ISC, the most affected area is the Bihar district in northern India, where VL disproportionately affects the poorest [3,4]. Despite falling numbers of cases overall in the region [5], there remain hotspots of infection; Bihar in particular accounts for approximately 80% of reported cases on the ISC [6]. Currently, control programmes are based upon scaling up active case-detection [7] and social mobilisation [5,8], which are both known to

Glossary

Asymptomatic: patients who have active VL infection, are assumed to be infective to sandflies (Box 3), but have no symptoms of KA.

Compartmental models: models in which the population is divided into groups of people who progress through various stages of the disease, represented as boxes or ‘compartments’ in the model. The classic example of this is the susceptible–infected–recovered (SIR) basic epidemiological model [3] in which everyone is considered to belong to one of three stages.

Deterministic model: these models capture average behaviour of a population and give the same outcome for a set of parameters in every simulation performed.

Dormant: those patients in this stage are between KA and PKDL; they still harbour Leishmania parasites, but have no symptoms.

Exposed: patients who have acquired VL infection but are not yet infective to sandflies.

(Fully) recovered: patients who have previously had VL infection (of any kind) and are now parasite-free with acquired immunity.

Individual based model (IBM): a type of stochastic model where individual humans are modelled separately under an overarching set of rules. These allow for more complex simulations including differences between individual people, their movements, and geographic setting.

Kala-azar (KA): literally translated as ‘black-fever’, this is the acute form of visceral leishmaniasis. Patients display symptoms such as fever, weight loss, swelling of the spleen or liver, and anaemia.

Non-symptomatic: all patients with VL infection but no symptoms: includes exposed, asymptomatic, and dormant individuals (green boxes in Figure 1).

Post-kala-azar dermal leishmaniasis (PKDL): following KA, some individuals (5–10%) develop PKDL, which is characterised by a nodular or papular skin rash. It is non life-threatening.

Stochastic models: these allow for chance events as numbers of cases of disease become small (in contrast to deterministic models). Simulated disease dynamics vary every time; this allows the probability of events such as elimination or re-occurrence to be found.

Visceral leishmaniasis (VL): general term for the disease caused by Leishmania donovani (on the ISC) and L. infantum elsewhere. A VL patient refers to all individuals harbouring the parasite, including those with and without symptoms.
Insights from mathematical modelling studies are summarised here through a literature review, and the differences in results or limitations are explained such that lessons can be learnt for future models and current knowledge gaps are identified.

Current state of mathematical modelling of VL

A thorough literature review was conducted to find all mathematical transmission models of VL (further details are given in the supplementary material online). Twenty-four papers addressing relevant modelling of VL are summarised in Table 1. Of these, only seven focused on the ISC; the remainder mostly addressed transmission between dogs in Brazil or France. These zoonotic papers were included because of the cross-applicable insights that they give (Box 1). Many of the articles were by the same authors and thus there is a distinct overlap between many models. For example, three of the most recent VL modelling papers on the ISC were based on the same model [10–12]. The models were rarely validated against recent data, with the exception on the ISC being the papers by Staub et al. [10–12] and, to some extent, Mubayi et al. [13].

The models used a range of different assumptions regarding disease progression in humans, the intensity of transmission, and the role of sandflies (discussed below). Only two studies explicitly considered spatial aspects of transmission [14,15].

The authors modelled a range of potential control strategies to simulate the possible effects of intervention strategies. On the ISC, treatment was modelled explicitly in all but one paper (which is based on historical trends [16]) because this is the current course of action upon a diagnosis of VL. Two papers (by the same group [10,11]) explored the impact of vector control on disease prevalence, and one article computed the cost-effectiveness of a vaccine, should one be developed [17].

Natural history of infection

An important difference between the models is the varying assumptions about how the disease progresses, including the probability of symptoms, the time between infection and symptoms, and the dynamics post-treatment. The limited knowledge about this process, also known as the natural history of the infection, will affect the interpretation of model results and, therefore, they are discussed in some detail here. There exists a general understanding of the clinical progression of VL, but few datasets can assist in quantifying the rates of progression or the probability of different events. In general, following infection, most individuals remain non-symptomatic [18] whereas a few develop KA. Those with KA have a high mortality rate in the absence of treatment, often quoted in the literature to be up to 100% within 2 years [2]. Relapses of KA sometimes occur following treatment and this can be triggered by HIV coinfection [19,20]. After successful treatment, patients with KA recover, but this can be followed by the onset of PKDL, possibly preceded by a period of dormancy of the intracellular parasite. Unlike KA, PKDL is characterised by a nodular or papular skin rash, has no associated mortality and symptoms are dermatological. The occurrence of PKDL varies geographically: 5–10% of cases on

To ensure the success of the interventions in reaching the WHO goals, it is vital to be able to examine critically and quantitatively the outcome of different interventions and to make quantitative assessments which will help in the fight against this disease, particularly in the context of limited resources and over a relatively short timescale. Mathematical modelling provides tools to help evaluate interventions to indicate both the intensity and timescale over which an intervention might have to be carried out. Modelling can also inform how long surveillance should be in operation before elimination can be confirmed and how elimination might be sustained. Therefore, it is important to understand the limitations of existing models of VL on the ISC, and how better data can improve the models and generate results that are more directly useful for policy.

be beneficial in reducing VL. Another essential part of intervention programmes is vector control, usually through indoor residual spraying (IRS) [9]; however, it is not clear whether additional control measures are necessary.

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Table 1. Summary of VL modelling papers

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a Denotes studies by Stauch et al. that use the same basic model.
b Denotes studies by Elmojtaba et al. that use the same basic model.
c, included in model; †, dead-end hosts; *, implicitly included in other terms; –, unclear or unknown region.
ISC [1] and in up to 60% of cases in East Africa [21]. Approximately 10% of PKDL cases occur in individuals with no history of KA, and occasionally it occurs concurrently with KA [22,23].

The gold standard diagnostic for KA is parasitological diagnosis of splenic aspirates, bone marrow, and lymph node samples, with decreasing sensitivity, respectively. Recently, rapid serological diagnostic tests [24,25] mainly based on the rK39 antigen have been developed and are implemented as primary diagnostic tools on ISC because of their high sensitivity when combined with clinical symptoms. The direct agglutination test (DAT) is an alternative serological test that has been proposed as an assay to detect asymptomatic humans. Recently, it was suggested that humans that are highly reactive to DAT have a greater propensity to progress to symptomatic KA than those with lower responses [6]. The leishmanin skin test (LST) also measures serological response to infection and is seen as a marker of exposure to infection, which is important for understanding transmission but is not used in clinical practice. Currently, it is unclear whether detection of asymptomatic patients can be performed by measuring the serological response to infection alone. Therefore, molecular tests, mainly PCR, based on the detection of parasitic DNA might be an alternative tool to detect humans who are actively infected rather than only exposed to disease [26]. It is important that the VL research community focuses on the use of diagnostic tools for the detection of asymptomatic cases such that clarity is reached on a possible algorithm to detect infectious patients who are not showing symptoms.

Modelling the natural history of infection
Most VL models are ‘compartmental’ models, which means that hosts pass through various ‘stages’ of the disease at different rates. A model can be constructed in which the assumptions are closely tied to current understanding of the biology. For example, the natural history outlined above can be represented by a flow diagram (Figure 1) with the model tracking the number of individuals in each disease stage; the different rates of moving between stages, such as the average time between KA and PKDL, are defined either directly from knowledge of the disease or by fitting the model to data.

Models of VL do not include all of the known complexity of VL biology, mainly because of a lack of data to define the stages, quantify the rates of progression between stages, and chart the time-evolving distribution of the infected population between stages. Basic models including key aspects of VL transmission, guided by available data and a particular policy or research question, can yield invaluable insights.

For the ISC, the simplest model structure was the susceptible–infected–recovered (SIR) progression assumed by Dye and Wolpert [16] (Figure S1 in the supplementary material online). This model was used to explain the historical disease dynamics of VL in India between 1875 and 1950. Although the model is unsophisticated and omits much of the currently known biological process of VL infection, it was effectively fitted to longitudinal incidence data, and the intrinsic processes were able to account for the timings of the long interepidemic periods that are characteristic of VL. A slightly more complex model was that of Mubayi et al. which included a more realistic latency period distribution, but did not include PKDL [13].

The probability and timing of PKDL following KA are key assumptions for interpreting surveillance data and in using models to make projections. The occurrence of PKDL is thought to be governed by several factors, including the choice of drugs and adherence to treatment [27]. Some modellers (ELmojtaba et al. [15,28,29], Stauch et al. [10–12], and Lee et al. [17]) incorporated the potential progression to PKDL infection following KA in humans via the addition of another infective stage (Figure S2). This enables one not only to observe the effect of different treatment rates upon the two different types of disease.

Figure 1. Compartmental diagram showing possible visceral leishmaniasis (VL) progression paths. Differently coloured boxes denote humans at various stages of VL infection: blue for no current infection, green for non-symptomatic but infected humans, and red for symptomatic individuals. Yellow boxes represent sandflies with and without infection. The orange box indicates all human stages that are infected and that might be infective to sandflies (with likely varying intensities). Broken lines indicate the direction of transmission between humans and sandflies, whereas unbroken lines show possible progression paths through different disease stages. Abbreviations: KA, kala-azar; PKDL, post-KA dermal leishmaniasis.
but also to examine how treatments that reduce the probability of developing PKDL might affect infection dynamics and prevalence.

Inclusion of a dormancy period between KA and the onset of possible PKDL (Figure 1) was used by two groups (Stauch et al. [10–12] and Lee et al. [17]) to reflect the longer duration of this period on the ISC [27].

Although the different stages of infection are loosely based on current understanding of the clinical stages, the precise definition of each stage in the model depends upon the data used. For example, a KA case might be any KA case or it might be a diagnosed KA case in a particular healthcare setting. Stauch et al. [10–12] particularly modelled the KALANET trial [30]; this trial evaluated the efficacy, acceptability, and cost-effectiveness of long-lasting insecticidal bed nets for the prevention of visceral leishmaniasis through a community intervention trial in the endemic Bihar focus (http://www.kalan.netinfo). Diagnosis of VL infection was made by three tests (PCR/DAT/LST described in Table S1). The study revealed no significant impact of bed nets [72], but it suggested that incidence of asymptomatic *Leishmania donovani* infection in VL high-endemic foci is ninefold more frequent than incident VL disease [31].

Stauch et al., therefore, were able to partition the different stages of infection by their diagnostic status under the three tests, in addition to symptoms, and treatment. Although this method of compartmentalisation is only subtly different from that general framework described above, the stratification by different diagnostic tests structures the model slightly differently, with, for example, four different ‘recovered’ stages by diagnostic status [10–12].

Given the availability of detailed data on diagnostic outcomes, the Stauch et al. model included asymptomatic individuals who tested positive with any of the three tests but were unaware of their infection status in the absence of diagnostic tests (Figure S3). The fact that asymptomatic individuals can test positive leads to the question of their infectivity to sandflies and of their role in the transmission cycle (see below) and the specificity of the tests used.

An area of much debate in the VL research community is the existence and action of immunity, which is currently considered as a gap in our knowledge of VL [32,33]. The models of Stauch et al. are the only ones to feature a return to susceptibility after recovery from either KA or PKDL. Under this model, immunity from reinfection lasts approximately 307 days, which is short in comparison to the lifelong immunity assumed by other authors [10–12].

Different modellers have not only made different assumptions about the natural history of VL but, importantly, also used different parameter ranges. The huge variability in parameters and their values (Table S2) is attributable not only to the underlying model structures but also to the uncertainty in many factors in transmission and progression, such as rates or probabilities, which are either hard to measure or vary by region. Access to more detailed datasets using modern diagnostics is essential to revolutionise the study of VL transmission dynamics and the utility of models to inform policy.

**Transmission of VL**

During the different stages of VL infection, individuals harbour different levels of the parasite in their peripheral blood and lymphatic systems [26]. Given that there is apparently no sterile cure, once someone is infected they can be infectious to sandflies throughout their lifetime, including during the dormancy period before PKDL and once PKDL develops (orange box in Figure 2). In particular, PKDL nodules are known to contain a detectable parasitic load, implying potential significant infectivity [34].

Both asymptomatic humans (Box 2) and those with PKDL are unlikely to seek medical advice or treatment [35] owing to the costs of treatment and the fact that neither condition causes any physical limitation [36]; these individuals are therefore behaviourally distinct from those

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**Figure 2.** Main ways to interrupt the transmission cycle of visceral leishmaniasis (VL) through interventions. Orange shows the effect of standard (first- or second-line) treatment of symptomatic individuals. Pink shows the effect of vector controls. Grey shows the potential effect of bed nets. Purple shows the effect of a (potential) human vaccine or other prophylactic intervention.
with KA. Current active case detection relies on finding KA symptoms before testing and, therefore, humans who are asymptomatic are missed. The WHO has recommended various regimens for PKDL based on geographical location, but each has a long course and is associated with toxicities [37]. In resource-poor settings, where VL is most prevalent, these long parenteral regimens invariably lead to either non-acceptance or poor compliance [38].

**Infectivity to vectors**

The infectivity of humans in different disease stages was only identified in one model in which the authors explicitly modelled asymptomatic humans with a decreased infectivity within the transmission cycle [10–12] (Box 3). Currently, only two groups ([10–12] and [15,28,29]) have incorporated PKDL into transmission models by assuming comparable infectivity of KA- and PKDL-infected humans because of lack of evidence to the contrary. The models with an interim dormancy period before the onset of PKDL [10–12] assumed that, during this time, the human host is not infective to sandflies, whereas the other models [15,28,29] assumed that individuals progress straight from KA to PKDL, and are infective to sandflies throughout.

The cost–benefit analysis model [17] included both asymptomatic humans and those with PKDL but, because a constant force of infection was used, the role of these patients towards onwards infection cannot be discerned. These assumptions on the infectivity of asymptomatic humans and patients with PKDL are crucial in determining the estimated efficacy of increased detection and treatment of patients with KA. If there is a large asymptomatic population, as indicated in the literature [31], then they will probably lead to most of the onwards infection, even with low infectivity, as might be the case with malaria [39]. It could be argued that the decline in cases in Nepal and Bangladesh under increased active case detection suggests that the contribution from asymptomatics is small, but this depends on the timescale and acquisition of immunity as well as on the number of asymptomatic humans and the impact of IRS in interrupting transmission. Although it is unlikely that direct estimates of asymptomatic infectivity will be available soon, models could be fitted to high-quality surveillance data, giving some bounds to the contribution of different stages to transmission.

**Vector biology**

Sandflies are key in VL transmission, but little is known about their life cycle, biting rates, and transmission probabilities, all of which are crucial to increase understanding of transmission dynamics. Even estimates of a parameter as fundamental as sandfly life-expectancy have large confidence intervals. Whereas models typically use an estimate of 2 weeks, such estimates range from 2.4 to 16.7 days (Table S3). Likewise, the seasonal fluctuations of sandfly populations are only featured in some transmission models of zoonotic VL [40–43], despite these fluctuations being one of the easier parameters to measure. In future models consideration should be made to the impact of sandfly seasonality on the ISC and how this might affect vector controls and their timing; this can be achieved by utilising frameworks from zoonotic VL models as well as other vector-borne disease models.

**Effectiveness of interventions**

There are several public health control measures that aim to reduce the transmission of VL [44]. Some controls, such as treatment of patients with KA, effectively speed up the progression of patients through disease stages and minimise the time that these individuals spend in the symptomatic period, potentially reducing onwards infection to sandflies. Other controls, such as insecticide spraying, aim to reduce the vector population and its effectiveness in transmitting infection. The four main parts of the
transmission cycle that are affected by interventions (both current and hypothetical) are highlighted in Figure 2. Each of these interventions has been discussed within one or more of the articles found by this review, and their modelling methods and results are discussed below.

Treatment of KA
Given the high mortality rate for untreated KA, most patients are believed to seek care eventually, although the time-delay before treatment can be long [8]. There are a variety of treatments available for KA, but some studies suggest that adherence, rather than treatment type, is the key factor that might result in differing probabilities of progression to PKDL or relapse to KA [45].

In the model analysis by ELmojtaba et al. [28], both first-line treatment rate and effectiveness of preventing PKDL are varied. The authors found that, with high treatment rates alone, there was a reduction in KA cases, but, because a proportion of these treatments produced a PKDL case, infection rates were still high. Unsurprisingly, increasing both treatment and effectiveness was more efficacious at reducing transmission.

Stauch et al. [10–12] included three treatment types: first-line treatment of KA, second-line treatment following first-line treatment failure, and PKDL treatment. The authors showed that high treatment rates reduced the number of KA and PKDL cases. However, the intervention had almost no impact upon the large asymptomatic population and, consequently, transmission intensity was little affected [10].

These two groups of papers suggest that treatment alone will not be enough to truly halt the transmission of VL, and that other interventions are needed when aiming for elimination, echoing the opinion of others [5,46].

Vaccine
There is currently no human vaccine for VL; however, advances are being made and models have been used to study the benefits of potential candidates [32,47]. Lee et al. [17] described the possible advantages of a VL vaccine through a cost–benefit analysis. They found that even a poorly effective vaccine (25% effective – a vaccine that fully protects 25% of those immunised against infection, but makes no difference to the remaining 75%) might be cost-effective (for US$ 100 or less), whereas vaccines with higher effectiveness might even be cost-saving. For Bihar, India, in particular, this work indicated that a vaccine would be beneficial because of the high prevalence of genetic resistance to first-line treatments that has developed in this region. This model [17] used a constant (age-dependent) force of infection that did not change in response to vaccine use. Consequently, it is hard to determine what indirect effects the vaccine might have on reducing disease prevalence.

ELmojtaba et al. [15] showed that vaccination rates affected the transient dynamics through the rate of reduction of cases (in Sudan) but ultimately had little role in long-term prevalences where new cases are continuously imported. In particular, the authors noted that high levels of vaccine efficacy would be needed to reduce the equilibrium prevalence of VL significantly.

These contrasting results highlight the importance of understanding the underlying assumptions of the models when interpreting their results, and that the potential impact of a future vaccine is still uncertain.

Vector control
The main intervention for vector control is IRS, which is linked to a reduction in sandfly life expectancy and to a consequent reduction in sandfly populations [9]. Lowering the total vector population size reduces the biting pressure on each human by decreasing the ratio of vectors to hosts (Figure 2). By reducing the sandfly life-expectancy, the vector is less likely to survive long enough to bite twice – once to acquire infection and again to infect a host. As the vector death rate increases, vectors spend less time infected.

Other interventions include reductions in sandfly breeding sites, which also limits population sizes, and insecticide-treated bed nets [44]. The use of bed nets decreases the contact rate between vectors and humans and, consequently, should lower transmission, not only to susceptible individuals, but also from infected ones, including asymptomatic humans and those with PKDL. Unfortunately, the KALANET programme indicated that, despite a reduction in sandfly density, bed nets were not effective at reducing incidence in this setting and, thus, IRS remains the main control strategy [48,49].

Given the limited data on sandfly behaviour (as mentioned above), model assumptions and outputs are variable. Stauch et al. [10] examined the effect of changing the three parameters corresponding to vector life-expectancy, vector population size, and bite rate. Each of the parameters appeared to result in a quasi-linear change in prevalence and incidence of infection. Further work by Stauch et al. [11] examined control of vector breeding sites and killing vectors directly. These authors suggested that, to break transmission, either the vector population must be reduced by 79% through the destruction of breeding sites or it must be reduced by 67% by killing adult flies, the latter effectively decreasing the life-expectancy of the sandflies in addition to suppressing their population size.

Concluding remarks
Modelling is a useful tool in informing public health control programmes, but to date there have been relatively few VL models developed. This is partly because of the status of VL as an NTD with limited data availability. Modelling results point towards IRS in particular as a highly promising strategy to control transmission of VL. However, it is difficult to determine the best way forward to achieve the goals of the WHO given the current state of knowledge. The main weakness of the current modelling literature is the lack of fitting or validation against multiple timepoint data. The one model parameterised by a modern dataset is only fitted to the cross-sectional, rather than the dynamic data [10–12]. There is an urgent need for more models of VL to be developed which can aid public health policy by analysing surveillance data and guide policy on areas where current strategies need to be improved. PKDL cases continue to occur from historic VL cases, cost-effective strategies to monitor these cases and limit onward transmission are required.
The current suite of models are also limited in terms of the technical complexity and analyses which have been performed, such as exploring asymptotic/PKDL infectivity, sandfly seasonality, age-dependent exposure, and differences (both age and gender related) in treatment-seeking behaviour. Currently no stochastic individual-based models (IBM) exist that capture the transmission dynamics of VL. Stochastic models can simulate the probability of elimination as well as the probability of (re)-occurrence of outbreaks, both identified as crucial questions for VL on the ISC. Another technical need is for IBMs that can include more complexity including seasonality, migration and location. IBMs for other NTDs have shown to be of great value in aiding healthcare policy, such as estimating the impact of doubling the frequency of mass drug administration to accelerate elimination of onchocerciasis [50] and lymphatic filariasis [51], as well as combining various preventive and therapeutic interventions to interrupt leprosy transmission [52].

Surveillance data, together with prior experience of the epidemiology of elimination, suggest that, although there are geographical areas where the current programmes will be successful, there will be those where additional interventions are required. If goals for the ISC, as well as long-term suppression of transmission, are to be achieved, it is essential that the transmission dynamics are understood and that intervention programmes are designed to maximise the reduction in transmission and the long-term sustainability of these goals. Availability of surveillance and research data is necessary to inform future models for them to be an effective tool to support the hugely impressive efforts to control VL on the ISC. As new datasets become available through the labours of experimental and field researchers, there are now opportunities for existing model refinement and novel model exploration to address outstanding questions (Box 4). To meet the ambitious targets of the WHO, it will be essential to maintain dialogue between modellers and programme managers, policy makers, and other researchers working to combat this disease.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.pt.2015.03.007.

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